

U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:04-md-01584-JES

In Re: Federal Home Loan Mortgage Corp. Securities &
Derivative Litigation (No. II)
Assigned to: Judge John E. Sprizzo
Related Cases: [1:04-cv-02633-JES](#)
[1:04-cv-04965-JES](#)
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 02/24/2004
Jury Demand: None
Nature of Suit: 850
Securities/Commodities
Jurisdiction: Federal Question

Plaintiff

Roger Sprigle
*on behalf of themselves and all others
similarly situated*

represented by **Joseph Harry Weiss**
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Plaintiff

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*on behalf of themselves and all others
similarly situated*

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Plaintiff

Tamar Zaks
*on behalf of themselves and all others
similarly situated*

represented by **Joseph Harry Weiss**
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Plaintiff

**The Esther Sadowsky Testamentary
Trust**
*Individually, Derivatively on behalf of
Federal Home Loan Mortgage Corp.*

represented by **Joseph Harry Weiss**
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Plaintiff

**Ohio Public Employees Retirement
System**

Consolidated Plaintiff

Lori Roberts

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Consolidated Plaintiff

Beth Raney

TERMINATED: 11/24/2004

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V.

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Defendant

**Retirement Committee of the Federal
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Thrift/401(k) Savings Plan**

Defendant

Byron Boston

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Defendant

**Federal Home Loan Mortgage
Corporation Thrift/401K Savings Plan
Retirement Committee**

represented by **Seth M. Kaplan**
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Date Filed	#	Docket Text
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02/24/2004	1	CERTIFIED TRUE COPY OF CONDITIONAL MDL TRANSFER IN ORDER FROM THE MDL PANEL... that pursuant to 28 U.S.C. 1407, the actions listed on the attached schedule A and pending in the District of Southern District of Ohio, and the same hereby are, transferred to the Southern District of New York, with the consent of that court, assigned to the Honorable Judge John E. Sprizzo, for coordinated or consolidated pretrial proceedings with the actions pending in that district and listed on Schedule A. (Signed by Judge MDL Panel on 2/18/2004) (mj,) (Entered: 03/24/2004)
02/24/2004		Magistrate Judge Douglas F. Eaton is so designated. (mj,) (Entered: 03/24/2004)
02/24/2004		CASES ORIGINATING FROM THE SOUTHERN DISTRICT OF NEW YORK: 1:03-cv-04261 (JES), 1:03-cv-04910 (JES). (mj,) (Entered: 03/24/2004)
04/01/2004	2	RULE 7.1 DISCLOSURE STATEMENT. This document relates to all actions. Document filed by Federal Home Loan Mortgage Corporation. Received in night deposit on 4/1/2004 at 9:16 p.m. (kkc,) Modified on 4/14/2004 (kkc,). (Entered: 04/14/2004)
04/01/2004	3	MOTION to Dismiss the amended complaint with prejudice pursuant to F.R.C.P. 12(b)(6). This document relates to all actions. Document filed by Leland C. Brendsel, Vaughn Clarke, Federal Home Loan Mortgage Corporation, David W. Glenn, Gregory Parseghian. Return Date set for 7/19/2004 03:00 PM before Judge John E. Sprizzo. Received in night deposit on 4/1/2004 at 9:16 p.m.(kkc,) (Entered: 04/14/2004)
04/01/2004	4	MEMORANDUM OF LAW in Support re: [3] MOTION to Dismiss. This document relates to all actions. Document filed by Leland C. Brendsel, Vaughn Clarke, Federal Home Loan Mortgage Corporation, David W. Glenn, Gregory Parseghian. Received in night deposit on 4/1/2004 at 9:15 p.m (kkc,) (Entered: 04/14/2004)
04/07/2004	5	CERTIFIED TRUE COPY OF CONDITIONAL MDL TRANSFER IN ORDER (CTO-1) FROM THE MDL PANEL... that pursuant to 28 U.S.C. 1407, the actions listed on the Order and pending in the Southern District of Ohio, case nos. 2:03-921, 2:03-1024; and pending in the Eastern District of Virginia, case no. 1:03-1539, and the same hereby are, transferred to the Southern District of New York, with the consent of that court, assigned to the Honorable Judge John E. Sprizzo, for coordinated or consolidated pretrial proceedings with the actions pending in that district and listed on this Order. (Signed by MDL Panel on 3/15/2004) (kkc,) (Entered: 04/14/2004)
04/28/2004	6	TRANSCRIPT of proceedings held on 3/10/2004 before Judge John E. Sprizzo.(kkc,) (Entered: 04/29/2004)
05/05/2004	7	TRANSCRIPT of proceedings held on 3/10/04 at 3:50 P.M. before Judge John E. Sprizzo.(rjm,) (Entered: 05/05/2004)
05/20/2004	8	STIPULATED AND AGREED PRETRIAL ORDER NO. 2 That counsel for those individual defts. not previously joined in this action agree to accept service of the Consolidated Complaint as of 5/14/04; Ptffs. agree to extend the time to respond to the consolidated complaint for all defts. until 7/13/04; Defts. agree to file one consolidated brief in support of any motion directed to the Consolidated Complaint,

