

**U.S. District Court  
Southern District of New York (Foley Square)  
CIVIL DOCKET FOR CASE #: 1:08-cv-01958-JGK**

Plumbers' Union Local No. 12 Pension Fund v. Swiss  
Reinsurance Company et al  
Assigned to: Judge John G. Koeltl  
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 02/27/2008  
Jury Demand: Plaintiff  
Nature of Suit: 850  
Securities/Commodities  
Jurisdiction: Federal Question

**Lead Plaintiff**

**Plumbers' Union Local No. 12 Pension  
Fund**

*Individually and on behalf of all others  
similarly situated*

represented by **David Avi Rosenfeld**  
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**Defendant**

**Swiss Reinsurance Company**

represented by **Daniel Jonathan Kramer**  
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**Defendant**

**Jacques Aigrain**

represented by **Daniel Jonathan Kramer**  
(See above for address)  
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**James L. Brochin**

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*ATTORNEY TO BE NOTICED*

**Defendant**

**George Quinn**

represented by **Daniel Jonathan Kramer**  
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**James L. Brochin**

(See above for address)  
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**Defendant**

**Christian Mumenthaler**

represented by **Daniel Jonathan Kramer**  
(See above for address)  
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**James L. Brochin**

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*ATTORNEY TO BE NOTICED*

**Defendant**

**Raj Singh**

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**James L. Brochin**  
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**Defendant**

**Andreas Beerli**

represented by **Daniel Jonathan Kramer**  
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**James L. Brochin**  
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*ATTORNEY TO BE NOTICED*

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
02/27/2008	<a href="#">1</a>	COMPLAINT against Swiss Reinsurance Company, Jacques Aigrain, George Quinn, Christian Mumenthaler, Raj Singh, Andreas Beerli. (Filing Fee \$ 350.00, Receipt Number 643189)Document filed by Plumbers' Union Local No. 12 Pension Fund.(mbe) (mbe). (Entered: 03/03/2008)
02/27/2008		SUMMONS ISSUED as to Swiss Reinsurance Company, Jacques Aigrain, George Quinn, Christian Mumenthaler, Raj Singh, Andreas Beerli. (mbe) (Entered: 03/03/2008)
02/27/2008		Magistrate Judge Kevin N. Fox is so designated. (mbe) (Entered: 03/03/2008)
02/27/2008		Case Designated ECF. (mbe) (Entered: 03/03/2008)
02/27/2008	2	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Plumbers' Union Local No. 12 Pension Fund.(mbe) (Entered: 03/03/2008)
04/10/2008	<a href="#">3</a>	AFFIDAVIT OF SERVICE. Swiss Reinsurance Company served on 4/7/2008, answer due 4/28/2008. Service was accepted by John Power, Managing Agent. Document filed by Plumbers' Union Local No. 12 Pension Fund. (Rosenfeld, David) (Entered: 04/10/2008)
04/28/2008	<a href="#">4</a>	MOTION to Appoint Plumbers' Union Local No. 12 Pension Fund to serve as lead plaintiff(s). Document filed by Plumbers' Union Local No. 12 Pension Fund. (Attachments: # <a href="#">1</a> Exhibit A - Proposed Order)(Alba, Mario) (Entered: 04/28/2008)
04/28/2008	<a href="#">5</a>	MEMORANDUM OF LAW in Support re: <a href="#">4</a> MOTION to Appoint Plumbers' Union Local No. 12 Pension Fund to serve as lead plaintiff(s).. Document filed by Plumbers'

