

US District Court for the  
District of New Jersey

2:04cv965

Katz v. Lord Abbett & Co LLC et al

Date Filed: 03/01/2004	Class Code: CLOSED, MEMBERCASE
Assigned To: Judge William J Martini	Closed: Yes
Referred To: Magistrate Judge Ronald J Hedges	Statute: 15:78m(a)
Nature of suit: Securities (850)	Jury
Cause: Securities Exchange Act	Demand: Plaintiff
Lead Docket: 2:04-cv-00559-WJM-RJH	Demand
Other Docket: None	Amount: \$0
Jurisdiction: Federal Question	

Litigants

Attorneys

Philip Katz, Individually and on Behalf of All Others  
Similarly Situated  
PLAINTIFF

Jennifer A Sullivan  
[COR LD NTC]  
Shalov Stone & Bonner LLP  
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Morristown , NJ 07962  
USA  
973-775-8996  
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Email: Jsullivan@lawssb.com

Philip Katz Consol  
PLAINTIFF

v.

Lord Abbett & Co LLC  
DEFENDANT

Tracie E Ahern  
DEFENDANT

Joan A Binstock  
DEFENDANT

Daniel E Carper  
DEFENDANT

Robert S Dow  
DEFENDANT

Howard E Hansen  
DEFENDANT

Paul A Hilstad  
DEFENDANT

Lawrence H Kaplan  
DEFENDANT

Robert G Morris  
DEFENDANT

A Edward Oberhaus, III  
DEFENDANT

Edward K Von Der Linde  
DEFENDANT

Michael Brooks  
DEFENDANT

Zane E Brown  
DEFENDANT

Patrick Browne  
DEFENDANT

John J Dichiaro  
DEFENDANT

Sholom Dinsky  
DEFENDANT

Lesley-Jane Dixon  
DEFENDANT

Kevin P Ferguson  
DEFENDANT

Robert P Fetch  
DEFENDANT

Daria L Foster  
DEFENDANT

Daniel H Frascarelli  
DEFENDANT

Robert I Gerber  
DEFENDANT

Michael S Goldstein  
DEFENDANT

Michael A Grant  
DEFENDANT

Charles Hofer  
DEFENDANT

W Thomas Hudson, Jr  
DEFENDANT

Cinda Hughes  
DEFENDANT

Ellen G Itskovitz  
DEFENDANT

Maren Lindstrom  
DEFENDANT

Robert A Lee  
DEFENDANT

Gregory M MacOsko  
DEFENDANT

Thomas Malone  
DEFENDANT

Charles Massare, Jr  
DEFENDANT

Stephen J McGruder  
DEFENDANT

Paul McNamara  
DEFENDANT

Robert J Noelke  
DEFENDANT

R Mark Pennington  
DEFENDANT

Walter Prah  
DEFENDANT

Michael Rose  
DEFENDANT

Eli M Salzman  
DEFENDANT

Douglas B Sieg  
DEFENDANT

Richard Sieling  
DEFENDANT

Michael T Smith  
DEFENDANT

Richard Smola  
DEFENDANT

Diane Tornejal  
DEFENDANT

Christopher J Towle  
DEFENDANT

Marion Zapolin  
DEFENDANT

E Thayer Bigelow  
DEFENDANT

William HT Bush  
DEFENDANT

Robert B Calhoun, Jr  
DEFENDANT

Stewart S Dixon  
DEFENDANT

Franklin W Hobbs  
DEFENDANT

C Alan MacDonald  
DEFENDANT

Thomas J Neff  
DEFENDANT

James F Orr, III  
DEFENDANT

Lord Abbett Affiliated Fund  
DEFENDANT

Lord Abbett All Value Fund  
DEFENDANT

Lord Abbett Alpha Series Fund  
DEFENDANT

Lord Abbett America's Value Fund  
DEFENDANT

Lord Abbett Balanced Series Fund  
DEFENDANT

Lord Abbett Developing Growth Fund  
DEFENDANT

Lord Abbett Global Equity Fund  
DEFENDANT

Lord Abbett Growth Opportunities Fund  
DEFENDANT

Lord Abbett International Core Equity Fund  
DEFENDANT

Lord Abbett International Opportunities Fund  
DEFENDANT

Lord Abbett Large-Cap Growth Fund  
DEFENDANT

Lord Abbett Large-Cap Research Fund  
DEFENDANT

Lord Abbett Large-Cap Value Fund  
DEFENDANT

Lord Abbett Mid-Cap Value Fund  
DEFENDANT

Lord Abbett Small-Cap Blend Fund  
DEFENDANT

Lord Abbett Small-Cap Value Fund  
DEFENDANT

Lord Abbett Bond-Debenture Fund  
DEFENDANT

Lord Abbett California Fund  
DEFENDANT

Lord Abbett Connecticut Fund  
DEFENDANT

Lord Abbett Convertible Fund  
DEFENDANT

