

U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:01-cv-06613-SAS

Brocade Systems IPO, et al v. Brocade Systems, et al

Assigned to: Judge Shira A. Scheindlin

Demand: \$0

Lead case: [1:01-cv-06613-SAS](#)

Member cases:

[1:01-cv-06785-SAS](#)

[1:01-cv-08663-SAS](#)

[1:01-cv-08673-SAS](#)

Related Case: [1:21-mc-00092-SAS](#)

Cause: 15:78m(a) Securities Exchange Act

Date Filed: 07/20/2001

Jury Demand: Plaintiff

Nature of Suit: 850

Securities/Commodities

Jurisdiction: Federal Question

Plaintiff

Han B. Chae

*on behalf of himself and all others
similarly situated*

TERMINATED: 04/19/2002

represented by **Aaron Lee Brody**

Stull Stull & Brody

6 East 45th Street, 5th Floor

New York, NY 10017

212-687-7230

Fax: 212-4902022

Email: ssbny@aol.com

TERMINATED: 04/19/2002

LEAD ATTORNEY

Joseph Harry Weiss

Weiss & Lurie

551 Fifth Ave,

New York, NY 10176

(212)682-3025

Fax: 212 682 3010

Email: jweiss@weisslurie.com

TERMINATED: 04/19/2002

LEAD ATTORNEY

Jules Brody

Stull Stull & Brody

6 East 45th Street, 5th Floor

New York, NY 10017

(212) 687-7230

Fax: (212) 490-2022

TERMINATED: 04/19/2002

LEAD ATTORNEY

Tzivia Brody
Stull, Stull & Brody
6 East 45th Street
New York, NY 10017
(212) 687-7230
TERMINATED: 04/19/2002
LEAD ATTORNEY

Plaintiff

Mary C. Bunting

represented by **Melvyn I. Weiss**
Milberg Weiss Bershad & Schulman LLP
(NYC)
One Pennsylvania Plaza
New York, NY 10119
212 594 5300
Fax: 212 868 1229
Email: mweiss@milberg.com
LEAD ATTORNEY

Stanley D Bernstein
Bernstein Liebhard & Lifshitz, LLP
10 East 40th Street
New York, NY 10016
(212) 779-1414
Fax: (212) 779-3218
Email: bernstein@bernlieb.com
LEAD ATTORNEY

V.

Consolidated Plaintiff

Rick Radliff
TERMINATED: 04/19/2002

represented by **Frederick Taylor Isquith, Sr**
Wolf, Haldenstein, Adler, Freeman &
Herz, L.L.P.
270 Madison Avenue
New York, NY 10016
(212) 545-4600
Email: isquith@whafh.com
TERMINATED: 04/19/2002
LEAD ATTORNEY

Gustavo Bruckner
Wolf Haldenstein Adler Freeman & Herz
LLP
270 Madison Avenue
New York, NY 10017
(212) 545-4600
TERMINATED: 04/19/2002

LEAD ATTORNEY

Thomas H. Burt

Wolf, Haldenstein, Adler, Freeman &
Herz, L.L.P.

270 Madison Avenue

New York, NY 10016

(212) 545-4600

TERMINATED: 04/19/2002

LEAD ATTORNEY

Consolidated Plaintiff

Ernest Gottdiener

TERMINATED: 04/19/2002

represented by **Joshua M. Lifshitz**

Bull & Lifshitz, LLP

18 East 41st Street

New York, NY 10017

(212) 213-6222

Email: jml@nyclasslaw.com

TERMINATED: 04/19/2002

LEAD ATTORNEY

Peter D. Bull

Bull & Lifshitz, L.L.P.

18 East 41st Street

New York, NY 10017

(212) 213-6222

TERMINATED: 04/19/2002

LEAD ATTORNEY

V.

Defendant

Brocade Communications Systems, Inc.

