

**U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:07-cv-08538-RWS**

Freudenberg v. E*Trade Financial Corporation et al

Date Filed: Oct. 02, 2007
Status: Open
Nature of suit: 850 Securities/Commodities
Assigned to: Judge Robert W. Sweet
Cause: 15:78m(a) Securities Exchange Act
Jurisdiction: Federal Question
Jury demand: Plaintiff
Member cases: 1:07-cv-08808-RWS
1:07-cv-09651-RWS
1:07-cv-10400-RWS
1:07-cv-10540-RWS
Related cases: 1:07-cv-08808-RWS
1:07-cv-10540-RWS
1:07-cv-09697-RWS
1:07-cv-08612-RWS
1:07-cv-08619-RWS
1:07-cv-09651-RWS
1:07-cv-10400-RWS

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Kollektivavtalsstiftelsen TSL
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AFA Sjukfrskringsaktiebolag
other
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Docket Proceedings[Reverse Proceedings](#)

Req #	Filed	#	Docket Text
1	Oct. 02, 2007	1	COMPLAINT against E*Trade Financial Corporation, Mitchell H. Caplan, Robert J. Simmons. (Filing Fee \$ 350.00, Receipt Number 628550)Document filed by Larry Freudenberg.(mbe) Additional attachment(s) added on 10/9/2007 (Becerra, Maribel). (Entered: 10/03/2007)
2	Oct. 02, 2007		SUMMONS ISSUED as to E*Trade Financial Corporation, Mitchell H. Caplan, Robert J. Simmons. (mbe) (Entered: 10/03/2007)
3	Oct. 02, 2007		Magistrate Judge Michael H. Dolinger is so designated. (mbe) (Entered: 10/03/2007)
4	Oct. 02, 2007		Case Designated ECF. (mbe) (Entered: 10/03/2007)
5	Oct. 30, 2007	2	AFFIDAVIT OF SERVICE. E*Trade Financial Corporation served on 10/23/2007, answer due 11/12/2007. Service was accepted by Nikki Chapple, Clerk. Document filed by Larry Freudenberg. (Rosenfeld, David) (Entered: 10/30/2007)
6	Nov. 14, 2007	3	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by E*Trade Financial Corporation.(Ludmerer, Nancy) (Entered: 11/14/2007)
7	Nov. 16, 2007	4	STIPULATION AND ORDER: It is hereby stipulated and agreed by and among the undersigned attorneys for the parties to these actions as follows: 1. Plaintiffs shall have 60 days after entry of the Order selecting lead plaintiffs and appointing lead plaintiffs counsel to serve a Consolidated Amended Complaint. 2. Defendants shall have 60 days after service of the CAC to answer, move, or otherwise respond to CAC. 3. Plaintiffs shall have 60 days after service of defendants' response to the CAC to serve opposition papers. 4. Defendants shall have 45 days after service of plaintiffs' opposition papers to serve reply papers. 5. Davis Pollack & Wardwell will accept service of process of the Freudenberg Complaint and the Boston Complaint, respectively, on behalf of any of the three defendants in the above captioned two cases who has not yet been served. All other provisions as set forth in this order. So Ordered (Signed by Judge Robert W. Sweet on 11/16/07) (Signed by Judge Robert W. Sweet on 11/16/07) (js) (Entered: 11/16/2007)
8	Nov. 19, 2007	5	STIPULATION AND ORDER: Plaintiffs shall have 60 days after entry of the Order selecting lead plaintiffs and appointing lead plaintiffs' counsel to serve a Consolidated Amended Complaint. Defendants shall have 60 days after service of the CAC to answer, to the CAC. Plaintiffs shall have 60 days after service of defendants' response to the CAC to serve opposition

