

**U.S. District Court
California Northern District (San Jose)
CIVIL DOCKET FOR CASE #: 5:04-cv-04908-JW**

In re: UTSTARCOM, INC. SECURITIES LITIGATION

Date Filed: Nov. 17, 2004
Nature of suit: 850 Securities/Commodities
Assigned to: [Hon. James Ware](#)
Cause: 15:78m(a) Securities Exchange Act
Jurisdiction: Federal Question
Jury demand: Plaintiff
Referred to: Magistrate Judge Patricia V. Trumbull

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On Behalf of himself and all others similarly situated

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Docket Proceedings[Reverse Proceedings](#)

Req #	Filed	#	Docket Text
1	Nov. 17, 2004	1	COMPLAINT (summons issued) against Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang (Filing fee \$ 150, receipt number 3366231.). Filed byLawrence Joseph. (cv, COURT STAFF) (Filed on 11/17/2004) Additional attachment(s) added on 3/9/2005 (cv, COURT STAFF). (Entered: 11/19/2004)
2	Nov. 17, 2004	2	SEC CLASS ACTION SCHEDULING ORDER SEC Class Action Notice due by 12/7/2004. Case Management Conference set for 3/21/2005 10:00 AM.. Signed by Judge James Ware on 11/17/04. (Attachments: # 1 standing order)(cv, COURT STAFF) (Filed on 11/17/2004) (Entered: 11/19/2004)
3	Nov. 17, 2004		CASE DESIGNATED for Electronic Filing. (cv, COURT STAFF) (Filed

Req #	Filed	#	Docket Text
			on 11/17/2004) (Entered: 11/19/2004)
4	Nov. 19, 2004		Summons Issued as to Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (cv, COURT STAFF) (Filed on 11/19/2004) (Entered: 11/19/2004)
5	Dec. 02, 2004		clerk prepared related case order forward file to chambers. (cv, COURT STAFF) (Filed on 12/2/2004) (Entered: 12/02/2004)
6	Dec. 02, 2004	3	CLERK'S NOTICE re: Failure to E-File the complaint and/or Failure to Register as an E-Filer (cv, COURT STAFF) (Filed on 12/2/2004) (Entered: 12/02/2004)
7	Dec. 07, 2004	4	ORDER RELATING CASES. Signed by Judge JAMES WARE on 12/3/04. (rd, COURT STAFF) (Filed on 12/7/2004) (Entered: 12/07/2004)
8	Jan. 05, 2005	5	NOTICE by Lawrence Joseph OF PUBLICATION (Epstein, Kimberly) (Filed on 1/5/2005) (Entered: 01/05/2005)
9	Jan. 05, 2005	6	SUMMONS Returned Executed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. Thomas J. Toy served on 12/13/2004, answer due 1/3/2005; Ying Wu served on 12/13/2004, answer due 1/3/2005; UTSTARCOM, INC served on 11/23/2004, answer due 12/13/2004; Hong Liang Lu served on 12/13/2004, answer due 1/3/2005; Michael J. Sophie served on 12/13/2004, answer due 1/3/2005; Howard Kwock served on 12/13/2004, answer due 1/3/2005; Gerald S. Soloway served on 12/13/2004, answer due 1/3/2005; Shao-Ning J. Chou served on 12/13/2004, answer due 1/3/2005; Bill Huang served on 12/13/2004, answer due 1/3/2005. (Epstein, Kimberly) (Filed on 1/5/2005) (Entered: 01/05/2005)
10	Jan. 14, 2005	7	MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Paul M. Bochner. Motion Hearing set for 2/22/2005 09:30 AM. (Avery, Patricia) (Filed on 1/14/2005) (Entered: 01/14/2005)
11	Jan. 14, 2005	8	MEMORANDUM in Support re 7 MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Paul M. Bochner. (Related document(s) 7) (Avery, Patricia) (Filed on 1/14/2005) (Entered: 01/14/2005)
12	Jan. 14, 2005	9	Declaration of Robert C. Finkel in Support of 7 MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Paul M. Bochner. (Related document(s) 7) (Avery, Patricia) (Filed on 1/14/2005) (Entered: 01/14/2005)
13	Jan. 14, 2005	10	Proposed Order re 7 MOTION to Appoint Lead Plaintiff and Lead Counsel by Paul M. Bochner. (Avery, Patricia) (Filed on 1/14/2005) (Entered: 01/14/2005)
14	Jan. 14, 2005	11	ORDER REMOVING DOCKET ENTRY NUMBER 11 on the civil docket (please see docket number #59)*** Modified on 3/20/2005 (cv, COURT STAFF). Additional attachment(s) added on 3/20/2005 (cv, COURT STAFF). (Entered: 01/14/2005)
15	Jan. 14, 2005	12	MOTION to Appoint Lead Plaintiff and Lead Counsel ; Memorandum of Law in Support thereof filed by Sam Brott. Motion Hearing set for 2/21/2005 09:00 AM. (DIERINGER, STEPHANIE) (Filed on 1/14/2005) (Entered: 01/14/2005)
16	Jan. 14, 2005	13	Declaration of Rachele R. Rickert in Support of 19 MOTION to Appoint Lead Plaintiff and Lead Counsel and for Consolidation filed byThe

Req #	Filed	#	Docket Text
			Stonefield Group. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D)(Related document(s) 11) (Rickert, Rachele) (Filed on 1/14/2005) Modified on 1/18/2005 (cv, COURT STAFF). (Entered: 01/14/2005)
<u>17</u>	Jan. 14, 2005	14	Declaration of Kirk B. Hulett in Support of 12 MOTION to Appoint Lead Plaintiff and Lead Counsel ; Memorandum of Law in Support thereof filed bySam Brott. (Related document(s) 12) (DIERINGER, STEPHANIE) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>18</u>	Jan. 14, 2005	15	Proposed Order re 12 MOTION to Appoint Lead Plaintiff and Lead Counsel ; Memorandum of Law in Support thereof by Sam Brott. (DIERINGER, STEPHANIE) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>19</u>	Jan. 14, 2005	16	CERTIFICATE of Counsel re 12 MOTION to Appoint Lead Plaintiff and Lead Counsel ; Memorandum of Law in Support thereof by STEPHANIE L. DIERINGER, ESQ on behalf of Sam Brott (DIERINGER, STEPHANIE) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>20</u>	Jan. 14, 2005	17	CERTIFICATE of Counsel re 12 MOTION to Appoint Lead Plaintiff and Lead Counsel ; Memorandum of Law in Support thereof by STEPHANIE L. DIERINGER, ESQ on behalf of Sam Brott (DIERINGER, STEPHANIE) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>21</u>	Jan. 14, 2005	18	Proposed Order re 11 MOTION to Appoint Lead Plaintiff and Lead Counsel and for Consolidation by The Stonefield Group. (Rickert, Rachele) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>22</u>	Jan. 14, 2005	19	MOTION to Appoint Lead Plaintiff and Lead Counsel and for Consolidation filed by The Stonefield Group. Motion Hearing set for 2/28/2005 09:00 AM. (Rickert, Rachele) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>23</u>	Jan. 14, 2005	20	MOTION to Appoint Lead Plaintiff and Lead Counsel filed by The Ostroff Group. Motion Hearing set for 2/28/2005 09:00 AM. (Lin, Elizabeth) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>24</u>	Jan. 14, 2005	21	Declaration of Elizabeth P. Lin in Support of 20 MOTION to Appoint Lead Plaintiff and Lead Counsel filed byThe Ostroff Group. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D (part 1)# 5 Exhibit D (part 2))(Related document(s) 20) (Lin, Elizabeth) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>25</u>	Jan. 14, 2005	22	MOTION to Appoint Counsel NOTICE OF MOTION AND MOTION TO APPOINT THE UTSTARCOM INVESTORS GROUP AS LEAD PLAINTIFF AND FOR APPOINTMENT OF LEAD COUNSEL; MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF filed by Lawrence Joseph. Motion Hearing set for 2/28/2005 09:00 AM. (Goldberg, Michael) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>26</u>	Jan. 14, 2005	23	Proposed Order re 20 MOTION to Appoint Lead Plaintiff and Lead Counsel by The Ostroff Group. (Lin, Elizabeth) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>27</u>	Jan. 14, 2005	24	CERTIFICATE OF SERVICE by The Ostroff Group re 20 MOTION to Appoint Lead Plaintiff and Lead Counsel (Lin, Elizabeth) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>28</u>	Jan. 14, 2005	25	Declaration of Dale MacDiarmid in Support of 22 MOTION to Appoint Counsel NOTICE OF MOTION AND MOTION TO APPOINT THE

Req #	Filed	#	Docket Text
			UTSTARCOM INVESTORS GROUP AS LEAD PLAINTIFF AND FOR APPOINTMENT OF LEAD COUNSEL; MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF filed by Lawrence Joseph. (Attachments: # 1 Exhibit A - C# 2 Exhibit D - F)(Related document(s) 22) (Goldberg, Michael) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>29</u>	Jan. 14, 2005	26	Proposed Order re 22 MOTION to Appoint Counsel NOTICE OF MOTION AND MOTION TO APPOINT THE UTSTARCOM INVESTORS GROUP AS LEAD PLAINTIFF AND FOR APPOINTMENT OF LEAD COUNSEL; MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF by Lawrence Joseph. (Goldberg, Michael) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>30</u>	Jan. 14, 2005	27	MOTION to Consolidate Cases THE UTSTARCOM INVESTORS GROUP'S NOTICE OF MOTION AND MOTION TO CONSOLIDATE ALL RELATED ACTIONS FOR ALL PURPOSES; MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF filed by Lawrence Joseph. Motion Hearing set for 2/28/2005 09:00 AM. (Goldberg, Michael) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>31</u>	Jan. 14, 2005	28	Proposed Order re 27 MOTION to Consolidate Cases THE UTSTARCOM INVESTORS GROUP'S NOTICE OF MOTION AND MOTION TO CONSOLIDATE ALL RELATED ACTIONS FOR ALL PURPOSES; MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF by Lawrence Joseph. (Goldberg, Michael) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>32</u>	Jan. 14, 2005	29	MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Operating Engineers' Group. Motion Hearing set for 2/28/2005 09:00 AM. (Epstein, Kimberly) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>33</u>	Jan. 14, 2005	30	MEMORANDUM in Support re 29 MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Operating Engineers' Group. (Related document(s) 29) (Epstein, Kimberly) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>34</u>	Jan. 14, 2005	31	Declaration of Kimberly C. Epstein in Support of 29 MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Operating Engineers' Group. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D)(Related document(s) 29) (Epstein, Kimberly) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>35</u>	Jan. 14, 2005	32	MOTION to Consolidate Cases and Memorandum in Support Thereof filed by Operating Engineers' Group. Motion Hearing set for 2/28/2005 09:00 AM. (Epstein, Kimberly) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>36</u>	Jan. 14, 2005	33	Proposed Order re 32 MOTION to Consolidate Cases and Memorandum in Support Thereof by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>37</u>	Jan. 14, 2005	34	Proposed Order re 29 MOTION to Appoint Lead Plaintiff and Lead Counsel by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 1/14/2005) (Entered: 01/14/2005)
<u>38</u>	Jan. 18, 2005	35	CERTIFICATE of Counsel by William S. Lerach on behalf of Operating Engineers' Group (Lerach, William) (Filed on 1/18/2005) (Entered: 01/18/2005)

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39	Jan. 28, 2005	36	CERTIFICATE OF SERVICE by Lawrence Joseph PROOFS OF SERVICE OF NOTICE OF PUBLICATION (Epstein, Kimberly) (Filed on 1/28/2005) (Entered: 01/28/2005)
40	Feb. 01, 2005	37	Renotice motion hearing re 7 MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Paul M. Bochner. Motion Hearing set for 2/28/2005 09:00 AM. (Avery, Patricia) (Filed on 2/1/2005) (Entered: 02/01/2005)
41	Feb. 01, 2005	38	STIPULATION and [Proposed] Order Removing Docket Entry Number 11 by The Stonefield Group. (Rickert, Rachele) (Filed on 2/1/2005) (Entered: 02/01/2005)
42	Feb. 07, 2005	39	Memorandum in Opposition to the Competing Motions for Appointment as Lead Plaintiff and Approval of Lead Plaintiff's Choice of Lead Counsel filed by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 2/7/2005) (Entered: 02/07/2005)
43	Feb. 07, 2005	40	Declaration of Kimberly C. Epstein in Support of 29 MOTION to Appoint Lead Plaintiff and Lead Counsel filed by Operating Engineers' Group. (Attachments: # 1 Exhibit A)(Related document(s) 29) (Epstein, Kimberly) (Filed on 2/7/2005) (Entered: 02/07/2005)
44	Feb. 07, 2005	41	CERTIFICATE OF SERVICE by Operating Engineers' Group re 39 Memorandum in Opposition, 40 Declaration in Support (Epstein, Kimberly) (Filed on 2/7/2005) (Entered: 02/07/2005)
45	Feb. 08, 2005	42	NOTICE by The Stonefield Group re 19 MOTION to Appoint Lead Plaintiff and Lead Counsel and for Consolidation Notice of Withdrawal of Motion of the Stonefield Group for Appointment as Lead Plaintiff and Approval of its Selection of Counsel (Rickert, Rachele) (Filed on 2/8/2005) (Entered: 02/08/2005)
46	Feb. 09, 2005	43	Notice of Withdrawal of Motion re 7 Appointment of Lead Plaintiff and Lead Counsel filed by Paul M. Bochner. (Avery, Patricia) (Filed on 2/9/2005) (Entered: 02/09/2005)
47	Feb. 10, 2005	44	ORDER RELATING CASES c-04-5132 MJJ are related to c-04-4908 and c-04-4991. Signed by Judge JAMES WARE on 2/8/05. (rd, COURT STAFF) (Filed on 2/10/2005) (Entered: 02/10/2005)
48	Feb. 11, 2005	45	Statement of Non-Opposition STATEMENT OF CERTAIN DEFENDANTS IN RESPONSE TO PLAINTIFFS' MOTIONS FOR CONSOLIDATION OF ACTIONS, APPOINTMENT OF LEAD PLAINTIFF AND APPROVAL OF LEAD COUNSEL filed by Thomas J. Toy, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Bill Huang. (Kelson, Bruce) (Filed on 2/11/2005) (Entered: 02/11/2005)
49	Feb. 14, 2005	46	NOTICE by Operating Engineers' Group of Non-Opposition to the Motion for Consolidation of Related Actions, Appointment as Lead Plaintiff and for Approval of its Selection of Lead Counsel (Epstein, Kimberly) (Filed on 2/14/2005) (Entered: 02/14/2005)
50	Feb. 14, 2005	47	Reply Memorandum re 22 MOTION to Appoint Counsel NOTICE OF MOTION AND MOTION TO APPOINT THE UTSTARCOM INVESTORS GROUP AS LEAD PLAINTIFF AND FOR APPOINTMENT OF LEAD COUNSEL; MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF filed by Lawrence Joseph. (Goldberg, Michael) (Filed on 2/14/2005) (Entered: 02/14/2005)
51	Feb. 14, 2005	48	Reply Memorandum re 22 MOTION to Appoint Counsel NOTICE OF