Defendant

Seth D. Neiman

TERMINATED: 10/15/2002

Defendant

Gregory L. Reyes

TERMINATED: 10/15/2002

Defendant

Michael J. Byrd

TERMINATED: 10/15/2002

Defendant

Morgan Stanley & Co.

represented by **Andrew Brian Clubok**
Kirkland and Ellis LLP
153 East 53rd Street
New York, NY 10022
(202) 879-5173
Fax: 202-879-5200
Email: aclubok@kirkland.com
LEAD ATTORNEY

Defendant

BT Alex. Brown Incorporated

represented by **Joel M. Mitnick**
Sidley, Austin, Brown & Wood, L.L.P.
787 Seventh Avenue
New York, NY 10019
(212) 839-5300
LEAD ATTORNEY

Defendant

Dain Rauscher Incorporated

TERMINATED: 04/19/2002

Defendant

Deutsche Banc Alex. Brown Inc.

represented by **David B. Gordon**
Sidley Austin Brown & Wood LLP
375 Third Avenue
New York, NY 10022
(212) 906-2000
LEAD ATTORNEY

Douglas W. Henkin

Milbank, Tweed, Hadley & McCloy LLP
1 Chase Manhattan Plaza
New York, NY 10005
(212) 530-5000
Fax: (212) 530-5219
Email: dhenkin@milbank.com
LEAD ATTORNEY

Joel M. Mitnick

(See above for address)
LEAD ATTORNEY

Defendant

Robertson Stephens, Inc.

represented by **Andrew Jay Frackman**
O'Melveny & Myers LLP
Times Square Tower
7 Times Square
New York, NY 10036
212-326-2000
Fax: 212-326-2061
Email: afrackman@omm.com
LEAD ATTORNEY

Brendan Joseph Dowd
O'Melveny & Myers LLP
Times Square Tower
7 Times Square
New York, NY 10036
212-326-2000
Fax: 212-326-2061
Email: bdowd@omm.com
LEAD ATTORNEY

Defendant

RBC Dain Rauscher Inc.

represented by **Stewart D. Aaron**
Arnold & Porter LLP
399 Park Avenue
New York, NY 10022
(212)715-1000
LEAD ATTORNEY

Stewart David Aaron
Dorsey & Whitney LLP
250 Park Avenue
NY, NY 10177
(212) 715-1000
Fax: 212-715-1399
Email: stewart_aaron@aporter.com
LEAD ATTORNEY

V.

Consolidated Defendant

Fleetboston Robertson Stephens, Inc.

TERMINATED: 04/19/2002

Intervenor Plaintiff

Thomas R. Stelter

TERMINATED: 09/30/2004

Intervenor Plaintiff

Donald E. Benjamin

TERMINATED: 09/30/2004

Consolidated Plaintiff

Dominick Capuano

TERMINATED: 04/19/2002

V.

Consolidated Defendant

BancBoston Robertson Stephens Inc.

Consolidated Plaintiff

Don Folsie

TERMINATED: 04/19/2002

Consolidated Plaintiff

John Gerald

TERMINATED: 04/19/2002

Consolidated Plaintiff

Bruce McDonald

TERMINATED: 04/19/2002

Consolidated Plaintiff

Gerald F Ryan

TERMINATED: 04/19/2002

Consolidated Plaintiff

James Schieda

TERMINATED: 04/19/2002

Plaintiff

Arvind Kumra

Lead Pltff

represented by **Melvyn I. Weiss**
(See above for address)
LEAD ATTORNEY

Stanley D Bernstein
(See above for address)
LEAD ATTORNEY

V.

Consolidated Plaintiff

Virginia Fitzpatrick

represented by **Melvyn I. Weiss**
(See above for address)
LEAD ATTORNEY

Stanley D Bernstein
(See above for address)
LEAD ATTORNEY

Consolidated Plaintiff

Yvonne Hamlin

TERMINATED: 04/19/2002

Consolidated Plaintiff

Khosrow Moayer

TERMINATED: 04/19/2002

Consolidated Plaintiff

Robert J. McCullen

TERMINATED: 04/19/2002

Date Filed	#	Docket Text
07/20/2001	1	CLASS ACTION COMPLAINT filed. Summons issued and Notice pursuant to 28 U.S.C. 636(c). FILING FEE \$ 150.00 RECEIPT # 412107. (db) (Entered: 07/23/2001)
07/20/2001		Magistrate Judge Douglas F. Eaton is so designated. (db) (Entered: 07/23/2001)
07/20/2001	2	RULE 1.9 CERTIFICATE filed by Han B. Chae. (db) (Entered: 07/23/2001)
08/09/2001	3	Notice of Case Reassignment to Judge Shira A. Scheindlin. Copy of notice mailed to Attorney(s) of record. Pursuant to the Order of Chief Judge Mukasey dated August 8, 2001, the above entitled action is reassigned to the calendar of Judge Shira A. Scheindlin for inclusion in the coordinated pretrial proceedings being conducted by that judge. Two originals of all future documents submitted in this action are to be presented in the Clerk's Office for filing. One original is to be filed

