

**U.S. District Court  
Southern District of New York (Foley Square)  
CIVIL DOCKET FOR CASE #: 1:07-cv-08686-GEL**

**VR Global Partners, L.P. et al v. Bennett et al**

**Date Filed:** Oct. 09, 2007  
**Status:** Open  
**Nature of suit:** 850 Securities/Commodities  
**Assigned to:** Judge Gerard E. Lynch  
**Cause:** 15:78m(a) Securities Exchange Act  
**Jurisdiction:** Federal Question  
**Jury demand:** Plaintiff  
**Lead case:** 1:06-cv-00643-GEL  
**Member cases:** 1:06-cv-03347-GEL  
1:07-cv-08686-GEL  
1:07-cv-08688-GEL  
**Related cases:** 1:06-cv-00643-GEL  
1:07-cv-03763-RWS

**Parties and Attorneys**

**Plaintiff**

**VR Global Partners, L.P.**

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**Defendant**

**Philip R. Bennett**

**Defendant**

**William M. Sexton**

**Defendant**

**Santo C. Maggio**

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**Philip Silverman**

**Defendant**

**Robert C. Trosten**

**Defendant**

**Richard N. Outridge**

**Defendant**

**Tone Grant**

**Defendant**

**Refco Group Holdings, Inc.**

**Defendant**

**Thomas H. Lee Partners, L.P.**

**Defendant**

**Thomas H. Lee Advisors, LLC**

**Defendant**

**THL Managers V, L.L.C.**

**Defendant**

**THL Equity Advisors V, LLP**

**Defendant**

**Thomas H. Lee Equity Fund V, L.P.**

**Defendant**

**Thomas H. Lee Parallel Fund V, L.P.**

**Defendant**

**Thomas H. Lee Equity (Cayman) Fund V, L.P.**

**Defendant**

**Thomas H. Lee Investors Limited Partnership**

**Defendant**

**1997 Thomas H. Lee Nominee Trust**

**Defendant**

**Thomas H. Lee**

**Defendant**

**David V. Harkins**

**Defendant**

**Scott L. Jaeckel**

**Defendant**

**Scott A. Schoen**

**Defendant**

**Grant Thornton LLP**

**Docket Proceedings**[Reverse Proceedings](#)

<b>Req #</b>	<b>Filed</b>	<b>#</b>	<b>Docket Text</b>
<a href="#">1</a>	Oct. 09, 2007	1	COMPLAINT against Philip R. Bennett, William M. Sexton, Santo C. Maggio, Joseph J. Murphy, Philip Silverman, Robert C. Trosten, Richard N. Outridge, Tone Grant, Refco Group Holdings, Inc., Thomas H. Lee Partners, L.P., Thomas H. Lee Advisors, LLC, THL Managers V, L.L.C., THL Equity Advisors V, LLP, Thomas H. Lee Equity Fund V, L.P., Thomas H. Lee Parallel Fund V, L.P., Thomas H. Lee Equity (Cayman) Fund V, L.P., Thomas H. Lee Investors Limited Partnership, 1997 Thomas H. Lee Nominee Trust, Thomas H. Lee, David V. Harkins, Scott L. Jaeckel,

