

**U.S. District Court  
Southern District of New York (Foley Square)  
CIVIL DOCKET FOR CASE #: 1:09-cv-00301-VM**

**Inter-American Trust et al v. Fairfield Greenwich Group et al**

**Date Filed:** Jan. 12, 2009  
**Status:** Closed  
**Nature of suit:** 850 Securities/Commodities  
**Demand:** \$5,000,000  
**Assigned to:** [Judge Victor Marrero](#)  
**Cause:** 15:78m(a) Securities Exchange Act  
**Date terminated:** Jan. 23, 2009  
**Jurisdiction:** Federal Question  
**Jury demand:** Plaintiff  
**Lead case:** 1:09-cv-00118-VM  
**Member cases:** 1:09-cv-00134-VM  
1:09-cv-00301-VM  
**Related cases:** 1:09-cv-00134-VM

**Parties and Attorneys**

**Plaintiff**

**Inter-American Trust**

on behalf of themselves and all others similarly situated

**Attorneys and Firms**

**Dawn Louise Smalls**

*Boies, Schiller & Flexner*  
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LEAD ATTORNEY  
ATTORNEY TO BE NOTICED

**Plaintiff**

**Elvira 1950 Trust**

on behalf of themselves and all others similarly situated

**Attorneys and Firms**

**Dawn Louise Smalls**

(See above for address)  
LEAD ATTORNEY  
ATTORNEY TO BE NOTICED

**Plaintiff**

**Carlos Gauch**

on behalf of themselves and all others similarly situated

**Attorneys and Firms**

**Dawn Louise Smalls**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

**Plaintiff**

**Bonaire Limited**

on behalf of themselves and all others similarly situated

**Attorneys and Firms**

**Dawn Louise Smalls**

(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

**Defendant**

**Fairfield Greenwich Group**

**Defendant**

**Fairfield Greenwich (Bermuda) Ltd.**

**Defendant**

**Fairfield Greenwich Limited**

**Defendant**

**Fairfield Greenwich Advisors L.L.C.**

**Defendant**

**Fairfield Risk Services Ltd.**

**Defendant**

**CITCO Bank Nederland N.V. Dublin Branch**

**Defendant**

**CITCO Fund Services (Europe) B.V.**

**Defendant**

**Walter M. Noel, Jr.**

**Defendant**

**Jeffrey H. Tucker**

**Defendant**

**Andres Piedrahita**

<b>Req #</b>	<b>Filed</b>	<b>#</b>	<b>Docket Text</b>
			<a href="#"><u>MANUALLY-COLLECTED COMPLAINT</u></a>
<a href="#"><u>1</u></a>	Jan. 12, 2009	1	COMPLAINT against Fairfield Greenwich (Bermuda) Ltd., Fairfield Greenwich Limited, Fairfield Greenwich Advisors L.L.C., Fairfield Risk Services Ltd., Walter M. Noel, Jr, Jeffrey H. Tucker, Andres Piedrahita, Fairfield Greenwich Group. (Filing Fee \$ 350.00, Receipt Number 674712)Document filed by Inter-American Trust, Elvira 1950 Trust, Carlos Gauch, Bonaire Limited.(laq) (Entered: 01/14/2009)
<a href="#"><u>2</u></a>	Jan. 12, 2009		SUMMONS ISSUED as to Fairfield Greenwich (Bermuda) Ltd., Fairfield Greenwich Limited, Fairfield Greenwich Advisors L.L.C., Fairfield Risk Services Ltd., CITCO Bank Nederland N.V. Dublin Branch, CITCO Fund Services (Europe) B.V., Walter M. Noel, Jr, Jeffrey H. Tucker, Andres Piedrahita, Fairfield Greenwich Group. (laq) (Entered: 01/14/2009)
<a href="#"><u>3</u></a>	Jan. 12, 2009	2	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Elvira 1950 Trust.(laq) (laq). (laq). (Entered: 01/14/2009)
<a href="#"><u>4</u></a>	Jan. 12, 2009	3	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Bonaire Limited.(laq) (Entered: 01/14/2009)
<a href="#"><u>5</u></a>	Jan. 12, 2009	4	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Inter-American Trust.(laq) (Entered: 01/14/2009)
<a href="#"><u>6</u></a>	Jan. 12, 2009		CASE REFERRED TO Judge Louis L. Stanton as possibly related to 1:09-cv-0134. (laq) (Entered: 01/14/2009)
<a href="#"><u>7</u></a>	Jan. 12, 2009		Case Designated ECF. (laq) (Entered: 01/14/2009)
