

U.S. District Court
District of Columbia (Washington, DC)
CIVIL DOCKET FOR CASE #: 1:03-cv-00002-TPJ

NORMAN v. SALOMON SMITH BARNEY, INC.
Assigned to: Judge Thomas Penfield Jackson
Demand: \$75,000
Cause: 28:1331 Fed. Question: Securities Violation

Date Filed: 01/02/2003
Jury Demand: Plaintiff
Nature of Suit: 850
Securities/Commodities
Jurisdiction: Federal Question

Plaintiff

W. CAFFEY NORMAN, III
*As Custodian on Behalf of Himself and All
Others Similarly Situated*

represented by **Thomas E. Patton**
TIGHE, PATTON, ARMSTRONG,
TEASDALE PLLC
Suite 300
1747 Pennsylvania Avenue, NW
Washington, DC 20006
(202) 454-2840
Fax: (202) 454-2805
Email: tpatton@tighepatton.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Steven J. Toll
COHEN MILSTEIN HAUSFELD &
TOLL, PLLC
1100 New York Avenue, NW
West Tower, Suite 500
Washington, DC 20005
(202) 408-4600
Fax: (202) 408-4699
Email: stoll@cmht.com
ATTORNEY TO BE NOTICED

V.

Defendant

SALOMON SMITH BARNEY, INC.

represented by **Robert P. Parker**
PAUL, WEISS, RIFKIND, WHARTON
& GARRISON, LLP
1616 L Street, NW
Suite 1300
Washington, DC 20036-5602
(202) 223-7339

Fax: (202) 223-7420
Email: rparker@paulweiss.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Eric S. Goldstein
PAUL, WEISS, RIFKIND, WHARTON
& GARRISON LLP
1285 Avenue of the Americas
New York, NY 10019-6064
(212) 373-3000
ATTORNEY TO BE NOTICED

Lauren A. McMillen
PAUL, WEISS, RIFKIND, WHARTON
& GARRISON LLP
1285 Avenue of the Americas
New York, NY 10019-6064
(212) 373-3000
ATTORNEY TO BE NOTICED

Richard A. Rosen
PAUL WEISS RIFKIND, WHARTON &
GARRISON LLP
1285 Avenue of the Americas
New York, NY 10019-6064
(212) 373-3000
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
01/02/2003	1	COMPLAINT against SALOMON SMITH BARNEY, INC. (Filing fee \$ 150.) , filed by W. CAFFEY NORMAN III.(rje,) (Entered: 01/03/2003)
01/02/2003		Summons (1) Issued as to SALOMON SMITH BARNEY, INC. . (rje,) Modified on 1/3/2003 (rje,). (Entered: 01/03/2003)
02/28/2003	2	STIPULATION that the defendant shall reply to the complaint on or before 5/5/03 by W. CAFFEY NORMAN III. (rje,) (Entered: 03/07/2003)
03/24/2003	3	MOTION to Dismiss the action without prejudice, or in the alternative, to transfer venue by SALOMON SMITH BARNEY, INC.. (Attachments: # 1 Exhibit A# 2 Certificate of Service (3) Exhibit 1# 4 Exhibit 2A# 5 Exhibit 2B# 6 Exhibit 2C# 7 Exhibit 3# 8 Text of Proposed Order)(rje,) (Entered: 03/28/2003)
04/04/2003	4	MOTION for Leave to Appear Pro Hac Vice (<i>Richard A. Rosen, Eric S. Goldstein and Lauren A. McMillen</i>) by SALOMON SMITH BARNEY, INC.. (Attachments: # 1 [Proposed] Order Admitting Richard A. Rosen, Eric S. Goldstein and Lauren A.

		McMillen Pro Hac Vice# 2 Declaration of Richard A. Rosen in Support of His Application for Admission Pro Hac Vice# 3 Declaration of Eric S. Goldstein in Support of His Application for Admission Pro Hac Vice# 4 Declaration of Lauren A. McMillen in Support of Her Application for Admission Pro Hac Vice)(Parker, Robert) (Entered: 04/04/2003)
04/15/2003		Calendar Entry, Set Hearings: Initial Status Conference set for 6/18/2003 09:30 AM in Courtroom 2 before Thomas Penfield Jackson. (rew,) (Entered: 04/15/2003)
04/15/2003		ORDER granting 4 Motion for Leave to Appear Pro Hac Vice filed on behalf of Richard Rosen, Eric Goldstein, and Lauren McMillen. Signed by Judge Thomas Penfield Jackson on April 11, 2003. (LG,) (Entered: 04/15/2003)
04/17/2003	5	STIPULATION AND ORDER TO TRANSFER VENUE by W. CAFFEY NORMAN III. (Toll, Steven) (Entered: 04/17/2003)
05/01/2003	6	ORDER granting 3 MOTION to transfer venue by SALOMON SMITH BARNEY, INC. And directing the case be transferred to the Southern District of New York. Signed by Judge Thomas Penfield Jackson on 4/23/03. (whb,) (Entered: 05/01/2003)
06/09/2003		ORIGINAL FILE and certified copy of docket entries and Order transmitted to the Clerk of USDC for the SOUTHERN DISTRICT of NEW YORK pursuant to order dated 3/17/03. (rje,) (Entered: 06/09/2003)
06/16/2003		RECEIPT and acknowledgment of transfer documents by USDC for the Southern District of New York (no date stamped) (rje,) (Entered: 06/19/2003)

Note: Links in this docket are stored in the PACER system (**P**ublic **A**ccess to **C**ourt **E**lectronic **R**ecords). PACER is a service of United States Judiciary. To view and retrieve the linked documents from PACER you must be a registered user. To register, fill out one of the [registration forms](#) available on the PACER site. The United States Congress has given the Judicial Conference of the United States, the judicial governing body of the U.S. Federal Courts, authority to impose user fees for electronic access to case information. For more information visit the PACER site at <http://pacer.psc.uscourts.gov/pacerdesc.html>