Req #	Filed	#	Docket Text
			MOTION AND MOTION TO APPOINT THE UTSTARCOM INVESTORS GROUP AS LEAD PLAINTIFF AND FOR APPOINTMENT OF LEAD COUNSEL; MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF (CORRECTED) filed byLawrence Joseph. (Goldberg, Michael) (Filed on 2/14/2005) (Entered: 02/14/2005)
52	Feb. 16, 2005	49	NOTICE by Sam Brott OF WITHDRAWAL OF PLAINTIFFS' MOTION FOR CONSOLIDATION, APPOINTMENT AS LEAD PLAINTIFFS, AND APPROVAL OF CHOICE OF LEAD COUNSEL (DIERINGER, STEPHANIE) (Filed on 2/16/2005) (Entered: 02/16/2005)
53	Feb. 17, 2005	50	Ex Parte Application to Strike the UTStarcom Investors Group's Untimely Opposition filed by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 2/17/2005) (Entered: 02/17/2005)
54	Feb. 17, 2005	51	Declaration of Kimberly C. Epstein in Support of 50 Ex Parte Application to Strike the UTStarcom Investors Group's Untimely Opposition filed byOperating Engineers' Group. (Related document(s) 50) (Epstein, Kimberly) (Filed on 2/17/2005) (Entered: 02/17/2005)
55	Feb. 17, 2005	52	Declaration of Tamara J. Driscoll in Support of 50 Ex Parte Application to Strike the UTStarcom Investors Group's Untimely Opposition filed byOperating Engineers' Group. (Attachments: # 1 Exhibit A)(Related document(s) 50) (Epstein, Kimberly) (Filed on 2/17/2005) (Entered: 02/17/2005)
56	Feb. 17, 2005	53	Proposed Order re 50 Ex Parte Application to Strike the UTStarcom Investors Group's Untimely Opposition by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 2/17/2005) (Entered: 02/17/2005)
57	Feb. 23, 2005	54	NOTICE by UTStarcom Investors Group re 48 Reply Memorandum, OF WITHDRAWAL OF REPLY MEMORANDUM (Goldberg, Michael) (Filed on 2/23/2005) (Entered: 02/23/2005)
58	Feb. 23, 2005	55	CERTIFICATE OF SERVICE by UTStarcom Investors Group re 54 Notice (Other) (OF UTSTARCOM INVESTORS GROUP?'S NOTICE OF WITHDRAWAL OF REPLY MEMORANDUM) (Goldberg, Michael) (Filed on 2/23/2005) (Entered: 02/23/2005)
59	Mar. 15, 2005	56	ORDER by Judge James Ware Consolidating Cases; Denying Request for Preservation of Evidence; Appointing Operating Engineers Group as Lead Plaintiff; and Approving Lead Plaintiff's Choice of Lead Counsel. (jwlc2, COURT STAFF) (Filed on 3/15/2005) (Entered: 03/15/2005)
60	Mar. 16, 2005	57	ORDER Consolidating Related Actions C04-4991, C04-5136, C04-5132 ANY OTHER RELATED actions now pending or subsequently filed in, or transferred to, this district shall be CONSOLIDATED. Signed by Judge James Ware on March 16, 2005. (jwlc2, COURT STAFF) (Filed on 3/16/2005) Modified on 7/10/2007 (cv, COURT STAFF). (Entered: 03/16/2005)
61	Mar. 16, 2005	58	ORDER Continuing Case Management Conference. Signed by Judge James Ware on March 16, 2005. (jwlc2, COURT STAFF) (Filed on 3/16/2005) (Entered: 03/16/2005)
62	Mar. 18, 2005	59	ORDER GRANTING STIPULATED REQUEST TO REMOVE DOCKET ENTRY NUMBER 11.. Signed by Judge JAMES WARE on 3/17/05. (rd, COURT STAFF) (Filed on 3/18/2005) (Entered: 03/18/2005)
63	Mar. 20, 2005		clerk removed docket number #11 from docket sheet pursuant to order

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			filed on 3/18/05 docket #59 (cv, COURT STAFF) (Filed on 3/20/2005) (Entered: 03/20/2005)
64	Apr. 07, 2005	60	CERTIFICATE OF SERVICE by Jeffrey D. Lebzetter Declaration of Service Regarding Summons and Complaint (Rickert, Rachele) (Filed on 4/7/2005) (Entered: 04/07/2005)
65	Apr. 20, 2005	61	STIPULATION AND PROPOSED ORDER FOR POSTPONEMENT OF FILING OF CASE MANAGEMENT CONFERENCE STATEMENT AND CASE MANAGEMENT CONFERENCE by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 4/20/2005) Modified on 4/27/2005 (cv, COURT STAFF). (Entered: 04/20/2005)
66	Apr. 27, 2005		Received Document certified copy of order transferring case to this District and docket sheet to be consolidated with C04-4908 JW PVT from the District Court of Idaho their case number CV04-541-S-EJL. (cv, COURT STAFF) (Filed on 4/27/2005) Modified on 6/1/2005 (cv, COURT STAFF). (Entered: 05/26/2005)
67	Apr. 28, 2005	62	STIPULATION AND ORDER*(MODIFIED BY THE COURT) CONTINUING CASE MANAGEMENT CONFERENCE UNTIL MON. AUGUST 29, 2005 AT 10:00 AM.. Signed by Judge JAMES WARE on 4/28/05. (rd, COURT STAFF) (Filed on 4/28/2005) (Entered: 04/28/2005)
68	May 10, 2005	63	STIPULATION AND PROPOSED ORDER TO extend the filing of the consolidated complaint to 6/2805 filed by Plaintiff's (Entered: 05/10/2005)
69	Jun. 28, 2005	64	STIPULATION AND PROPOSED ORDER TO EXTEND THE FILING OF THE CONSOLIDATED COMPLAINT BY TWO DAYS TO JUNE 30, 2005 by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 6/28/2005) Modified on 7/27/2005 (cv, COURT STAFF). (Entered: 06/28/2005)
70	Jun. 30, 2005	65	CONSOLIDATED COMPLAINT against all defendants. Filed by Operating Engineers' Group. (Robbins, Darren) (Filed on 6/30/2005) Modified on 4/10/2009 (cv, COURT STAFF). Modified on 4/10/2009 (cv, COURT STAFF). (Entered: 07/01/2005)
71	Jul. 01, 2005	66	CORRECTED CONSOLIDATED COMPLAINT for Violations of the Federal Securities Laws against Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. Filed by Operating Engineers' Group. (Robbins, Darren) (Filed on 7/1/2005) Modified on 4/10/2009 (cv, COURT STAFF). Modified on 4/10/2009 (cv, COURT STAFF). (Entered: 07/01/2005)
72	Jul. 01, 2005	67	CERTIFICATE OF SERVICE by Operating Engineers' Group re 66 Amended Complaint, Declaration of Service Via Hand Delivery (Robbins, Darren) (Filed on 7/1/2005) (Entered: 07/01/2005)
73	Jul. 08, 2005	68	CERTIFICATE OF SERVICE by Operating Engineers' Group re 65 Amended Complaint, 66 Amended Complaint,, 67 Certificate of Service Corrected Declaration of Service (Robbins, Darren) (Filed on 7/8/2005) (Entered: 07/08/2005)
74	Jul. 26, 2005	69	STIPULATION AND ORDER TO ALLOW PLAINTIFFS TO FILE AN AMENDED CONSOLIDATED COMPLAINT by 7/26/05 by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 7/26/2005) Modified on 7/27/2005 (cv, COURT STAFF). (Entered: 07/26/2005)

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75	Jul. 26, 2005	70	FIRST AMENDED CONSOLIDATED COMPLAINT For Violation of the Federal Securities Laws against all defendants. Filed by Operating Engineers' Group. (Robbins, Darren) (Filed on 7/26/2005) Modified on 4/10/2009 (cv, COURT STAFF). (Entered: 07/26/2005)
76	Jul. 27, 2005	71	AFFIDAVIT of Service for FIRST AMENDED CONSOLIDATED COMPLAINT FOR VIOLATION OF THE FEDERA SECURITIES LAWS served on Jeffrey S. Facter, Stephen D. Hibbard and Bruce B. Kelson of Shearman & Sterling LLP on 07/27/05, filed by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 7/27/2005) (Entered: 07/27/2005)
77	Jul. 27, 2005	72	STIPULATION AND ORDER EXTENDING THE FILING OF THE CONSOLIDATED COMPLT.. Signed by Judge JAMES WARE on 07/27/05. (rd, COURT STAFF) (Filed on 7/27/2005) (Entered: 07/27/2005)
78	Jul. 27, 2005	73	STIPULATION AND ORDER ALLOWING PLAINTIFFS TO FILE AN AMENDED CONSOLIDATED COMPT.. Signed by Judge JAMES WARE on 07/27/05. (rd, COURT STAFF) (Filed on 7/27/2005) (Entered: 07/27/2005)
79	Jul. 27, 2005		Summons Issued as to SoftBank Holdings Inc., Banc of America Securities LLC, Softbank America Inc.,. (Entered: 07/27/2005)
80	Jul. 28, 2005	74	SUMMONS Returned Executed by Operating Engineers' Group. Banc of America Securities LLC served on 7/27/2005, answer due 8/16/2005; Softbank America Inc., served on 7/28/2005, answer due 8/17/2005; SoftBank Holdings Inc., served on 7/28/2005, answer due 8/17/2005. (Epstein, Kimberly) (Filed on 7/28/2005) (Entered: 07/28/2005)
81	Aug. 17, 2005	75	*** FILED IN ERROR. PLEASE SEE DOCKET # 76 . *** STIPULATION STIPULATION REGARDING BRIEFING SCHEDULE (BANC OF AMERICA SECURITIES LLC) by Banc of America Securities LLC. (Sum, Sylvia) (Filed on 8/17/2005) Modified on 8/17/2005 (ewn, COURT STAFF). (Entered: 08/17/2005)
82	Aug. 17, 2005	76	STIPULATION STIPULATION REGARDING BRIEFING SCHEDULE (Banc of America Securities LLC) - CORRECTION OF DOCKET # 75 by Operating Engineers' Group. (Sum, Sylvia) (Filed on 8/17/2005) (Entered: 08/17/2005)
83	Aug. 18, 2005	77	STIPULATION STIPULATION REGARDING BRIEFING SCHEDULE (SOFTBANK HOLDINGS INC. AND SOFTBANK AMERICA INC.) by Operating Engineers' Group. (Attachments: # 1 Signature Page (Declarations/Stipulations))(Sum, Sylvia) (Filed on 8/18/2005) (Entered: 08/18/2005)
84	Aug. 22, 2005	78	STIPULATION AND ORDER RE: BRIEFING SCHEDULE RE: SOFTBANK HOLDINGS.. Signed by Judge JAMES WARE on 8/22/05. (rd, COURT STAFF) (Filed on 8/22/2005) (Entered: 08/22/2005)
85	Aug. 22, 2005	79	STIPULATION AND ORDER RE: BRIEFING SCHEDULE RE: BANC OF AMERICA SECURITIES. Signed by Judge JAMES WARE on 8/22/05. (rd, COURT STAFF) (Filed on 8/22/2005) (Entered: 08/22/2005)
86	Sep. 19, 2005	80	NOTICE of Change of Firm Address by Lawrence Joseph (cv, COURT STAFF) (Filed on 9/19/2005) (Entered: 09/22/2005)
87	Sep. 30, 2005	81	NOTICE of Substitution of Counsel by Bahram Seyedin-Noor for Defendants UTStarcom, Inc., Hong Liang Lu, Michael J. Sophie, Howad