		which brief shall not exceed 35 pages; Ptffs. shall have 60 days from the date of service of such motion to file an opposition brief (which brief shall not exceed 35 pages). (Signed by Judge John E. Sprizzo on 5/19/04) (rjm,) . This Document relates to 04-2632, 2633. Modified on 5/27/2004 (rjm,). (Entered: 05/27/2004)
05/20/2004		Set Answer Due Date purs. to [8] Pretrial Order, as to All Defendants. answer due on 7/13/2004. This relates to 04-2632, 2633. (rjm,) (Entered: 05/27/2004)
05/21/2004	9	NOTICE OF MOTION for Karen Dietrich to Appear Pro Hac Vice. Document filed by Vaughn Clarke. Affirmation in Support & Proposed Order attached. This Document relates to All Actions. (rjm,) (Entered: 05/27/2004)
05/21/2004	10	NOTICE OF MOTION for Adam L. Rosman to Appear Pro Hac Vice. Document filed by Vaughn Clarke. Affirmation in Support & Proposed Order attached. This Document relates to All Actions. (rjm,) (Entered: 05/27/2004)
05/21/2004	11	NOTICE OF MOTION for Carole J. Yanofsky to Appear Pro Hac Vice. Document filed by Vaughn Clarke. Affirmation in Support & Proposed Order attached. This Document relates to All Actions. (rjm,) (Entered: 05/27/2004)
06/24/2004	12	CERTIFIED TRUE COPY OF CONDITIONAL MDL TRANSFER IN ORDER FROM THE MDL PANEL (CTO-2)... that pursuant to 28 U.S.C. 1407, the action listed on the attached schedule A and pending in the Eastern District of Virginia, and the same hereby is, transferred to the Southern District of New York, with the consent of that court, assigned to the Honorable Judge John E. Sprizzo, for coordinated or consolidated pretrial proceedings with the actions pending in that district and listed on Schedule A. (Signed by MDL Panel on 5/27/04) (rjm,) (Entered: 06/29/2004)
07/07/2004	13	NOTICE OF DISMISSAL PURS. TO F.R.C.P. 41(a)(1) that Chris Morris shall be dismissed from this action without prejudice. This Document relates to 04-2632, 2633. (Signed by Judge John E. Sprizzo on 7/2/04) (rjm,) (Entered: 07/08/2004)
07/13/2004	14	NOTICE OF MOTION AND MOTION to Dismiss Plaintiffs' Consolidated Complaint. Document filed by Leland C. Brendsel, Vaughn Clarke, Federal Home Loan Mortgage Corporation, John Gibbons, David W. Glenn, George D. Gould, Federal Home Loan Mortgage Corp. Thrift/401(k) Savings Plan Retirement Committee, Candice Mendenhall, Patricia Dodson, Emily Foster, David Andrukonis, Michael Hager, Robert Tsien, Byron Boston & Nazir Dossani (collectively the "Defts"). This Document relates to 04-2632 & 2633. Received in N.D. Box on 7/13/04, 7:41pm. (rjm,) Modified on 7/21/2004 (rjm,). (Entered: 07/21/2004)
07/13/2004	15	DEFTS' MEMORANDUM OF LAW in Support re: [14] MOTION to Dismiss. Document filed by Leland C. Brendsel, Vaughn Clarke, Federal Home Loan Mortgage Corporation, John Gibbons, David W. Glenn, George D. Gould, Federal Home Loan Mortgage Corp. Thrift 401/(k) Savings Plan Retirement Committee, Candice Mendenhall, Patricia Dodson, Emily Foster, David Andrukonis, Michael Hager, Robert Tsien, Byron Boston, & Nazir Dossani. This Document relates to 04-2632, 2633. Received in N.D. Box on 7/13/04, 7:42pm. (rjm,) (Entered: 07/21/2004)
08/16/2004	16	ANSWER to Complaint. This document relates to 03cv4261, 04cv2634. Document

		filed by Leland C. Brendsel.(kkc,) (Entered: 08/19/2004)
08/16/2004	17	ANSWER to Complaint. This document relates to 03cv4261, 04cv2634. Document filed by Vaughn Clarke.(kkc,) (Entered: 08/19/2004)
08/19/2004	18	ANSWER to Complaint. This document relates to 03cv4261, 04cv2634. Document filed by John Gibbons.(kkc,) (Entered: 08/23/2004)
09/17/2004	19	RESPONSE to Defts.' Motion re: [14] MOTION to Dismiss Plaintiffs' Consolidated Complaint. Document filed by Jon Gross, Ohio Public Employees Retirement System, Roger Sprigle, The Esther Sadowsky Testamentary Trust, Tamar Zaks. This Document relates to All Actions. (rjm,) (Entered: 09/21/2004)
09/17/2004	20	DECLARATION of Joseph H. Meltzer in Support of Response to Defendants' Motion to Dismiss Plaintiffs' Consolidated Complaint. Document filed by Jon Gross, Ohio Public Employees Retirement System, Roger Sprigle, The Esther Sadowsky Testamentary Trust, Tamar Zaks. This Document relates to All Actions. (rjm,) (Entered: 09/21/2004)
09/22/2004	21	STIPULATION AND signed (Proposed) ORDER Extending Time for Plaintiffs to respond to Defendants' Motion to Dismiss. Plaintiffs' Responses due by 9/17/2004, extended from 9/13/04. This Document relates to All Actions. (Signed by Judge John E. Sprizzo on 9/14/04) (rjm,) (Entered: 09/22/2004)
09/30/2004	22	ORDER. Plaintiffs Amended Complaint due by 10/8/2004. Plaintiffs & Defendants may supplement their respective Briefs on the Motion to Dismiss, not to exceed fifteen pages, on or before 11/8/04. Oral Argument set for 12/13/2004 03:00 PM in courtroom 705 before Judge John E. Sprizzo. This Document relates to 04-2632 & 04-2633. (Signed by Judge John E. Sprizzo on 9/30/04) (rjm,) (Entered: 10/06/2004)
10/08/2004	23	CONSOLIDATED AMENDED COMPLAINT for Breach of Fiduciary Duty under ERISA against Chris Morris, Robert Tsien, Michael Hager, David Andrukonis, Emily Foster, Patricia Dodson, Nazir Dossani, Candace Mendenhall, Retirement Committee of the Federal Home Loan Mortgage Corporation Thrift/401(k) Savings Plan, Byron Boston.Document filed by Beth Raney, Lori Roberts. This Document relates to All Actions.(rjm,) (Entered: 10/26/2004)
10/08/2004		Set Answer Due Date re: [23] Amended Complaint, as to David Andrukonis answer due on 10/18/2004; Byron Boston answer due on 10/18/2004; Leland C. Brendsel answer due on 10/18/2004; Cesar B. Cabrera answer due on 10/18/2004; Vaughn Clarke answer due on 10/18/2004; Patricia Dodson answer due on 10/18/2004; Nazir Dossani answer due on 10/18/2004; Michelle Engler answer due on 10/18/2004; Federal Home Loan Mortgage Corporation answer due on 10/18/2004; Emily Foster answer due on 10/18/2004; John Gibbons answer due on 10/18/2004; David W. Glenn answer due on 10/18/2004; George D. Gould answer due on 10/18/2004; David J. Gribbin III answer due on 10/18/2004; Michael Hager answer due on 10/18/2004; Thomas W. Jones answer due on 10/18/2004; Henry Kaufman answer due on 10/18/2004; Martin L. Leibowitz answer due on 10/18/2004; John B. McCoy answer due on 10/18/2004; Candace Mendenhall answer due on 10/18/2004; Chris Morris answer due on 10/18/2004; Shaun F. O'Malley answer due on 10/18/2004; Gregory Parseghian answer due on 10/18/2004; Ronald F. Poe answer due on 10/18/2004; William D. Powers answer