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			papers. Defendants have 45 days after service of plaintiffs' opposition papers to serve reply papers. (Signed by Judge Robert W. Sweet on 11/14/2007) (jar) (Entered: 11/20/2007)
9	Nov. 28, 2007	6	PRETRIAL ORDER: (Pretrial Conference set for 1/16/2008 at 04:30 PM in Courtroom 18C, 500 Pearl Street, New York, NY 10007 before Judge Robert W. Sweet.) (Signed by Judge Robert W. Sweet on 11/28/07) (js) (Entered: 11/28/2007)
10	Dec. 03, 2007	7	MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s). Document filed by State Teachers Retirement System of Ohio.(Spanier, Judith) (Entered: 12/03/2007)
11	Dec. 03, 2007	8	MEMORANDUM OF LAW in Support re: 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s).. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 12/03/2007)
12	Dec. 03, 2007	9	DECLARATION of Judith L. Spanier in Support re: 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s).. Document filed by State Teachers Retirement System of Ohio. (Attachments: # 1 Exhibit, # 2 Exhibit, # 3 Exhibit, # 4 Exhibit, # 5 Text of Proposed Order)(Spanier, Judith) (Entered: 12/03/2007)
13	Dec. 03, 2007	10	CERTIFICATE OF SERVICE of Motion for Appointment of Lead Plaintiff served on all counsel of record on 12/3/07. Service was made by Mail. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 12/03/2007)
14	Dec. 03, 2007	11	MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s). Document filed by The Kristen-Straxton Group. (Attachments: # 1 Certificate of Service)(Schmid, Elizabeth) (Entered: 12/03/2007)
15	Dec. 03, 2007	12	MEMORANDUM OF LAW in Support re: 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by The Kristen-Straxton Group. (Schmid, Elizabeth) (Entered: 12/03/2007)
16	Dec. 03, 2007	13	DECLARATION of Elizabeth A. Schmid in Support re: 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by The Kristen-Straxton Group. (Attachments: # 1 Exhibit A, # 2 Exhibit B-F, # 3 Exhibit G & H, # 4 Exhibit I, # 5 Text of Proposed Order)(Schmid, Elizabeth) (Entered: 12/03/2007)
17	Dec. 03, 2007	14	CERTIFICATE OF SERVICE of Motion for Lead Plaintiff and supporting documents served on all counsel of record (Amended Certificate of Service) on 12/03/07. Service was made by mail. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 12/03/2007)
18	Dec. 03, 2007	15	NOTICE OF APPEARANCE by Daniel Patrick Chiplock on behalf of Skandia Life Insurance Company Ltd. (Chiplock, Daniel) (Entered: 12/03/2007)
19	Dec. 03, 2007	16	MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 ., MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s)., MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. Document filed by Skandia Life Insurance Company Ltd..(Chiplock, Daniel) (Entered: 12/03/2007)
20	Dec. 03, 2007	17	MEMORANDUM OF LAW in Support re: 16 MOTION to Consolidate

Req #	Filed	#	Docket Text
			Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s).. Document filed by Skandia Life Insurance Company Ltd.. (Chiplock, Daniel) (Entered: 12/03/2007)
21	Dec. 03, 2007	18	DECLARATION of James M. Hughes in Support re: 16 MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s).. Document filed by Skandia Life Insurance Company Ltd.. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F-1, # 7 Exhibit F-2, # 8 Exhibit F-3, # 9 Exhibit F-4A, # 10 Exhibit F-4B, # 11 Exhibit F-5, # 12 Exhibit F-6, # 13 Exhibit G)(Chiplock, Daniel) (Entered: 12/03/2007)
22	Dec. 03, 2007	19	CERTIFICATE of Counsel by Daniel Patrick Chiplock on behalf of Skandia Life Insurance Company Ltd.. Re: 16 MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s).. of Service (Chiplock, Daniel) (Entered: 12/03/2007)
23	Dec. 03, 2007	20	MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA). Document filed by E*TRADE Institutional Investor Group. (Attachments: # 1 Certificate of Service)(Jarvis, Geoffrey) (Entered: 12/03/2007)
24	Dec. 03, 2007	21	MEMORANDUM OF LAW in Support re: 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA).. Document filed by E*TRADE Institutional Investor Group. (Attachments: # 1 Exhibit Rule 7.1 Disclosure Statement, # 2 Affidavit Declaration of Jay W. Eisenhofer in Support of the Institutional Investor Group's Motion to Consolidate Actions, To Be Appointed Lead Plaintiff and for Approval of Its Selection of Counsel, # 3 Certificate of Service)(Jarvis, Geoffrey) (Entered: 12/03/2007)
25	Dec. 03, 2007	22	MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). Document filed by Grant Robert, Grant Jessica, First Derivative Traders LP. (Attachments: # 1 Memorandum of Law in Support of First Derivative Traders LP and Robert and Jessica Grants Motion for Consolidation, Appointment as Lead Plaintiffs, and Approval of Lead Plaintiffs Selection of Lead Counsel, # 2 Declaration of Service, # 3 Declaration of Gregory M. Nespole in Support of First Derivative Traders LP and Robert and Jessica Grant's Motion for Consolidation, Appointment as Lead Plaintiffs, and Approval of Lead Plaintiffs' Selection of Lead Counsel)(Nespole, Gregory) (Entered: 12/03/2007)
26	Dec. 03, 2007	23	MOTION to Appoint Ira Newman to serve as lead plaintiff(s). Document