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			Kwock, Gerald S. Soloway, Shao-Ning J. Chou, William X. Huang, Thomas J. Toy and Ying Wu (Seyedin-Noor, Bahram) (Filed on 9/30/2005) (Entered: 09/30/2005)
<u>88</u>	Sep. 30, 2005	82	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 81 Notice of Substitution of Counsel, (Seyedin-Noor, Bahram) (Filed on 9/30/2005) (Entered: 09/30/2005)
<u>89</u>	Oct. 06, 2005	83	STIPULATION Joint Stipulation and [Proposed] Order Expanding Page Limits For UTSTARCOM Defendants' Motion to Dismiss First Amended Consolidated Complaint, Plaintiffs' Opposition Brief, and Defendants' Reply Brief by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1)(Johnson, Terry) (Filed on 10/6/2005) (Entered: 10/06/2005)
<u>90</u>	Oct. 07, 2005	84	ORDER approving the parties stipulated request to expand page limits on briefs re: defendants' motion to dismiss. Signed by Judge JAMES WARE on 10/07/05. (rd, COURT STAFF) (Filed on 10/7/2005) (Entered: 10/07/2005)
<u>91</u>	Oct. 07, 2005	85	Ex Parte Application filed by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 10/7/2005) (Entered: 10/07/2005)
<u>92</u>	Oct. 07, 2005	86	Declaration of Kimberly C. Epstein in Support of 85 Ex Parte Application filed by Operating Engineers' Group. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D# 5 Exhibit E# 6 Exhibit F)(Related document(s) 85) (Epstein, Kimberly) (Filed on 10/7/2005) (Entered: 10/07/2005)
<u>93</u>	Oct. 07, 2005	87	Proposed Order re 85 Ex Parte Application by Operating Engineers' Group. (Epstein, Kimberly) (Filed on 10/7/2005) (Entered: 10/07/2005)
<u>94</u>	Oct. 10, 2005	88	Memorandum in Opposition re 85 Ex Parte Application to Stay Briefing on Motions to Dismiss filed by UTSTARCOM, INC. (Feldman, Boris) (Filed on 10/10/2005) (Entered: 10/10/2005)
<u>95</u>	Oct. 10, 2005	89	Proposed Order re 88 Memorandum in Opposition to Plaintiffs Ex Parte Application to Stay Briefing on Motions to Dismiss by UTSTARCOM, INC. (Feldman, Boris) (Filed on 10/10/2005) (Entered: 10/10/2005)
<u>96</u>	Oct. 10, 2005	90	CERTIFICATE OF SERVICE by UTSTARCOM, INC re 88 Memorandum in Opposition, 89 Proposed Order (Feldman, Boris) (Filed on 10/10/2005) (Entered: 10/10/2005)
<u>97</u>	Oct. 10, 2005	91	Memorandum in Opposition to Plaintiffs' Ex Parte Application to Stay Briefing on Motions to Dismiss filed by Banc of America Securities LLC. (Meny, Rachael) (Filed on 10/10/2005) (Entered: 10/10/2005)
<u>98</u>	Oct. 26, 2005	92	ORDER by Judge James Ware granting 85 Ex Parte Application. (jwlc3, COURT STAFF) (Filed on 10/26/2005) (Entered: 10/26/2005)
<u>99</u>	Nov. 23, 2005	93	MOTION for Leave to File NOTICE OF MOTION AND MOTION FOR LEAVE TO FILE THE SECOND AMENDED COMPLAINT AND MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF filed by Erwin DeBruycker, Operating Engineers' Group. Motion Hearing set for 2/13/2006 09:00 AM. (Epstein, Kimberly) (Filed on 11/23/2005) (Entered: 11/23/2005)
<u>100</u>	Nov. 23, 2005	94	Proposed Order re 93 MOTION for Leave to File NOTICE OF MOTION

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			AND MOTION FOR LEAVE TO FILE THE SECOND AMENDED COMPLAINT AND MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF by Operating Engineers' Group, Erwin DeBruycker. (Epstein, Kimberly) (Filed on 11/23/2005) (Entered: 11/23/2005)
<u>101</u>	Dec. 01, 2005	95	NOTICE of Appearance by Amie Danielle Rooney (Rooney, Amie) (Filed on 12/1/2005) (Entered: 12/01/2005)
<u>102</u>	Dec. 01, 2005	96	NOTICE of Appearance by Robert A. Sacks (Sacks, Robert) (Filed on 12/1/2005) (Entered: 12/01/2005)
<u>103</u>	Dec. 02, 2005	97	MOTION for leave to appear in Pro Hac Vice of Attorney Ronit Setton (fee paid) filed by Banc of America Securities LLC. (cv, COURT STAFF) (Filed on 12/2/2005) (Entered: 12/05/2005)
<u>104</u>	Dec. 02, 2005		Proposed Order re 97 MOTION for leave to appear in Pro Hac Vice of Attorney Ronit Sutton by Banc of America Securities LLC. (cv, COURT STAFF) (Filed on 12/2/2005) (Entered: 12/05/2005)
<u>105</u>	Dec. 02, 2005	98	MOTION for leave to appear in Pro Hac Vice of Attorney Gregory A. Markel (fee paid) filed by Banc of America Securities LLC. (cv, COURT STAFF) (Filed on 12/2/2005) (Entered: 12/05/2005)
<u>106</u>	Dec. 02, 2005		Received Order re 98 MOTION for leave to appear in Pro Hac Vice of Attorney Gregory A. Markels by Banc of America Securities LLC. (cv, COURT STAFF) (Filed on 12/2/2005) (Entered: 12/05/2005)
<u>107</u>	Dec. 02, 2005	100	ORDER by Judge James Ware granting 98 Motion for Pro Hac Vice of Attorney Gregory A. Markel's (cv, COURT STAFF) (Filed on 12/2/2005) (Entered: 12/05/2005)
<u>108</u>	Dec. 02, 2005	101	ORDER by Judge James Ware granting 97 Motion for Pro Hac Vice of Attorney Ronit Sutton's (cv, COURT STAFF) (Filed on 12/2/2005) (Entered: 12/05/2005)
<u>109</u>	Dec. 05, 2005	99	CERTIFICATE OF SERVICE by Banc of America Securities LLC re 98 MOTION for leave to appear in Pro Hac Vice, Received Order, 97 MOTION for leave to appear in Pro Hac Vice, Proposed Order (cv, COURT STAFF) (Filed on 12/5/2005) (Entered: 12/05/2005)
<u>110</u>	Dec. 06, 2005		Remark - clerk mailed copy of order filed on 12/2/05 to Attorney Gregory A. Markels along with the E-filing registration form. (cv, COURT STAFF) (Filed on 12/6/2005) (Entered: 12/06/2005)
<u>111</u>	Dec. 06, 2005		Remark - clerk mailed copy of order filed on 12/2/05 to Attorney Ronit Sutton's along with the E-Filing registration form. (cv, COURT STAFF) (Filed on 12/6/2005) (Entered: 12/06/2005)
<u>112</u>	Dec. 20, 2005	102	Memorandum in Opposition to Motion for Leave to File Second Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Johnson, Terry) (Filed on 12/20/2005) (Entered: 12/20/2005)
<u>113</u>	Dec. 20, 2005	103	Declaration of Cheryl W. Founq in Support of 102 Memorandum in Opposition, to Motion for Leave to File Second Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit A)(Related document(s) 102) (Johnson, Terry)

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			(Filed on 12/20/2005) (Entered: 12/20/2005)
<u>114</u>	Dec. 20, 2005	104	EXHIBITS re 103 Declaration in Support, of Opposition to Motion for Leave to File Second Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit C# 2 Exhibit D)(Related document(s) 103) (Johnson, Terry) (Filed on 12/20/2005) (Entered: 12/20/2005)
<u>115</u>	Dec. 20, 2005	105	NOTICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 103 Declaration in Support, Notice of Appearance of Counsel (Cheryl W. Fong) (Attachments: # 1 Supplement Certificate of Service)(Johnson, Terry) (Filed on 12/20/2005) (Entered: 12/20/2005)
<u>116</u>	Dec. 22, 2005	106	STIPULATION AND PROPOSED ORDER VACATING JANUARY 9, 2006 CASE MANAGEMENT CONFERENCE by Operating Engineers' Group. (Huang, Shirley) (Filed on 12/22/2005) (Entered: 12/22/2005)
<u>117</u>	Dec. 28, 2005	107	STIPULATION AND ORDER VACATING CASE MANAGEMENT CONFERENCE ON 01/09/06. Signed by Judge James Ware on 12/28/05. (mp, COURT STAFF) (Filed on 12/28/2005) (Entered: 12/28/2005)
<u>118</u>	Dec. 30, 2005	108	Memorandum in Opposition re 93 MOTION for Leave to File NOTICE OF MOTION AND MOTION FOR LEAVE TO FILE THE SECOND AMENDED COMPLAINT AND MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF filed by Banc of America Securities LLC. (Attachments: # 1 Affidavit of Service)(Markel, Gregory) (Filed on 12/30/2005) (Entered: 12/30/2005)
<u>119</u>	Jan. 10, 2006	109	Joinder in Opposition to Plaintiffs' Motion for Leave to File Second Amended Complaint by SoftBank Holdings Inc., Softbank America Inc., (Rooney, Amie) (Filed on 1/10/2006) (Entered: 01/10/2006)
<u>120</u>	Jan. 30, 2006	110	RESPONSE in Support re 93 MOTION for Leave to File NOTICE OF MOTION AND MOTION FOR LEAVE TO FILE THE SECOND AMENDED COMPLAINT AND MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF PLAINTIFFS' REPLY IN FURTHER SUPPORT OF MOTION FOR LEAVE TO FILE THE SECOND AMENDED COMPLAINT filed by Operating Engineers' Group. (Huang, Shirley) (Filed on 1/30/2006) (Entered: 01/30/2006)
<u>121</u>	Jan. 30, 2006	111	Declaration of SHIRLEY H. HUANG IN SUPPORT OF PLAINTIFFS' REPLY IN FURTHER SUPPORT OF MOTION FOR LEAVE TO FILE THE SECOND AMENDED COMPLAINT filed by Operating Engineers' Group. (Attachments: # 1 # 2)(Huang, Shirley) (Filed on 1/30/2006) (Entered: 01/30/2006)
<u>122</u>	Feb. 08, 2006	112	STATEMENT OF RECENT DECISION pursuant to Civil Local Rule 7-3.d IN SUPPORT OF PLAINTIFFS' MOTION FOR LEAVE TO FILE SECOND AMENDED COMPLAINT filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1)(Huang, Shirley) (Filed on 2/8/2006) (Entered: 02/08/2006)
<u>123</u>	Feb. 10, 2006	113	STIPULATION and [Proposed] Order Regarding Defendant Banc of America Securities LLCs Substitution of Counsel and Notice of Appearance of Morgan Lewis & Bockius LLP by Banc of America Securities LLC. (Attachments: # 1 Exhibit A)(Meny, Rachael) (Filed on

Req #	Filed	#	Docket Text
			2/10/2006) (Entered: 02/10/2006)
<u>124</u>	Feb. 13, 2006	114	STIPULATION AND ORDER re: BANK OF AMERICA'S SUBSTITUTION OF COUNSEL. Signed by Judge James Ware on 02/13/06. (mp, COURT STAFF) (Filed on 2/13/2006) (Entered: 02/13/2006)
<u>125</u>	Feb. 15, 2006	115	Minute Entry: Motion Hearing held on 2/13/2006 before James Ware (Date Filed: 2/15/2006). (Court Reporter Irene Rodriguez.) (mp, COURT STAFF) (Date Filed: 2/15/2006) (Entered: 02/15/2006)
<u>126</u>	Feb. 16, 2006	116	NOTICE of Appearance by Christopher Paul Seefer NOTICE OF APPEARANCE OF COUNSEL (Seefer, Christopher) (Filed on 2/16/2006) (Entered: 02/16/2006)
<u>127</u>	Feb. 21, 2006	117	NOTICE of Appearance by Vincent P. Finigan, Jr NOTICE OF APPEARANCE OF ADDITIONAL COUNSEL (Finigan, Vincent) (Filed on 2/21/2006) (Entered: 02/21/2006)
<u>128</u>	Mar. 01, 2006	118	ORDER by Judge James Ware granting 93 Motion for Leave to File (jwlc2, COURT STAFF) (Filed on 3/1/2006). (Entered: 03/01/2006)
<u>129</u>	Mar. 16, 2006	119	STIPULATION AND [PROPOSED] ORDER SETTING BRIEFING SCHEDULE by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 3/16/2006) (Entered: 03/16/2006)
<u>130</u>	Mar. 16, 2006	120	NOTICE of Appearance by Vincent P. Finigan, Jr Notice of Appearance of Additional Counsel - Amanda Kosowsky (Finigan, Vincent) (Filed on 3/16/2006) (Entered: 03/16/2006)
<u>131</u>	Mar. 16, 2006	121	NOTICE of Appearance by Vincent P. Finigan, Jr Notice of Appearance of Additional Counsel - Ronit Setton (Finigan, Vincent) (Filed on 3/16/2006) (Entered: 03/16/2006)
<u>132</u>	Mar. 17, 2006	122	STIPULATION AND ORDER SETTING BRIEFING SCHEDULE. Signed by Judge James Ware on 03/17/06. (mp, COURT STAFF) (Filed on 3/17/2006) (Entered: 03/17/2006)
<u>133</u>	Apr. 13, 2006	123	NOTICE by Operating Engineers' Group, Erwin DeBruycker NOTICE OF COMPLIANCE WITH MARCH 1, 2006 ORDER (Seefer, Christopher) (Filed on 4/13/2006) (Entered: 04/13/2006)
<u>134</u>	Apr. 13, 2006	124	SECOND AMENDED CONSOLIDATED COMPLAINT FOR VIOLATIONS OF THE FEDERAL SECURITIES LAWS against all defendants. Filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4)(Seefer, Christopher) (Filed on 4/13/2006) Modified on 4/10/2009 (cv, COURT STAFF). (Entered: 04/13/2006)
<u>135</u>	May 01, 2006	125	MOTION for Order to Republish Class Notice and Reappoint Lead Plaintiff; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. Motion Hearing set for 6/5/2006 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Attachments: # 1 Proposed Order # 2 Supplement Certificate of Service)(Johnson, Terry) (Filed on 5/1/2006) (Entered: 05/01/2006)
<u>136</u>	May 01, 2006	126	Declaration of Cheryl Foug in Support of 125 MOTION for Order to Republish Class Notice and Reappoint Lead Plaintiff; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3