		due on 10/18/2004; Retirement Committee of the Federal Home Loan Mortgage Corporation Thrift/401(k) Savings Plan answer due on 10/18/2004; Stephen A. Ross answer due on 10/18/2004; Donald J. Schuenke answer due on 10/18/2004; Christina Seix answer due on 10/18/2004; Catherine L. Stepp answer due on 10/18/2004; Robert Tsien answer due on 10/18/2004; William J. Turner answer due on 10/18/2004. (rjm,) (Entered: 10/26/2004)
10/22/2004	24	NOTICE of Appearance by Seth M. Kaplan on behalf of Federal Home Loan Mortgage Corporation Thrift/401K Savings Plan Retirement Committee, Federal Home Loan Mortgage Corporation. This Document relates to 04-2632 & 04-2633. (rjm,) (Entered: 10/29/2004)
10/27/2004	25	STIPULATION AND ORDER (this document relates to 04cv2632, 04cv2633); that counsel for all parties agree to extend the time for plaintiffs and defendants to file the Supplemental Briefs on the motion to dismiss, which shall not exceed 15 pages, until 11/19/2004. (Signed by Judge Peter K. Leisure (for Judge Sprizzo) on 10/25/2004) (kkc,) (Entered: 11/10/2004)
11/03/2004	26	ENDORSED LETTER addressed to Judge John E. Sprizzo from Gerald D. Wells, III dated 10/20/2004; granting counsel's application for a one week extension of time for plaintiffs to respond to any supplemental brief submitted by defendants in further support of their motion to dismiss. (Signed by Judge John E. Sprizzo on 10/29/2004) (kkc,) (Entered: 11/10/2004)
11/24/2004	27	STIPULATION AND ORDER OF DISMISSAL... that Beth K. Raney is dismissed from the captioned matter, each person to bear its own costs. This Document relates to 04-2632 & 04-2633. (Signed by Judge John E. Sprizzo on 11/22/04) (rjm,) (Entered: 12/01/2004)
02/08/2005	28	SUMMARY ORDER denying [14] Motion to Dismiss; and it is further ordered that discovery in the captioned actions shall be completed on or before 6/6/05; and it is further ordered that a Pre-Trial Conference shall occur on 6/6/05, 3:00pm in Crtrm. 705, 40 Foley Sq. This Document relates to 04-2632 & 04-2633. (Signed by Judge John E. Sprizzo on 2/7/05) (rjm,) (Entered: 02/10/2005)
02/08/2005		Set Deadlines/Hearings: Discovery due by 6/6/2005. Pretrial Conference set for 6/6/2005 03:00 PM before Judge John E. Sprizzo. (rjm,) (Entered: 02/10/2005)
02/22/2005	30	NOTICE of Change of Address by Joseph H. Meltzer on behalf of Lori Roberts. Please take notice of the address change, effective 1/31/05. New Address: Schiffrin & Barroway, LLP, 280 King of Prussia Road, Radnor, Pennsylvania, USA 19087, (610) 667-7706. The firm's telephone & facsimile numbers will remain the same. (rjm,) Modified on 3/17/2005 (rjm,). (Entered: 03/17/2005)
03/07/2005	29	STIPULATION AND ORDER FOR EXTENSION OF TIME TO FILE ANSWER; time for deft Freddie Mac to file their answer to the consolidated amended complaint is extended to 3/25/05, and time for individual defts to file their answers to the consolidated amended complaint is extended to 4/4/05. (Signed by Judge John E. Sprizzo on 3/4/05) This document relates to: 04 cv 2632 (JES) & 04 cv 2633 (JES). (sn) Modified on 3/11/2005 (sn). (Entered: 03/11/2005)
03/25/2005	31	ORDER that Defendants shall be granted leave to submit their Motion for Interlocutory Appeal, not to exceed 25 pages, Motion due by 4/12/2005. Response,