		in this docket number and one original is to be filed in the miscellaneous case number 21mc92 (SAS). Each shall bear the original assigned judge's () initials after the original docket number. Attached is a copy of Judge Mukasey's ORDER re: coordinating the proceedings before Judge Scheindlin. Also attached is a copy of Judge Scheindlin's ORDER re: set initial PTC 09/07/01 and directing all counsel to comply with Judge Scheindlin's requirements. [Original Orders docketed in 21mc92 (SAS) as documents numbers 1 and 2] (dcap) (Entered: 08/10/2001)
08/09/2001		Pretrial Conference set at 4:30 9/7/01 before Judge Shira A. Scheindlin. (dcap) (Entered: 08/10/2001)
08/21/2001	4	STIPULATION AND ORDER; that deft's Morgan Stanley Dean Witter & Co., Inc. time to answer, move or otherwise respond to the Complaint in this action is extended through and including 30 days after the filing of an amended consolidated complaint by a court-appointed lead plttf. (signed by Judge Shira A. Scheindlin) (sac) (Entered: 08/27/2001)
08/24/2001	5	RULE 1.9 CERTIFICATE filed by Brocade Systems, Seth D. Neiman, Gregory L. Reyes, Michael J. Byrd. (sac) (Entered: 08/29/2001)
08/28/2001	6	RULE 1.9 CERTIFICATE filed by Brocade Systems, Seth D. Neiman, Gregory L. Reyes, Michael J. Byrd. (sac) (Entered: 08/30/2001)
08/31/2001	7	STIPULATION AND ORDER; the defts Brocade Communications Systems, Inc., Seth D. Neiman, Gregory L. Reyes and Michael J. Byrd to answer, move or otherwise respond to the Complaint in this action is extended through and including the later of 30 days after the (i) the assignment of lead plttfs' counsel; or (ii) the filing of an amended complaint in this action. (signed by Judge Shira A. Scheindlin) (sac) (Entered: 09/05/2001)
09/04/2001	8	ORDER, that all cases against Brocade Systems in this IPO Securities Litigation, as set forth in the attached Rider (1:01-cv-6785) shall be consolidated into case number 01 Civ. 01 Civ. 6613. The Clerk of the Court is ordered to consolidate any future cases filed against Brocade Systems subsequent to the date of this Order into lead case number 01 Civ. 6613. The Clerk of the Court is further ordered to close all cases that have been consolidated, subject to re-opening at a later date on proper application by a party. (signed by Judge Shira A. Scheindlin); Copies mailed. (sac) (Entered: 09/20/2001)
09/04/2001		Consolidated Lead Case. (sac) (Entered: 09/20/2001)
09/06/2001	9	RULE 1.9 CERTIFICATE filed by BT Alex. Brown Inc. (ICMSUSER) (Entered: 10/04/2001)
09/21/2001	10	RULE 1.9 CERTIFICATE filed by Morgan Stanley & Co. (ICMSUSER) (Entered: 10/04/2001)
09/21/2001	11	RULE 1.9 CERTIFICATE filed by Morgan Stanley & Co. (ICMSUSER) (Entered: 10/04/2001)
09/24/2001	12	NOTICE OF MOTION by Don Folse, John Gerald, Bruce McDonald, Gerald Ryan, James Schieda for an order to consolidate case; 01-CV-6613 (Lead case) with member case 01-CV-6785. , for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section