<a href="#"><u>8</u></a>	Jan. 22, 2009		CASE ACCEPTED AS RELATED. Create association to 1:09-cv-00134-VM. Notice of Assignment to follow. (mbe) (Entered: 01/23/2009)
<a href="#"><u>9</u></a>	Jan. 22, 2009	5	NOTICE OF CASE ASSIGNMENT to Judge Victor Marrero. (mbe) (Entered: 01/23/2009)
<a href="#"><u>10</u></a>	Jan. 22, 2009		Magistrate Judge Theodore H. Katz is so designated. (mbe) (Entered: 01/23/2009)
<a href="#"><u>11</u></a>	Jan. 23, 2009	6	ORDER that the Clerk of Court is directed to consolidate the two cases, 09cv118 and 09cv301, captioned above for all purposes. All filings in connection with the consolidated action be docketed against the remaining lower number case, 09cv118. The Clerk of Court shall close the referenced higher numbered case, 09cv301, as a separate action and remove it from the Court's database of open cases. (Signed by Judge Victor Marrero on 1/23/09) (dle) (Entered: 01/23/2009)
<a href="#"><u>12</u></a>	Jan. 23, 2009		CONSOLIDATED MEMBER CASE: Create association to 1:09-cv-00118-VM.. (dle) (Entered: 01/23/2009)
<a href="#"><u>13</u></a>	Jan. 29, 2009	7	NOTICE OF APPEARANCE by James Abram Harrod, III on behalf of Pacific West Health Medical Center Inc. Employees Retirement Trust(On behalf of Itself) Filed In Associated Cases: 1:09-cv-00118-VM, 1:09-cv-00134-VM, 1:09-cv-00301-VM(Harrod, James) (Entered: 01/29/2009)
<a href="#"><u>14</u></a>	Jan. 29, 2009	8	NOTICE OF APPEARANCE by Chet Barry Waldman on behalf of Pacific West Health Medical Center Inc. Employees Retirement Trust(on Behalf of All Others Similarly Situated) Filed In Associated Cases: 1:09-cv-00118-VM, 1:09-cv-00134-VM, 1:09-cv-00301-VM(Waldman, Chet) (Entered: 01/29/2009)
<a href="#"><u>15</u></a>	Jan. 29, 2009	9	NOTICE OF APPEARANCE by Robert Craig Finkel on behalf of Pacific West Health Medical Center Inc. Employees Retirement Trust(on Behalf of All Others Similarly Situated) Filed In Associated Cases: 1:09-cv-00118-

Req #	Filed	#	Docket Text
			VM, 1:09-cv-00134-VM, 1:09-cv-00301-VM(Finkel, Robert) (Entered: 01/29/2009)
<u>16</u>	Jan. 30, 2009	10	<p>CONSOLIDATION ORDER AND ORDER FOR APPOINTMENT: The Anwar Consolidated Action and those of a like nature that may be consolidated with them in the future shall be consolidated and collectively referred to as "Anwar et al. v. Fairfield Greenwich Group et al., Litigation, Docket No. 09 CV 00118; Master File No. 09 CV 0118 (VM) (the "Consolidated Action"). A Master Docket and Master File are hereby established for the proceedings both in the actions already consolidated herein and in all other cases subsequently consolidated herewith. The Master File shall be Civil Action No. 09 CV 0118 (VM). Every pleading filed in these consolidated actions shall bear the following caption: Anwar et al. v. Fairfield Greenwich Limited, et. al., as set forth herein. Pursuant to Fed. R. Civ. P. 23 (g)(3), the Court designates Lovell Stewart Halebian LLP, Wolf Popper LLP and Boies, Schiller &amp; Flexner LLP as Interim Co-Lead Counsel to act on behalf of all Plaintiffs in the consolidated cases, with the responsibilities hereinafter described. The Court appoints Lovell Stewart Halebian LLP to be responsible for ensuring that orders of the Court are served on all counsel; communicating with the Court on behalf of all counsel in each case as to scheduling matters; and for maintaining a master service list of all parties and their respective counsel. (Signed by Judge Victor Marrero on 1/30/2009) Filed In Associated Cases: 1:09-cv-00118-VM, 1:09-cv-00134-VM, 1:09-cv-00301-VM(jpo) (Entered: 01/30/2009)</p>