		not to exceed 25 pages due by 5/3/2005. Discovery in the actions this order relates to shall be stayed pending resolution of this Motion. Oral Argument set for 6/13/2005 03:00 PM before Judge John E. Sprizzo, in Crtrm. 705, 40 Centre Street. This Document relates to 04-2632 & 04-2633. (Signed by Judge John E. Sprizzo on 3/23/05) (rjm,) (Entered: 03/31/2005)
03/29/2005	32	STIPULATION FOR EXTENSION OF TIME TO FILE ANSWER that counsel for all parties agree that the time period for all Defendants to answer Plaintiffs' Consolidated Amended Complaint shall be extended to 21 days after (1) the date this Court enters an Order denying Defts' motion for certification of interlocutory appeal, (2) the date the Second Circuit enters an Order denying permission to file an interlocutory appeal, or (3) the date the Second Circuit enters an Order denying Defts' interlocutory appeal. This Document relates to 04-2632 & 04-2633. (Signed by Judge John E. Sprizzo on 3/28/05) (rjm,) (Entered: 03/31/2005)
04/26/2005	34	MOTION for Certification for Interlocutory Appeal of this Court's 2/7/2005 Order. This document relates to 04cv2632, 04cv2633. Document filed by Robert Tsien, Michael Hager, David Andrukonis, Federal Home Loan Mortgage Corporation, Emily Foster, Patricia Dodson, Candace Mendenhall, Federal Home Loan Mortgage Corporation Thrift/401K Savings Plan Retirement Committee. (kkc,) (Entered: 05/11/2005)
04/26/2005	35	MEMORANDUM OF LAW in Support re: [34] MOTION for Certificate of Appealability. This document relates to 04cv2632, 04cv2633. Document filed by Robert Tsien, Michael Hager, David Andrukonis, Federal Home Loan Mortgage Corporation, Emily Foster, Patricia Dodson, Candace Mendenhall, Federal Home Loan Mortgage Corporation Thrift/401K Savings Plan Retirement Committee. (kkc,) (Entered: 05/11/2005)
05/05/2005	33	NOTICE of Change of Address and Telephone Numbers by William Michael Sullivan, Jr on behalf of John Gibbons. New Address: Winston & Strawn LLP, 1700 K. Street N.W., Washington, D.C., USA 20006, (202) 282-5000. This Document relates to 04-2632 & 04-2633. (rjm,) (Entered: 05/05/2005)
05/05/2005		NOTICE of Change of Address by William Michael Sullivan, Jr on behalf of John Gibbons. New Address: Winston & Strawn LLP, 1700 K. Street N.W., Washington, D.C., USA 20006, (202) 282-5000. Document filed by John Gibbons. Entered as Doc. #9 in 04-2634. (rjm,) (Entered: 05/05/2005)
05/05/2005		Docketing Notation No Number for ORDER ADMITTING ATTORNEY PRO HAC VICE. Attorney Frederick W. Chockley for Byron Boston, Peder A. Garske for Byron Boston admitted Pro Hac Vice. (Signed by Judge John E. Sprizzo on 5/5/05) (jco,) (Entered: 05/06/2005). Entered as Doc. No. 3 in 04cv2633. (Signed by Judge John E. Sprizzo on 5/5/05) (rjm,) (Entered: 06/22/2005)
05/11/2005	36	STIPULATION AND ORDER (this document relates to all actions); that plaintiffs' time to respond to the Motion for Certification for Interlocutory Appeal shall be extended from 5/3/2005 to 5/24/2005. (Signed by Judge John E. Sprizzo on 5/9/2005) (kkc,) (Entered: 05/13/2005)
05/24/2005	37	PLAINTIFF'S RESPONSE to Defts' Motion re: [34] MOTION for Certification for Interlocutory Appeal pursuant to 28 USC 1292(b). This Document relates to All Actions. (rjm,) (Entered: 05/31/2005)

07/05/2005	38	ORDER denying [34] Motion for Certification for Interlocutory Appeal. This document relates to 04cv2632, 04cv2633. (Signed by Judge John E. Sprizzo on 6/14/2005) (kkc,) (Entered: 07/06/2005)
07/07/2005		CASHIERS OFFICE REMARK on copies of Orders Granting Motions to Admit Adam L. Rosman, Carole J. Yanofsky, and Karen Dietrich Pro Hac Vice, in the amount of \$75.00, paid on 7/7/05, Receipt Number 548355. This document relates to all actions. (sn) (Entered: 08/16/2005)
07/18/2005	39	ORDER that Document Discovery is due by 11/14/05; Fact Discovery is due by 3/31/2006; Expert Discovery is due by 5/31/06. Pretrial Conference set for 9/26/2005 03:00 PM before Judge John E. Sprizzo in Crtrm. 705, 40 Centre Street. This Document relates to 03-4261, 04-2632, 04-2633, 04-2634, 05-2596,. (Signed by Judge John E. Sprizzo on 7/15/05). (rjm,) (Entered: 07/19/2005)
07/20/2005	40	ANSWER and AFFIRMATIVE DEFENSES of DEFENDANT JOHN GIBBONS to the CONSOLIDATED AMENDED COMPLAINT. Document filed by John Gibbons. This Document relates to 04-2632 & 04-2633.(rjm,) (Entered: 07/26/2005)
07/20/2005	41	ANSWER of GEORGE GOULD to the CONSOLIDATED AMENDED COMPLAINT for BREACH of FIDUCIARY DUTY under ERISA. Document filed by George D. Gould. This Document relates to 04-2632, 04-2633. Related document: [23] Amended Complaint, filed by Lori Roberts,, Beth Raney,.(rjm,) (Entered: 07/26/2005)
07/20/2005	42	DEFENDANT DAVID W. GLENN'S ANSWER to the Consolidated Amended Complaint for Breach of Fiduciary Duty under ERISA. Document filed by David W. Glenn. This Document relates to 04-2632 & 04-2633. Related document: [23] Amended Complaint, filed by Lori Roberts,, Beth Raney,.(rjm,) (Entered: 07/26/2005)
07/20/2005	43	ANSWER of Defendant Byron Boston to Consolidated Amended Complaint for Breach of Fiduciary Duty under ERISA. Document filed by Byron Boston.This Document relates to All Actions. Related document: [23] Amended Complaint, filed by Lori Roberts,, Beth Raney.(rjm,) (Entered: 07/26/2005)
07/20/2005	44	ANSWER and Affirmative Defenses to the Consolidated Amended Complaint for Breach of Fiduciary Duty under ERISA. Document filed by Defendants Federal Home Loan Mortgage Corporation, Federal Home Loan Mortgage Corporation Thrift/401K Savings Plan Retirement Committee.This Document relates to 04-2632 & 04-2633.(rjm,) (Entered: 07/26/2005)
07/20/2005	45	Defendant Leland C. Brendsel's ANSWER to Consolidated Amended Complaint. Document filed by Leland C. Brendsel. Related document: [23] Amended Complaint, filed by Lori Roberts,, Beth Raney,. This Document relates to All Actions.(rjm,) (Entered: 07/26/2005)
07/20/2005	46	Defendant Nazir Dossani's ANSWER to the Consolidated Amended Complaint. Document filed by Nazir Dossani. Related document: [23] Amended Complaint, filed by Lori Roberts,, Beth Raney. This Document relates to All Actions.(rjm,) (Entered: 07/26/2005)