Lord Abbett Core Fixed Income Fund  
DEFENDANT

Lord Abbett Florida Fund  
DEFENDANT

Lord Abbett Georgia Fund  
DEFENDANT

Lord Abbett Global Income Fund  
DEFENDANT

Lord Abbett Hawaii Fund  
DEFENDANT

Lord Abbett High Yield Fund  
DEFENDANT

Lord Abbett Insured Intermediate Fund  
DEFENDANT

Lord Abbett Limited Duration USG & GSE Fund  
DEFENDANT

Lord Abbett Michigan Fund  
DEFENDANT

Lord Abbett Minnesota Fund  
DEFENDANT

Lord Abbett Missouri Fund  
DEFENDANT

Lord Abbett National Tax-Free Fund  
DEFENDANT

Lord Abbett New Jersey Fund  
DEFENDANT

Lord Abbett New York Fund  
DEFENDANT

Lord Abbett Pennsylvania Fund  
DEFENDANT

Lord Abbett Texas Fund  
DEFENDANT

Lord Abbett Total Return Fund  
DEFENDANT

Lord Abbett USG & GSE Fund  
DEFENDANT

Lord Abbett USG & GSE Money Market Fund  
DEFENDANT

Lord Abbett Washington Fund, (Collectively, The  
"lord Abbett Funds")  
DEFENDANT

Date #

Proceeding Text

3/1/2004

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COMPLAINT against TRACIE E. AHERN, E. THAYER BIGELOW, JOAN A. BINSTOCK, MICHAEL BROOKS, ZANE E. BROWN, PATRICK BROWNE, WILLIAM H.T. BUSH, ROBERT B. CALHOUN JR., DANIEL E. CARPER, JOHN J. DICHIARO, SHOLOM DINSKY, LESLEY-JANE DIXON, STEWART S. DIXON, ROBERT S. DOW, KEVIN P. FERGUSON, ROBERT P. FETCH, DARIA L. FOSTER, DANIEL H. FRASCARELLI, ROBERT I. GERBER, MICHAEL S. GOLDSTEIN, MICHAEL A. GRANT, HOWARD E. HANSEN, PAUL A. HILSTAD, FRANKLIN W. HOBBS, CHARLES HOFER, W. THOMAS HUDSON JR., CINDA HUGHES, ELLEN G. ITSKOVITZ, LAWRENCE H. KAPLAN, ROBERT A. LEE, MAREN LINDSTROM, LORD ABBETT & CO LLC, LORD ABBETT AFFILIATED FUND, LORD ABBETT ALL VALUE FUND, LORD ABBETT ALPHA SERIES FUND, LORD ABBETT AMERICA'S VALUE FUND, LORD ABBETT BALANCED SERIES FUND, LORD ABBETT BOND-DEBENTURE FUND, LORD ABBETT CALIFORNIA FUND, LORD ABBETT CONNECTICUT FUND, LORD ABBETT CONVERTIBLE FUND, LORD ABBETT CORE FIXED INCOME FUND, LORD ABBETT DEVELOPING GROWTH FUND, LORD ABBETT FLORIDA FUND, LORD ABBETT GEORGIA FUND, LORD ABBETT GLOBAL EQUITY FUND, LORD ABBETT GLOBAL INCOME FUND, LORD ABBETT GROWTH OPPORTUNITIES FUND, LORD ABBETT HAWAII FUND, LORD ABBETT HIGH YIELD FUND, LORD ABBETT INSURED INTERMEDIATE FUND, LORD ABBETT INTERNATIONAL CORE EQUITY FUND, LORD ABBETT INTERNATIONAL OPPORTUNITIES FUND, LORD ABBETT LARGE-CAP GROWTH FUND, LORD ABBETT LARGE-CAP RESEARCH FUND, LORD ABBETT LARGE-CAP VALUE FUND, LORD ABBETT LIMITED DURATION USG & GSE FUND, LORD ABBETT MICHIGAN FUND, LORD ABBETT MID-CAP VALUE FUND, LORD ABBETT MINNESOTA FUND, LORD ABBETT MISSOURI FUND, LORD ABBETT NATIONAL TAX-FREE FUND, LORD ABBETT NEW JERSEY FUND, LORD ABBETT NEW YORK FUND, LORD ABBETT PENNSYLVANIA FUND, LORD ABBETT SMALL-CAP BLEND FUND, LORD ABBETT SMALL-CAP VALUE FUND, LORD ABBETT TEXAS FUND, LORD ABBETT TOTAL RETURN FUND, LORD ABBETT USG & GSE FUND, LORD ABBETT USG & GSE MONEY MARKET FUND, LORD ABBETT WASHINGTON FUND, C. ALAN MACDONALD, GREGORY M. MACOSKO, THOMAS MALONE, CHARLES MASSARE JR., STEPHEN J. MCGRUDER, PAUL MCNAMARA, ROBERT G. MORRIS, THOMAS J. NEFF, ROBERT J. NOELKE, A. EDWARD OBERHAUS III, JAMES F. ORR III, R. MARK PENNINGTON, WALTER PRAHL, MICHAEL ROSE, ELI M. SALZMANN, DOUGLAS B. SIEG, RICHARD SIELING, MICHAEL T. SMITH, RICHARD SMOLA, DIANE TORNEJAL, CHRISTOPHER J. TOWLE, EDWARD K. VON DER LINDE, MARION ZAPOLIN ( Filing fee \$ 150 receipt number 321817.) JURY DEMAND, filed by PHILIP KATZ.(MD, ) (Entered: 03/08/2004)