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			Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6# 7 Exhibit 7)(Related document(s) 125) (Johnson, Terry) (Filed on 5/1/2006) (Entered: 05/01/2006)
137	May 01, 2006	127	EXHIBITS re 126 Declaration in Support, filed byThomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 9)(Related document(s) 126) (Johnson, Terry) (Filed on 5/1/2006) (Entered: 05/01/2006)
138	May 04, 2006	128	MOTION for Joinder in Motion for Order to Republish Class Notice and Reappoint Lead Plaintiff filed by Softbank America Inc.,, SoftBank Holdings Inc.,. Motion Hearing set for 6/5/2006 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Rooney, Amie) (Filed on 5/4/2006) (Entered: 05/04/2006)
139	May 12, 2006	129	NOTICE of Appearance by Jason de Bretteville (de Bretteville, Jason) (Filed on 5/12/2006) (Entered: 05/12/2006)
140	May 15, 2006	130	Memorandum in Opposition PLAINTIFFS' OPPOSITION TO UTSTARCOM DEFENDANTS' MOTION FOR ORDER TO REPUBLISH CLASS NOTICE AND REAPPOINT LEAD PLAINTIFF filed byOperating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 5/15/2006) (Entered: 05/15/2006)
141	May 15, 2006	131	Declaration of CHRISTOPHER P. SEEFER in Support of 130 Memorandum in Opposition, PLAINTIFFS' OPPOSITION TO UTSTARCOM DEFENDANTS' MOTION FOR ORDER TO REPUBLISH CLASS NOTICE AND REAPPOINT LEAD PLAINTIFF filed byOperating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4, part 1# 5 Exhibit 4, part 2)(Related document(s) 130) (Seefer, Christopher) (Filed on 5/15/2006) (Entered: 05/15/2006)
142	May 22, 2006	132	REPLY to Response to Motion re 125 MOTION for Order to Republish Class Notice and Reappoint Lead Plaintiff; Memorandum in Support filed byThomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Supplement Certificate of Service)(Johnson, Terry) (Filed on 5/22/2006) (Entered: 05/22/2006)
143	May 22, 2006	133	Declaration of Cheryl Fount in Support of 132 Reply to Response to Motion, for Order to Republish Class Notice and Reappoint Lead Plaintiff filed byThomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 10)(Related document(s) 132) (Johnson, Terry) (Filed on 5/22/2006) (Entered: 05/22/2006)
144	May 23, 2006	134	NOTICE of Appearance by Scott Christensen Hall (Hall, Scott) (Filed on 5/23/2006) (Entered: 05/23/2006)
145	May 24, 2006	135	ORDER by Judge James Ware continuing hearing. (jwlc2, COURT STAFF) (Filed on 5/24/2006). (Entered: 05/24/2006)
146	May 31, 2006	136	STIPULATION [JOINT] and [PROPOSED] ORDER EXPANDING PAGE LIMITS FOR UTSTARCOM DEFENDANTS' MOTION TO DISMISS SECOND AMENDED CONSOLIDATED COMPLAINT, PLAINTIFFS' OPPOSITION BRIEF AND DEFENDANTS' REPLY BRIEF by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang

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			Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Johnson, Terry) (Filed on 5/31/2006) (Entered: 05/31/2006)
147	May 31, 2006	137	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 136 Stipulation, and [Proposed] Order Expanding Page Limits for UTStarcom Defendants' Motion to Dismiss Second Amended Consolidated Complaint, Plaintiffs' Opposition Brief and Defendants' Reply Brief (Johnson, Terry) (Filed on 5/31/2006) (Entered: 05/31/2006)
148	May 31, 2006	138	NOTICE of Appearance by Vincent P. Finigan, Jr Notice of Appearance by Inna Zatulovsky (Finigan, Vincent) (Filed on 5/31/2006) (Entered: 05/31/2006)
149	Jun. 01, 2006	139	STIPULATION AND ORDER EXPANDING PAGE LIMITS FOR UTSTARCOM DEFENDANTS' MOTION TO DISMISS SECOND AMENDED COMPLAINT, PLAINTIFFS' OPPOSITION BRIEF, AND DEFENDANTS' REPLY BRIEF. Signed by Judge JAMES WARE on 06/01/06. (mp, COURT STAFF) (Filed on 6/1/2006) (Entered: 06/01/2006)
150	Jun. 02, 2006	140	MOTION to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. Motion Hearing set for 9/11/2006 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Attachments: # 1 Proposed Order)(Johnson, Terry) (Filed on 6/2/2006) (Entered: 06/02/2006)
151	Jun. 02, 2006	141	Request for Judicial Notice re 140 MOTION to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Related document(s) 140) (Johnson, Terry) (Filed on 6/2/2006) (Entered: 06/02/2006)
152	Jun. 02, 2006	142	Declaration of Cheryl Foug in Support of 140 MOTION to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6# 7 Exhibit 7# 8 Exhibit 8# 9 Exhibit 9# 10 Exhibit 10# 11 Exhibit 11# 12 Exhibit 12# 13 Exhibit 13# 14 Exhibit 14# 15 Exhibit 15# 16 Exhibit 16# 17 Exhibit 17# 18 Exhibit 18# 19 Exhibit 19# 20 Exhibit 20)(Related document(s) 140) (Johnson, Terry) (Filed on 6/2/2006) (Entered: 06/02/2006)
153	Jun. 02, 2006	143	EXHIBITS re 142 Declaration in Support,, filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 21# 2 Exhibit 22# 3 Exhibit 23# 4 Exhibit 24# 5 Exhibit 25# 6 Exhibit 26# 7 Exhibit 27# 8 Exhibit 28# 9 Supplement

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			29# 10 Exhibit 30# 11 Exhibit 31# 12 Exhibit 32# 13 Exhibit 33# 14 Exhibit 34# 15 Exhibit 35# 16 Exhibit 36# 17 Exhibit 37# 18 Exhibit 38# 19 Exhibit 39# 20 Exhibit 40# 21 Exhibit 41# 22 Exhibit 42# 23 Exhibit 43# 24 Exhibit 44# 25 Exhibit 45)(Related document(s) 142) (Johnson, Terry) (Filed on 6/2/2006) (Entered: 06/02/2006)
154	Jun. 02, 2006	144	EXHIBITS re 142 Declaration in Support,, filed byThomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 46# 2 Exhibit 47# 3 Exhibit 48# 4 Exhibit 49# 5 Exhibit 50# 6 Exhibit 51# 7 Exhibit 52# 8 Exhibit 53# 9 Exhibit 54# 10 Exhibit 55# 11 Exhibit 56# 12 Exhibit 57# 13 Exhibit 58# 14 Exhibit 59# 15 Exhibit 60A# 16 Exhibit 60B# 17 Exhibit 61# 18 Exhibit 62# 19 Exhibit 63# 20 Exhibit 64# 21 Exhibit 65# 22 Exhibit 66# 23 Exhibit 67)(Related document(s) 142) (Johnson, Terry) (Filed on 6/2/2006) (Entered: 06/02/2006)
155	Jun. 02, 2006	145	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 144 Exhibits,,, 141 Request for Judicial Notice,, 140 MOTION to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support, 143 Exhibits,,, 142 Declaration in Support,, (Johnson, Terry) (Filed on 6/2/2006) (Entered: 06/02/2006)
156	Jun. 02, 2006	146	MOTION to Dismiss Second Amended Complaint filed by Banc of America Securities LLC. Motion Hearing set for 9/11/2006 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Attachments: # 1)(Markel, Gregory) (Filed on 6/2/2006) (Entered: 06/02/2006)
157	Jun. 02, 2006	147	Request for Judicial Notice re 146 MOTION to Dismiss Second Amended Complaint filed byBanc of America Securities LLC. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D# 5 Exhibit E# 6 Exhibit F)(Related document(s) 146) (Markel, Gregory) (Filed on 6/2/2006) (Entered: 06/02/2006)
158	Jun. 02, 2006	148	CERTIFICATE OF SERVICE by Banc of America Securities LLC re 146 MOTION to Dismiss Second Amended Complaint (Markel, Gregory) (Filed on 6/2/2006) (Entered: 06/02/2006)
159	Jun. 02, 2006	149	MOTION to Dismiss Second Amended Consolidated Complaint and Memorandum of Law in Support Thereof filed by Softbank America Inc.,, SoftBank Holdings Inc.,. Motion Hearing set for 9/11/2006 09:00 AM in Courtroom 8, 4th Floor, San Jose. (de Bretteville, Jason) (Filed on 6/2/2006) (Entered: 06/02/2006)
160	Jun. 02, 2006	150	Proposed Order re 149 MOTION to Dismiss Second Amended Consolidated Complaint and Memorandum of Law in Support Thereof by Softbank America Inc.,, SoftBank Holdings Inc.,. (de Bretteville, Jason) (Filed on 6/2/2006) (Entered: 06/02/2006)
161	Jun. 02, 2006	151	Proposed Order re 146 MOTION to Dismiss Second Amended Complaint by Banc of America Securities LLC. (Markel, Gregory) (Filed on 6/2/2006) (Entered: 06/02/2006)
162	Jun. 09, 2006	152	Minute Entry: Motion Hearing held on 6/9/2006 before James Ware (Date Filed: 6/9/2006). (Court Reporter Gina Colin.) (mp, COURT STAFF)

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			(Date Filed: 6/9/2006) (Entered: 06/09/2006)
<u>163</u>	Jun. 13, 2006		Summons Issued as to Thomas J. Toy, Ying Wu, Banc of America Securities LLC, Softbank America Inc., SoftBank Holdings Inc., UTSTARCOM, INC, Hong Liang Lu, Softbank Corporation, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. on the second amended complaint (cv, COURT STAFF) (Filed on 6/13/2006) (Entered: 06/13/2006)
<u>164</u>	Jun. 26, 2006	153	ORDER by Judge James Ware denying 125 128 Motion for order to republish class notice and reappoint lead plaintiff. (jwlc2, COURT STAFF) (Filed on 6/26/2006). (Entered: 06/26/2006)
<u>165</u>	Jun. 26, 2006	154	TRANSCRIPT of Proceedings held on 6/9/06 before Judge James Ware. Court Reporter: Georgina Galban Colin.. (cv, COURT STAFF) (Filed on 6/26/2006) (Entered: 06/26/2006)
<u>166</u>	Jul. 21, 2006	155	Memorandum in Opposition LEAD PLAINTIFFS' OPPOSITION TO THE UTSTARCOM DEFENDANTS' MOTION TO DISMISS THE SECOND AMENDED CONSOLIDATED COMPLAINT filed by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 7/21/2006) (Entered: 07/21/2006)
<u>167</u>	Jul. 21, 2006	156	Memorandum in Opposition LEAD PLAINTIFFS' OPPOSITION TO DEFENDANTS SOFTBANK HOLDINGS INC. AND SOFTBANK AMERICA INC.'S MOTION TO DISMISS filed by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 7/21/2006) (Entered: 07/21/2006)
<u>168</u>	Jul. 21, 2006	157	Declaration of CHRISTOPHER P. SEEFER in Support of 155 Memorandum in Opposition,, 156 Memorandum in Opposition, DECLARATION OF CHRISTOPHER P. SEEFER IN SUPPORT OF LEAD PLAINTIFFS' OPPOSITIONS TO (1) THE UTSTARCOM DEFENDANTS' AND (2) DEFENDANTS SOFTBANK HOLDINGS INC. AND SOFTBANK AMERICA INC.'S MOTIONS TO DISMISS THE SECOND AMENDED CONSOLIDATED COMPLAINT filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6)(Related document(s) 155 , 156) (Seefer, Christopher) (Filed on 7/21/2006) (Entered: 07/21/2006)
<u>169</u>	Jul. 21, 2006	158	MEMORANDUM in Opposition LEAD PLAINTIFFS' RESPONSE TO DEFENDANTS' REQUESTS FOR JUDICIAL NOTICE filed by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 7/21/2006) (Entered: 07/21/2006)
<u>170</u>	Jul. 21, 2006	159	NOTICE of Voluntary Dismissal NOTICE OF VOLUNTARY DISMISSAL OF BANC OF AMERICA SECURITIES, LLC by Operating Engineers' Group, Erwin DeBruycker (Seefer, Christopher) (Filed on 7/21/2006) (Entered: 07/21/2006)
<u>171</u>	Aug. 18, 2006	160	REPLY to Response to Motion to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Johnson, Terry) (Filed on 8/18/2006) (Entered: 08/18/2006)
<u>172</u>	Aug. 18, 2006	161	Request for Judicial Notice re 160 Reply to Response to Motion, to

Req #	Filed	#	Docket Text
			Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Related document(s) 160) (Johnson, Terry) (Filed on 8/18/2006) (Entered: 08/18/2006)
173	Aug. 18, 2006	162	Declaration of Cheryl Foug in Support of 161 Request for Judicial Notice,, 160 Reply to Response to Motion, to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 68# 2 Exhibit 69# 3 Exhibit 70# 4 Exhibit 71# 5 Exhibit 72)(Related document(s) 161 , 160) (Johnson, Terry) (Filed on 8/18/2006) (Entered: 08/18/2006)
174	Aug. 18, 2006	163	EXHIBITS re 162 Declaration in Support,, of Motion to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 74# 2 Exhibit 75# 3 Exhibit 76# 4 Exhibit 77# 5 Exhibit 78# 6 Exhibit 79)(Related document(s) 162) (Johnson, Terry) (Filed on 8/18/2006) (Entered: 08/18/2006)
175	Aug. 18, 2006	164	EXHIBITS re 162 Declaration in Support,, of Motion to Dismiss Second Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit 81# 2 Exhibit 82# 3 Exhibit 83)(Related document(s) 162) (Johnson, Terry) (Filed on 8/18/2006) (Entered: 08/18/2006)
176	Aug. 18, 2006	165	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 161 Request for Judicial Notice,, 160 Reply to Response to Motion,, 163 Exhibits,, 162 Declaration in Support,, 164 Exhibits, (Johnson, Terry) (Filed on 8/18/2006) (Entered: 08/18/2006)
177	Aug. 18, 2006	166	Reply Memorandum of Defendants Softbank Holdings, Inc. and Softbank America, Inc. In Support of Motion to Dismiss Second Amended Complaint filed by Softbank America Inc., SoftBank Holdings Inc.. (Rooney, Amie) (Filed on 8/18/2006) (Entered: 08/18/2006)
178	Aug. 23, 2006	167	STATEMENT OF RECENT DECISION pursuant to Civil Local Rule 7-3.d filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Attachment (part 1)# 2 Attachment (part 2))(Sefer, Christopher) (Filed on 8/23/2006) (Entered: 08/23/2006)
179	Sep. 01, 2006	168	STATEMENT OF RECENT DECISION pursuant to Civil Local Rule 7-3.d filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Attachment)(Sefer, Christopher) (Filed on 9/1/2006) (Entered: 09/01/2006)
180	Sep. 07, 2006	169	ORDER CONTINUING HEARINGS ON UTSTARCOM DEFENDANTS' MOTION TO DISMISS AND DEFENDANTS