07/21/2005	48	ANSWER And Affirmative Defenses of Defendant Vaughn A. Clarke to the Amended Complaint for Breach of Fiduciary Duty Under ERISA. Related document: [23] Amended Complaint, filed by Lori Roberts,, Beth Raney. This Document relates to 04-2632 and 04-2633. Document filed by Vaughn Clarke. (rjm,) (Entered: 08/03/2005)
07/25/2005	47	ANSWER And Affirmative Defenses of Defendant Vaughn A. Clarke to the Amended Complaint for Breach of Fiduciary Duty Under ERISA. Document filed by Vaughn Clarke. Related document: [23] Amended Complaint, filed by Lori Roberts,, Beth Raney. This Document relates to 04-2632 and 04-2633.(rjm,) (Entered: 08/01/2005)
08/16/2005	49	ANSWER to Amended Complaint (This document relates to: 04cv2632 (JES); 04cv2633 (JES)). Document filed by Robert Tsien. Related document: [23] Amended Complaint, filed by Lori Roberts, Beth Raney.(Filed in Night Deposit Box 8/16/05, 6:15 PM) (sn) Modified on 8/24/2005 (sn). (Entered: 08/24/2005)
08/16/2005	50	ANSWER to Amended Complaint (This document relates to: 04cv2632 (JES); 04cv2633 (JES)). Document filed by Patricia Dodson. Related document: [23] Amended Complaint, filed by Lori Roberts, Beth Raney.(Received in Night Deposit Box 8/16/05 6:15 PM) (sn) Modified on 8/24/2005 (sn). (Entered: 08/24/2005)
08/16/2005	51	ANSWER to Amended Complaint (This document relates to: 04cv2632 (JES); 04cv2633 (JES)). Document filed by Michael Hager. Related document: [23] Amended Complaint, filed by Lori Roberts, Beth Raney. (Received in Night Deposit box 8/16/05 6:15 PM)(sn) (Entered: 08/24/2005)
08/16/2005	52	ANSWER to Amended Complaint (This document relates to: 04cv2632 (JES); 04cv2633 (JES). Document filed by Candace Mendenhall. Related document: [23] Amended Complaint, filed by Lori Roberts, Beth Raney. (Received in Night Deposit box 8/16/05 6:15 PM)(sn) (Entered: 08/24/2005)
08/16/2005	53	ANSWER to Amended Complaint (This document relates to: 04cv2632 (JES); 04cv2633 (JES)). Document filed by David Andrukonis. Related document: [23] Amended Complaint, filed by Lori Roberts, Beth Raney. (Received in Night Deposit box 8/16/05 6:16 PM)(sn) (Entered: 08/24/2005)
08/16/2005	54	ANSWER to Amended Complaint (This document relates to: 04cv2632 (JES); 04cv2633 (JES)). Document filed by Emily Foster. Related document: [23] Amended Complaint, filed by Lori Roberts, Beth Raney. (Received in Night Deposit box 8/16/05 6:16 PM)(sn) (Entered: 08/24/2005)
09/22/2005	55	NOTICE OF MOTION for Jason T. Wright to Appear Pro Hac Vice. Document filed by Leland C. Brendsel. This Document Relates to All Actions. (rjm,) (Entered: 10/03/2005)
09/28/2005	56	NOTICE OF MOTION for Daniel E. Loeb to Appear Pro Hac Vice. Document filed by David W. Glenn. This Document relates to All Actions. Proposed Order attached. (rjm,) Modified on 10/5/2005 (rjm,). (Entered: 10/05/2005)
09/28/2005	57	NOTICE OF MOTION for James H. Schropp to Appear Pro Hac Vice. Document filed by David W. Glenn. This Document relates to All Actions. Proposed Order

		attached. (rjm,) (Entered: 10/05/2005)
09/28/2005	58	NOTICE OF MOTION for Eugene N. Hansen to Appear Pro Hac Vice. Document filed by David W. Glenn. This Document relates to All Actions. Proposed Order attached. (rjm,) (Entered: 10/05/2005)
10/06/2005	59	ORDER granting [55] Motion for Jason T. Wright to Appear Pro Hac Vice. This Document relates to All Actions . (Signed by Judge John E. Sprizzo on 10/4/05) (rjm,) (Entered: 10/11/2005)
10/07/2005	60	ORDER granting [56] Motion for Daniel E. Loeb to Appear Pro Hac Vice. This Document relates to All Actions . (Signed by Judge John E. Sprizzo on 10/6/05) (rjm,) (Entered: 10/11/2005)
10/07/2005	61	ORDER granting [57] Motion for James H. Schropp to Appear Pro Hac Vice. This Document relates to All Actions . (Signed by Judge John E. Sprizzo on 10/6/05) (rjm,) (Entered: 10/11/2005)
10/07/2005	62	ORDER granting [58] Motion for Eugene N. Hansen to Appear Pro Hac Vice. This Document relates to All Actions . (Signed by Judge John E. Sprizzo on 10/6/05) (rjm,) (Entered: 10/11/2005)
10/11/2005	63	ORDER that the pre-trial conference previously scheduled for 10/6/05 shall be hereby cancelled; and it is further ordered that oral argument on plaintiffs' motion for Class Certification in the action captioned as 03cv4261(JES) shall be held on 12/15/05, 3:00pm. in Crtrm. 705, 40 Centre Street. This Document relates to 03cv4261, 04cv2632, 04cv2633, 04cv2634 and 05cv2596. (Signed by Judge John E. Sprizzo on 9/26/05) (rjm,) (Entered: 10/12/2005)
10/18/2005	64	SIGNED PROPOSED ORDER FOR ADMISSION PRO HAC VICE that Bridget M. Fitzpatrick is granted permission to appear & participate in all further proceedings in this case as counsel for defendant Nazir Dossani. This Document relates to All Actions. Copy of Motion & Supporting Affidavits attached. (Signed by Judge John E. Sprizzo on 10/12/05) (rjm,) Modified on 10/25/2005 (rjm,). (Entered: 10/25/2005)
10/18/2005	65	SIGNED PROPOSED ORDER FOR ADMISSION PRO HAC VICE that Sara Z. Moghadam is granted permission to appear and to participate in all further proceedings in this case as counsel for defendant Nazir Dossani. This Document relates to All Actions. Copy of Motion & Supporting Affidavit attached. Attorney Sara Z. Moghadam for Nazir Dossani added. (Signed by Judge John E. Sprizzo on 10/12/05) (rjm,) Modified on 10/25/2005 (rjm,). (Entered: 10/25/2005)
10/24/2005	66	SIGNED PROPOSED ORDER FOR ADMISSION PRO HAC VICE that Bridget M. Fitzpatrick is granted permission to appear & participate in all further proceedings in this case as counsel for defendant Nazir Dossani. This Document relates to All Actions. Motion & Supporting Affidavits attached. (Signed by Judge John E. Sprizzo on 10/21/05) (rjm,) (Entered: 10/25/2005)
10/24/2005	67	SIGNED PROPOSED ORDER FOR ADMISSION PRO HAC VICE that Sara Z. Moghadam is granted permission to appear and to participate in all further proceedings in this case as counsel for defendant Nazir Dossani. This Document relates to All Actions. Motion & Supporting Affidavit attached. (Signed by Judge

