

**U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:01-cv-04147-SAS**

Liberate Tech. IPO, et al v. Liberate Techno., et al

Date Filed: May 16, 2001
Status: Open
Nature of suit: 850 Securities/Commodities
Demand: \$0
Assigned to: Judge Shira A. Scheindlin
Cause: 15:78m(a) Securities Exchange Act
Jurisdiction: Federal Question
Jury demand: Plaintiff
Member cases: 1:01-cv-04495-SAS
1:01-cv-04514-SAS
1:01-cv-04212-SAS
1:01-cv-04959-SAS
1:01-cv-04281-SAS
1:01-cv-05309-SAS
1:01-cv-08474-SAS
Related cases: 1:21-mc-00092-SAS

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on behalf of himself and all others similarly situated
TERMINATED: 04/19/2002

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Docket Proceedings[Reverse Proceedings](#)

Req #	Filed	#	Docket Text
1	May 16, 2001	1	CLASS ACTION COMPLAINT filed. Summons issued and Notice pursuant to 28 U.S.C. 636(c). FILING FEE \$ 150.00 RECEIPT # 405909. (tp) (Entered: 05/17/2001)
2	May 16, 2001		Magistrate Judge Gabriel W. Gorenstein is so designated. (tp) (Entered: 05/17/2001)
3	Jul. 06, 2001	2	AFFIDAVIT OF SERVICE of Summons & Complaint as to Liberate Technologies, Inc. by Wendi Okun, authorized agent, on 5/22/01. (sac) (Entered: 07/10/2001)
4	Jul. 06, 2001	2	AFFIDAVIT OF SERVICE of Summons & Complaint as to BancBoston Robertson by Mirta Rodriguez, authorized agent, on 5/24/01. (sac) (Entered: 07/10/2001)
5	Jul. 06, 2001	2	AFFIDAVIT OF SERVICE of Summons & Complaint as to Credit Suisse First by Mary Oriordan, paralegal, on 5/23/01. (sac) (Entered: 07/10/2001)
6	Jul. 06, 2001	2	AFFIDAVIT OF SERVICE of Summons & Complaint as to Merrill Lynch by Janet Urena, front desk intake clerk, on 5/22/01. (sac) (Entered: 07/10/2001)
7	Jul. 06, 2001	2	AFFIDAVIT OF SERVICE of Summons & Complaint as to Mitchell E. Kertzman by Wendi Okun, in-house counsel, on 5/22/01. (sac) (Entered: 07/10/2001)
8	Jul. 06, 2001	2	AFFIDAVIT OF SERVICE of Summons & Complaint as to Nancy J. Hilker by Wendi Okun, in-house counsel, on 5/22/01. (sac) (Entered: 07/10/2001)
9	Jul. 16, 2001	3	NOTICE OF MOTION by Susan Katz appointing the Katz's Plaintiff Group to serve as Lead Plaintiff pro tempore , and approving the Katz's Plaintiff Group's selection of Wolf Haldenstein Adler Freeman & Herz LLP as Lead Counsel , and to consolidate cases 1:01-cv-04147, 1:01-cv-04281, 1:01-cv-04959, 1:01-cv-04495, 1:01-cv-05309, 1:01-cv-04212, 1:01-cv-04514 . No Return Date. [Original Filed in 1:01-cv-04147 Document No. 3. Docketed in 1:01-cv-04281; 1:01-cv-04959; 1:01-cv-04495; 1:01-cv-05309; 1:01-cv-04212; 1:01-cv-04514] (dcap) (Entered: 07/26/2001)
10	Jul. 16, 2001	4	MEMORANDUM OF LAW by Susan Katz in support of [3-1] motion appointing the Katz's Plaintiff Group to serve as Lead Plaintiff pro tempore, [3-2] motion approving the Katz's Plaintiff Group's selection of Wolf Haldenstein Adler Freeman & Herz LLP as Lead Counsel, [3-3] motion to consolidate cases 1:01-cv-04147, 1:01-cv-04281, 1:01-cv-04959, 1:01-cv-04495, 1:01-cv-05309, 1:01-cv-04212, 1:01-cv-04514. [Original filed in 1:01-cv-04147 Document No. 4. Docketed in 1:01-cv-04281, 1:01-cv-04959, 1:01-cv-04495, 1:01-cv-05309, 1:01-cv-04212, 1:01-cv-04514] (dcap) Modified on 10/25/2001 (Entered: 07/26/2001)
11	Jul. 16, 2001	5	DECLARATION of Thomas H. Burt by Susan Katz in support Re: [3-1] motion appointing the Katz's Plaintiff Group to serve as Lead Plaintiff pro tempore, [3-2] motion approving the Katz's Plaintiff Group's selection of Wolf Haldenstein Adler Freeman & Herz LLP as Lead Counsel, [3-3] motion to consolidate cases 1:01-cv-04147, 1:01-cv-04281, 1:01-cv-04959,