3/8/2004

- Summons Issued as to TRACIE E. AHERN, E. THAYER BIGELOW, JOAN A. BINSTOCK, MICHAEL BROOKS, ZANE E. BROWN, PATRICK BROWNE, WILLIAM H.T. BUSH, ROBERT B. CALHOUN JR., DANIEL E. CARPER, JOHN J. DICHIARO, SHOLOM DINSKY, LESLEY-JANE DIXON, STEWART S. DIXON, ROBERT S. DOW, KEVIN P. FERGUSON, ROBERT P. FETCH, DARIA L. FOSTER, DANIEL H. FRASCARELLI, ROBERT I. GERBER, MICHAEL S. GOLDSTEIN, MICHAEL A. GRANT, HOWARD E. HANSEN, PAUL A. HILSTAD, FRANKLIN W. HOBBS, CHARLES HOFER, W. THOMAS HUDSON JR., CINDA HUGHES, ELLEN G. ITSKOVITZ, LAWRENCE H. KAPLAN, ROBERT A. LEE, MAREN LINDSTROM, LORD ABBETT & CO LLC, LORD ABBETT AFFILIATED FUND, LORD ABBETT ALL VALUE FUND, LORD ABBETT ALPHA SERIES FUND, LORD ABBETT AMERICA'S VALUE FUND, LORD ABBETT BALANCED SERIES FUND, LORD ABBETT BOND-DEBENTURE FUND, LORD ABBETT CALIFORNIA FUND, LORD ABBETT CONNECTICUT FUND, LORD ABBETT CONVERTIBLE FUND, LORD ABBETT CORE FIXED INCOME FUND, LORD ABBETT DEVELOPING GROWTH FUND, LORD ABBETT FLORIDA FUND, LORD ABBETT GEORGIA FUND, LORD ABBETT GLOBAL EQUITY FUND, LORD ABBETT GLOBAL INCOME FUND, LORD ABBETT GROWTH OPPORTUNITIES FUND, LORD ABBETT HAWAII FUND, LORD ABBETT HIGH YIELD FUND, LORD ABBETT INSURED INTERMEDIATE FUND, LORD ABBETT INTERNATIONAL CORE EQUITY FUND, LORD ABBETT INTERNATIONAL OPPORTUNITIES FUND, LORD ABBETT LARGE-CAP GROWTH FUND, LORD ABBETT LARGE-CAP RESEARCH FUND, LORD ABBETT LARGE-CAP VALUE FUND, LORD ABBETT LIMITED DURATION USG & GSE FUND, LORD ABBETT MICHIGAN FUND, LORD ABBETT MID-CAP VALUE FUND, LORD ABBETT MINNESOTA FUND, LORD ABBETT MISSOURI FUND, LORD ABBETT NATIONAL TAX-FREE FUND, LORD ABBETT NEW JERSEY FUND, LORD ABBETT NEW YORK FUND, LORD ABBETT PENNSYLVANIA FUND, LORD ABBETT SMALL-CAP BLEND FUND, LORD ABBETT SMALL-CAP VALUE FUND, LORD ABBETT TEXAS FUND, LORD ABBETT TOTAL RETURN FUND, LORD ABBETT USG & GSE FUND, LORD ABBETT USG & GSE MONEY MARKET FUND, LORD ABBETT WASHINGTON FUND, C. ALAN MACDONALD, GREGORY M. MACOSKO, THOMAS MALONE, CHARLES MASSARE JR., STEPHEN J. MCGRUDER, PAUL MCNAMARA, ROBERT G. MORRIS, THOMAS J. NEFF, ROBERT J. NOELKE, A. EDWARD OBERHAUS III, JAMES F. ORR III, R. MARK PENNINGTON, WALTER PRAHL, MICHAEL ROSE, ELI M. SALZMANN, DOUGLAS B. SIEG, RICHARD SIELING, MICHAEL T. SMITH, RICHARD SMOLA, DIANE TORNEJAL, CHRISTOPHER J. TOWLE, EDWARD K. VON DER LINDE, MARION ZAPOLIN. Days Due - 20. (Mailed to Counsel) (MD, ) (Entered: 03/08/2004)
- 2 MOTION to Consolidate Cases by PHILIP KATZ. (Attachments: # 1 memorandum of law in support of motion for consolidation# 2 Certification of Patrick L. Rocco in Support of Motion for Consolidation# 3 Exhibit A to the Certification of Patrick L. Rocco in Support of Motion for Consolidation# 4 Exhibit B to the Certification of Patrick L. Rocco in Support of Motion for Consolidation# 5 Exhibit C to the Certification of Patrick L. Rocco in Support of Motion for Consolidation# 6 Exhibit D to the Certification of Patrick L. Rocco in Support of Motion for Consolidation# 7 Exhibit E to the Certification of Patrick L. Rocco in Support of Motion for Consolidation# 8 Exhibit F to the Certification of Patrick L. Rocco in Support of Motion for Consolidation# 9 Proposed Form of Order# 10 Certificate of Service)(SULLIVAN, JENNIFER) (Entered: 04/30/2004)