		21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995 , for an order,to approve Movant's selection of Lead counsel . Return date not indicated. (ICMSUSER) (Entered: 10/04/2001)
09/24/2001	13	MEMORANDUM OF LAW by Don Folse, John Gerald, Bruce McDonald, Gerald F Ryan, James Schieda in support of [12-1] motion for an order to consolidate case; 01-CV-6613 (Lead case) with member case 01-CV-6785., [12-2] motion for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [12-3] motion for an order,to approve Movant's selection of Lead counsel . (ICMSUSER) (Entered: 10/04/2001)
09/24/2001	14	DECLARATION of Aaron Brody by Don Folse, John Gerald, Bruce McDonald, Gerald F Ryan, James Schieda in support Re: [12-1] motion for an order to consolidate case; 01-CV-6613 (Lead case) with member case 01-CV-6785., [12-2] motion for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [12-3] motion for an order,to approve Movant's selection of Lead counsel . (ICMSUSER) (Entered: 10/04/2001)
09/25/2001	15	NOTICE OF MOTION by Virginia Fitzpatrick, Yvonne Hamlin, Arvind Kumra, Khosrow Moayer, Robert J. McCullen for an order to consolidate cases; 01-CV-6613 (Lead Case) and member case 01-CV-6785 , for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995 , for an order, to approve Movant's selection of Lead Counsel . Return date not indicated. (ICMSUSER) (Entered: 10/04/2001)
09/25/2001	16	MEMORANDUM OF LAW by Virginia Fitzpatrick, Yvonne Hamlin, Arvind Kumra, Khosrow Moayer, Robert J. McCullen in support of [15-1] motion for an order to consolidate cases; 01-CV-6613 (Lead Case) and member case 01-CV-6785, [15-2] motion for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [15-3] motion for an order, to approve Movant's selection of Lead Counsel . (ICMSUSER) (Entered: 10/04/2001)
09/25/2001	17	DECLARATION of Samuel H. Rudman by Virginia Fitzpatrick, Yvonne Hamlin, Arvind Kumra, Khosrow Moayer, Robert J. McCullen in support Re: [15-1] motion for an order to consolidate cases; 01-CV-6613 (Lead Case) and member case 01-CV-6785, [15-2] motion for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [15-3] motion for an order, to approve Movant's selection of Lead Counsel . (ICMSUSER) (Entered: 10/04/2001)
10/04/2001	18	DECLARATION of Samuel H. Rudman by Virginia Fitzpatrick, Yvonne Hamlin, Arvind Kumra, Khosrow Moayer, Robert J. McCullen in support Re: [15-2] motion

		for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [15-3] motion for an order, to approve Movant's selection of Lead Counsel. (rec'd in the night deposit box on 10/4/01 at 5:15 p.m.) (sac) Modified on 10/24/2001 (Entered: 10/17/2001)
10/05/2001	19	MEMORANDUM OF LAW by Virginia Fitzpatrick, Yvonne Hamlin, Arvind Kumra, Khosrow Moayer, Robert J. McCullen in further support of [15-2] motion for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [15-3] motion for an order, to approve Movant's selection of Lead Counsel. (sac) Modified on 10/24/2001 (Entered: 10/16/2001)
10/05/2001	20	MEMORANDUM OF LAW by Don Folsie, John Gerald, Bruce McDonald, Gerald F Ryan, James Schieda in further support of [12-2] motion for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [12-3] motion for an order, to approve Movant's selection of Lead counsel; in opposition to [15-2] motion for an order, for appointment of Lead Plaintiffs, pursuant to Section 27(a)(3)(B) of the Securities Act of 1933 and Section 21D(a)(3)(B) of the securities Exchange Act of 1934, as amended by the Private Securities Litigation Reform Act of 1995, [15-3] motion for an order, to approve Movant's selection of Lead Counsel. (rec'd in the night deposit box on 10/5/01 at 7:24 p.m.) (sac) Modified on 10/24/2001 (Entered: 10/16/2001)
01/04/2002	21	RULE 1.9 CERTIFICATE filed by BT Alex. Brown Inc. (sac) (Entered: 01/31/2002)
01/04/2002	22	REVISED LIST OF CASES BY COUNSEL; purs. to Item I(A) of this Court's Order dated 8/8/01, Milbank, Tweed, Hadley & McCloy LLP and Sidley Austin Brown & Wood LLP to appear as counsel for Deutsche Banc Alex. Brown, Inc. (sac) (Entered: 02/01/2002)
01/07/2002	23	RULE 1.9 CERTIFICATE filed by Robertson Stephens. (sac) (Entered: 02/05/2002)
01/18/2002		ORDER,... that Radliff v. Brocade Communications Systems, et al., 01 Civ. 8663, and Gottdiener v. Brocade Communication Systems, et al., 01 Civ. 8673, are designated as related to and shall be consolidated into Chae v. Brocade Communication Systems et al., 01 Civ. 6613. The Clerk is directed to close 01 Civ. 8663 and 01 Civ. 8673 ... (signed by Judge Shira A. Scheindlin); Copies mailed. [Original docketed in 1:21mc92. Also docketed in 1:01-cv-06613; 1:01-cv-08663; 1:01-cv-08673] (dcap) Modified on 07/01/2002 (Entered: 06/19/2002)
01/22/2002	24	AFFIDAVIT OF SERVICE of Summons & Complaint as to BancBoston Robertson by Steve Lax on 8/9/01. (sac) (Entered: 02/25/2002)
01/22/2002	24	AFFIDAVIT OF SERVICE of Summons & Complaint as to Morgan Stanley & Co. by Kelly Lynn on 8/9/01. (sac) (Entered: 02/25/2002)