Req #	Filed	#	Docket Text
<u>12</u>	Jul. 16, 2001	6	1:01-cv-04495, 1:01-cv-05309, 1:01-cv-04212, 1:01-cv-04514. [Original filed in 1:01-cv-04147 Document No. 5. Docketed in 1:01-cv-04281, 1:01-cv-04959, 1:01-cv-04495, 1:01-cv-05309, 1:01-cv-04212, 1:01-cv-04514] (dcap) Modified on 07/26/2001 (Entered: 07/26/2001) NOTICE OF MOTION by Karol Rose, Rio Guzman to appoint Karol Rose and Rio Guzman as Lead Plaintiffs in the consolidated securities class actions against Liberate Technologies, Inc. and other defendants , and to approve Karol Rose and Rio Guzman's selection of Schiffrin & Barroway, LLP as Lead Counsel to the class . No Return Date. [Received in the Night Deposit Box on 07-16-01 at 8:39 PM] [Original filed in 1:01-cv-04147 Document No. 6. Docketed in 1:01-cv-04281; 1:01-cv-04959; 1:01-cv-04495; 1:01-cv-05309; 1:01-cv-04212; 1:01-cv-04514] (dcap) (Entered: 07/26/2001)
<u>13</u>	Jul. 16, 2001	7	MEMORANDUM OF LAW by Karol Rose, Rio Guzman in support of [6-1] motion to appoint Karol Rose and Rio Guzman as Lead Plaintiffs in the consolidated securities class actions against Liberate Technologies, and other defendants, [6-2] motion to approve Karol Rose and Rio Guzman's selection of Schiffrin Barroway, LLP as Lead Counsel to the class. [Received in the Night Deposit Box on 07-16-01 at 8:39 PM] [Original filed in 1:01-cv-04147 Document No. 7. Docketed in 1:01-cv-04281; 1:01-cv-04959; 1:01-cv-04495; 1:01-cv-05309; 1:01-cv-04212; 1:01-cv-04514] (dcap) (Entered: 07/26/2001)
<u>14</u>	Jul. 16, 2001	8	AFFIDAVIT of Thomas H. Burt by Karol Rose, Rio Guzman in support of [6-1] motion to appoint Karol Rose and Rio Guzman as Lead Plaintiffs in the consolidated securities class actions against Liberate Technologies, Inc. and other defendants, [6-2] motion to approve Karol Rose and Rio Guzman's selection of Schiffrin & Barroway, LLP as Lead Counsel to the class. [Received in the Night Deposit Box on 07-16-01 at 8:39 PM] [Original filed in 1:01-cv-04147 Document No. 8. Docketed in 1:01-cv-04281; 1:01-cv-04959; 1:01-cv-04495; 1:01-cv-05309; 1:01-cv-04212; 1:01-cv-04514] (dcap) (Entered: 07/26/2001)
<u>15</u>	Jul. 16, 2001	9	NOTICE OF MOTION by Ben Berman, Brian Shonson, Sanjay Budhwar to consolidate cases 1:01-cv-04147, 1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309 , and to appoint Ben Berman, Brian Shonson and Sanjay Budhwar as Lead Plaintiff , and to approve Ben Berman, Brian Shonson and Sanjay Budhwar's selection of the law frims fo Milberg Weiss Bershad Hynes & Lerach LLP and Bernstein Liebhard & Lifshitz, LLP to serve as Lead Counsel . No Return Date. [Received in the Night Deposit Box on 07-16-01 at 11:27 PM] [Original filed in 1:01-cv-04147 Document No. 9. Docketed in 1:01-cv-04212; 1:01-cv-04281; 1:01-cv-04495; 1:01-cv-04514; 1:01-cv-04959; 1:01-cv-05309] (dcap) Modified on 07/26/2001 (Entered: 07/26/2001)
<u>16</u>	Jul. 16, 2001	10	MEMORANDUM OF LAW by Ben Berman, Brian Shonson, Sanjay Budhwar in support of [9-1] motion to consolidate cases 1:01-cv-04147, 1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309, [9-2] motion to appoint Ben Berman, Brian Shonson and Sanjay Budhwar as Lead Plaintiff, [9-3] motion to approve Ben Berman, Brian Shonson and Sanjay Budhwar's selection of the law frims fo Milberg Weiss Bershad Hynes & Lerach LLP and Bernstein Liebhard &