Req #	Filed	#	Docket Text
			SOFTBANK HOLDINGS, INC. AND SOFTBANK AMERICA, INC.'S MOTION TO DISMISS (jwlc1, COURT STAFF) (Filed on 9/7/2006) (Entered: 09/07/2006)
181	Sep. 13, 2006	170	STIPULATION AND [PROPOSED] ORDER CONTINUING HEARINGS ON UTSTARCOM DEFENDANTS' MOTION TO DISMISS DEFENDANTS SOFTBANK HOLDINGS, INC. AND SOFTBANK AMERICA, INC.'S MOTION TO DISMISS by Operating Engineers' Group, Erwin DeBruycker. (Huang, Shirley) (Filed on 9/13/2006) (Entered: 09/13/2006)
182	Sep. 14, 2006	171	STIPULATION AND ORDER continued from 09/11/2006 to 10/23/2006 09:00 AM in Courtroom 8, 4th Floor, San Jose. Signed by Judge James Ware on 09/13/06. (ecg, COURT STAFF) (Filed on 9/14/2006) (Entered: 09/14/2006)
183	Oct. 10, 2006	172	STIPULATION (JOINT) AND [PROPOSED] ORDER EXTENDING TIME TO RESPOND by Softbank Corporation. (Rooney, Amie) (Filed on 10/10/2006) (Entered: 10/10/2006)
184	Oct. 11, 2006	173	STIPULATION AND ORDER Extending Softbank Corporation's Time to Respond. Signed by Judge James Ware on 10/11/06. (ecg, COURT STAFF) (Filed on 10/11/2006) (Entered: 10/11/2006)
185	Oct. 18, 2006	174	ORDER VACATING HEARING ON UTSTARCOM DEFENDANTS' AND DEFENDANTS SOFTBANK HOLDINGS, INC. AND SOFTBANK AMERICA, INC.'S MOTIONS TO DISMISS SECOND AMENDED CONSOLIDATED COMPLAINT (jwlc1, COURT STAFF) (Filed on 10/18/2006) (Entered: 10/18/2006)
186	Oct. 18, 2006	175	STATEMENT OF RECENT DECISION pursuant to Civil Local Rule 7-3.d in Support of Motion to Dismiss Second Amended Consolidated Complaint filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit A# 2 Exhibit B)(Johnson, Terry) (Filed on 10/18/2006) (Entered: 10/18/2006)
187	Oct. 18, 2006	176	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 175 Statement of Recent Decision, (Johnson, Terry) (Filed on 10/18/2006) (Entered: 10/18/2006)
188	Oct. 18, 2006	177	MOTION for leave to appear in Pro Hac Vice of Attorney Marvin L. Frank (fee paid) receipt #5515599 filed by UTStarcom Investor Group. (cv, COURT STAFF) (Filed on 10/18/2006) (Entered: 10/19/2006)
189	Oct. 19, 2006	178	ORDER by Judge James Ware granting 177 Motion for Pro Hac Vice of Attorney Marvin L. Frank. (cv, COURT STAFF) (Filed on 10/19/2006) (Entered: 10/19/2006)
190	Oct. 19, 2006		Remark clerk mailed copy of order docket #178 to Attorney Marvin L. Frank along with the E-Filing registration form. (cv, COURT STAFF) (Filed on 10/19/2006) (Entered: 10/19/2006)
191	Oct. 23, 2006	179	Minute Entry: Motion Hearing not held on 10/23/2006 before James Ware please see minute order for further details (Date Filed: 10/23/2006). (Court Reporter Not Reported.) (ecg, COURT STAFF) (Date Filed: 10/23/2006) (Entered: 10/23/2006)
192	Nov. 08, 2006	180	NOTICE by Operating Engineers' Group, Erwin DeBruycker NOTICE

Req #	Filed	#	Docket Text
			OF RECENT AUTHORITY IN SUPPORT OF LEAD PLAINTIFFS' OPPOSITIONS TO DEFENDANTS' MOTIONS TO DISMISS (Attachments: # 1 Exhibit A)(Huang, Shirley) (Filed on 11/8/2006) (Entered: 11/08/2006)
193	Mar. 20, 2007	181	ORDER by Judge James Ware granting motions 140 , 146 , 149 to dismiss the Second Amended Complaint with leave to amend. Plaintiff's shall FILE AND SERVE a THIRD AMENDED COMPLAINT by 4/30/07 (jwlc1, COURT STAFF) (Filed on 3/20/2007) Modified on 3/21/2007 (cv, COURT STAFF). (Entered: 03/20/2007)
194	Apr. 06, 2007	182	STIPULATION and Proposed Order Regarding Plaintiffs' Third Amended Consolidated Complaint and Briefing Schedule for Defendants' Motion to Dismiss by Operating Engineers' Group, Erwin DeBruycker. (Huang, Shirley) (Filed on 4/6/2007) (Entered: 04/06/2007)
195	Apr. 09, 2007	183	ORDER Setting Hearing on Motion, GRANTING 182 Stipulation Regarding Plaintiffs' Third Amended Consolidated Complaint and Briefing Schedule for Defendants' Motion to Dismiss. Setting Motion Hearing set for 9/10/2007 09:00 AM in Courtroom 8, 4th Floor, San Jose. Signed by Judge James Ware on 4/9/2007. (ecg, COURT STAFF) (Filed on 4/9/2007) Modified on 4/9/2007 (ecg, COURT STAFF). (Entered: 04/09/2007)
196	May 10, 2007	184	STIPULATION (JOINT SUBMISSION BY PARTIES) AND [PROPOSED] ORDER REGARDING REVISED BRIEFING SCHEDULE FOR DEFENDANTS' MOTIONS TO DISMISS THE THIRD AMENDED CONSOLIDATED COMPLAINT by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Seyedin-Noor, Bahram) (Filed on 5/10/2007) (Entered: 05/10/2007)
197	May 10, 2007	185	STIPULATION AND ORDER Granting REVISED BRIEFING SCHEDULE FOR DEFENDANTS' MOTIONS TO DISMISS THE THIRD AMENDED CONSOLIDATED COMPLAINT and Continuing Hearing from 9/10/2007 to 10/15/2007 re 184 Stipulation filed by UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang, Thomas J. Toy, Ying Wu. Motion Hearing set for 10/15/2007 09:00 AM in Courtroom 8, 4th Floor, San Jose. Signed by Judge James Ware on 5/10/2007. (ecg, COURT STAFF) (Filed on 5/10/2007) (Entered: 05/10/2007)
198	May 25, 2007	186	THIRD AMENDED CONSOLIDATED COMPLAINT FOR VIOLATIONS OF THE FEDERAL SECURITIES LAWS against all defendants. Filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6)(Seefer, Christopher) (Filed on 5/25/2007) Modified on 4/10/2009 (cv, COURT STAFF). (Entered: 05/25/2007)
199	Jul. 06, 2007	187	STIPULATION and [Proposed] Order Expanding Page Limits for UTStarcom Defendants' Motion to Dismiss Third Amended Consolidated Complaint, Plaintiffs' Opposition Brief, and Defendants' Reply Brief by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Johnson, Terry) (Filed on 7/6/2007) (Entered: 07/06/2007)

Req #	Filed	#	Docket Text
<u>200</u>	Jul. 09, 2007	188	STIPULATION AND ORDER Granting Expanding Page Limits for UTSTARCOM Defendants' Motion to Dismiss Third Amended Consolidated Complaint, Plaintiffs' Opposition Brief and Defendant's Reply Brief re 187 Stipulation, filed by Howard Kwock, Bill Huang, Ying Wu, Shao-Ning J. Chou, Gerald S. Soloway, Hong Liang Lu, UTSTARCOM, INC, Michael J. Sophie, Thomas J. Toy. Signed by Judge James Ware on 7/9/2007. (ecg, COURT STAFF) (Filed on 7/9/2007) (Entered: 07/09/2007)
<u>201</u>	Jul. 13, 2007	189	MOTION to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. Motion Hearing set for 10/15/2007 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Attachments: # 1 Proposed Order Granting UTStarcom Defendants' Motion to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Laws)(Johnson, Terry) (Filed on 7/13/2007) (Entered: 07/13/2007)
<u>202</u>	Jul. 13, 2007	190	MOTION to Strike 186 Amended Complaint, filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. Motion Hearing set for 10/15/2007 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Attachments: # 1 Proposed Order Granting UTStarcom Defendants' Motion to Strike Third Amended Consolidated Complaint for Violation of the Federal Securities Laws)(Johnson, Terry) (Filed on 7/13/2007) (Entered: 07/13/2007)
<u>203</u>	Jul. 13, 2007	191	Declaration of Terry T. Johnson in Support of 189 MOTION to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C)(Related document(s) 189) (Johnson, Terry) (Filed on 7/13/2007) (Entered: 07/13/2007)
<u>204</u>	Jul. 13, 2007	192	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 190 MOTION to Strike 186 Amended Complaint, MOTION to Strike 186 Amended Complaint,, 191 Declaration in Support, 189 MOTION to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support (Johnson, Terry) (Filed on 7/13/2007) (Entered: 07/13/2007)
<u>205</u>	Jul. 13, 2007	193	MOTION to Strike Third Amended Consolidated Complaint filed by Softbank America Inc.,, SoftBank Holdings Inc.,, Softbank Corporation. Motion Hearing set for 10/15/2007 09:00 AM. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Proposed Order)(Rooney, Amie) (Filed on 7/13/2007) (Entered: 07/13/2007)
<u>206</u>	Jul. 13, 2007	194	MOTION to Dismiss Third Amended Consolidated Complaint filed by Softbank America Inc.,, SoftBank Holdings Inc.,, Softbank Corporation. Motion Hearing set for 10/15/2007 09:00 AM. (Attachments: # 1

Req #	Filed	#	Docket Text
			Proposed Order)(Rooney, Amie) (Filed on 7/13/2007) (Entered: 07/13/2007)
207	Jul. 13, 2007	195	CERTIFICATE OF SERVICE by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation re 193 MOTION to Strike Third Amended Consolidated Complaint, 194 MOTION to Dismiss Third Amended Consolidated Complaint (Rooney, Amie) (Filed on 7/13/2007) (Entered: 07/13/2007)
208	Aug. 21, 2007	196	Memorandum in Opposition re 189 MOTION to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support PLAINTIFFS' OPPOSITION TO THE UTSTARCOM DEFENDANTS' MOTION TO DISMISS THE THIRD AMENDED CONSOLIDATED COMPLAINT filed byOperating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 8/21/2007) (Entered: 08/21/2007)
209	Aug. 21, 2007	197	Memorandum in Opposition re 190 MOTION to Strike 186 Amended Complaint, MOTION to Strike 186 Amended Complaint,, 193 MOTION to Strike Third Amended Consolidated Complaint PLAINTIFFS OPPOSITIONS TO (1) THE UTSTARCOM DEFENDANTS and (2) defendants SOFTBANK HOLDINGS, INC., SOFTBANK AMERICA, INC. AND SOFTBANK CORPORATIONS MOTIONS TO STRIKE the THIRD AMENDED CONSOLIDATED COMPLAINT filed byOperating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 8/21/2007) (Entered: 08/21/2007)
210	Aug. 21, 2007	198	Memorandum in Opposition re 194 MOTION to Dismiss Third Amended Consolidated Complaint PLAINTIFFS OPPOSITION TO DEFENDANTS SOFTBANK HOLDINGS, INC., SOFTBANK AMERICA, INC. AND SOFTBANK CORPORATIONS MOTION TO DISMISS THE THIRD AMENDED CONSOLIDATED COMPLAINT filed byOperating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 8/21/2007) (Entered: 08/21/2007)
211	Aug. 21, 2007	199	Declaration of CHRISTOPHER P. SEEFER in Support of 196 Memorandum in Opposition, 197 Memorandum in Opposition,, 198 Memorandum in Opposition, DECLARATION OF CHRISTOPHER P. SEEFER IN SUPPORT OF PLAINTIFFS OPPOSITIONS TO MOTIONS TO STRIKE AND MOTIONS TO DISMISS THE THIRD AMENDED CONSOLIDATED COMPLAINT BY (1) THE UTSTARCOM DEFENDANTS AND (2) DEFENDANTS SOFTBANK HOLDINGS, INC., SOFTBANK AMERICA, INC. AND SOFTBANK CORPORATION filed byOperating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6# 7 Exhibit 7# 8 Exhibit 8# 9 Exhibit 9# 10 Exhibit 10# 11 Exhibit 11# 12 Exhibit 12# 13 Exhibit 13)(Related document(s) 196 , 197 , 198) (Seefer, Christopher) (Filed on 8/21/2007) (Entered: 08/21/2007)
212	Sep. 05, 2007	200	Joint Stipulation and [Proposed] Order Regarding Revised Schedule for Defendants' Reply Memoranda in Support of Motions to Dismiss and Strike the Third Amended Consolidated Complaint by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang.