02/25/2002

25 STIPULATION and ORDER, plttfs agree to and here dismiss without prejudice all claims against: DoubleClick, Inc., Kevin J. O'Connor, Kevin P. Ryan, Dwight A. Merriman, Stephen R. Collins, Mark E. Nunnally, W. Grant Gregory, Donald Peppers, David N. Strohm, Scott Gould to the extent they are named in any of the following actions listed herein. DoubleClick, Inc., Kevin J. O'Connor, Stephen R. Collins, David N. Strohm, Mark E. Nunnally, W Grant Gregory, Donald Peppers and Thomas S. Murphy shall remain named as consolidated defts in the consolidated lead case 01 Civ. 3980, only in connection with the claims asserted against them in 01 Civ. 10211, which is to be consolidated with the lead case 01 Civ. 3980 purs. to the Court's order of consolidation entered in such lead case and dated 9/5/01. Plttfs agree to and here dismiss without prejudice all claims against: Brocade Communications Systems, Inc., Seth D. Neiman, Gregory L. Reyes, Michael J. Byrd to the extent they are named in any of the following actions: 01 Civ. 6613 01 Civ. 8663; 01 Civ. 8673. Plttfs agree to and hereby dismiss without prejudice all claims against: Extreme Networks, Inc., Gordon Stitt, Vito Palermo, Stephen Haddock, Herb Schneider, William Kelly, George Prodan, Paul Romeo, Harry Silverglide, Charles Carinalli, Promod Haque, Lawrence K. Orr, Peter Wolken to the extent they are named in any of the following actions: 01 Civ. 6700; 01 Civ. 6739. Extreme Networks, Inc., Gordon Stitt, Vito Palermo, Stephen Haddock, Herb Schneider, William Kelly, George Prodan, Paul Romeo, Harry Silverglide, Charles Carinalli, Promod Haque, Lawrence K. Orr, Peter Wolken shall remain named as consolidated defts in the consolidated lead case 01 Civ. 6143 only in connection with the claims asserted against them in the amended complaint filed 12/6/01 in 01 Civ. 6368, which case is to be closed and consolidated with new lead case 01 Civ. 6143 purs. to Court's order of consolidation dated 1/15/02. Plttfs agree to and hereby dismiss without prejudice all claims against: Tibco Software Inc., Vivek Y. Ranadive, Paul G. Hansen, Douglas M. Atkin, Yogen K. Dalal, Edward R. Kozel, Donald J. Listwin, Larry W. Sonsini, John G. Gaysom, Philip Wood, Robert Stefanski to the extent they are named in any of the following actions: 01 Civ. 6110; 01 Civ. 6778; 01 Civ. 6774; 01 Civ. 6877; 01 Civ. 7840; 01 Civ. 8786. Tibco Software Inc., Vivek Y. Ranadive, Paul G. Hansen, Douglas M. Atkin, Yogen K. Dalal, Edward R. Kozel, Donald J. Listwin, Larry W. Sonsini, John G. Gaysom, Philip Wood, Robert Stefanski shall remain named as consolidated defts in the consolidated lead case 01 Civ. 6110 only in connection with the claims asserted against them in the amended complaint filed 12/6/01 in 01 Civ. 6440, which case was closed and consolidated with the lead case 01 Civ. 6110 purs. to the Court's order of consolidated entered in such lead case and dated 9/10/01. Plttfs agree to and hereby dismiss without prejudice all claims against: Redback Networks, Inc., Dennis L. Barsema, Geoffrey C. Darby to the extent they are named in any of the following actions: 01 Civ. 6090; 01 Civ. 6135 01 Civ. 6401; 01 Civ. 6436; 01 Civ. 6443; 01 Civ. 6615; 01 Civ. 6740; 01 Civ. 7429; 01 Civ. 7658 01 Civ. 7772; 01 Civ. 8352. Plttfs agree to and hereby dismiss without prejudice all claims against: Retek, Inc., John Buchanan, Gregory A. Effertz, Gordon Masson to the extent they are named in any of the following actions: 01 Civ. 5225 and cases consolidated thereunder. Plttfs agree to and hereby dismiss without prejudice all claims against: Ariba, Inc., Keith J. Krach, Edward P. Kinsey, Robert C. Kagle, John B. Mumford, Paul Hegarty, Hatim A. Tyabji to the extent they are named in any of the following actions: 01 Civ. 2359, and cases consolidated thereunder; 01 Civ. 4956. Plttfs agree to and hereby dismiss without prejudice all claims against: Lionbridge Tech., Inc., Rory J. Cowan, Stephen J.