Req #	Filed	#	Docket Text
<u>17</u>	Jul. 16, 2001	11	Lifshitz, LLP to serve as Lead Counsel. [Received in the Night Deposit Box on 07-16-01 at 11:27 PM] [Original filed in 1:01-cv-04147 Document No. 10. Docketed in 1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309] (dcap) (Entered: 07/26/2001) DECLARATION of Samuel H. Rudman by Ben Berman, Brian Shonson, Sanjay Budhwar in support Re: [9-1] motion to consolidate cases 1:01-cv-04147, 1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309, [9-2] motion to appoint Ben Berman, Brian Shonson and Sanjay Budhwar as Lead Plaintiff, [9-3] motion to approve Ben Berman, Brian Shonson and Sanjay Budhwar's selection of the law firms fo Milberg Weiss Bershad Hynes & Lerach LLP and Bernstein Liebhard & Lifshitz, LLP to serve as Lead Counsel. [Received in the Night Deposit Box on 07-16-01 at 11:27 PM] [Original filed in 1:01-cv-04147 Document No. 11. Docketed in 1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309] (dcap) (Entered: 07/26/2001)
<u>18</u>	Jul. 31, 2001	12	STIPULATION and ORDER; defendants' time to answer, move or otherwise respond to the complaint in this action is extended through and including the later of sixty days after (i) the assignment of lead plaintiffs' counsel; or (ii) the filing of an amended complaint in this action ; (signed by Chief Judge Michael B. Mukasey) (djc) (Entered: 08/01/2001)
<u>19</u>	Aug. 02, 2001	13	MEMORANDUM OF LAW by Karol Rose, Rio Guzman in further support of [6-1] motion to appoint Karol Rose and Rio Guzman as Lead Plaintiffs in the consolidated securities class actions against Liberate Technologies, Inc. and other defendants, [6-2] motion to approve Karol Rose and Rio Guzman's selection of Schiffrin & Barroway, LLP as Lead Counsel to the class . (pl) Modified on 10/25/2001 (Entered: 08/07/2001)
<u>20</u>	Aug. 02, 2001	14	KATZ LEAD PLAINTIFF GROUP'S response to competing motions for appoinmemnt of lead counsel. (pl) Modified on 10/25/2001 (Entered: 08/07/2001)
<u>21</u>	Aug. 02, 2001	15	MEMORANDUM OF LAW by Ben Berman, Brian Shonson, Sanjay Budhwar in support of [9-1] motion to consolidate cases 1:01-cv-04147, 1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309, [9-2] motion to appoint Ben Berman, Brian Shonson and Sanjay Budhwar as Lead Plaintiff, [9-3] motion to approve Ben Berman, Brian Shonson and Sanjay Budhwar's selection of the law frims fo Milberg Weiss Bershad Hynes & Lerach LLP and Bernstein Liebhard & Lifshitz, LLP to serve as Lead Counsel. (Received in Night Box on 8/2/01 at 9:45pm) [Original filed in 01cv4147(SAS) as Doc# 18 and docketed to 01cv4214, 01cv4281, 01cv4495, 01cv4514, 01cv4959 and 01cv5309.] (dcap) Modified on 10/25/2001 (Entered: 08/20/2001)
<u>22</u>	Aug. 02, 2001	16	MEMORANDUM OF LAW by Ben Berman, Brian Shonson, Sanjay Budhwar in opposition to [6-1] motion to appoint Karol Rose and Rio Guzman as Lead Plaintiffs in the consolidated securities class actions against Liberate Technologies, Inc. and other defendants, [6-2] motion to approve Karol Rose and Rio Guzman's selection of Schiffrin & Barroway, LLP as Lead Counsel to the class, [3-1] motion appointing the Katz's Plaintiff Group to serve as Lead Plaintiff pro tempore, [3-2] motion approving the Katz's Plaintiff Group's selection of Wolf Haldenstein Adler

