

U.S. District Court
District of Minnesota (DMN)
CIVIL DOCKET FOR CASE #: 0:06-cv-02797-JMR-FLN

De La Rosa v. UnitedHealth Group, Inc. et al
Assigned to: Chief Judge James M Rosenbaum
Referred to: Magistrate Judge Franklin L Noel
Lead case: [0:06-cv-01691-JMR-FLN](#)

Member cases:

[0:06-cv-01784-JMR-FLN](#)

[0:06-cv-02051-JMR-FLN](#)

[0:06-cv-02228-JMR-FLN](#)

[0:06-cv-02235-JMR-FLN](#)

[0:06-cv-02797-JMR-FLN](#)

[0:06-cv-02939-JMR-FLN](#)

Cause: 15:78m(a) Securities Exchange Act

Date Filed: 06/29/2006
Jury Demand: Plaintiff
Nature of Suit: 850
Securities/Commodities
Jurisdiction: Federal Question

Plaintiff

Lisa De La Rosa

*Individually and on behalf of all others
similarly situated*

represented by **Daniel E Gustafson**
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V.

Defendant

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Defendant

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Thomas P Swigert
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Defendant

Stephen J. Hemsley

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Movant

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Movant

**Unitedhealth Institutional Investor
Group**

represented by **Robert C Moilanen**
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LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Carolyn Glass Anderson
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Movant

MH Kim and JR Lee

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ATTORNEY TO BE NOTICED

Shaye-NA J. Fuchs
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Movant

CIS Unit Managers

represented by **Robert C Moilanen**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Carolyn Glass Anderson
(See above for address)
ATTORNEY TO BE NOTICED

Movant

Ruth Polishook

Movant

Neil Zussman

Date Filed	#	Docket Text
06/29/2006	<u>1</u>	COMPLAINT with Jury Demand against all defendants (Filing fee \$ 350 receipt number 4004985.) assigned to Sr. Judge Paul A Magnuson per Securities list referred to Magistrate Judge Janie S Mayeron , filed by Lisa De La Rosa. (Attachments: # <u>1</u> Civil Cover Sheet)(LPH) Modified on 6/30/2006 (LPH).