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			filed by Ira Newman.(Korsinsky, Eduard) (Entered: 12/03/2007)
<u>27</u>	Dec. 03, 2007	24	MEMORANDUM OF LAW in Support re: 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s).. Document filed by Ira Newman. (Korsinsky, Eduard) (Entered: 12/03/2007)
<u>28</u>	Dec. 03, 2007	25	DECLARATION of Eduard Korsinsky in Support re: 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s).. Document filed by Ira Newman. (Korsinsky, Eduard) (Entered: 12/03/2007)
<u>29</u>	Dec. 04, 2007	26	ENDORSED LETTER addressed to Judge Robert W. Sweet from Nancy B. Ludmerer dated 12/3/07 re: We write on behalf of all parties in these two cases to request that the pretrial conferences currently scheduled for Wednesday, January 16, 2008, at 4:30 p.m. be cancelled at this time. ENDORSEMENT: So Ordered. (Signed by Judge Robert W. Sweet on 12/3/07) (js) (Entered: 12/04/2007)
<u>30</u>	Dec. 07, 2007	27	ORDER: The motions to appoint lead plaintiff and counsel will be heard on 1/16/2008 at 12:00 PM in Courtroom 18C, 500 Pearl Street, New York, NY 10007 before Judge Robert W. Sweet. (Signed by Judge Robert W. Sweet on 12/6/2007) (jar) (Entered: 12/10/2007)
<u>31</u>	Dec. 20, 2007	28	MEMORANDUM OF LAW in Opposition re: 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA)., 22 MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s)., 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s)., 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s)., 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s).. Document filed by Skandia Life Insurance Company Ltd.. (Chiplock, Daniel) (Entered: 12/20/2007)
<u>32</u>	Dec. 20, 2007	29	DECLARATION of JAMES M. HUGHES in Opposition re: 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA)., 22 MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s)., 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s)., 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s)., 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s).. Document filed by Skandia Life Insurance Company Ltd.. (Chiplock, Daniel) (Entered: 12/20/2007)
<u>33</u>	Dec. 20, 2007	30	CERTIFICATE OF SERVICE of MEMORANDUM OF LAW IN FURTHER SUPPORT OF SKANDIA LIFE INSURANCE COMPANY LTD.'S MOTION FOR CONSOLIDATION, APPOINTMENT AS LEAD PLAINTIFF, AND APPROVAL OF ITS SELECTIONS OF COUNSEL, AND IN OPPOSITION TO COMPETING MOTIONS; DECLARATION OF JAMES M. HUGHES IN FURTHER SUPPORT OF SKANDIA LIFE INSURANCE COMPANY LTD.'S MOTION FOR CONSOLIDATION, APPOINTMENT AS LEAD PLAINTIFF, AND APPROVAL OF ITS SELECTION OF COUNSEL, AND IN OPPOSITION TO COMPETING

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			MOTIONS. Document filed by Skandia Life Insurance Company Ltd.. (Chiplock, Daniel) (Entered: 12/20/2007)
34	Dec. 20, 2007	31	MEMORANDUM OF LAW in Opposition re: 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA)., 22 MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s)., 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s)., 16 MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s)., 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 12/20/2007)
35	Dec. 20, 2007	32	DECLARATION of Judith L. Spanier in Opposition re: 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA)., 22 MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s)., 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s)., 16 MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s)., 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 12/20/2007)
36	Dec. 20, 2007	33	DECLARATION of Professor Frank Partnoy in Opposition re: 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA)., 22 MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s)., 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s)., 16 MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s)., 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 12/20/2007)
37	Dec. 20, 2007	34	CERTIFICATE OF SERVICE of Memorandum of Law in Opposition to