Req #	Filed	#	Docket Text
			Freeman & Herz LLP as Lead Counsel, [3-3] motion to consolidate cases 1:01-cv-04147, 1:01-cv-04281, 1:01-cv-04959, 1:01-cv-04495, 1:01-cv-05309, 1:01-cv-04212, 1:01-cv-04514. (Received in Night Box on 8/2/01 at 9:45pm) [Original filed in 01cv4147(SAS) as Doc# 18 and docketed in 01cv4212, 01cv4281, 01cv4495, 01cv4514, 01cv4959 and 01cv5309.] (dcap) Modified on 10/25/2001 (Entered: 08/20/2001)
23	Aug. 03, 2001	17	RULE 1.9 CERTIFICATE filed by Liberate Techno., Mitchell E. Kertzman, Nancy J. Hilker. (sac) Modified on 10/25/2001 (Entered: 08/06/2001)
24	Aug. 09, 2001	18	Notice of Case Reassignment to Judge Shira A. Scheindlin. Copy of notice mailed to Attorney(s) of record. Pursuant to the Order of Chief Judge Mukasey dated August 8, 2001, the above entitled action is reassigned to the calendar of Judge Shira A. Scheindlin for inclusion in the coordinated pretrial proceedings being conducted by that judge. Two originals of all future documents submitted in this action are to be presented in the Clerk's Office for filing. One original is to be filed in this docket number and one original is to be filed in the miscellaneous case number 21mc92 (SAS). Each shall bear the original assigned judge's () initials after the original docket number. Attached is a copy of Judge Mukasey's ORDER re: coordinating the proceedings before Judge Scheindlin. Also attached is a copy of Judge Scheindlin's ORDER re: set initial PTC 09/07/01 and directing all counsel to comply with judge Scheindlin's requirements. [Original Orders docketed in 21mc92 (SAS) as documents numbers 1 and 2] (dcap) Modified on 11/13/2001 (Entered: 08/10/2001)
25	Aug. 09, 2001		Pretrial Conference set at 4:30 9/7/01 before Judge Shira A. Scheindlin. (dcap) (Entered: 08/10/2001)
26	Aug. 09, 2001	19	REPLY MEMORANDUM OF LAW by Ben Berman, Brian Shonson, Sanjay Budhwar in further support of: [9-1] motion to consolidate cases 1:01-cv-04147, 1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309, [9-2] motion to appoint Ben Berman, Brian Shonson and Sanjay Budhwar as Lead Plaintiff, [9-3] motion to approve Ben Berman, Brian Shonson and Sanjay Budhwar's selection of the law firms of Milberg Weiss Bershad Hynes & Lerach LLP and Bernstein Liebhard & Lifshitz, LLP to serve as Lead Counsel. Received in night deposit on 8/9/01 at 6:22 p.m. (kkc) Modified on 10/25/2001 (Entered: 08/10/2001)
27	Aug. 10, 2001	20	NOTICE OF WITHDRAWAL OF MOTION by Karol Rose, Rio Guzman ..proosed lead plaintiffs Karol Rose and Rio Guzman hereby withdraw their names from consideration for appointment as lead plaintiffs. Accordingly, the Rose Group's Motion for Appointment as Lead Plaintiffs and to Approve Selection of Counsel is hereby withdrawn. [Received in the Night Deposit Box on 08-10-01 at 8:36 P.M.] [Original filed in 1:01-cv-04147 Document Number 19. Also docketed in 1:01-cv-04281; 1:01-cv-04959; 1:01-cv-04495; 1:01-cv-05309; 1:01-cv-04212; 1:01-cv-04514] (dcap) Modified on 10/25/2001 (Entered: 08/21/2001)
28	Aug. 10, 2001		WITHDRAWAL of [6-1] motion to appoint Karol Rose and Rio Guzman as Lead Plaintiffs in the consolidated securities class actions against Liberate Technologies, Inc. and other defendants, [6-2] motion to approve Karol Rose and Rio Guzman's selection of Schiffrin & Barroway, as Lead