		(Entered: 06/29/2006)
06/29/2006		Summons Issued as to UnitedHealth Group, Inc., William W McGuire, Stephen J. Hemsley. (LPH) (Entered: 06/30/2006)
07/07/2006	2	MOTION to Consolidate Cases <i>Class Actions, to Appoint Neil Zussman as Lead Plaintiff and to Approve Lead Plaintiff's Choice of Lead Counsel</i> by James C Krause. (Moilanen, Robert) (Entered: 07/07/2006)
07/07/2006	3	MEMORANDUM in Support re 2 MOTION to Consolidate Cases <i>Class Actions, to Appoint Neil Zussman as Lead Plaintiff and to Approve Lead Plaintiff's Choice of Lead Counsel</i> filed by James C Krause. (Moilanen, Robert) (Entered: 07/07/2006)
07/07/2006	4	AFFIDAVIT of Donald J. Enright in SUPPORT OF 2 MOTION to Consolidate Cases <i>Class Actions, to Appoint Neil Zussman as Lead Plaintiff and to Approve Lead Plaintiff's Choice of Lead Counsel</i> filed by James C Krause. (Attachments: # 1 Exhibit(s) A-E# 2 Exhibit(s) F-H)(Moilanen, Robert) (Entered: 07/07/2006)
07/07/2006	5	LR7.1 WORD COUNT COMPLIANCE CERTIFICATE by James C Krause re 3 Memorandum in Support of Motion filed by James C Krause. (Moilanen, Robert) (Entered: 07/07/2006)
07/07/2006	6	CERTIFICATE OF SERVICE by James C Krause re 2 MOTION to Consolidate Cases <i>Class Actions, to Appoint Neil Zussman as Lead Plaintiff and to Approve Lead Plaintiff's Choice of Lead Counsel</i> , 3 Memorandum in Support of Motion, 5 LR7.1 Word Count Compliance Certificate, 4 Affidavit in Support of Motion, (Moilanen, Robert) (Entered: 07/07/2006)
07/07/2006	7	MOTION to Consolidate Cases <i>to be Appointed Lead Plaintiff and for Approval of Lead Plaintiff's Selection of Lead Counsel and Liaison Counsel</i> by Unitedhealth Institutional Investor Group. (Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	8	PRELIMINARY STATEMENT in Support re 7 MOTION to Consolidate Cases <i>to be Appointed Lead Plaintiff and for Approval of Lead Plaintiff's Selection of Lead Counsel and Liaison Counsel</i> filed by Unitedhealth Institutional Investor Group. (Anderson, Carolyn) Modified on 7/10/2006 (LPH). (Entered: 07/07/2006)
07/07/2006	9	AFFIDAVIT of Robert C. Moilanen in SUPPORT OF 7 MOTION to Consolidate Cases <i>to be Appointed Lead Plaintiff and for Approval of Lead Plaintiff's Selection of Lead Counsel and Liaison Counsel</i> filed by Unitedhealth Institutional Investor Group. (Attachments: # 1 Exhibit(s) A-C# 2 Exhibit(s) D# 3 Exhibit(s) D-1# 4 Exhibit(s) E)(Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	10	LR7.1 WORD COUNT COMPLIANCE CERTIFICATE by Unitedhealth Institutional Investor Group re 8 Memorandum in Support of Motion, filed by Unitedhealth Institutional Investor Group. (Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	11	CERTIFICATE OF SERVICE by Unitedhealth Institutional Investor Group (Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	12	MOTION Appointment as Lead Plaintiffs <i>Lead Counsel and Liaison Counsel</i> by MH Kim and JR Lee. (McNeil, Donald) (Entered: 07/07/2006)

07/07/2006	13	MEMORANDUM in Support re 12 MOTION Appointment as Lead Plaintiffs <i>Lead Counsel and Liaison Counsel</i> filed by MH Kim and JR Lee. (Attachments: # 1 LR7.1 Word Count Compliance Certificate LR 7.1 Word Count Compliance Certificate Regarding Memorandum of Law in Support of Motion for Consolidation, and for Appointment of Lead Plaintiffs, Lead Counsel and Liaison Counsel# 2 Certificate of Service Certificate of Service)(McNeil, Donald) (Entered: 07/07/2006)
07/07/2006	14	AFFIDAVIT of R. A. Speirs in SUPPORT OF 12 MOTION Appointment as Lead Plaintiffs <i>Lead Counsel and Liaison Counsel</i> filed by MH Kim and JR Lee. (Attachments: # 1 Exhibit(s) Exhibit Index# 2 Exhibit(s) Exhibit A# 3 Exhibit(s) Exhibit B# 4 Exhibit(s) Exhibit C# 5 Exhibit(s) Exhibit D# 6 Exhibit(s) Exhibit E# 7 Exhibit(s) Exhibit F)(McNeil, Donald) (Entered: 07/07/2006)
07/07/2006	15	MOTION to Consolidate Cases <i>Related Actions; for Appointment of Lead Plaintiff; and for Approval of Lead Plaintiff's Choice of Counsel for the Class</i> by CIS Unit Managers. (Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	16	MEMORANDUM in Support re 15 MOTION to Consolidate Cases <i>Related Actions; for Appointment of Lead Plaintiff; and for Approval of Lead Plaintiff's Choice of Counsel for the Class</i> filed by CIS Unit Managers. (Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	17	AFFIDAVIT of Robert C. Moilanen in SUPPORT OF 15 MOTION to Consolidate Cases <i>Related Actions; for Appointment of Lead Plaintiff; and for Approval of Lead Plaintiff's Choice of Counsel for the Class</i> filed by CIS Unit Managers. (Attachments: # 1 Exhibit(s) 1-5# 2 Exhibit(s) 6# 3)(Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	18	LR7.1 WORD COUNT COMPLIANCE CERTIFICATE by CIS Unit Managers re 16 Memorandum in Support of Motion filed by CIS Unit Managers. (Anderson, Carolyn) (Entered: 07/07/2006)
07/07/2006	19	CERTIFICATE OF SERVICE by CIS Unit Managers re 15 MOTION to Consolidate Cases <i>Related Actions; for Appointment of Lead Plaintiff; and for Approval of Lead Plaintiff's Choice of Counsel for the Class</i> , 16 Memorandum in Support of Motion, 17 Affidavit in Support of Motion,, 18 LR7.1 Word Count Compliance Certificate (Anderson, Carolyn) (Entered: 07/07/2006)
07/18/2006	20	WAIVER OF SERVICE Returned Executed filed by Lisa De La Rosa. Stephen J. Hemsley waiver sent on 7/12/2006, answer due 9/10/2006. (Hedlund, Daniel) (Entered: 07/18/2006)
07/18/2006	21	WAIVER OF SERVICE Returned Executed filed by Lisa De La Rosa. UnitedHealth Group, Inc. waiver sent on 7/12/2006, answer due 9/10/2006. (Hedlund, Daniel) (Entered: 07/18/2006)
07/18/2006	22	WAIVER OF SERVICE Returned Executed filed by Lisa De La Rosa. William W McGuire waiver sent on 7/12/2006, answer due 9/10/2006. (Hedlund, Daniel) (Entered: 07/18/2006)
07/18/2006	23	CERTIFICATE OF SERVICE by Lisa De La Rosa re 21 Waiver of Service Executed (Hedlund, Daniel) (Entered: 07/18/2006)