Req #	Filed	#	Docket Text
			(Foung, Cheryl) (Filed on 9/5/2007) Modified text on 9/19/2007 (cv, COURT STAFF). (Entered: 09/05/2007)
213	Sep. 06, 2007	201	STIPULATION AND ORDER Granting Extension of Time to File Defendants' Reply Brief from 9/18/2007 to 9/27/2007 re 200 Stipulation filed by Howard Kwock, Bill Huang, Ying Wu, Shao-Ning J. Chou, Gerald S. Soloway, Hong Liang Lu, UTSTARCOM, INC, Michael J. Sophie, Thomas J. Toy. Signed by Judge James Ware on 9/6/2007. (ecg, COURT STAFF) (Filed on 9/6/2007) (Entered: 09/06/2007)
214	Sep. 14, 2007	202	NOTICE by Operating Engineers' Group, Erwin DeBruycker Notice of Firm Name Change (Seefer, Christopher) (Filed on 9/14/2007) (Entered: 09/14/2007)
215	Sep. 20, 2007	203	MOTION to Relate Case C04-4908 JW and C07-4578 SI TO CONSIDER WHETHER CASES SHOULD BE RELATED PURSUANT TO CIVIL LOCAL RULES 3-12 AND 7-11 filed by Operating Engineers' Group, Erwin DeBruycker. (Huang, Shirley) (Filed on 9/20/2007) Modified text on 9/21/2007 (cv, COURT STAFF). (Entered: 09/20/2007)
216	Sep. 20, 2007	204	Declaration of CHRISTOPHER P. SEEFER in Support of 203 MOTION to Relate Case ADMINISTRATIVE MOTION TO CONSIDER WHETHER CASES SHOULD BE RELATED PURSUANT TO CIVIL LOCAL RULES 3-12 AND 7-11 filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Exhibit A)(Related document(s) 203) (Seefer, Christopher) (Filed on 9/20/2007) (Entered: 09/20/2007)
217	Sep. 20, 2007	205	Proposed Order re 203 MOTION to Relate Case ADMINISTRATIVE MOTION TO CONSIDER WHETHER CASES SHOULD BE RELATED PURSUANT TO CIVIL LOCAL RULES 3-12 AND 7-11 by Operating Engineers' Group, Erwin DeBruycker. (Huang, Shirley) (Filed on 9/20/2007) (Entered: 09/20/2007)
218	Sep. 25, 2007	206	Memorandum in Opposition To Lead Plaintiffs Operating Engineers Group's Administrative 203 Motion to Consider Whether Cases Should Be Related Pursuant To Civil Local Rules 3-12 and 7-11 filed by Peter Rudolph. (Punzalan, Mark) (Filed on 9/25/2007) Modified on 10/9/2007,(counsel failed to link to motion) (cv, COURT STAFF). (Entered: 09/25/2007)
219	Sep. 25, 2007	207	Proposed Order Denying Administrative 203 Motion To Consider Whether Cases Should Be Related Pursuant To Civil Local Rules 3-12 And 7-11 by Peter Rudolph. (Punzalan, Mark) (Filed on 9/25/2007) Modified on 10/9/2007,(counsel failed to link to motion) (cv, COURT STAFF). (Entered: 09/25/2007)
220	Sep. 25, 2007	208	CERTIFICATE OF SERVICE 206 and 207 by Peter Rudolph (Punzalan, Mark) (Filed on 9/25/2007) Modified text on 10/9/2007 (cv, COURT STAFF). (Entered: 09/25/2007)
221	Sep. 27, 2007	209	REPLY to Response to Motion re 189 MOTION to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Johnson, Terry) (Filed on 9/27/2007) (Entered: 09/27/2007)
222	Sep. 27, 2007	210	REPLY to Response to Motion re 190 MOTION to Strike 186 Amended Complaint, MOTION to Strike 186 Amended Complaint, filed by Thomas

Req #	Filed	#	Docket Text
			J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Johnson, Terry) (Filed on 9/27/2007) (Entered: 09/27/2007)
223	Sep. 27, 2007	211	Declaration of Terry T. Johnson in Support of 209 Reply to Response to Motion, to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Law filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit D# 2 Exhibit E# 3 Exhibit F# 4 Exhibit G)(Related document(s) 209) (Johnson, Terry) (Filed on 9/27/2007) (Entered: 09/27/2007)
224	Sep. 27, 2007	212	EXHIBITS H re 211 Declaration of Terry T. Johnson filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Related document(s) 211) (Johnson, Terry) (Filed on 9/27/2007) Modified on 10/11/2007 (cv, COURT STAFF). (Entered: 09/27/2007)
225	Sep. 27, 2007	213	EXHIBITS I (2 parts) re 211 Declaration of Terry T. Johnson filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Attachments: # 1 Exhibit I (2 of 2))(Related document(s) 211) (Johnson, Terry) (Filed on 9/27/2007) Modified on 10/11/2007 (cv, COURT STAFF). (Entered: 09/27/2007)
226	Sep. 27, 2007	214	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang re 209 Reply to Response to Motion, 210 Reply to Response to Motion, 212 Exhibits, 213 Exhibits, 211 Declaration in Support, (Johnson, Terry) (Filed on 9/27/2007) (Entered: 09/27/2007)
227	Sep. 27, 2007	215	*** FILED IN ERROR *** please see 216 amended RESPONSE in Support of Their 194 Motion to Dismiss Plaintiffs' Third Amended Consolidated Complaint filed by Softbank America Inc. SoftBank Holdings Inc. Softbank Corporation. (Rooney, Amie) (Filed on 9/27/2007) Modified on 10/11/2007,(counsel failed to link to motion) (cv, COURT STAFF). Modified text on 10/11/2007 (cv, COURT STAFF). (Entered: 09/27/2007)
228	Sep. 27, 2007	216	AMENDED REPLY to Response to 194 Motion to Dismiss Third Amended Complaint CORRECTION OF DOCKET # 215 . filed by Softbank America Inc. SoftBank Holdings Inc. Softbank Corporation. (Rooney, Amie) (Filed on 9/27/2007) Modified on 10/11/2007,(counsel failed to link to motion) (cv, COURT STAFF). (Entered: 09/27/2007)
229	Sep. 27, 2007	217	REPLY memorandum in support of 193 Motion to Strike Third Amended Consolidated Complaint filed by Softbank America Inc. SoftBank Holdings Inc. Softbank Corporation. (Rooney, Amie) (Filed on 9/27/2007) Modified on 10/11/2007,(counsel failed to link to motion) (cv, COURT STAFF). (Entered: 09/27/2007)
230	Sep. 27, 2007	218	CERTIFICATE OF SERVICE 216 and 217 by Softbank America Inc. SoftBank Holdings Inc. Softbank Corporation (Rooney, Amie) (Filed on 9/27/2007) Modified text on 10/11/2007 (cv, COURT STAFF). (Entered: 09/27/2007)

Req #	Filed	#	Docket Text
<u>231</u>	Oct. 09, 2007	219	CLERK'S NOTICE Continuing Motion Hearing from 10/15/2007 to 10/29/2007 re 189 MOTION to Dismiss Third Amended Consolidated Complaint, 190 MOTION to Strike, 193 MOTION to Strike Third Amended Consolidated Complaint, 194 MOTION to Dismiss Third Amended Consolidated Complaint. Motion Hearing set for 10/29/2007 09:00 AM in Courtroom 8, 4th Floor, San Jose before Hon. James Ware. (ecg, COURT STAFF) (Filed on 10/9/2007) (Entered: 10/09/2007)
<u>232</u>	Oct. 29, 2007	221	Minute Entry: Motion Hearing held on 10/29/2007 before James Ware (Date Filed: 10/29/2007) re 190 MOTION to Strike 186 Amended Complaint, MOTION to Strike 186 Amended Complaint, 193 MOTION to Strike Third Amended Consolidated Complaint, 189 MOTION to Dismiss Third Amended Consolidated Complaint for Violation of the Federal Securities Laws, 194 MOTION to Dismiss Third Amended Consolidated Complaint. Matters under submission after oral argument. The Court to issue further order on motions. (Court Reporter Irene Rodriguez.) (ecg, COURT STAFF) (Date Filed: 10/29/2007) (Entered: 10/31/2007)
<u>233</u>	Oct. 30, 2007	220	Letter Brief filed by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 10/30/2007) (Entered: 10/30/2007)
<u>234</u>	Oct. 31, 2007	222	Letter Brief OF PLAINTIFF PETER RUDOLPH IN RESPONSE TO LEAD PLAINTIFF'S LETTER RE ADMINISTRATIVE MOTION TO CONSIDER WHETHER CASES SHOULD BE RELATED filed by Peter Rudolph. (Punzalan, Mark) (Filed on 10/31/2007) (Entered: 10/31/2007)
<u>235</u>	Nov. 01, 2007	223	NOTICE of Change In Counsel by Terry T. Johnson (Johnson, Terry) (Filed on 11/1/2007) (Entered: 11/01/2007)
<u>236</u>	Nov. 29, 2007	224	Letter Brief in Response to Letter Briefs Filed by Lead Plaintiffs and Peter Rudolph filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie, Howard Kwock, Gerald S. Soloway, Shao-Ning J. Chou, Bill Huang. (Seyedin-Noor, Bahram) (Filed on 11/29/2007) (Entered: 11/29/2007)
<u>237</u>	Nov. 30, 2007	225	ORDER by Judge James Ware denying 203 Motion to Relate Case (jwlc1, COURT STAFF) (Filed on 11/30/2007) (Entered: 11/30/2007)
<u>238</u>	Nov. 30, 2007	226	RELATED CASE ORDER. The court has reviewed the motion and determined that cases C 04-0404908 JW In re UTSTARCOM, Inc. Securities Litigation and C 07-04578 SI Rudolph v. UTStarcom et al are NOT RELATED and no reassignments shall occur. Please see Order 225 Order Denying Administrative Motion to Relate Cases for further specifics. Signed by Judge James Ware on 11/30/2007. Signed by Judge James Ware on 11/30/2007. (ecg, COURT STAFF) (Filed on 11/30/2007) (Entered: 11/30/2007)
<u>239</u>	Dec. 21, 2007	227	NOTICE by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation Notice Of Recent Authority In Support Of Their Motion To Dismiss The Third Amended Complaint (Attachments: # 1 Exhibit A)(Rooney, Amie) (Filed on 12/21/2007) (Entered: 12/21/2007)
<u>240</u>	Dec. 21, 2007	228	CERTIFICATE OF SERVICE by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation re 227 Notice (Other) (Rooney, Amie) (Filed on 12/21/2007) Modified on 1/16/2008 (cfe, Court Staff). (Entered: 12/21/2007)
<u>241</u>	Jan. 03, 2008	229	TRANSCRIPT of Proceedings held on October 29, 2007 before Judge

Req #	Filed	#	Docket Text
			James Ware. Court Reporter: Irene Rodriguez. (bw, COURT STAFF) (Filed on 1/3/2008) (Entered: 01/04/2008)
242	Jan. 16, 2008	230	NOTICE by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation Notice of Recent Authority (Attachments: # 1 Exhibit Exhibit A)(de Bretteville, Jason) (Filed on 1/16/2008) Modified on 1/17/2008 (cfe, Court Staff). (Entered: 01/16/2008)
243	Jan. 16, 2008	231	CERTIFICATE OF SERVICE by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation re 230 Notice (Other) Certificate of Service (de Bretteville, Jason) (Filed on 1/16/2008) Modified on 1/17/2008 (cfe, Court Staff). (Entered: 01/16/2008)
244	Mar. 14, 2008	232	ORDER by Judge James Ware granting 189 Motion to Dismiss;granting 194 Motion to Dismiss;finding as moot 190 Motion to Strike;finding as moot 193 Motion to Strike.(jwlc3, COURT STAFF) (Filed on 3/14/2008) (Entered: 03/14/2008)
245	Mar. 14, 2008		Set/Reset Hearings: set pursuant to 232 Order. Case Management Conference set for 6/23/2008 10:00 AM in Courtroom 8, 4th Floor San Jose before Hon. James Ware. (ecg, COURT STAFF) (Filed on 3/14/2008) (Entered: 06/10/2008)
246	Mar. 31, 2008	233	ORDER ADMINISTRATIVELY CLOSING CASES. Signed by Judge James Ware on March 31, 2008. (jwlc1, COURT STAFF) (Filed on 3/31/2008) (Entered: 04/08/2008)
247	May 14, 2008	234	FOURTH AMENDED CONSOLIDATED COMPLAINT FOR VIOLATIONS OF THE FEDERAL SECURITIES LAWS against Thomas J. Toy, Ying Wu, Softbank America Inc., SoftBank Holdings Inc., UTSTARCOM, INC, Hong Liang Lu, Softbank Corporation, Michael J. Sophie. Filed by Operating Engineers' Group, Erwin DeBruycker. (Attachments: # 1 Exhibit 1, # 2 Exhibit 2, # 3 Exhibit 3)(Seefer, Christopher) (Filed on 5/14/2008) Modified on 5/15/2008 (cv, COURT STAFF). (Entered: 05/14/2008)
248	May 14, 2008	235	NOTICE of Voluntary Dismissal without prejudice OF CLAIMS PURSUANT TO FED. R. CIV. P. 41(a)(1) against Softbank Corporation, Softbank America,Inc., and SoftBank Holdings, inc., and dismisses without prejudice ALL CLAIMS against defendants Howard Kwock, Shao-Ning J. Chou, Gerald S. Soloway and William (Bill) Huang. by Operating Engineers' Group, Erwin DeBruycker (Seefer, Christopher) (Filed on 5/14/2008) Modified text on 5/15/2008 (cv, COURT STAFF). (Entered: 05/14/2008)
249	Jun. 09, 2008	236	JOINT CASE MANAGEMENT STATEMENT and [Proposed] Order filed by Thomas J. Toy, Operating Engineers' Group, Ying Wu, Erwin DeBruycker, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Ketroser, Bryan) (Filed on 6/9/2008) (Entered: 06/09/2008)
250	Jun. 13, 2008	237	OBJECTIONS to 234 Fourth Amended Consolidated Complaint for Violations of the Federal Securities Laws by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Johnson, Terry) (Filed on 6/13/2008) Modified on 6/13/2008,(counsel failed to link to which document it relates to.) (cv, COURT STAFF). (Entered: 06/13/2008)
251	Jun. 13, 2008	238	Declaration of Cheryl W. Founq in Support of 237 Objection to Fourth Amended Consolidated Complaint for Violations of the Federal Securities