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			Counsel to the class. [Docketed in 1:01-cv-04147; 1:01-cv-04281; 1:01-cv-04959; 1:01-cv-04495; 1:01-cv-05309; 1:01-cv-04212; 1:01-cv-04515] (dcap) (Entered: 08/21/2001)
29	Aug. 21, 2001	20	ORDER; withdrawing [6-1] motion to appoint Karol Rose and Rio Guzman as Lead Plaintiffs in the consolidated securities class actions against Liberate Technologies, Inc. and other defendants. (signed by Judge Shira A. Scheindlin); Copies mailed. (sac) (Entered: 08/28/2001)
30	Aug. 30, 2001	21	AMENDED RULE 1.9 CERTIFICATE filed by Liberate Techno., Mitchell E. Kertzman, Nancy J. Hilker. (sac) (Entered: 09/05/2001)
31	Sep. 06, 2001	22	ORDER,... that all cases involving Liberate Technology in this IPO Securities Litigation, as set forth in the attached Rider (1:01-cv-04212, 1:01-cv-04281, 1:01-cv-04495, 1:01-cv-04514, 1:01-cv-04959, 1:01-cv-05309, 1:01-cv-8474), shall be consolidated into case number 01 Civ. 4147. The Clerk of Court is hereby ordered to consolidate any future cases that are designated as related to 01 Civ. 4147 ,... the Clerk of Court is further ordered to close all cases that have been consolidated, subject to re-opening at a later date on proper application by a party ... (signed by Judge Shira A. Scheindlin); Copies mailed. [Original filed in 1:01-cv-04147 Document No. 22. Document Docketed in 1:01-cv-04212; 1:01-cv-04281; 1:01-cv-04495; 1:01-cv-04514; 1:01-cv-04959; 1:01-cv-05309; 1:01-cv-8474] (dcap) Modified on 11/05/2001 (Entered: 10/12/2001)
32	Sep. 06, 2001		Consolidated Lead Case. (dcap) (Entered: 10/12/2001)
33	Sep. 20, 2001	23	RULE 1.9 CERTIFICATE filed by Credit Suisse First. (sac) Modified on 10/12/2001 (Entered: 10/11/2001)
34	Dec. 06, 2001	24	AMENDED CLASS ACTION COMPLAINT by Tova Schwartz (Answer due 12/19/01 for Nancy J. Hilker, for Mitchell E. Kertzman, for Merrill Lynch, for BancBoston Robertson, for Credit Suisse First, for Liberate Techno.) amending [1-1] complaint against Chase Securities, Dain Rauscher, Inc., U.S. Bancorp Piper, David J. Roux, David A. Limp, Charles G. Tritschler; Summons issued. (sac) (Entered: 12/17/2001)
35	Jan. 04, 2002	25	RULE 1.9 CERTIFICATE filed by Merrill Lynch. (sac) (Entered: 01/28/2002)
36	Jan. 04, 2002	26	RULE 1.9 CERTIFICATE filed by Merrill Lynch. (sac) (Entered: 01/29/2002)
37	Jan. 07, 2002	27	RULE 1.9 CERTIFICATE filed by Robertson Stephens. (sac) (Entered: 02/06/2002)
38	Apr. 09, 2002	28	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to Credit Suisse First by Mary O'Riordan, Asst. V.P., on 4/1/02. (sac) (Entered: 05/06/2002)
39	Apr. 19, 2002	29	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to Chase Securities by Rosa A. Laraque, managing agent, on 3/28/02. (sac) (Entered: 05/16/2002)
40	Apr. 19, 2002		OMNIBUS ORDER, Ben Berman is hereby appointed as lead plttf and his selection of the Executive Committee to serve as Lead Counsel is approved. All other motions to be appointed lead plttf and for approval of lead counsel are hereby denied. (signed by Judge Shira A. Scheindlin); Copies mailed. (orig. doc. filed in 21 mc 92, doc. #4319) (sac) (Entered: 05/30/2002)
41	Apr. 19, 2002	30	AMENDED COMPLAINT by Karol Rose, Ben Berman, Carl Huang