Req #	Filed	#	Docket Text
			Motions for Lead Plaintiff and all supporting documents served on all counsel of record on 12/20/07. Service was made by mail. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 12/20/2007)
38	Dec. 20, 2007	35	NOTICE of Withdrawal by First Derivative Traders LP and Robert and Jessica Grant's Motion and in Support of the Motion by the State Teachers Retirement System of Ohio for Consolidation, Appointment of Lead Plaintiff, and Approval of Lead Plaintiff's Selection of Lead Counsel re: 22 MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s).. Document filed by Grant Robert, Grant Jessica, First Derivative Traders LP. (Attachments: # 1 Declaration of Service)(Nespole, Gregory) (Entered: 12/20/2007)
39	Dec. 20, 2007	36	MOTION to Withdraw 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA).., 21 Memorandum of Law in Support of Motion,. Document filed by E*TRADE Institutional Investor Group.(Jarvis, Geoffrey) (Entered: 12/20/2007)
40	Dec. 20, 2007	37	MEMORANDUM OF LAW in Opposition re: 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by The Kristen-Straxton Group. (Schmid, Elizabeth) (Entered: 12/20/2007)
41	Dec. 20, 2007	38	DECLARATION of Elizabeth A. Schmid in Opposition re: 20 MOTION to Appoint Intitutional Investor Group (Mississippi PERS & AFA).., 23 MOTION to Appoint Ira Newman to serve as lead plaintiff(s).., 22 MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s). MOTION to Appoint First Derivative Traders LP and Robert and Jessica Grant to serve as lead plaintiff(s).., 16 MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1: 07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s).., 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s).. Document filed by The Kristen-Straxton Group. (Attachments: # 1 Exhibit A-1, # 2 Exhibit A-2, # 3 Exhibit B, # 4 Exhibit C-1, # 5 Exhibit C-2, # 6 Exhibit C-3, # 7 Exhibit C-4, # 8 Exhibit C-5, # 9 Exhibit C-6, # 10 Exhibit C-7, # 11 Exhibit C-8, # 12 Exhibit D, # 13 Exhibit E, # 14 Exhibit E-1, # 15 Exhibit E-2, # 16 Exhibit E-3, # 17 Exhibit E-4, # 18 Exhibit F, # 19 Exhibit G, # 20 Exhibit H, # 21 Exhibit I, # 22 Exhibit J, # 23 Exhibit K, # 24 Exhibit L, # 25 Exhibit M, # 26 Exhibit M-1, # 27 Exhibit M-2, # 28 Exhibit M-3)(Schmid, Elizabeth) (Entered: 12/20/2007)
42	Dec. 20, 2007	39	CERTIFICATE OF SERVICE of Memorandum of Law in Opposition to Motions for Lead Plaintiff and all supporting documents served on All Counsel of Record on 12/20/2007. Document filed by The Kristen-Straxton Group. (Schmid, Elizabeth) (Entered: 12/20/2007)
43	Jan. 02, 2008	40	STIPULATION AND ORDER: All papers in opposition to any movant's