Req #	Filed	#	Docket Text
			Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit A)(Related document(s) 237) (Johnson, Terry) (Filed on 6/13/2008) (Entered: 06/13/2008)
252	Jun. 13, 2008	239	OBJECTIONS to the Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation. (Sacks, Robert) (Filed on 6/13/2008) (Entered: 06/13/2008)
253	Jun. 18, 2008	240	RESPONSE to DEFENDANTS' 237 OBJECTIONS TO THE FOURTH AMENDED CONSOLIDATED COMPLAINT FOR VIOLATIONS OF THE FEDERAL SECURITIES LAWS by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 6/18/2008) Modified on 6/19/2008(counsel failed to link to which document relates to.) (cv, COURT STAFF). (Entered: 06/18/2008)
254	Jun. 23, 2008	241	Minute Entry: Further Case Management Conference held on 6/23/2008 before Judge James Ware (Date Filed: 6/23/2008). The Court took Defendants' objections to Amended Complaint under submission. The Court to issue further order following Case Management Conference and scheduling deadlines. (Court Reporter Not Reported.) (ecg, COURT STAFF) (Date Filed: 6/23/2008) (Entered: 06/23/2008)
255	Jul. 24, 2008	242	ORDER OVERRULING DEFENDANTS' OBJECTIONS TO PLAINTIFFS' FOURTH AMENDED COMPLAINT 239 Objection, 237 Objection,. Signed by Judge James Ware on 7/24/2008. (jwlc2, COURT STAFF) (Filed on 7/24/2008) Modified on 7/29/2008 (sp, COURT STAFF). (Entered: 07/24/2008)
256	Aug. 01, 2008	243	STIPULATION and [Proposed] Order Regarding Briefing Schedule and Expanded Page Limits for Defendants' Motions to Dismiss the Fourth Amended Consolidated Complaint by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Ketroser, Bryan) (Filed on 8/1/2008) (Entered: 08/01/2008)
257	Aug. 06, 2008	244	STIPULATION AND ORDER as Modified by the Court Regarding Briefing Schedule and Expanded Page Limits for Defendants' Motions to Dismiss the Fourth Amended Consolidated Complaint re 243 Stipulation. Motion Hearing set for 12/8/2008 09:00 AM in Courtroom 8, 4th Floor, San Jose. Signed by Judge James Ware on 8/6/2008. (ecg, COURT STAFF) (Filed on 8/6/2008) (Entered: 08/06/2008)
258	Aug. 25, 2008	245	MOTION to Relate C04-4908 JW and C07-4578 SI Cases filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Ketroser, Bryan) (Filed on 8/25/2008) Modified text on 8/26/2008 (cv, COURT STAFF). (Entered: 08/25/2008)
259	Aug. 25, 2008	246	Declaration of Bahram Seyedin-Noor in Support of 245 MOTION to Relate Case filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit A)(Related document(s) 245) (Ketroser, Bryan) (Filed on 8/25/2008) (Entered: 08/25/2008)
260	Aug. 25, 2008	247	Proposed Order re 245 MOTION to Relate Case by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Ketroser, Bryan) (Filed on 8/25/2008) (Entered: 08/25/2008)
261	Aug. 25, 2008	248	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu,

Req #	Filed	#	Docket Text
			UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie re 245 MOTION to Relate Case (Ketroser, Bryan) (Filed on 8/25/2008) (Entered: 08/25/2008)
262	Aug. 28, 2008	249	Memorandum in Opposition re 245 MOTION to Relate Case Pursuant to Civil Local Rules 3-12 and 7-11 filed by James R Bartholomew. (Attachments: # 1 Proposed Order Denying Defendants' Motion to Relate Cases Pursuant to Civil Local Rules 3-12 and 7-11)(Punzalan, Mark) (Filed on 8/28/2008) (Entered: 08/28/2008)
263	Aug. 28, 2008	250	CERTIFICATE OF SERVICE by James R Bartholomew re 249 Memorandum in Opposition, to Motion to Relate Cases Pursuant to Civil Local Rules 3-12 and 7-11; and Proposed Order Denying Defendants' Motion to Relate Cases Pursuant to Civil Local Rules 3-12 and 7-11 (Punzalan, Mark) (Filed on 8/28/2008) (Entered: 08/28/2008)
264	Aug. 29, 2008	251	RESPONSE to JAMES R. BARTHOLOMEW'S 249 OPPOSITION TO DEFENDANTS' 245 MOTION TO CONSIDER WHETHER CASES SHOULD BE RELATED PURSUANT TO CIVIL LOCAL RULES 3-12 AND 7-11 by Operating Engineers' Group, Erwin DeBruycker. (Sefer, Christopher) (Filed on 8/29/2008) Modified on 9/2/2008 ,(counsel failed to properly link to motion (cv, COURT STAFF). (Entered: 08/29/2008)
265	Sep. 04, 2008	252	MOTION to Intervene for the SOLE purpose of striking the options backdating allegations. filed by James R Bartholomew. Motion Hearing set for 10/20/2008 09:00 AM. (Attachments: # 1 Proposed Order Granting Motion to Intervene)(Punzalan, Mark) (Filed on 9/4/2008) Modified on 9/5/2008 (cv, COURT STAFF). (Entered: 09/04/2008)
266	Sep. 04, 2008	253	MOTION to Strike Allegations from the 234 Fourth Amended Complaint filed by James R Bartholomew. Motion Hearing set for 10/20/2008 09:00 AM. (Attachments: # 1 Proposed Order Granting the Motion to Strike)(Punzalan, Mark) (Filed on 9/4/2008) Modified on 9/5/2008 (cv, COURT STAFF). (Entered: 09/04/2008)
267	Sep. 04, 2008	254	Declaration of Mark Punzalan in Support of 252 MOTION to Intervene, 253 MOTION to Strike Options Backdating Allegations from the Fourth Amended Complaint filed by James R Bartholomew. (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F, # 7 Exhibit G, # 8 Exhibit H)(Related document(s) 252 , 253) (Punzalan, Mark) (Filed on 9/4/2008) (Entered: 09/04/2008)
268	Sep. 04, 2008	255	CERTIFICATE OF SERVICE by James R Bartholomew re 252 MOTION to Intervene, 253 MOTION to Strike Options Backdating Allegations from the Fourth Amended Complaint, 254 Declaration in Support, (Punzalan, Mark) (Filed on 9/4/2008) (Entered: 09/04/2008)
269	Sep. 05, 2008	256	ORDER by Judge James Ware DENYING 245 Motion to Relate Case C07-4578 SI. (jwlc3, COURT STAFF) (Filed on 9/5/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/05/2008)
270	Sep. 08, 2008	257	MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. Motion Hearing set for 12/8/2008 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Attachments: # 1 Proposed Order)(Johnson, Terry) (Filed on 9/8/2008) (Entered: 09/08/2008)
271	Sep. 08, 2008	258	MOTION to Strike Options Allegations From Fourth Amended

Req #	Filed	#	Docket Text
			Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. Motion Hearing set for 12/8/2008 09:00 AM in Courtroom 8, 4th Floor, San Jose. (Attachments: # 1 Proposed Order)(Johnson, Terry) (Filed on 9/8/2008) (Entered: 09/08/2008)
272	Sep. 08, 2008	259	Request for Judicial Notice re 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support, 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Related document(s) 258 , 257) (Johnson, Terry) (Filed on 9/8/2008) (Entered: 09/08/2008)
273	Sep. 08, 2008	260	Declaration of Cheryl W. Fong in Support 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 1, # 2 Exhibit 2, # 3 Exhibit 3)(Related document(s) 259 , 258 , 257) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
274	Sep. 08, 2008	261	EXHIBITS 4 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 5, # 2 Exhibit 6, # 3 Exhibit 7, # 4 Exhibit 8, # 5 Exhibit 9, # 6 Exhibit 10, # 7 Exhibit 11, # 8 Exhibit 12, # 9 Exhibit 13)(Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
275	Sep. 08, 2008	262	EXHIBITS14 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 15, # 2 Exhibit 16)(Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
276	Sep. 08, 2008	263	EXHIBITS17 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 18)(Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
277	Sep. 08, 2008	264	EXHIBITS 19 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
278	Sep. 08, 2008	265	EXHIBITS 20 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 21, # 2 Exhibit 22, # 3 Exhibit 23, # 4 Exhibit 24, # 5 Exhibit 25, # 6 Exhibit 26, # 7 Exhibit 27, # 8 Exhibit 28, # 9 Exhibit 29, # 10 Exhibit 30, # 11 Exhibit 31, # 12 Exhibit 32, # 13 Exhibit 33, # 14 Exhibit 34, # 15 Exhibit 35, # 16 Exhibit 36, # 17 Exhibit 37, #

Req #	Filed	#	Docket Text
			18 Exhibit 38, # 19 Exhibit 39)(Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
279	Sep. 08, 2008	266	EXHIBIT 40 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 41, # 2 Exhibit 42, # 3 Exhibit 43, # 4 Exhibit 44, # 5 Exhibit 45, # 6 Exhibit 46, # 7 Exhibit 47, # 8 Exhibit 48, # 9 Exhibit 49)(Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
280	Sep. 08, 2008	267	EXHIBITS 50 part 1 of 2 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 50, Part 2 of 2)(Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
281	Sep. 08, 2008	268	EXHIBITS 51 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
282	Sep. 08, 2008	269	EXHIBITS 52 re 260 Declaration of C. W. Fong filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 53, # 2 Exhibit 54)(Related document(s) 260) (Johnson, Terry) (Filed on 9/8/2008) Modified on 9/8/2008 (cv, COURT STAFF). (Entered: 09/08/2008)
283	Sep. 08, 2008	270	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie re 259 Request for Judicial Notice, 260 Declaration in Support,, 263 Exhibits, 265 Exhibits,, 267 Exhibits, 269 Exhibits, 261 Exhibits, 262 Exhibits, 264 Exhibits, 268 Exhibits, 266 Exhibits, 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support, 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support (Johnson, Terry) (Filed on 9/8/2008) (Entered: 09/08/2008)
284	Sep. 08, 2008	271	MOTION to Dismiss Plaintiffs' Fourth Amended Consolidated Complaint filed by Softbank America Inc.,, SoftBank Holdings Inc.,, Softbank Corporation. Motion Hearing set for 12/4/2008 09:00 AM. (Attachments: # 1 Proposed Order)(de Bretteville, Jason) (Filed on 9/8/2008) (Entered: 09/08/2008)
285	Sep. 09, 2008	272	CERTIFICATE OF SERVICE by Softbank America Inc.,, SoftBank Holdings Inc.,, Softbank Corporation re 271 MOTION to Dismiss Plaintiffs' Fourth Amended Consolidated Complaint (de Bretteville, Jason) (Filed on 9/9/2008) (Entered: 09/09/2008)
286	Sep. 09, 2008	273	CLERK'S NOTICE Continuing Motion Hearing re [252,] 253 , 257 , 258 , 271 . Motion Hearing set for 12/8/2008 09:00 AM in Courtroom 8, 4th Floor, San Jose. (ecg, COURT STAFF) (Filed on 9/9/2008) (Entered: 09/09/2008)
287	Oct. 14, 2008	274	CLERKS NOTICE Continuing Motions Hearing from 12/8/2008 to 12/22/2008 re 252 , 253 , 257 , 258 , 271 . Motion Hearing set for

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			12/22/2008 09:00 AM in Courtroom 8, 4th Floor, San Jose. (ecg, COURT STAFF) (Filed on 10/14/2008) (Entered: 10/14/2008)
288	Oct. 15, 2008	275	CLERKS NOTICE Advancing Motion Hearing from 12/22/2008 to 12/15/2008 re 252 , 253 , 257 , 258 , 271 . Motion Hearing set for 12/15/2008 09:00 AM in Courtroom 8, 4th Floor, San Jose. (ecg, COURT STAFF) (Filed on 10/15/2008) (Entered: 10/15/2008)
289	Oct. 24, 2008	276	Memorandum in Opposition re 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint , and 257 MOTION to Dismiss Fourth Amended Consolidated Complaint filed by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 10/24/2008) Modified on 10/28/2008 (cv, COURT STAFF). (Entered: 10/24/2008)
290	Oct. 24, 2008	277	Memorandum in Opposition re 271 MOTION to Dismiss Plaintiffs' Fourth Amended Consolidated Complaint filed by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 10/24/2008) (Entered: 10/24/2008)
291	Oct. 24, 2008	278	RESPONSE to 259 Request for Judicial Notice, filed by Operating Engineers' Group, Erwin DeBruycker. (Related document(s) 259) (Seefer, Christopher) (Filed on 10/24/2008) Modified on 10/28/2008 (cv, COURT STAFF). (Entered: 10/24/2008)
292	Nov. 20, 2008	279	ORDER CONTINUING HEARING ON MOTIONS from 12/15/2008 to 1/16/2009 re 252 MOTION to Intervene, 253 MOTION to Strike Allegations from the Fourth Amended Complaint, 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws, 271 MOTION to Dismiss Plaintiffs' Fourth Amended Consolidated Complaint, 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws. Signed by Judge James Ware on 11/20/2008. (ecg, COURT STAFF) (Filed on 11/20/2008) (Entered: 11/20/2008)
293	Nov. 20, 2008		Set/Reset Deadlines as to 271 MOTION to Dismiss Plaintiffs' Fourth Amended Consolidated Complaint, 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support, 253 MOTION to Strike Allegations from the Fourth Amended Complaint, 252 MOTION to Intervene SOLE purpose of striking the options backdating allegations., 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support. Motion Hearing set for 1/16/2009 09:00 AM in Courtroom 8, 4th Floor, San Jose. (ecg, COURT STAFF) (Filed on 11/20/2008) (Entered: 11/20/2008)
294	Nov. 24, 2008	280	REPLY in support of 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Johnson, Terry) (Filed on 11/24/2008) Modified on 11/24/2008 (cv, COURT STAFF). (Entered: 11/24/2008)
295	Nov. 24, 2008	281	REPLY in support of 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Johnson, Terry) (Filed on