07/18/2006	24	CERTIFICATE OF SERVICE by Lisa De La Rosa re 20 Waiver of Service Executed (Hedlund, Daniel) (Entered: 07/18/2006)
07/18/2006	25	CERTIFICATE OF SERVICE by Lisa De La Rosa re 22 Waiver of Service Executed (Hedlund, Daniel) (Entered: 07/18/2006)
07/19/2006	26	STIPULATION <i>Extending Deadline to Respond to the Complaint</i> by Lisa De La Rosa, UnitedHealth Group, Inc., William W McGuire, Stephen J. Hemsley. (Attachments: # 1 Certificate of Service)(Swigert, Thomas) (Entered: 07/19/2006)
07/20/2006	27	ORDER re 26 Stipulation ; 1. Defendants may have until 45 days following the Court's appointment of lead plaintiff pursuant to 15 U.S.C. section 78u-4(a)(3)(B) to answer, move, or otherwise respond to the complaint in the above-referenced case.2. In the event Plaintiff files an amended, consolidated complaint, Defendants have 45 days from the service of the amended, consolidated complaint to answer, move, or otherwise respond to the amended, consolidated complaint. Signed by Magistrate Judge Janie S Mayeron on 7/20/06. (LPH) (Entered: 07/20/2006)
07/25/2006	28	MOTION to Consolidate Cases <i>Consolidate Related Actions, Create Leadership Committee, and Appoint Heins Mills & Olson as Committee Chair</i> by Ruth Polishook. (Williams, Brian) (Entered: 07/25/2006)
07/25/2006	29	NOTICE by Ruth Polishook re 28 MOTION to Consolidate Cases <i>Consolidate Related Actions, Create Leadership Committee, and Appoint Heins Mills & Olson as Committee Chair</i> (Williams, Brian) (Entered: 07/25/2006)
07/25/2006	30	MEMORANDUM in Support re 28 MOTION to Consolidate Cases <i>Consolidate Related Actions, Create Leadership Committee, and Appoint Heins Mills & Olson as Committee Chair</i> filed by Ruth Polishook. (Attachments: # 1 Certificate of Service # 2 LR7.1 Word Count Compliance Certificate)(Williams, Brian) (Entered: 07/25/2006)
07/25/2006	31	AFFIDAVIT of Brian L. Williams in SUPPORT OF 28 MOTION to Consolidate Cases <i>Consolidate Related Actions, Create Leadership Committee, and Appoint Heins Mills & Olson as Committee Chair</i> filed by Ruth Polishook. (Attachments: # 1 Ex. A# 2 Ex. B# 3 Ex. C# 4 Ex. D# 5 Ex. E# 6 Ex. F# 7 Ex. G)(Williams, Brian) (Entered: 07/25/2006)
07/25/2006	32	CERTIFICATE OF SERVICE by Ruth Polishook re 30 Memorandum in Support of Motion, <i>Amended Certificate of Service</i> (Williams, Brian) (Entered: 07/25/2006)
07/31/2006	33	MEMORANDUM by Neil Zussman re 2 MOTION to Consolidate Cases <i>Class Actions, to Appoint Neil Zussman as Lead Plaintiff and to Approve Lead Plaintiff's Choice of Lead Counsel in Further Support of Motion and in Opposition to the Competing Motions</i> filed by Neil Zussman. (Attachments: # 1 LR7.1 Word Count Compliance Certificate)(Anderson, Carolyn) (Entered: 07/31/2006)
07/31/2006	34	AFFIDAVIT of Michael G. McLellan in SUPPORT OF 2 MOTION to Consolidate Cases <i>Class Actions, to Appoint Neil Zussman as Lead Plaintiff and to Approve Lead Plaintiff's Choice of Lead Counsel</i> filed by Neil Zussman. (Attachments: # 1 Exhibit(s) Exhibits A-B# 2 Exhibit(s) Exhibits C-D# 3 Exhibit(s) Exhibits E-F# 4 Exhibit(s) Exhibits G-I)(Anderson, Carolyn) (Entered: 07/31/2006)
07/31/2006	35	CERTIFICATE OF SERVICE by Neil Zussman re 34 Affidavit in Support of