		John E. Sprizzo on 10/21/05) (rjm,) (Entered: 10/25/2005)
11/01/2005	68	NOTICE of Appearance by Peter H. LeVan, Jr. on behalf of Lori Roberts (rjm,) (Entered: 11/21/2005)
12/01/2005	70	STIPULATED PROTECTIVE ORDER FOR ALL MDL ACTIONS... It is stipulated & agreed, by and among the undersigned parties to the Securities Class Actions, the Derivative Actions, and the ERISA Class Actions, through their undersigned counsel that the terms and conditions of the September 2004 Protective Order and the Amendment thereto shall govern the production of discovery material in all of the above captioned actions in this MDL proceeding... and as further stipulated regarding the procedures to be followed that shall govern the handling of Confidential Information. This Document relates to 03-4261, 04-2634, 05-2596, 04-4965, 04-2632, 04-2633. (Signed by Judge John E. Sprizzo on 11/29/05) (rjm,) (Entered: 12/05/2005)
12/01/2005	71	SUPERSEDING CONFIDENTIALITY AGREEMENT AND STIPULATED PROTECTIVE ORDER RELATING TO SERVICE OF THE CONFIDENTIAL SUPPLEMENT TO THE AMENDED COMPLAINT IN SADOWSKY V BRENDSEL, ETAL... It is hereby Agreed, Stipulated to, and Ordered that Notwithstanding the protective order entered on 6/13/05 in Sadowsky v Brendsel the Confidential Supplement to the Sadowsky Amended Complaint may be disclosed to any party in any of the actions that compromise In Re Federal Home Loan Mortgage Corp. Securities & Derivative Lit. (No. II), MDL-1584, purs. to the terms of the protective order governing those actions, which is attached as Exhibit A. This Superseding Confidential Supplement Protective Order lifts the Attorneys' Eyes only restriction imposed on the Confidential Supplement and shall supersede the Interim Confidential Supplement Protective Order in its entirety. This Document relates to 03-4261, 04-2634, 05-2596, 04-4965, 04-2632, 04-2633. Also states that this document relates to All Actions.(Signed by Judge John E. Sprizzo on 11/29/05) (rjm,) Modified on 12/5/2005 (rjm,). (Entered: 12/05/2005)
12/01/2005	72	STIPULATION AND ORDER REGARDING REQUESTS FOR PRODUCTION OF DOCUMENTS FROM FEDERAL HOME LOAN MORTGAGE CORPORATION... It is hereby stipulated and agreed... that all document requests which have been or will be served on Freddie Mac by any party in any case included within the Coordinated Litigation shall be deemed to have been also served on Freddie Mac by the undersigned individuals in each and every case included within the Coordinated Litigation to which each is a party and such undersigned individuals shall have the same standing and other rights under any applicable law or regulation, including but not limited to the FRCP, with respect to those document requests as if each had served identical requests purs. to Federal Rule 34... and as further set forth in said stipulation and order. This Document relates to 03-4261, 04-2634, 05-2596, 04-2632, 04-2633 and also states that this Document relates to All Actions. (Signed by Judge John E. Sprizzo on 11/29/05) (rjm,) (Entered: 12/05/2005)
12/02/2005	69	NOTICE OF MOTION for Edwin J. Kilpela, Jr. to Appear Pro Hac Vice. Document filed by Leland C. Brendsel. This document relates to All Actions. (rjm,) (Entered: 12/02/2005)
12/07/2005	73	ORDER granting [69] Motion for Edwin J. Kilpela to Appear Pro Hac Vice . This