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			motion to be appointed lead plaintiff will be filed and served on or before 12/20/07. All reply paper on the lead plaintiff motions will be filed and served on or before 1/7/08. A hearing on the lead plaintiff motions and such other matters and the Court wishes to address will be held on 1/23/08 at 12:00 noon. (Signed by Judge Robert W. Sweet on 12/19/07) (tro) (Entered: 01/03/2008)
44	Jan. 07, 2008	41	REPLY MEMORANDUM OF LAW in Support re: 16 MOTION to Consolidate Cases 1:07-CV-08808, 1:07-CV-9651, 1:07-CV-10400 1:07-CV-08538 . MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s). MOTION to Approve Skandia Life Insurance Company Ltd.'s Selection of Counsel. MOTION to Appoint Skandia Life Insurance Company Ltd. to serve as lead plaintiff(s).. Document filed by Skandia Life Insurance Company Ltd.. (Chiplock, Daniel) (Entered: 01/07/2008)
45	Jan. 07, 2008	42	CERTIFICATE OF SERVICE. Document filed by Skandia Life Insurance Company Ltd.. (Chiplock, Daniel) (Entered: 01/07/2008)
46	Jan. 07, 2008	43	REPLY MEMORANDUM OF LAW in Support re: 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s).. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 01/07/2008)
47	Jan. 07, 2008	44	DECLARATION of Second Supplemental Declaration of Judith L. Spanier in Support re: 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s).. Document filed by State Teachers Retirement System of Ohio. (Attachments: # 1 Exhibit Exhibit A to 2nd Supplemental Declaration of Judith L. Spanier, # 2 Exhibit Exhibit B to the Second Supplemental Declaration of Judith L. Spanier)(Spanier, Judith) (Entered: 01/07/2008)
48	Jan. 07, 2008	45	CERTIFICATE OF SERVICE. Document filed by State Teachers Retirement System of Ohio. (Spanier, Judith) (Entered: 01/07/2008)
49	Jan. 07, 2008	46	REPLY MEMORANDUM OF LAW in Support re: 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by The Kristen-Straxton Group. (Schmid, Elizabeth) (Entered: 01/07/2008)
50	Jan. 07, 2008	47	DECLARATION of Elizabeth A. Schmid in Support re: 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by The Kristen-Straxton Group. (Attachments: # 1 Exhibit A, # 2 Exhibit B1, # 3 Exhibit B2, # 4 Exhibit C, # 5 Exhibit D, # 6 Exhibit E, # 7 Exhibit F, # 8 Exhibit G, # 9 Exhibit H, # 10 Exhibit I, # 11 Exhibit J, # 12 Exhibit K, # 13 Exhibit L)(Schmid, Elizabeth) (Entered: 01/07/2008)
51	Jan. 07, 2008	48	CERTIFICATE OF SERVICE. Document filed by The Kristen-Straxton Group. (Schmid, Elizabeth) (Entered: 01/07/2008)
52	Jan. 08, 2008	49	NOTICE OF APPEARANCE by Nancy B. Ludmerer on behalf of E*Trade Financial Corporation, Mitchell H. Caplan, Robert J. Simmons (Ludmerer, Nancy) (Entered: 01/08/2008)
53	Jan. 08, 2008	50	NOTICE OF APPEARANCE by Andrew Edward Krause on behalf of E*Trade Financial Corporation, Mitchell H. Caplan, Robert J. Simmons (Krause, Andrew) (Entered: 01/08/2008)
54	Jan. 09, 2008	51	NOTICE OF APPEARANCE by Dennis Eugene. Glazer on behalf of

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			E*Trade Financial Corporation, Mitchell H. Caplan, Robert J. Simmons (Glazer, Dennis) (Entered: 01/09/2008)
55	Jan. 16, 2008	52	MOTION for James M. Hughes to Appear Pro Hac Vice for Skandia Life Insurance Company Ltd. Document filed by Skandia Life Insurance Company Ltd.(db) (Entered: 01/24/2008)
56	Jan. 28, 2008	53	NOTICE OF APPEARANCE by Jill Sharyn Abrams on behalf of State Teachers Retirement System of Ohio (Attachments: # 1 Certificate of Service)(Abrams, Jill) (Entered: 01/28/2008)
57	Jan. 28, 2008	54	NOTICE OF APPEARANCE by Orin Robert Kurtz on behalf of State Teachers Retirement System of Ohio (Attachments: # 1 Certificate of Service)(Kurtz, Orin) (Entered: 01/28/2008)
58	Jan. 29, 2008	55	ORDER granting 52 Motion for James M. Hughes to Appear Pro Hac Vice for Skandia Life Insurance. (Signed by Judge Robert W. Sweet on 1/29/08) (cd) (Entered: 01/30/2008)
59	Jan. 29, 2008		Transmission to Attorney Admissions Clerk. Transmitted re: 55 Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. (cd) (Entered: 01/30/2008)
60	Jan. 31, 2008		CASHIERS OFFICE REMARK on 55 Order on Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 01/16/2008, Receipt Number 638477. (jd) (Entered: 01/31/2008)
61	Feb. 05, 2008	56	NOTICE OF APPEARANCE by James M. Hughes on behalf of Skandia Life Insurance Company Ltd. (Hughes, James) (Entered: 02/05/2008)
62	Jul. 07, 2008	57	NOTICE of Supplemental Authority re: 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s).. Document filed by The Kristen-Straxton Group. (Attachments: # 1 Supplement Letter, # 2 Exhibit A)(Brower, David) (Entered: 07/07/2008)
63	Jul. 09, 2008	58	RESPONSE in Support re: 11 MOTION to Appoint The Kristen-Straxton Group to serve as lead plaintiff(s)., 7 MOTION to Appoint State Teachers Retirement System of Ohio to serve as lead plaintiff(s). Response by State Teachers Retirement System of Ohio to Notice of Supplemental Authority. Document filed by State Teachers Retirement System of Ohio. (Attachments: # 1 Exhibit A, # 2 Affidavit Certificate of Service)(Spanier, Judith) (Entered: 07/09/2008)
64	Jul. 17, 2008	59	ORDER: The motions to consolidate the actions are granted. The motion of KSG to serve as lead plaintiff is granted, Newman's motion is granted to the extent that he is appointed co-lead plaintiff, the motions of STRS and Skandia are denied, and the selection of counsel is approved. (Signed by Judge Robert W. Sweet on 7/16/2008) Filed In Associated Cases: 1:07-cv-08538-RWS, 1:07-cv-08808-RWS, 1:07-cv-09651-RWS, 1:07-cv-10400-RWS, 1:07-cv-10540-RWS(jpo) (Entered: 07/18/2008)
65	Jul. 23, 2008	60	NOTICE OF APPEARANCE by David A.P. Brower on behalf of The Kristen-Straxton Group (Brower, David) (Entered: 07/23/2008)
66	Aug. 04, 2008	61	FILING ERROR - DEFICIENT DOCKET ENTRY - MOTION for Motley Rice and Lieff Cabraser Heimann & Bernstein LLP to Withdraw as Attorney. Document filed by Skandia Life Insurance Company Ltd.(Chiplock, Daniel) Modified on 8/5/2008 (jar). (Entered: 08/04/2008)
67	Aug. 04, 2008	62	MOTION for Motley Rice and Lieff Cabraser Heimann & Bernstein LLP to Withdraw as Attorney. Document filed by Skandia Life Insurance Company Ltd.. (Attachments: # 1 Text of Proposed Order Proposed Order