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<a href="#"><u>2</u></a>	Oct. 09, 2007		<p>Scott A. Schoen, Grant Thornton LLP. (Filing Fee \$ 350.00, Receipt Number 628931)Document filed by VR Capital Group Ltd., VR Argentina Recovery Fund Ltd., VR Global Partners, L.P., Paton Holdings Ltd.. (Attachments: # 1 # 2 # 3)(mbe) (Entered: 10/10/2007)</p> <p>SUMMONS ISSUED as to Philip R. Bennett, William M. Sexton, Santo C. Maggio, Joseph J. Murphy, Philip Silverman, Robert C. Trosten, Richard N. Outridge, Tone Grant, Refco Group Holdings, Inc., Thomas H. Lee Partners, L.P., Thomas H. Lee Advisors, LLC, THL Managers V, L.L.C., THL Equity Advisors V, LLP, Thomas H. Lee Equity Fund V, L.P., Thomas H. Lee Parallel Fund V, L.P., Thomas H. Lee Equity (Cayman) Fund V, L.P., Thomas H. Lee Investors Limited Partnership, 1997 Thomas H. Lee Nominee Trust, Thomas H. Lee, David V. Harkins, Scott L. Jaeckel, Scott A. Schoen, Grant Thornton LLP. (mbe) (Entered: 10/10/2007)</p>
<a href="#"><u>3</u></a>	Oct. 09, 2007		CASE REFERRED TO Judge Gerard E. Lynch as possibly related to 06-cv-643. (mbe) (Entered: 10/10/2007)
<a href="#"><u>4</u></a>	Oct. 09, 2007		Case Designated ECF. (mbe) (Entered: 10/10/2007)
<a href="#"><u>5</u></a>	Oct. 09, 2007	2	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parents. Document filed by VR Capital Group Ltd., VR Argentina Recovery Fund Ltd., VR Global Partners, L.P., Paton Holdings Ltd..(mbe) (Entered: 10/10/2007)
<a href="#"><u>6</u></a>	Oct. 23, 2007		CASE ACCEPTED AS RELATED. Create association to 1:06-cv-00643-GEL. Notice of Assignment to follow. (laq) (Entered: 10/25/2007)
<a href="#"><u>7</u></a>	Oct. 23, 2007	4	NOTICE OF CASE ASSIGNMENT to Judge Gerard E. Lynch. Judge Unassigned is no longer assigned to the case. (laq) (Entered: 10/25/2007)
<a href="#"><u>8</u></a>	Oct. 23, 2007		Magistrate Judge Ronald L. Ellis is so designated. (laq) (Entered: 10/25/2007)
<a href="#"><u>9</u></a>	Oct. 24, 2007	3	ORDER: That counsel in all parties shall appear for a Status Conference set for 11/30/2007 at 03:30 PM in Courtroom 6B, 500 Pearl Street, New York, NY 10007 before Judge Gerard E. Lynch. (Signed by Judge Gerard E. Lynch on 10/24/07) (db) (Entered: 10/24/2007)
<a href="#"><u>10</u></a>	Oct. 25, 2007		Mailed notice to the attorney(s) of record. (laq) (Entered: 10/25/2007)
<a href="#"><u>11</u></a>	Oct. 31, 2007	5	ORDER: the time for plaintiffs in the individual actions to file opposition papers to the motion to consolidate filed by the lead plaintiff in the RCM class action is extended up to and including 11/14/2007. The status conference scheduled for 10/31/2007 at 3:00 PM is adjourned to 11/20/2007 at 3:00 PM. Lead plaintiff in the RCM class action shall file and serve a second amended class action complaint by 12/21/2007. In both the RCM class action and the individual actions: Motions due by 2/21/2008. Responses due by 4/21/2008. Replies due by 5/21/2008. (Signed by Judge Gerard E. Lynch on 10/30/07) (kco) (Entered: 10/31/2007)
<a href="#"><u>12</u></a>	Nov. 02, 2007	6	ENDORSED LETTER addressed to Judge Gerard E. Lynch from Kerry L. Quinn dated 11/1/07 re: The THL defendants request an extension of time until 11/14/07 to file their opposition to the Motion to Consolidate. ENDORSEMENT: SO ORDERED., Set Deadlines as to 97 MOTION to Consolidate Cases 06-cv-643- 07-cv-8686 and 07-cv-8688. MOTION to Consolidate Cases: Responses due by 11/14/2007. (Signed by Judge Gerard E. Lynch on 11/1/07) (db) (Entered: 11/02/2007)
<a href="#"><u>13</u></a>	Nov. 05, 2007	7	ENDORSED LETTER addressed to Judge Gerard E. Lynch from David E. Mollon dated 11/2/07 re: Counsel for defendant Grant Thornton, LLP

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			requests an extension of time from November 1, 2007 up to and including November 14, 2007 to file any opposition papers to the Consolidation Motion. ENDORSEMENT: SO ORDERED. (Signed by Judge Gerard E. Lynch on 11/2/07) (tro) (Entered: 11/06/2007)
<a href="#">14</a>	Nov. 15, 2007	8	ANSWER to Complaint. Document filed by Santo C. Maggio.(Soto, Richard) (Entered: 11/15/2007)
<a href="#">15</a>	Nov. 15, 2007	9	NOTICE OF APPEARANCE by Richard Soto on behalf of Santo C. Maggio (Soto, Richard) (Entered: 11/15/2007)
<a href="#">16</a>	Nov. 20, 2007		CONSOLIDATED MEMBER CASE: Create association to 1:06-cv-00643-GEL. (kco) (Entered: 11/21/2007)
<a href="#">17</a>	Nov. 20, 2007	10	CONSOLIDATION ORDER: the individual actions shall be consolidated for pretrial purposes only with the Class Action. The individual actions are not stayed. The briefing schedule for any motions to dismiss the individual actions or the class action are set forth in the Court's order dated 10/30/2007. The master file shall be civil action 06cv643 (Signed by Judge Gerard E. Lynch on 11/20/07) Filed In Associated Cases: 1:06-cv-00643-GEL, 1:07-cv-08686-GEL, 1:07-cv-08688-GEL(kco) (Entered: 11/21/2007)
<a href="#">18</a>	Nov. 27, 2007	11	ORDER: It is hereby ordered that the status conference is rescheduled for December 10, 2007, at 3:00 p.m. So Ordered. (Signed by Judge Gerard E. Lynch on 11/27/07) (js) (Entered: 11/28/2007)
<a href="#">19</a>	Nov. 29, 2007	12	AFFIDAVIT OF SERVICE of Summons and Complaint,,, Philip R. Bennett served on 10/23/2007, answer due 2/21/2008; William M. Sexton served on 10/23/2007, answer due 2/21/2008; Santo C. Maggio served on 10/25/2007, answer due 2/21/2008; Joseph J. Murphy served on 10/23/2007, answer due 2/21/2008; Philip Silverman served on 10/25/2007, answer due 2/21/2008; Tone Grant served on 10/23/2007, answer due 2/21/2008; Refco Group Holdings, Inc. served on 10/23/2007, answer due 2/21/2008; Thomas H. Lee Partners, L.P. served on 10/23/2007, answer due 2/21/2008; Thomas H. Lee Advisors, LLC served on 10/23/2007, answer due 2/21/2008; THL Managers V, L.L.C. served on 10/23/2007, answer due 2/21/2008; THL Equity Advisors V, LLP served on 10/23/2007, answer due 2/21/2008; Thomas H. Lee Equity Fund V, L.P. served on 10/23/2007, answer due 2/21/2008; Thomas H. Lee Parallel Fund V, L.P. served on 10/23/2007, answer due 2/21/2008; Thomas H. Lee Equity (Cayman) Fund V, L.P. served on 10/23/2007, answer due 2/21/2008; Thomas H. Lee Investors Limited Partnership served on 10/23/2007, answer due 2/21/2008; 1997 Thomas H. Lee Nominee Trust served on 10/23/2007, answer due 2/21/2008; Thomas H. Lee served on 10/23/2007, answer due 2/21/2008; David V. Harkins served on 10/23/2007, answer due 2/21/2008; Scott L. Jaeckel served on 10/23/2007, answer due 2/21/2008; Scott A. Schoen served on 10/23/2007, answer due 2/21/2008; Grant Thornton LLP served on 10/23/2007, answer due 2/21/2008. Document filed by VR Capital Group Ltd.; VR Argentina Recovery Fund Ltd.; VR Global Partners, L.P.; Paton Holdings Ltd.. (Edelman, Scott) (Entered: 11/29/2007)
<a href="#">20</a>	Nov. 29, 2007	13	AFFIDAVIT OF SERVICE of Summons and Complaint,,, Robert C. Trosten served on 11/9/2007, answer due 2/21/2008. Document filed by VR Capital Group Ltd.; VR Argentina Recovery Fund Ltd.; VR Global