		Lifshatz, Guy L. de Chazal, Marcia J. Hooper, Stephen M. Jenks, Paul Kavanagh, Claude P. Sheer in 01 Civ. 6770, subject to the execution by the Issuer Deft and Individual Defts named therein of a totaling agreement substantially in the form attached hereto as Exhibit A. Each deft dismissed from the IPO Actions purs. to this Stip. and Order hereby agrees that he or she or it will not seek to recover from Pltffs those costs incurred up to the date of entry of the dismissal of such deft, provided, however, that such deft is not later reinstated as a deft in an IPO Action by Pltffs or their respective counsel in connection with the same or substantially the same claims arising from the same public offering identified in those complaints from which such defts were dismissed purs. to this stip. and order. (signed by Judge Shira A. Scheindlin)... Duplicate copies of Stip. and Order filed in 01 Civ. 3980; 01 Civ. 4034; 01 Civ. 4099; 01 Civ. 4263; 01 Civ. 4515; 01 Civ. 4782; 01 Civ. 5667 01 Civ. 7635; 01 Civ. 10211; 01 Civ. 6613; 01 Civ. 8663; 01 Civ. 8673; 01 Civ. 6700; 01 Civ. 6739; 01 Civ. 6143; 01 Civ. 6368; 01 Civ. 6110; 01 Civ. 6778; 01 Civ. 6774; 01 Civ. 6877 01 Civ. 7840; 01 Civ. 8786; 01 Civ. 6440; 01 Civ. 6090 01 Civ. 6135; 01 Civ. 6401; 01 Civ. 6436; 01 Civ. 6443; 01 Civ. 6615; 01 Civ. 6740; 01 Civ. 7429; 01 Civ. 7658; 01 Civ. 7772 01 Civ. 8352; 01 Civ. 5225; 01 Civ. 2359; 01 Civ. 6770; 01 Civ. 4956 (sac) Modified on 03/11/2002 (Entered: 03/11/2002)
02/25/2002		Case closed as per Stip. & Order, doc. #3803 filed in 21 mc 92. (sac) (Entered: 03/18/2002)
02/25/2002		Case reopened. Case was Closed in Error. (dcap) (Entered: 06/20/2002)
04/19/2002		OMNIBUS ORDER, Arvind Kumra is hereby appointed as lead plttf and his selection of the Executive Committee to serve as Lead Counsel is approved. All other motions to be appointed lead plttf and for approval of lead counsel are hereby denied. (signed by Judge Shira A. Scheindlin); Copies mailed. (orig. doc. filed in 21 mc 92, doc. #4319) (sac) (Entered: 05/24/2002)
04/19/2002	26	AMENDED COMPLAINT by Virginia Fitzpatrick, Arvind Kumra, Mary C. Bunting amending [1-1] complaint ; Summons issued. (ac) (Entered: 06/26/2002)
04/19/2002		MASTER ALLEGATIONS by Virginia Fitzpatrick, Arvind Kumra, Mary C. Bunting RE: Amended Complaint, doc.# 26, Filed on April 19, 2002. (ac) (Entered: 08/01/2002)
10/15/2002		(UNSIGNED) STIPULATION OF DISMISSAL; this action purs. to Stipulation and Order entered in 21 mc 92, doc. #5059, hereby dismisses without prejudice and without costs as to defts Michael J. Byrd, Seth D. Neiman and Gregory L. Reyes. (Orig. filed and signed by Judge Scheindlin in 21 mc 92.) (sac) (Entered: 03/06/2003)
07/17/2003	27	ANSWER by RBC Dain Rauscher to [26-1] amended complaint . (Attorney Stewart D. Aaron from the Firm: Dorsey & Whitney LLP)... rec'd in the night deposit box on 7/17/03 at 6:40 p.m. (sac) (Entered: 07/23/2003)
07/21/2003	28	ANSWER by Robertson Stephens to [26-1] amended complaint. (Attorney Brendan Joseph Dowd from the O'Melveny & Myers, LLP)... rec'd in the night deposit box on 7/21/03 at 7:12 p.m. (sac) (Entered: 08/21/2003)
07/22/2003	29	ANSWER by BT Alex. Brown Inc., Deutsche Banc Alex. to [26-1] amended