		Motion,, 33 Memorandum, (Anderson, Carolyn) (Entered: 07/31/2006)
07/31/2006	36	MEMORANDUM by Ruth Polishook <i>Respecting Plaintiff California Public Employees' Retirement System's Motion for Consolidation of the Related Actions, Appointment as Lead Plaintiff and Approval of Lead Plaintiff's Choice of Lead Counsel</i> filed by Ruth Polishook. (Attachments: # 1 Certificate of Service # 2 LR7.1 Word Count Compliance Certificate)(Williams, Brian) (Entered: 07/31/2006)
07/31/2006	37	ORDER FOR REASSIGNMENT OF RELATED CASES. Case reassigned to Chief Judge James M Rosenbaum and Magistrate Judge Franklin L Noel for all further proceedings. Judge Paul A Magnuson and Magistrate Judge Janie S Mayeron are no longer assigned to case. The new case number is 0:06-cv-02797-JMR-FLN. Signed by Chief Judge James M Rosenbaum, Judge Paul A Magnuson and Judge Richard H Kyle on 07/31/06. (JGG) (Entered: 07/31/2006)
07/31/2006	38	RESPONSE in Support re 7 MOTION to Consolidate Cases <i>to be Appointed Lead Plaintiff and for Approval of Lead Plaintiff's Selection of Lead Counsel and Liaison Counsel and in Opposition to Competing Motions</i> filed by Unitedhealth Institutional Investor Group. (Attachments: # 1 LR7.1 Word Count Compliance Certificate)(Anderson, Carolyn) (Entered: 07/31/2006)
07/31/2006	39	AFFIDAVIT of Robert C. Moilanen in SUPPORT OF 7 MOTION to Consolidate Cases <i>to be Appointed Lead Plaintiff and for Approval of Lead Plaintiff's Selection of Lead Counsel and Liaison Counsel and in Opposition to Competing Motions</i> filed by Unitedhealth Institutional Investor Group. (Attachments: # 1 Exhibit(s) A# 2 Exhibit(s) B# 3 Exhibit(s) C# 4 Exhibit(s) D# 5 Exhibit(s) E# 6 Exhibit(s) F# 7 Exhibit(s) G# 8 Exhibit(s) H# 9 Exhibit(s) I# 10 Exhibit(s) J# 11 Exhibit(s) K# 12 Exhibit(s) L# 13 Exhibit(s) M# 14 Exhibit(s) N# 15 Exhibit(s) O# 16 Exhibit(s) P# 17 Exhibit(s) Q# 18 Exhibit(s) R)(Anderson, Carolyn) (Entered: 07/31/2006)
07/31/2006	40	CERTIFICATE OF SERVICE by Unitedhealth Institutional Investor Group re 38 Response in Support of Motion,, 39 Affidavit in Support of Motion,, (Anderson, Carolyn) (Entered: 07/31/2006)
07/31/2006	41	MEMORANDUM in Opposition re 28 MOTION to Consolidate Cases <i>Consolidate Related Actions, Create Leadership Committee, and Appoint Heins Mills & Olson as Committee Chair, 12 MOTION Appointment as Lead Plaintiffs Lead Counsel and Liaison Counsel, 7 MOTION to Consolidate Cases to be Appointed Lead Plaintiff and for Approval of Lead Plaintiff's Selection of Lead Counsel and Liaison Counsel, 2 MOTION to Consolidate Cases Class Actions, to Appoint Neil Zussman as Lead Plaintiff and to Approve Lead Plaintiff's Choice of Lead Counsel</i> filed by CIS Unit Managers. (Federman, William) (Entered: 07/31/2006)
07/31/2006	42	Declaration of William B. Federman in Support of 41 Memorandum in Opposition to Motion,, filed by CIS Unit Managers. (Attachments: # 1 Exhibit(s) Analysis for CIS (long)# 2 Exhibit(s) Analysis for CIS (short)# 3 Exhibit(s) Analysis for Allegheny# 4 Exhibit(s) Analysis for Ernst# 5 Exhibit(s) Analysis for PKA# 6 Exhibit(s) Analysis for AP7# 7 Exhibit(s) Cases)(Federman, William) (Entered: 07/31/2006)
07/31/2006	43	LR7.1 WORD COUNT COMPLIANCE CERTIFICATE by CIS Unit Managers re 41 Memorandum in Opposition to Motion,, filed by CIS Unit Managers.