		Union Local No. 12 Pension Fund. (Alba, Mario) (Entered: 04/28/2008)
04/28/2008	<a href="#">6</a>	DECLARATION of Mario Alba, Jr. in Support re: <a href="#">4</a> MOTION to Appoint Plumbers' Union Local No. 12 Pension Fund to serve as lead plaintiff(s).. Document filed by Plumbers' Union Local No. 12 Pension Fund. (Attachments: # <a href="#">1</a> Exhibit A - first notice, # <a href="#">2</a> Exhibit B - loss chart, # <a href="#">3</a> Exhibit C - certification, # <a href="#">4</a> Exhibit D - firm resume)(Alba, Mario) (Entered: 04/28/2008)
04/29/2008	<a href="#">7</a>	STIPULATION AND ORDER: Defendants shall move, answer or otherwise respond to the Consolidated Amended Complaint within sixty (60) days of service upon Defendants' counsel of the Consolidated Amended Complaint. Plaintiffs shall have sixty (60) days to respond to or oppose Defendants' motion to dismiss, if any; and Defendants shall have forty-five (45) days to serve a reply brief. (Signed by Judge John G. Koeltl on 4/28/08) (tro) (Entered: 04/29/2008)
05/05/2008	<a href="#">8</a>	NOTICE OF APPEARANCE by Daniel Jonathan Kramer on behalf of Swiss Reinsurance Company, Jacques Aigrain, George Quinn, Christian Mumenthaler, Raj Singh, Andreas Beerli (Kramer, Daniel) (Entered: 05/05/2008)
05/05/2008	<a href="#">9</a>	NOTICE OF APPEARANCE by James L. Brochin on behalf of Swiss Reinsurance Company, Jacques Aigrain, George Quinn, Christian Mumenthaler, Raj Singh, Andreas Beerli (Brochin, James) (Entered: 05/05/2008)
05/05/2008	<a href="#">10</a>	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Swiss Reinsurance Company.(Kramer, Daniel) (Entered: 05/05/2008)
07/29/2008	<a href="#">11</a>	ORDER: 1. Plumbers' Pension Fund is hereby appointed Lead Plaintiff for the Class pursuant to Section 21D of the Securities Exchange Act of 1934; and 2. The law firm of Coughlin Stoia Geller Rudman & Robbins LLP. is hereby appointed Lead Counsel. So Ordered. (Signed by Judge John G. Koeltl on 7/28/08) (js) (Entered: 07/29/2008)
08/26/2008	<a href="#">12</a>	STIPULATION AND ORDER, Lead Plaintiff shall file its amended complaint by no later than September 10, 2008. The time for Defendants to move, answer or otherwise respond to the amended complaint, and any responses thereto, shall remain as set forth in the Stipulation and Proposed Order that was "So Ordered on April, 28, 2008.( Amended Pleadings due by 9/10/2008.) (Signed by Judge John G. Koeltl on 8/25/08) (mme) (Entered: 08/26/2008)
09/05/2008	<a href="#">13</a>	ORDER, Status Conference set for 9/17/2008 at 04:30 PM before Judge John G. Koeltl. (Signed by Judge John G. Koeltl on 9/4/08) (mme) (Entered: 09/05/2008)
09/10/2008	<a href="#">14</a>	ENDORSED LETTER addressed to Judge John G. Koeltl from David A. Rosenfeld dated 9/9/2008 re: In light of the foregoing schedule, Lead Plaintiff, together with Defendants, respectfully requests that the court adjourn the Conference scheduled for September 17,2008 until after the briefing on Defendants' motion to dismiss has been completed. ENDORSEMENT: APPLICATION GRANTED. SO ORDERED. (Signed by Judge John G. Koeltl on 9/9/2008) (jpo) (Entered: 09/10/2008)
09/10/2008	<a href="#">15</a>	AMENDED COMPLAINT amending <a href="#">1</a> Complaint, against Swiss Reinsurance Company, Jacques Aigrain, George Quinn, Christian Mumenthaler, Raj Singh, Andreas Beerli.Document filed by Plumbers' Union Local No. 12 Pension Fund. Related document: <a href="#">1</a> Complaint, filed by Plumbers' Union Local No. 12 Pension Fund.(dle)