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			amending [24-1] amended complaint, [1-1] complaint ; Summons issued. (ac) (Entered: 06/12/2002)
42	Apr. 19, 2002		MASTER ALLEGATIONS by Ben Berman, Karol Rose, Carl Huang RE: Amended Complaint, doc.# 30, Filed on April 19, 2002. (ac) (Entered: 07/30/2002)
43	Apr. 24, 2002	33	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to David A. Limp by Jordan Magat, co-worker, on 4/4/02. (sac) (Entered: 07/16/2002)
44	Apr. 24, 2002	34	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to Charles G. Tritschler by leaving with Jordan Magat, co-worker, on 4/4/02. (sac) (Entered: 07/16/2002)
45	Apr. 24, 2002	35	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to David J. Roux by Jordan Magat, co-worker, on 4/4/02. (sac) (Entered: 07/16/2002)
46	Apr. 25, 2002	31	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to BancBoston Robertson by Stephen Austin, managing agent, on 4/2/02. (sac) Modified on 06/28/2002 (Entered: 06/28/2002)
47	Apr. 25, 2002	32	AFFIDAVIT OF SERVICE of S&C as to BancBoston Robertson by Stephen Austin, managing agent, on 4/2/02. (sac) (Entered: 07/01/2002)
48	May 17, 2002	36	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to Dain Rauscher, Inc. by John Orenstein, managing agent, on 4/3/02 . . (sac) (Entered: 07/22/2002)
49	May 17, 2002	37	AFFIDAVIT OF SERVICE of S&C as to U.S. Bancorp Piper by Patrice Blaeser, managing agent, on 4/3/02. (sac) (Entered: 07/22/2002)
50	May 17, 2002	38	AFFIDAVIT OF SERVICE of Summons & Amended Complaint as to U.S. Bancorp Piper by Patrice Blaeser, managing agent, on 4/3/02. (sac) (Entered: 07/22/2002)
51	May 17, 2002	39	AFFIDAVIT OF SERVICE of Supplement Summons & Amended Complaint as to Dain Rauscher, Inc. by John Orenstein, Managing Agent on 4/3/02 (gf) (Entered: 07/22/2002)
52	Jul. 31, 2002	40	NOTICE OF JOINDER of plaintiff Rio Guzman, purs. to FRCP 20. (rec'd in the night deposit box on 7/31 at 11:29 p.m.) (sac) (Entered: 08/22/2002)
53	Oct. 15, 2002		(UNSIGNED) STIPULATION OF DISMISSAL; this action purs. to Stipulation and Order entered in 21 mc 92, doc. #5059, hereby dismisses without prejudice and without costs as to defts Nancy J. Hilker, Mitchell E. Kertzman, David A. Limp, David J. Roux and Charles G. Tritschler. (Orig. filed and signed by Judge Scheindlin in 21 mc 92.) (sac) (Entered: 03/10/2003)
54	Jul. 18, 2003	41	ANSWER by Dain Rauscher, Inc. to [30-1] amended complaint . (Attorney Stewart D. Aaron from the Firm: Dorsey & Whitney, LLP)... rec'd in the night deposit box on 7/18/03 at 5:46 p.m. (sac) (Entered: 07/23/2003)
55	Jul. 21, 2003	42	ANSWER by J.P. Morgan Sec. to [30-1] amended complaint. (Attorney Joseph M. McLaughlin from the Simpson, Thacher & Bartlett, LLP)... rec'd in the night deposit box on 7/21/03 at 6:40 p.m. (sac) (Entered: 08/14/2003)
56	Jul. 21, 2003	43	ANSWER by Robertson Stephens to [30-1] amended complaint. (Attorney Brendan Joseph Dowd from the O'Melveny & Myers, LLP)... rec'd in the night deposit box on 7/21/03 at 7:13 p.m. (sac) (Entered: 08/19/2003)
57	Jul. 22, 2003	44	ANSWER by Merrill Lynch to [30-1] amended complaint. (Attorney Mark Holland from the Firm: Clifford Chance US, LLP). (sac) (Entered: 08/19/2003)