		Document relates to All Actions. (Signed by Judge John E. Sprizzo on 12/5/05) (rjm,) (Entered: 12/08/2005)
01/24/2006	74	TRANSCRIPT of proceedings held on 11/9/05 at 4:10 PM before Judge John E. Sprizzo. (sn) (Entered: 01/25/2006)
02/21/2006	75	STIPULATION AND ORDER OF DISMISSAL that the parties hereby stipulate and agree that Defendant Robert Tsien is dismissed from this action without prejudice... each party bearing their own costs. This Document relates to 04-2632 & 04-2633. (Signed by Judge John E. Sprizzo on 2/16/06) (rjm,) (Entered: 02/27/2006)
02/21/2006	76	STIPULATION TO SCHEDULE MOTION FOR CLASS CERTIFICATION... that Plaintiff shall file her motion for class certification on or before 4/3/06. Defts. Federal Home Loan Mortgage Corp. Thrift/401k Savings Plan Retirement Committee shall file their oppositions to plaintiff's motion for class certification 45 days from the date plaintiff files her motion for class certification; however, in no event shall these defendants' oppositions be due prior to 4/3/06. The individual Defendants shall file their oppositions to plaintiff's motion for class certification 14 days from the date that Defendants named in Paragraph 2 above file their oppositions to plaintiff's motion for class certification. This Document relates to 04-2632 & 04-2633. (Signed by Judge John E. Sprizzo on 2/16/06) (rjm,) (Entered: 02/27/2006)
02/21/2006		Set/Reset Deadlines: Plaintiffs Motion for class certification due by 4/3/2006. (rjm,) (Entered: 02/27/2006)
03/24/2006	77	ORDER granting [10] Motion for Adam L. Rosman to Appear Pro Hac Vice . This Document relates to All Actions. (Signed by Judge John E. Sprizzo on 6/1/04) (rjm,) (Entered: 03/27/2006)
03/24/2006	78	ORDER granting [11] Motion for Carole J. Yanofsky to Appear Pro Hac Vice . This Document relates to All Actions. (Signed by Judge John E. Sprizzo on 6/1/04) (rjm,) (Entered: 03/27/2006)
04/20/2006	79	MOTION for Tammy Gershoni to Appear Pro Hac Vice. Document filed by Vaughn Clarke. This Document relates to All Actions. (rjm,) (Entered: 04/27/2006)
04/20/2006	80	MOTION for Amy E.B. Kapp to Appear Pro Hac Vice. Document filed by Vaughn Clarke. This Document relates to All Actions. (rjm,) (Entered: 04/27/2006)
04/20/2006	81	MOTION for Steven M. Salky to Appear Pro Hac Vice. Document filed by Vaughn Clarke. This Document relates to All Actions. (rjm,) (Entered: 04/27/2006)
05/01/2006	85	ORDER that a Pretrial Conference shall occur on 5/31/2006 03:30 PM before Judge John E. Sprizzo in Crtrm. 705, 40 Centre Street. This Document relates to 03-4261, 04-2634, 05-2596. (Signed by Judge John E. Sprizzo on 4/26/06) (rjm,) (Entered: 05/03/2006)
05/02/2006	82	ORDER granting [79] Motion for Tammy Gershoni to Appear Pro Hac Vice. This Document relates to All Actions. (Signed by Judge John E. Sprizzo on 4/28/06). (rjm,) (Entered: 05/03/2006)

05/02/2006	83	ORDER granting [80] Motion for Amy E.B. Kapp to Appear Pro Hac Vice. This Document relates to All Actions . (Signed by Judge John E. Sprizzo on 4/28/06) (rjm,) (Entered: 05/03/2006)
05/02/2006	84	ORDER granting [81] Motion for Steven M. Salky to Appear Pro Hac Vice. This Document relates to All Actions . (Signed by Judge John E. Sprizzo on 4/28/06) (rjm,) (Entered: 05/03/2006)
05/22/2006	86	SECOND REVISED STIPULATED CASE MANAGEMENT ORDER FOR ERISA ACTIONS ONLY. The pre-motion conference of 4/25/06 shall be continued to 5/31/06 at 3:30pm; and That the deadlines previously set in the Court's Revised Stipulated Case Management Order of March 20, 2006 shall be rescinded, discovery and all other proceedings in the ERISA actions shall be stayed for a period of 60 days to facilitate the parties settlement discussions, and at the expiration of the 60 day period, the parties shall either request an extension of the stay or propose a new case management order that permits the orderly conclusion of discovery, submission of expert reports and filing of dispositive motions. Each party reserves the right to seek an adjournment or modification of any of the dates or other provisions set forth herein. This document relates to 03-4261, 04-2634, 05-2596, 04-4965, 04-2632, 04-2633. (Signed by Judge John E. Sprizzo on 5/15/06) (rjm,) (Entered: 05/25/2006)
05/24/2006	88	NOTICE OF MOTION for Preliminary Approval of Derivative Settlements. Document filed by Nazir Dossani, David W. Glenn, Leland C. Brendsel, Vaughn Clarke, Shaun F. O'Malley, Cesar B. Cabrera, Michelle Engler, George D. Gould, David J. Gribbin, III, William D. Powers, Thomas W. Jones, Henry Kaufman, John B. McCoy, Ronald F. Poe, Stephen A. Ross, Christina Seix, Catherine L. Stepp, William J. Turner, John Gibbons, Gregory Parseghian, etal. This Document relates to 05-2596 and 04-2634. (rjm,) (Entered: 06/07/2006)
05/31/2006	87	NOTICE OF APPEARANCE by Ona T. Wang on behalf of Byron Boston (Wang, Ona) (Entered: 05/31/2006)
07/18/2006	90	NOTICE OF CHANGE OF NAME OF LAW FIRM; The law firm of Covington & Burling has changed its name to Covington & Burling LLP, 1330 Avenue of the Americas, New York, New York 10019. Document filed by Federal Home Loan Mortgage Corporation. (kkc,) (Entered: 08/14/2006)
07/19/2006	89	THIRD REVISED STIPULATED CASE MANAGEMENT ORDER FOR ERISA ACTIONS ONLY: That Discovery and all other proceedings in the ERISA Actions shall be stayed for a peiod of 90 days to facilitate the parties' settlement discussions with the DOL, and at the expiration of said 90 day period, the parties shall either request an extension of the stay or propose a new case management order that permits the orderly conclusion of discovery, submission of expert reports and filing of dispositive motions. Each party reserves the right to seek an adjournment or modification of any of the dates or other provisions set forth herein. This Document relates to 03-4261, 04-2634, 05-2596, 04-4965, 04-2632 and 04-2633. (Signed by Judge John E. Sprizzo on 7/14/06) (rjm,) (Entered: 07/28/2006)
08/21/2006	91	UNOPPOSED MOTION for conditional intervention in ERISA actions, of Brenda Hall for the purpose of serving as a named plaintiff and putative settlement class representative in this matter. This document relates to 03cv4261, 04cv2634,