		complaint. (Attorney Joel M. Mitnick from the Sidley, Austin, Brown & Wood, LLP). (sac) (Entered: 09/16/2003)
07/29/2003	30	ANSWER by Morgan Stanley & Co. to [26-1] amended complaint . (Attorney Andrew B. Clubok Firm of Kirkland & Ellis, LLP) (sac) (Entered: 10/20/2003)
09/04/2003		NOTICE OF OMNIBUS MOTION (FILED ON SERVICE DATE) to Intervene EACH CLASS MEMBER named on Exhibit 1 attached to Memorandum of Law in Support, as class plaintiffs in those coordinated actions. This Document Relates to: 01cv5902; 01cv2359; 01cv10901; 01cv3360; 01cv6890; 01cv5446; 01cv2858; 01cv10827; 01cv9883; 01cv6397; 01cv6613; 01cv6271; 01cv10839; 01cv5937; 01cv6222; 01cv7669; 01cv10971; 01cv5575; 01cv7044; 01cv10943; 01cv10686; 01cv10105; 01cv5834; 01cv6542; 01cv7000; 01cv10944; 01cv3792; 01cv4942; 01cv11231; 01cv6887; 01cv6242; 01cv7467; 01cv9411; 01cv9414; 01cv6775; 01cv5911; 01cv11246; 01cv7055; 01cv6948; 01cv10164; 01cv10813; 01cv7084; 01cv10738; 01cv10640; 01cv10884; 01cv10741; 01cv6339; 01cv6771; 01cv9743; 01cv62672; 01cv6842; 01cv6277; 01cv9922; 01cv10969; 01cv6084; 01cv3975; 01cv7654; 01cv9917; 01cv9349; 01cv 7974; 01cv9417; 01cv 10740; 01cv992; 01cv4147; 01cv6661; 01cv3483; 01cv6757; 01cv10990; 01cv6627; 01cv7726; 01cv9651; 01cv4183; 01cv3910; 01cv7028; 01cv5332; 01cv9798; 01cv11239; 01cv6089; 01cv6004; 01cv10823; 01cv10945; 01cv7280; 01cv10775; 01cv5354; 01cv7032; 01cv9744; 01cv9904; 01cv11217; 01cv10185; 01cv7279; 01cv2261; 01cv6082; 01cv10867; 01cv10160; 01cv10898; 01cv10683; 01cv2712; 01cv6090; 01cv10942; 01cv10120; 01cv11245; 01cv5225; 01cv6950; 01cv9746; 01cv7415; 01cv4941; 01cv10831; 01cv5600; 01cv10941; 01cv9921; 01cv4186; 01cv6846; 01cv6821; 01cv7474; 01cv5267; 01cv6288; 01cv7247; 01cv6110; 01cv10822; 01cv6008; 01cv5269; 01cv9563; 01cv9348; 01cv9604; 01cv5739; 01cv10999; 01cv3450; 01cv5241; 01cv9720; 01cv10040; 01cv6906; 01cv9514; 01cv10833; 01cv10092; 01cv10053; 01cv6768; 01cv10830; 01cv10638; 01cv4779; 01cv5074. Original Document filed in 21MC92, Doc#5191. Document filed by Thomas R. Stelter, Donald E. Benjamin. (sac,) (Entered: 04/05/2004)
09/04/2003		MEMORANDUM OF LAW in Support re: MOTION (FILED ON SERVICE DATE) to Intervene. Document filed by Donald E. Benjamin, Thomas R. Stelter. Original Document filed in 21 MC 92, Doc#5195. (sac,) (Entered: 04/08/2004)
04/21/2004		MEMORANDUM OF LAW in Support re: MOTION, Doc#5191, (FILED ON SERVICE DATE) to Intervene. Original Document filed in 21mc92, Doc#5279. (sac,) (Entered: 04/23/2004)
09/30/2004		NOTICE OF WITHDRAWAL OF OMNIBUS MOTION FOR INTERVENTION; the Class members listed on Exhibit 1 to this Notice hereby withdraw, without prejudice to renewal, their Omnibus Motion for Intervention (Doc. No. 5191 on Dkt. 21 MC 92) that was filed on 9/2/03. This Document Relates to: 01cv5902; 01cv2359; 01cv10901; 01cv3360; 01cv6890; 01cv5446; 01cv2858; 01cv10827; 01cv9883; 01cv6397; 01cv6613; 01cv6271; 01cv10839; 01cv5937; 01cv6222; 01cv7669; 01cv10971; 01cv5575; 01cv7044; 01cv10943; 01cv10686; 01cv10105; 01cv5834; 01cv6542; 01cv7000; 01cv10944; 01cv3792; 01cv4942; 01cv11231; 01cv6887; 01cv5948; 01cv6242; 01cv7467; 01cv9411; 01cv9414; 01cv6775; 01cv5911; 01cv11246; 01cv7055; 01cv6948; 01cv10164; 01cv10813; 01cv7048; 01cv10738; 01cv10640; 01cv10884; 01cv10741; 01cv6339; 01cv6771; 01cv9743; 01cv6272; 01cv6842; 01cv6277; 01cv9922; 01cv10969; 01cv6084; 01cv3975;