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			11/24/2008) Modified on 11/24/2008 (cv, COURT STAFF). (Entered: 11/24/2008)
<u>296</u>	Nov. 24, 2008	282	REPLY in support of 259 Request for Judicial Notice re Motion to Dismiss; Request for Judicial Notice of Additional Documents filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Johnson, Terry) (Filed on 11/24/2008) Modified on 11/24/2008 (cv, COURT STAFF). (Entered: 11/24/2008)
<u>297</u>	Nov. 24, 2008	283	SUPPLEMENTAL Declaration of Cheryl W. Founq in Support of 258 MOTION to Strike 257 MOTION to Dismiss Fourth Amended Consolidated Complaint filed by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit 55, # 2 Exhibit 56, # 3 Exhibit 57, # 4 Exhibit 58)(Related document(s) 258 , 257) (Johnson, Terry) (Filed on 11/24/2008) Modified on 11/24/2008 (cv, COURT STAFF). (Entered: 11/24/2008)
<u>298</u>	Nov. 24, 2008	284	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie re 280 Reply to Response to Motion, 281 Reply to Response to Motion, 283 Declaration in Support,, 282 Reply to Response to Motion, (Johnson, Terry) (Filed on 11/24/2008) (Entered: 11/24/2008)
<u>299</u>	Nov. 24, 2008	285	Reply in Support of 271 Motion to Dismiss Plaintiffs' Fourth Amended Consolidated Complaint filed by Softbank America Inc.,SoftBank Holdings Inc., Softbank Corporation. (de Bretteville, Jason) (Filed on 11/24/2008) Modified on 11/26/2008 ,(counsel failed to link to motion.) (cv, COURT STAFF). (Entered: 11/24/2008)
<u>300</u>	Nov. 24, 2008	286	CERTIFICATE OF SERVICE by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation re 285 Reply to Opposition (de Bretteville, Jason) (Filed on 11/24/2008) (Entered: 11/24/2008)
<u>301</u>	Dec. 08, 2008	287	STATEMENT OF RECENT DECISION pursuant to Civil Local Rule 7-3.d filed byThomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit A)(Johnson, Terry) (Filed on 12/8/2008) (Entered: 12/08/2008)
<u>302</u>	Dec. 08, 2008	288	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie re 287 Statement of Recent Decision (Johnson, Terry) (Filed on 12/8/2008) (Entered: 12/08/2008)
<u>303</u>	Dec. 24, 2008	289	Memorandum in Opposition re 252 MOTION to Intervene SOLE purpose of striking the options backdating allegations. filed byOperating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 12/24/2008) (Entered: 12/24/2008)
<u>304</u>	Dec. 24, 2008	290	Memorandum in Opposition re 253 MOTION to Strike Allegations from the Fourth Amended Complaint filed byOperating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 12/24/2008) (Entered: 12/24/2008)
<u>305</u>	Jan. 02, 2009	291	Reply Memorandum re 252 MOTION to Intervene SOLE purpose of striking the options backdating allegations. filed byJames R Bartholomew. (Punzalan, Mark) (Filed on 1/2/2009) (Entered: 01/02/2009)
<u>306</u>	Jan. 02, 2009	292	Reply Memorandum re 253 MOTION to Strike Allegations from the Fourth Amended Complaint filed byJames R Bartholomew. (Punzalan, Mark) (Filed on 1/2/2009) (Entered: 01/02/2009)

Req #	Filed	#	Docket Text
<u>307</u>	Jan. 06, 2009	293	MOTION for leave to appear in Pro Hac Vice of Attorney E. K. Tripodi (Filing fee \$ 210, receipt number 54611004476.) filed by James R Bartholomew. (cv, COURT STAFF) (Filed on 1/6/2009) . (Entered: 01/08/2009)
<u>308</u>	Jan. 06, 2009	294	Proposed Order re 293 MOTION for leave to appear in Pro Hac Vice of Attorney E. K. Tripodi by James R Bartholomew. (cv, COURT STAFF) (Filed on 1/6/2009). (Entered: 01/08/2009)
<u>309</u>	Jan. 14, 2009	295	STATEMENT OF RECENT DECISION pursuant to Civil Local Rule 7-3.d filed byThomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit A)(Johnson, Terry) (Filed on 1/14/2009) (Entered: 01/14/2009)
<u>310</u>	Jan. 14, 2009	296	CERTIFICATE OF SERVICE by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie re 295 Statement of Recent Decision (Johnson, Terry) (Filed on 1/14/2009) (Entered: 01/14/2009)
<u>311</u>	Jan. 15, 2009	297	CLERKS NOTICE ADVANCING TIME FOR Motion Hearing re 252 , 253 , 257 , 258 , 271 Motion Hearing set for 1/16/2009 08:30 AM in Courtroom 8, 4th Floor, San Jose. (ecg, COURT STAFF) (Filed on 1/15/2009) (Entered: 01/15/2009)
<u>312</u>	Jan. 15, 2009	298	ORDER Granting 293 Motion for Pro Hac Vice for Attorney E. K. Tripodi. Signed by Judge James Ware on 1/15/2009. (ecg, COURT STAFF) (Filed on 1/15/2009) (Entered: 01/15/2009)
<u>313</u>	Jan. 15, 2009	299	ERRATA re 280 Reply to Response to Motion, 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support of Motion to Dismiss Fourth Amended Consolidated Complaint by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Errata Corrected Exhibit 1, # 2 Errata Corrected Exhibit 30, # 3 Errata Corrected Exhibit 32)(Johnson, Terry) (Filed on 1/15/2009) (Entered: 01/15/2009)
<u>314</u>	Jan. 16, 2009	300	Minute Entry: Motion Hearing held on 1/16/2009 before Judge James Ware (Date Filed: 1/16/2009) re 252 MOTION to Intervene, 253 MOTION to Strike Allegations from the Fourth Amended Complaint, 258 MOTION to Strike Options Allegations From Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws; Memorandum in Support, 271 MOTION to Dismiss Plaintiffs' Fourth Amended Consolidated Complaint, 257 MOTION to Dismiss Fourth Amended Consolidated Complaint for Violation of the Federal Securities Laws. Matter submitted after oral argument. The Court to issue further order on Motions. (Court Reporter Kristen Moody.) (ecg, COURT STAFF) (Date Filed: 1/16/2009) (Entered: 01/16/2009)
<u>315</u>	Feb. 11, 2009	301	STATEMENT OF RECENT DECISION pursuant to Civil Local Rule 7-3.d filed byThomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Attachments: # 1 Exhibit A, # 2 Proposed Order)(Johnson, Terry) (Filed on 2/11/2009) (Entered: 02/11/2009)
<u>316</u>	Mar. 27, 2009	302	ORDER by Judge James Ware denying 252 Motion to Intervene; denying 253 Motion to Strike ; denying 257 Motion to Dismiss; granting 258 Motion to Strike ; denying 271 Motion to Dismiss (jwlc3, COURT STAFF) (Filed on 3/27/2009) (Entered: 03/27/2009)

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<u>317</u>	Mar. 27, 2009		Set Deadlines/Hearings: Joint Case Management Statement due by 4/17/2009. Further Case Management Conference set for 4/27/2009 10:00 AM in Courtroom 8, 4th Floor, San Jose before Hon. James Ware. (ecg, COURT STAFF) (Filed on 3/27/2009) (Entered: 03/30/2009)
<u>318</u>	Apr. 02, 2009	303	STIPULATION AND [PROPOSED] ORDER for EXTENSION OF TIME TO ANSWER to 234 FOURTH AMENDED CLASS ACTION COMPLAINT by 5/8/09 (JOINT SUBMISSION) by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Seyedin-Noor, Bahram) (Filed on 4/2/2009) Modified on 4/10/2009 (cv, COURT STAFF). (Entered: 04/02/2009)
<u>319</u>	Apr. 07, 2009	304	STIPULATION AND [PROPOSED] ORDER REGARDING CONTINUING FURTHER CASE MANAGEMENT CONFERENCE from 4/27/09 to 5/4/09 Joint Case Management Statement due from 4/17/09 to 4/24/09 by Operating Engineers' Group, Erwin DeBruycker. (Huang, Shirley) (Filed on 4/7/2009) Modified on 4/10/2009 (cv, COURT STAFF). (Entered: 04/07/2009)
<u>320</u>	Apr. 13, 2009	305	STIPULATION AND ORDER EXTENSION OF TIME TO ANSWER to 234 FOURTH AMENDED CLASS ACTION COMPLAINT re 303 Stipulation. Signed by Judge James Ware on 4/13/2009. (ecg, COURT STAFF) (Filed on 4/13/2009) (Entered: 04/13/2009)
<u>321</u>	Apr. 13, 2009	306	STIPULATION AND ORDER REGARDING CONTINUING FURTHER CASE MANAGEMENT CONFERENCE from 4/27/09 to 5/4/09; Joint Case Management Statement due from 4/17/09 to 4/24/09 re 304 Stipulation. Joint Case Management Statement due 4/24/2009. Initial Case Management Conference set for 5/4/2009 10:00 AM in Courtroom 8, 4th Floor, San Jose before Hon. James Ware. Signed by Judge James Ware on 4/13/2009. (ecg, COURT STAFF) (Filed on 4/13/2009) (Entered: 04/13/2009)
<u>322</u>	Apr. 24, 2009	307	JOINT CASE MANAGEMENT STATEMENT and [Proposed] Order filed by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 4/24/2009) (Entered: 04/24/2009)
<u>323</u>	Apr. 29, 2009	308	SCHEDULING ORDER: The Case Management Conference scheduled for 5/4/2009 is VACATED. The parties are ordered to comply with the following scheduling order: Deadline to file Motion for Class Certification due 7/10/2009; Opposition due 8/7/2009; Reply due 9/4/2009; Class Certification Motion Hearing set for 9/21/2009 09:00 AM in Courtroom 8, 4th Floor, San Jose. Close of All Discovery due by 7/12/2010. Last Date to Hear Dispositive Motions by 9/13/2010 at 9:00 AM. Preliminary Pretrial Conference statement due 6/4/2010. Preliminary Pretrial Conference set for 6/14/2010 11:00 AM in Courtroom 8, 4th Floor, San Jose. Please see Order for further specifics. Signed by Judge James Ware on 4/29/2009. (ecg, COURT STAFF) (Filed on 4/29/2009) Modified on 5/21/2009 (ecg, COURT STAFF). (Entered: 04/29/2009)
<u>324</u>	May 05, 2009	309	STIPULATION and [Proposed] Protective Order by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Nefouse, Louis) (Filed on 5/5/2009) Modified on 5/7/2009 (cv, COURT STAFF). (Entered: 05/05/2009)
<u>325</u>	May 08, 2009	310	ANSWER to 234 Fourth Amended Complaint by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Ketroser,

Req #	Filed	#	Docket Text
			Bryan) (Filed on 5/8/2009) Modified on 5/20/2009 (cv, COURT STAFF). (Entered: 05/08/2009)
326	May 08, 2009	311	ANSWER to 234 Fourth Amended Complaint by SoftBank Holdings Inc., (de Bretteville, Jason) (Filed on 5/8/2009) Modified on 5/20/2009 (cv, COURT STAFF). (Entered: 05/08/2009)
327	May 08, 2009	312	ANSWER to 234 Fourth Amended Complaint by Softbank America Inc., (de Bretteville, Jason) (Filed on 5/8/2009) Modified on 5/20/2009 (cv, COURT STAFF). (Entered: 05/08/2009)
328	May 08, 2009	313	ANSWER to 234 Fourth Amended Complaint by Softbank Corporation. (de Bretteville, Jason) (Filed on 5/8/2009) Modified on 5/20/2009 (cv, COURT STAFF). (Entered: 05/08/2009)
329	May 08, 2009	314	CERTIFICATE OF SERVICE by Softbank America Inc., SoftBank Holdings Inc., Softbank Corporation re 313 Answer to Amended Complaint, 312 Answer to Amended Complaint, 311 Answer to Amended Complaint (de Bretteville, Jason) (Filed on 5/8/2009) (Entered: 05/08/2009)
330	May 11, 2009	315	ORDER re 309 Parties Proposed Form of Protective Order. Signed by Judge Patricia V. Trumbull on 5/8/09. (pvtlc1) (Filed on 5/11/2009) (Entered: 05/11/2009)
331	May 12, 2009	316	STIPULATION and [Proposed] Protective Order by Thomas J. Toy, Ying Wu, UTSTARCOM, INC, Hong Liang Lu, Michael J. Sophie. (Nefouse, Louis) (Filed on 5/12/2009) Modified on 5/12/2009 (cv, COURT STAFF). (Entered: 05/12/2009)
332	May 12, 2009	317	STIPULATED PROTECTIVE ORDER re 316 . Signed by Judge Patricia V. Trumbull on 5/12/09. (pvtlc1) (Filed on 5/12/2009) (Entered: 05/12/2009)
333	May 20, 2009	318	STIPULATION and [Proposed] Order for extension of time to file a motion to strike defendants re 310 Answer to Fourth Amended Complaint, 313 Answer to Fourth Amended Complaint, 312 Answer to Fourth Amended Complaint, 311 Answer to Fourth Amended Complaint. related document 234 Fourth Amended Complaint by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 5/20/2009) Modified on 5/20/2009 (cv, COURT STAFF). (Entered: 05/20/2009)
334	May 22, 2009	319	STIPULATION AND ORDER Extension of Time to File a Motion to Strike defendants re 318 Stipulation. Signed by Judge James Ware on 5/21/2009. (ecg, COURT STAFF) (Filed on 5/22/2009) (Entered: 05/22/2009)
335	May 28, 2009	320	STIPULATION AND [PROPOSED] ORDER REGARDING CLASS CERTIFICATION BRIEFING 308 SCHEDULE by Operating Engineers' Group, Erwin DeBruycker. (Seefer, Christopher) (Filed on 5/28/2009) Modified on 5/28/2009 (cv, COURT STAFF). (Entered: 05/28/2009)