

**U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:07-md-01902-GEL**

In Re: Refco Securities Litigation

Date Filed: Dec. 28, 2007
Status: Open
Nature of suit: 850 Securities/Commodities
Assigned to: Judge Gerard E. Lynch
Cause: 15:78m(a) Securities Exchange Act
Jurisdiction: Federal Question
Jury demand: Both
Member cases: 1:06-cv-00643-GEL
1:07-cv-06767-GEL
1:05-cv-09608-GEL
1:05-cv-09327-GEL
1:05-cv-08988-GEL
1:05-cv-08626-GEL
1:07-cv-07924-GEL
1:07-cv-07074-GEL
1:07-cv-11604-GEL
Related cases: 1:05-cv-09608-GEL
1:07-cv-06767-GEL
1:05-cv-09327-GEL
1:06-cv-00643-GEL
1:05-cv-08988-GEL
1:07-cv-11604-GEL
1:05-cv-08626-GEL
1:07-cv-08165-GEL
1:07-cv-07924-GEL
1:07-cv-07074-GEL

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Osterreichische Postsparkasse Aktiengesellschaft****Docket Proceedings**[Reverse Proceedings](#)

Req #	Filed	#	Docket Text
1	Dec. 28, 2007	1	CERTIFIED TRUE COPY OF CONDITIONAL MDL TRANSFER IN ORDER FROM THE MDL PANEL... transferring this action from the United States District Court - that pursuant to 28 U.S.C. 1407, the actions listed on the attached schedule A and pending in the District of New York and Illinois: Southern District of NY Case Nos. 05cv8626, 05cv8988, 05cv9327, 05cv9608, 06cv0643, 07cv6767, 07cv7074, 07cv7924 and 07cv8165, and for the Northern District of Illinois Case No. 07cv5306, and the same hereby are, transferred to the Southern District of New York, with the consent of that court, assigned to the Honorable Judge Gerard E. Lynch, for coordinated or consolidated pretrial proceedings with the actions pending in that district and listed on Schedule A. (Signed by MDL Panel on 12/28/07) (rjm) (Entered: 01/07/2008)
2	Dec. 28, 2007		Magistrate Judge Debra Freeman is so designated. (rjm) (Entered: 01/07/2008)
3	Dec. 28, 2007		CASES ORIGINATING FROM THE SOUTHERN DISTRICT OF NEW YORK: 1:05-cv-8626 (GEL), 1:05-cv-8988 (GEL), 1:05-cv-9327 (GEL), 1:05-cv-9608 (GEL), 1:06-cv-0643 (GEL), 1:07-cv-6767 (GEL), 1:07-cv-7074 (GEL), 1:07-cv-7924 (GEL), 1:07-cv-8165 (GEL). (rjm) (Entered: 01/07/2008)
4	Jan. 15, 2008	2	NOTICE OF APPEARANCE by James J. Capra, Jr on behalf of PricewaterhouseCoopers LLP (Attachments: # 1 Certificate of Service of Notice of Appearance)(Capra, James) (Entered: 01/15/2008)
5	Jan. 15, 2008	3	NOTICE OF APPEARANCE by Alison Frances Swap on behalf of PricewaterhouseCoopers LLP (Attachments: # 1 Certificate of Service of Notice of Appearance)(Swap, Alison) (Entered: 01/15/2008)
6	Jan. 15, 2008	4	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by PricewaterhouseCoopers LLP. (Attachments: # 1 Certificate of Service of Corporate Disclosure Statement)(Capra, James) (Entered: 01/15/2008)
7	Jan. 22, 2008	5	NOTICE OF APPEARANCE by John V.H. Pierce on behalf of Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Pierce, John) (Entered: 01/22/2008)
8	Jan. 22, 2008	6	NOTICE OF APPEARANCE by Philip David Anker on behalf of Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Anker, Philip) (Entered: 01/22/2008)
9	Jan. 22, 2008	7	NOTICE OF APPEARANCE by Robert Bruce McCaw on behalf of Banc