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			Granting Motion to Withdraw as Counsel)(Chiplock, Daniel) (Entered: 08/04/2008)
68	Aug. 05, 2008	63	PRETRIAL ORDER: Counsel are directed to meet and discuss settlement, pretrial discovery and all preliminary matters. If this action has been settled or otherwise terminated, counsel are directed to file or mail a stipulation of discontinuance, voluntary dismissal, or other proof of termination of the action prior to the date of the pretrial conference with Tsz Chan, Courtroom Clerk to Judge Sweet, Room 18C; otherwise counsel are directed to appear in courtroom 18C on 11/5/2008 at 04:30 PM in Courtroom 18C, 500 Pearl Street, New York, NY 10007 before Judge Robert W. Sweet for a pretrial conference for the purpose of discussing settlement with the court, exploring contemplated motions, stipulating facts, arranging a plan and schedule for all discovery, resolving any anticipated discovery issues, and setting a time for trial. Plaintiff(s)' counsel ARE REQUIRED TO NOTIFY COUNSEL FOR ALL PARTIES OF THIS CONFERENCE. (Signed by Judge Robert W. Sweet on 8/5/08) (tro) (Entered: 08/05/2008)
69	Aug. 19, 2008	64	ORDER GRANTING MOTION TO WITHDRAW AS COUNSEL: granting (36) Motion to Withdraw in case 1:07-cv-08538-RWS; granting (14) Motion to Withdraw in case 1:07-cv-08808-RWS; granting (9) Motion to Withdraw in case 1:07-cv-09651-RWS; granting (9) Motion to Withdraw in case 1:07-cv-10400-RWS. Having considered the motion, the Court GRANTS the motion of counsel to withdraw from the above-captioned consolidated cases. It is so ordered. (Signed by Judge Robert W. Sweet on 8/13/2008) Filed In Associated Cases: 1:07-cv-08538-RWS, 1:07-cv-08808-RWS, 1:07-cv-09651-RWS, 1:07-cv-10400-RWS, 1:07-cv-10540-RWS(tve) (Entered: 08/19/2008)
70	Sep. 04, 2008	65	STIPULATION AND ORDER: This Order supersedes the November 14 Scheduling Order. Plaintiffs shall have until 12/30/08 to file and serve a Consolidated Amended Complaint. Defendant shall have until 3/16/09 to answer, move or otherwise respond to the Consolidated Amended Complaint. Plaintiffs shall have until 6/1/09 to file and serve opposition papers in response to any motion to dismiss filed by any defendant. Defendants shall have until 7/31/09 to file and serve reply papers with respect to any motion to dismiss filed by any defendant. Every document filed in the Consolidated Action shall bear the caption appearing at the top of this Stipulation and shall be filed only in Civil Action No. 07 Civ. 8538 (RWS). (Signed by Judge Robert W. Sweet on 8/28/08) (tro) (Entered: 09/04/2008)

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