4/30/2004

4/30/2004	3	MOTION Appointment of Lead Counsel, Appointment of Executive Committee and Appointment of Liaison Counsel by PHILIP KATZ. (Attachments: # 1 Memorandum of Law in Support of Motion for Appointment of Lead Counsel, Appointment of Executive Committee and Appointment of Liaison Counsel# 2 Certification of Patrick L. Rocco in Support of Memorandum of Law in Support of Motion for Appointment of Lead Counsel, Appointment of Executive Committee and Appointment of Liaison Counsel# 3 Exhibit A to the Certification of Patrick L. Rocco# 4 Proposed Form of Order# 5 certification of service)(SULLIVAN, JENNIFER) (Entered: 04/30/2004)
5/4/2004	--	. CLERKS OFFICE QUALITY CONTROL MESSAGE - The motion to consolidate, brief(DOC. NO. 2) and motion and brief (DOC. NO. 3) submitted by J. SULLIVAN on 4/30/2004 did not contain a proper signature. Only Registered Users are permitted to sign electronically filed documents with an s/. PLEASE RESUBMIT THE DOCUMENT WITH A PROPER ELECTRONIC OR SCANNED SIGNATURE BY 5/7/2004. This submission will remain on the docket unless otherwise ordered by the court. This message is for informational purposes only.(mn, ) (Entered: 05/04/2004)
5/4/2004	4	Amended MOTION to Consolidate Cases by PHILIP KATZ. (Attachments: # 1 Amended Memorandum of Law in Support of Motion for Consolidation# 2 Amended Certification of Patrick L. Rocco in Support of Motion for Consolidation)(SULLIVAN, JENNIFER) (Entered: 05/04/2004)
5/4/2004	5	Amended MOTION for Appointment of Lead Counsel, Executive Committe and Liaison Counsel by PHILIP KATZ. (Attachments: # 1 Amended Memorandum of Law in Support of Motion for Appointment of Lead Counsel, Executive Committe and Liaison Counsel# 2 amended certification of patrick l rocco)(SULLIVAN, JENNIFER) (Entered: 05/04/2004)
5/11/2004	6	LETTER-BRIEF w/cert. of serv. filed by LORD ABBETT & CO LLC, et al. (DD, ) (Entered: 05/13/2004)
5/26/2004	7	PRETRIAL ORDER NO. 1 for consolidation w/civ. 04-559 (WJM) MASTER FILE for all purposes.. Signed by Judge Ronald J. Hedges on 5/24/04. (DD, ) (Entered: 05/26/2004)
5/26/2004	--	***Civil Case Terminated. (DD, ) (Entered: 05/26/2004)
		Cases associated w/civ. 04-559 (WJM) MASTER FILE (DD, ) (Entered: 05/26/2004)

5/26/2004

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