Req #	Filed	#	Docket Text
			of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(McCaw, Robert) (Entered: 01/22/2008)
10	Jan. 22, 2008	8	NOTICE OF APPEARANCE by Lori Ann Martin on behalf of Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Martin, Lori) (Entered: 01/22/2008)
11	Jan. 22, 2008	9	NOTICE OF APPEARANCE by Dawn M. Wilson on behalf of Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Wilson, Dawn) (Entered: 01/22/2008)
12	Jan. 22, 2008	10	NOTICE OF APPEARANCE by Ross Eric Firsenbaum on behalf of Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Firsenbaum, Ross) (Entered: 01/22/2008)
13	Jan. 22, 2008	11	NOTICE OF APPEARANCE by Michael Laurence Feinberg on behalf of Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Feinberg, Michael) (Entered: 01/22/2008)
14	Jan. 22, 2008	12	SUPPLEMENTAL MEMORANDUM OF LAW in Opposition to Plaintiff's Motion to Remand to the Circuit Court of Cook County, Illinois. Document filed by Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc.. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Firsenbaum, Ross) (Entered: 01/22/2008)
15	Jan. 22, 2008	13	DECLARATION of Ross E. Firsenbaum in Opposition re: (13 in 1:07-cv-11604-GEL) Memorandum of Law in Opposition,. Document filed by Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc.. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C (Part 1 of 4), # 4 Exhibit C (Part 2 of 4), # 5 Exhibit C (Part 3 of 4), # 6 Exhibit C (Part 4 of 4))Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Firsenbaum, Ross) (Entered: 01/22/2008)
16	Jan. 22, 2008	14	CERTIFICATE OF SERVICE. Document filed by Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc.. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(Firsenbaum, Ross) (Entered: 01/22/2008)
17	Jan. 23, 2008	15	NOTICE of Withdrawal of Attorney. Document filed by Illinois Teachers' Group. Filed In Associated Cases: 1:07-md-01902-GEL et al.(Abadou, Ramzi) (Entered: 01/23/2008)
18	Jan. 28, 2008	16	NOTICE OF APPEARANCE by James P. Cusick on behalf of PricewaterhouseCoopers LLP (Attachments: # 1 Certificate of Service)(Cusick, James) (Entered: 01/28/2008)
19	Jan. 28, 2008	17	NOTICE OF APPEARANCE by Miles Norman Ruthberg on behalf of Ernst & Young LLP (Ruthberg, Miles) (Entered: 01/28/2008)
20	Jan. 28, 2008	18	NOTICE OF APPEARANCE by Christopher R. Harris on behalf of Ernst & Young LLP (Harris, Christopher) (Entered: 01/28/2008)
21	Jan. 28, 2008	19	NOTICE OF APPEARANCE by Elizabeth Heering Hickey on behalf of Ernst & Young LLP (Hickey, Elizabeth) (Entered: 01/28/2008)