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<a href="#"><u>21</u></a>	Nov. 29, 2007	14	Partners, L.P.; Paton Holdings Ltd.. (Edelman, Scott) (Entered: 11/29/2007) AFFIDAVIT OF SERVICE of Summons and Complaint,,,. Richard N. Outridge served on 11/5/2007, answer due 2/21/2008. Document filed by VR Capital Group Ltd.; VR Argentina Recovery Fund Ltd.; VR Global Partners, L.P.; Paton Holdings Ltd.. (Edelman, Scott) (Entered: 11/29/2007)
<a href="#"><u>22</u></a>	Nov. 29, 2007	15	SUMMONS RETURNED EXECUTED Summons and Complaint,, served. Document filed by VR Capital Group Ltd., VR Argentina Recovery Fund Ltd., VR Global Partners, L.P., Paton Holdings Ltd.. (Edelman, Scott) (Entered: 11/29/2007)
<a href="#"><u>23</u></a>	Dec. 03, 2007	16	AMENDED CONSOLIDATION ORDER: The Individual Actions shall be consolidated for pre-trial purposes only with the Class Action. The Individual Actions are not stayed. The briefing schedule for any motions to dismiss the Individual Actions or the Class Action are set forth in the Court's Order, dated October 30, 2007. Lead Counsel and counsel to the plaintiffs in Individual Actions will coordinate regarding their conduct of discovery matters in the Class Action and Individual Actions. No action taken hereunder shall have the effect of making any person, firm or corporation a party to any action in which the person or entity has not been named, served, or added as such in accordance with the Federal Rules of Civil Procedure. A Master File is established for this proceeding and any other Related Case subsequently consolidated with them either for all purposes or for pretrial purposes. The Master File and note such filings on the Master Docket. The Clerk shall file this Order in the Master File and shall mail a copy of this Order to counsel of record in this action. The actions being consolidated by this Order are hereafter referred to as the "Consolidated Actions." Entries in said Master Docket shall be applicable to the Consolidated Actions, and entries shall be made therein in accordance with the regular procedures of the Clerk of this Court, except as modified by this Order. This Order shall also apply to any action brought by a former RCM Securities Customer that is a member of the putative class, seeking relief pursuant to the federal securities laws, that is subsequently filed in or transferred to this Court and assigned to the undersigned, unless a party objecting to the consolidation of that case or to any other provision of this Order serves an application for relief from this Order or from any of its provisions within ten (10) days after the date on which defense counsel mails a copy of this Order to counsel for that party. The provisions of this Order shall apply to such action pending the Court's ruling on the application. (Signed by Judge Gerard E. Lynch on 11/30/07) Filed In Associated Cases: 1:06-cv-00643-GEL, 1:07-cv-08686-GEL, 1:07-cv-08688-GEL(tro) (tro). (Entered: 12/11/2007)
<a href="#"><u>24</u></a>	Dec. 11, 2007	17	DEPOSITION PROTOCOL ORDER re all oral depositions of fact witnesses, except as specifically provided herein, and as further set forth in this document....The first written report will be filed by 4/30/08, and a hearing scheduled soon thereafter at the Court's convenience. (Signed by Judge Gerard E. Lynch on 12/10/07) (cd) (Entered: 12/11/2007) (Entered: 12/14/2007)