	<p>01cv7654; 01cv9917; 01cv9349; 01cv7974; 01cv9417; 01cv10740; 01;cv9442; 01cv4147; 01cv6661; 01cv3483; 01cv6757; 01cv10990; 01cv6627; 01cv7726; 01cv9651; 01cv4183; 01cv3910; 01cv7028; 01cv5332; 01cv9798; 01cv11239; 01cv6089; 01cv6004; 01cv10823; 01cv10945; 01cv7280; 01cv10775; 01cv5354; 01cv7032; 01cv9744; 01cv9904; 01cv11217; 01cv10185; 01cv7279; 01cv2261; 01cv6082; 01cv10867; 01cv10160; 01cv10898; 01cv10683; 01cv2712; 01cv6090; 01cv10942; 01cv10120; 01cv11245; 01cv5225; 01cv6950; 01cv9746; 01cv7415; 01cv4941; 01cv10831; 01cv5600; 01cv10941; 01cv9921; 01cv4186; 01cv6846; 01cv6821; 01cv7474; 01cv5267; 01cv6288; 01cv7247; 01cv6110; 01cv10822; 01cv6008; 01cv5269; 01cv9563; 01cv9348; 01cv9604; 01cv5739; 01cv10999; 01cv3450; 01cv5241; 01cv9720; 01cv10040; 01cv6906; 01cv9514; 01cv10833; 01cv10092; 01cv10053; 01cv6768; 01cv10830; 01cv10638; 01cv4779; 01cv5074. (Signed by Judge Shira A. Scheindlin on 9/30/04)... Original Document filed in 21-mc-92, Doc#5367.(sac,) (Entered: 10/01/2004)</p>
03/31/2005	<p>ORDER; that Arnold & Porter LLP be substituted in the place and stead of Dorsey & Whitney LLP as the attorneys of record for defendant, RBC Dain Rauscher, Inc. in this action. Original Document filed in 21-mc-92, Doc#5427. (Signed by Judge Shira A. Scheindlin on 3/31/05) This Document Relates to: 01cv6000; 01cv9742; 01cv9982; 01cv6275; 01cv9422; 01cv5446; 01cv10827; 01cv6241; 01cv6613; 01cv5143; 01cv5072; 01cv10988; 01cv5937; 01cv6222; 01cv11234; 01cv10686; 01cv6542; 01cv6887; 01cv7355; 02cv9699; 01cv8404; 01cv5911; 01cv9800; 01cv10684; 01cv6143; 01cv7055; 01cv7048; 01cv5039; 01cv10834; 01cv6084; 01cv9917; 01cv10899; 01cv4147; 01cv6661; 01cv11000; 01cv6757; 01cv6627; 01cv11230; 01cv9675; 01cv5332; 01cv7035; 01cv11239; 01cv6004; 01cv7280; 01cv7344; 01cv4778; 01cv10185; 01cv10797; 01cv7270; 01cv11201; 01cv6090; 01cv10140; 01cv11245; 01cv4941; 01cv10596; 01cv6821; 01cv6001; 01cv6492; 01cv9981; 01cv9563; 01cv5241; 01cv6906; 01cv9514; 01cv10833; 01cv10053; 01cv10830; 01cv6365; 01cv4779; 01cv5074. (sac,) (Entered: 04/13/2005)</p>

Note: Links in this docket are stored in the PACER system (**P**ublic **A**ccess to **C**ourt **E**lectronic **R**ecords). PACER is a service of United States Judiciary. To view and retrieve the linked documents from PACER you must be a registered user. To register, fill out one of the [registration forms](#) available on the PACER site. The United States Congress has given the Judicial Conference of the United States, the judicial governing body of the U.S. Federal Courts, authority to impose user fees for electronic access to case information. For more information visit the PACER site at <http://pacer.psc.uscourts.gov/pacerdesc.html>