Req #	Filed	#	Docket Text
22	Jan. 28, 2008	20	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Ernst & Young LLP.(Harris, Christopher) (Entered: 01/28/2008)
23	Jan. 28, 2008	21	CERTIFICATE OF SERVICE. Document filed by Ernst & Young LLP. (Harris, Christopher) (Entered: 01/28/2008)
24	Jan. 31, 2008	22	ORDER ADMITTING ATTORNEY PRO HAC VICE. Attorney Kenneth Yeatts Turnbull for PricewaterhouseCoopers LLP admitted Pro Hac Vice. (Signed by Judge Gerard E. Lynch on 1/30/08) Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(rjm) (Entered: 01/31/2008)
25	Feb. 01, 2008	23	ANSWER to Complaint. Document filed by Merrill Lynch, Pierce, Fenner & Smith Incorporated, Sandler O'Neill & Partners, L.P., William Blair & Company, L.L.C., BMO Capital Markets Corp., Samuel A. Ramirez & Co. Inc., Muriel Siebert & Co. Inc., The Williams Capital Group, L.P., Utendahl Capital Partners, L.P., CMG Institutional Trading LLC, Goldman, Sachs & Co., HSBC Securities (USA) Inc., J.P. Morgan Securities, Inc.. (Attachments: # 1 Certificate of Service)(McCaw, Robert) (Entered: 02/01/2008)
26	Feb. 01, 2008	24	FILING ERROR - WRONG PDF ASSOCIATED WITH DOCKET ENTRY - (SEE DOCUMENT # 25) - MOTION to Dismiss Count Three of the Second Amended Consolidated Class Action Complaint. Document filed by Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc.. (Attachments: # 1 Certificate of Service)Filed In Associated Cases: 1:07-md-01902-GEL, 1:05-cv-08626-GEL(McCaw, Robert) Modified on 2/14/2008 (gf). (Entered: 02/01/2008)
27	Feb. 01, 2008	25	MOTION to Dismiss Count Three of the Second Amended Consolidated Class Action Complaint. Document filed by Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc.. (Attachments: # 1 Certificate of Service)Filed In Associated Cases: 1:07-md-01902-GEL, 1:05-cv-08626-GEL(McCaw, Robert) (Entered: 02/01/2008)
28	Feb. 01, 2008	26	MEMORANDUM OF LAW in Support re: (474 in 1:05-cv-08626-GEL) MOTION to Dismiss. the Second Amended Consolidated Class Action Complaint. Document filed by Banc of America Securities LLC, Deutsche Bank Securities, Inc., Credit Suisse Securities (USA) LLC. Filed In Associated Cases: 1:07-md-01902-GEL, 1:05-cv-08626-GEL(McCaw, Robert) (Entered: 02/01/2008)
29	Feb. 01, 2008	27	DECLARATION of Dawn M. Wilson in Support re: (474 in 1:05-cv-08626-GEL) MOTION to Dismiss.. Document filed by Banc of America Securities LLC, Credit Suisse Securities (USA) LLC, Deutsche Bank Securities, Inc.. (Attachments: # 1 Exhibit A (Part 1 of 8), # 2 Exhibit A (Part 2 of 8), # 3 Exhibit A (Part 3 of 8), # 4 Exhibit A (Part 4 of 8), # 5 Exhibit A (Part 5 of 8), # 6 Exhibit A (Part 6 of 8), # 7 Exhibit A (Part 7 of 8), # 8 Exhibit A (Part 8 of 8), # 9 Exhibit B, # 10 Exhibit C)Filed In Associated Cases: 1:07-md-01902-GEL, 1:05-cv-08626-GEL(McCaw, Robert) (Entered: 02/01/2008)
30	Feb. 01, 2008	28	NOTICE OF CHANGE OF ADDRESS by Helen Byung-Son Kim on behalf of Dennis A. Klejna. New Address: Katten Muchin Rosenman LLP, 2029 Century Park East, Ste.2600, Los Angeles, CA, USA 90064, 310-788-4400. Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-

Req #	Filed	#	Docket Text
			07924-GEL(Kim, Helen) (Entered: 02/01/2008)
<u>31</u>	Feb. 01, 2008	29	ANSWER to Complaint with JURY DEMAND. Document filed by Grant Thornton LLP. (Attachments: # 1 Certificate of Service)(Mollon, David) (Entered: 02/01/2008)
<u>32</u>	Feb. 04, 2008	30	NOTICE of Notice of Withdrawal with Certificate of Service. Document filed by Dennis A. Klejna. Filed In Associated Cases: 1:07-md-01902-GEL et al.(Wang, Ona) (Entered: 02/04/2008)
<u>33</u>	Feb. 06, 2008	31	NOTICE OF APPEARANCE by Bruce Mathew Sabados on behalf of Dennis A. Klejna Filed In Associated Cases: 1:07-md-01902-GEL, 1:05-cv-08626-GEL, 1:07-cv-07924-GEL(Sabados, Bruce) (Entered: 02/06/2008)
<u>34</u>	Feb. 06, 2008	32	NOTICE OF APPEARANCE by Daniel Aaron Edelson on behalf of Dennis A. Klejna Filed In Associated Cases: 1:07-md-01902-GEL, 1:05-cv-08626-GEL, 1:07-cv-07924-GEL(Edelson, Daniel) (Entered: 02/06/2008)
<u>35</u>	Feb. 27, 2008	33	NOTICE OF DISMISSAL WITH PREJUDICE that Plaintiff Marc S. Kirschner, as trustee for the Refco Litigation Trust, by and through his undersigned counsel, hereby dismisses this action with prejudice pursuant to Rule 41(a)(1)(A)(i) of the F.R.C.P. against defendants Coast Asset Management, LLC, CS Land Mgt., LLC, and Christopher Petitt, with each party to bear its own fees and costs. (Signed by Judge Gerard E. Lynch on 2/27/08) Filed In Associated Cases: 1:07-md-01902-GEL, 1:07-cv-11604-GEL(rjm) (Entered: 02/27/2008)