		(Entered: 09/12/2008)
11/10/2008	<a href="#">16</a>	MOTION to Dismiss. Document filed by Swiss Reinsurance Company, Jacques Aigrain, George Quinn.(Kramer, Daniel) (Entered: 11/10/2008)
11/10/2008	<a href="#">17</a>	MEMORANDUM OF LAW in Support re: <a href="#">16</a> MOTION to Dismiss.. Document filed by Swiss Reinsurance Company, Jacques Aigrain, George Quinn. (Kramer, Daniel) (Entered: 11/10/2008)
11/10/2008	<a href="#">18</a>	DECLARATION of Douglas M. Burns in Support re: <a href="#">16</a> MOTION to Dismiss.. Document filed by Swiss Reinsurance Company, Jacques Aigrain, George Quinn. (Attachments: # <a href="#">1</a> Exhibit A, # <a href="#">2</a> Exhibit B PT1, # <a href="#">3</a> Exhibit B PT2)(Burns, Douglas) (Entered: 11/10/2008)
11/10/2008	<a href="#">19</a>	NOTICE of Attached Exhibit C part 1 - part 6 re: <a href="#">18</a> Declaration in Support of Motion. Document filed by Swiss Reinsurance Company, Jacques Aigrain, George Quinn. (Attachments: # <a href="#">1</a> Exhibit C PT1, # <a href="#">2</a> Exhibit C PT2, # <a href="#">3</a> Exhibit C PT3, # <a href="#">4</a> Exhibit C PT4, # <a href="#">5</a> Exhibit C PT5, # <a href="#">6</a> Exhibit C PT6)(Burns, Douglas) (Entered: 11/10/2008)
11/10/2008	<a href="#">20</a>	NOTICE of Attached Exhibit C part 7 - part 8 re: <a href="#">18</a> Declaration in Support of Motion. Document filed by Swiss Reinsurance Company, Jacques Aigrain, George Quinn. (Attachments: # <a href="#">1</a> Exhibit C PT7, # <a href="#">2</a> Exhibit C PT8)(Burns, Douglas) (Entered: 11/10/2008)
01/09/2009	<a href="#">21</a>	MEMORANDUM OF LAW in Opposition re: <a href="#">16</a> MOTION to Dismiss.. Document filed by Plumbers' Union Local No. 12 Pension Fund. (Rudman, Samuel) (Entered: 01/09/2009)
02/23/2009	<a href="#">22</a>	REPLY MEMORANDUM OF LAW in Support re: <a href="#">16</a> MOTION to Dismiss.. Document filed by Swiss Reinsurance Company, Jacques Aigrain, George Quinn, Christian Mumenthaler, Raj Singh, Andreas Beerli. (Kramer, Daniel) (Entered: 02/23/2009)
02/23/2009	<a href="#">23</a>	DECLARATION of Douglas M. Burns in Support re: <a href="#">16</a> MOTION to Dismiss.. Document filed by Swiss Reinsurance Company, Jacques Aigrain, George Quinn, Christian Mumenthaler, Raj Singh, Andreas Beerli. (Burns, Douglas) (Entered: 02/23/2009)
06/09/2009	<a href="#">24</a>	NOTICE of ORAL ARGUMENT: Oral Argument, on pending motion(s) set for 7/9/2009 at 02:30 PM in Courtroom 12B, 500 Pearl Street, New York, NY 10007 before Judge John G. Koeltl. (cd) (Entered: 06/10/2009)
06/18/2009	<a href="#">25</a>	ENDORSED LETTER addressed to Judge John G. Koeltl from Samuel H. Rudman dated 6/17/2009 re: Counsel writes with the consent of the defendants to respectfully request that the Oral Argument on defendants pending motion to dismiss be adjourned until July 24, 2009 at 10:00 a.m. ENDORSEMENT: Application granted. So Ordered. (Signed by Judge John G. Koeltl on 6/17/2009) (jfe) (Entered: 06/18/2009)
07/31/2009	<a href="#">26</a>	ORDER denying <a href="#">16</a> Motion to Dismiss. The plaintiff's application to file an Amended Complaint is granted. The defendants' motion to dismiss is denied without prejudice as moot. The Clerk is directed to close Docket No. 16. (Signed by Judge John G. Koeltl on 7/30/09) (tro) (Entered: 07/31/2009)
07/31/2009	<a href="#">27</a>	ENDORSED LETTER addressed to Judge John G. Koeltl from David A. Rosenfeld

	<p>dated 7/30/09 re: Counsel for plaintiff write to advise the Court that Plaintiff intends to file its Second Amended Complaint by no later than 8/14/09. Defendants shall then have until 9/4/09 to file a motion to dismiss the SAC; Plaintiff shall have until 9/25/09 to file its opposition; and Defendants shall have until 10/9/09 to file their reply. ENDORSEMENT: APPLICATION GRANTED. (Signed by Judge John G. Koeltl on 7/30/09) (tro) (Entered: 07/31/2009)</p>
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