		05cv2596, 04cv4965, 04cv2632, 04cv2633. Document filed by Lori Roberts. (kkc,) (Entered: 08/23/2006)
09/07/2006	92	NOTICE of Change of Firm Name. Document filed by Nazir Dossani. (Moghadam, Sara) (Entered: 09/07/2006)
09/11/2006	93	ORDER PRELIMINARILY APPROVING SETTLEMENTS IN DERIVATIVE ACTIONS AND NOTICE TO SHAREHOLDERS... The Court preliminarily approves the Settlements of the Derivative Actions on the terms set forth in the Derivative Settlement Stipulations, subject to further consideration at the hearing to be held before this Court on 10/26/06, 3:00pm, 500 Pearl Street, Crtrm. 21C... and as further set forth regarding the procedures to be followed that shall govern the handling of the Settlements in Derivative Actions and Notice to Shareholders. This Document relates to 05-2596, 04-2634. (Signed by Judge John E. Sprizzo on 9/6/06) (rjm,) (Entered: 09/11/2006)
09/11/2006		ENDORSEMENT ORDER granting [91] MOTION for conditional intervention in ERISA actions of Brenda Hall for the purpose of serving as a named plaintiff and putative settlement class representative in this matter... and as further set forth in said endorsement order. This document relates to 03cv4261, 04cv2634, 05cv2596, 04cv4965, 04cv2632, 04cv2633. (Signed by Judge John E. Sprizzo on 9/1/06) (rjm,) (Entered: 09/11/2006)
10/12/2006	94	Preliminary Objections to Proposed Class Action Settlement and Notice of Intent to Appear filed by Objector Rinis Travel Service, Inc. Profit Sharing Trust U.A. 6-1-1989. This Document relates to 03-4261, 05-2596 and 04-2634. (rjm,). (Entered: 10/20/2006)
10/12/2006	95	NOTICE of Filing Proof of Class Membership in Support of Rinis Travel, Inc.'s Objections to proposed Class action Settlement and Notice of Intent to Appear. This Document relates to 03-4261, 05-2596 and 04-2634. (rjm,) (Entered: 10/20/2006)
10/16/2006	96	Unopposed MOTION to Preliminarily Approve ERISA Settlement. This Document relates to 03-4261, 05-2596, 04-2634, 04-4965, 04-2632, and 04-2633. (rjm,) (Entered: 10/20/2006)
10/27/2006	97	ORDER Preliminarily Approving Proposed Settlement, Approving Form and Dissemination of Settlement Class Notice, Preliminarily Certifying a Settlement Class and Setting Date for Hearing on Final Approval; This ERISA Action is preliminarily certified as a class action purs. to F.R.C.P. 23(a), 23(b)(1) and/or 23(b)(2), and 23(e) for purposes of settlement, as set forth in this Order. A Fairness Hearing is scheduled for 12/21/06 at 3:00 PM to determine the items indicated in this Order. Discovery and other non-settlement proceedings in this matter are stayed pending further order of the Court. (Signed by Judge John E. Sprizzo on 10/26/06) (sn) (Entered: 10/30/2006)
10/27/2006		ORDER AND JUDGMENT granting application of lead counsel and co-lead counsel for an award of attorneys' fees and reimbursement of litigation expenses. (Signed by Judge John E. Sprizzo on 10/26/2006) Original document filed in case no. 03cv4261, doc. #105.(kkc,) (Entered: 11/02/2006)
10/27/2006		JUDGMENT approving the settlement. (Signed by Judge John E. Sprizzo on

		10/26/2006) Original document filed in case no. 03cv4261, doc. #106.(kkc,) (Entered: 11/02/2006)
10/27/2006		JUDGMENT approving settlement and dismissing derivative actions against Citigroup.(Signed by Judge John E. Sprizzo on 10/26/2006) Original document filed in case no. 04cv2634, doc. #12.(kkc,) (Entered: 11/02/2006)
10/27/2006		JUDGMENT approving settlement and dismissing derivative actions against Morgan Stanley. (Signed by Judge John E. Sprizzo on 10/26/2006) Original document filed in case no. 04cv2634, doc. #13.(kkc,) (Entered: 11/02/2006)
10/27/2006		JUDGMENT -DERIVATIVE ACTIONS settling action. (Signed by Judge John E. Sprizzo on 10/26/2006) Original document filed in case no. 04cv2634, doc. #14.(kkc,) (Entered: 11/02/2006)
12/20/2006	98	TRANSCRIPT of proceedings held on 10/26/06, 3:35pm before Judge John E. Sprizzo. (rjm,) (Entered: 12/20/2006)
01/08/2007	99	STIPULATION AND ORDER... that the Court modify the Preliminary Approval Order as follows: Continue the Fairness Hearing previously set for 12/21/06 at 3:00pm. to March 1, 4:00pm. Class Counsel to file Motion for final approval of proposed settlement... due by 2/19/2007. Last day for Class Members to file Objections to Settlement due 2/15/07. Amended Notice Mailed to Class Members due 1/15/07... and as further set forth in said stipulation and order. This Matter relates to the ERISA Litigation. (Signed by Judge John E. Sprizzo on 1/3/07) (rjm,) (Entered: 01/10/2007)
03/05/2007	100	ORDER AND FINAL JUDGMENT settling action. (Signed by Judge John E. Sprizzo on 3/1/07) (ml) (Entered: 03/06/2007)
03/12/2007	101	CORRECTED TRANSCRIPT of proceedings held on 10/26/06 before Judge John E. Sprizzo. (tro) (Entered: 03/12/2007)

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