		(Federman, William) (Entered: 07/31/2006)
07/31/2006	44	CERTIFICATE OF SERVICE by CIS Unit Managers re 43 LR7.1 Word Count Compliance Certificate, 42 Declaration in Support,, 41 Memorandum in Opposition to Motion,, (Federman, William) (Entered: 07/31/2006)
08/07/2006	45	Minute Entry for proceedings held before Magistrate Judge Franklin L Noel : Motion Hearing held on 8/7/2006 re 28 15 12 7 2 Motions to Consolidate Case and to Appoint Lead Plaintiff. Motions taken under advisement. Order to be issued. Modified on 8/7/2006 (gjs). (Entered: 08/07/2006)
08/14/2006	46	ORDER - GRANTING 2 Motion to Consolidate Cases. The above captioned cases are hereby consolidated. This matter shall be identified as "In Re: UnitedHealth Group Incorporated PSLRA Litigation - Civil Action No. 1691 JMR/FLN", and the files of the action shall be maintained in one file. Each paper filed in the case shall bear that caption. Appointment of lead plaintiff or a committee of plaintiffs, and appointment of lead counsel or a committee of counsel will be ruled on after additional materials requested have been reviewed. Signed by Magistrate Judge Franklin L Noel on 8/11/06. (GJS) (Entered: 08/14/2006)
09/18/2006	47	TRANSCRIPT of Motion to Consolidate Cases Hearing held on 8/7/06 before Magistrate Judge Franklin L. Noel. Court Reporter: Kristine Mousseau. (34pgs) FILED CONVENTIONALLY. (Original Transcript filed in main case no. 06-1691). (GJS) (Entered: 09/19/2006)

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