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58	Jul. 23, 2003	45	09/15/2003) ANSWER by U.S. Bancorp Piper to [30-1] amended complaint . (Attorney Dean M. Jeske from the Firm: Foley & Lardner). (sac) (Entered: 09/22/2003)
59	Aug. 13, 2003	46	ANSWER to Corrected Consolidated Amended Class Action Complaint. Document filed by Credit Suisse First Boston Corporation. Related document: 30 Amended Complaint filed by Karol Rose, Ben Berman, Carl Huang.(sac,) (Entered: 03/26/2004)
60	Sep. 04, 2003		NOTICE OF OMNIBUS MOTION (FILED ON SERVICE DATE) to Intervene EACH CLASS MEMBER named on Exhibit 1 attached to Memorandum of Law in Support, as class plaintiffs in those coordinated actions. This Document Relates to: 01cv5902; 01cv2359; 01cv10901; 01cv3360; 01cv6890; 01cv5446; 01cv2858; 01cv10827; 01cv9883; 01cv6397; 01cv6613; 01cv6271; 01cv10839; 01cv5937; 01cv6222; 01cv7669; 01cv10971; 01cv5575; 01cv7044; 01cv10943; 01cv10686; 01cv10105; 01cv5834; 01cv6542; 01cv7000; 01cv10944; 01cv3792; 01cv4942; 01cv11231; 01cv6887; 01cv6242; 01cv7467; 01cv9411; 01cv9414; 01cv6775; 01cv5911; 01cv11246; 01cv7055; 01cv6948; 01cv10164; 01cv10813; 01cv7084; 01cv10738; 01cv10640; 01cv10884; 01cv10741; 01cv6339; 01cv6771; 01cv9743; 01cv62672; 01cv6842; 01cv6277; 01cv9922; 01cv10969; 01cv6084; 01cv3975; 01cv7654; 01cv9917; 01cv9349; 01cv 4974; 01cv9417; 01cv 10740; 01cv992; 01cv4147; 01cv6661; 01cv3483; 01cv6757; 01cv10990; 01cv6627; 01cv7726; 01cv9651; 01cv4183; 01cv3910; 01cv7028; 01cv5332; 01cv9798; 01cv11239; 01cv6089; 01cv6004; 01cv10823; 01cv10945; 01cv7280; 01cv10775; 01cv5354; 01cv7032; 01cv9744; 01cv9904; 01cv11217; 01cv10185; 01cv7279; 01cv2261; 01cv6082; 01cv10867; 01cv10160; 01cv10898; 01cv10683; 01cv2712; 01cv6090; 01cv10942; 01cv10120; 01cv11245; 01cv5225; 01cv6950; 01cv9746; 01cv7415; 01cv4941; 01cv10831; 01cv5600; 01cv10941; 01cv9921; 01cv4186; 01cv6846; 01cv6821; 01cv7474; 01cv5267; 01cv6288; 01cv7247; 01cv6110; 01cv10822; 01cv6008; 01cv5269; 01cv9563; 01cv9348; 01cv9604; 01cv5739; 01cv10999; 01cv3450; 01cv5241; 01cv9720; 01cv10040; 01cv6906; 01cv9514; 01cv10833; 01cv10092; 01cv10053; 01cv6768; 01cv10830; 01cv10638; 01cv4779; 01cv5074. Original Document filed in 21MC92, Doc#5191. Document filed by Terry Jones, Linda Jones. (sac,) (Entered: 04/06/2004)
61	Sep. 04, 2003		MEMORANDUM OF LAW in Support re: MOTION (FILED ON SERVICE DATE) to Intervene.. Document filed by Linda Jones, Terry Jones. Original Document filed in 21 MC 92, Doc#5195.(sac,) (Entered: 04/08/2004)
62	Apr. 21, 2004		MEMORANDUM OF LAW in Support re: MOTION, Doc#5191, (FILED ON SERVICE DATE) to Intervene. Original Document filed in 21mc92, Doc#5279. (sac,) (Entered: 04/23/2004)
63	Sep. 30, 2004		NOTICE OF WITHDRAWAL OF OMNIBUS MOTION FOR INTERVENTION; the Class members listed on Exhibit 1 to this Notice hereby withdraw, without prejudice to renewal, their Omnibus Motion for Intervention (Doc. No. 5191 on Dkt. 21 MC 92) that was filed on 9/2/03. This Document Relates to: 01cv5902; 01cv2359; 01cv10901; 01cv3360;

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64	Mar. 31, 2005		<p>01cv6890; 01cv5446; 01cv2858; 01cv10827; 01cv9883; 01cv6397; 01cv6613; 01cv6271; 01cv10839; 01cv5937; 01cv6222; 01cv7669; 01cv10971; 01cv5575; 01cv7044; 01cv10943; 01cv10686; 01cv10105; 01cv5834; 01cv6542; 01cv7000; 01cv10944; 01cv3792; 01cv4942; 01cv11231; 01cv6887; 01cv5948; 01cv6242; 01cv7467; 01cv9411; 01cv9414; 01cv6775; 01cv5911; 01cv11246; 01cv7055; 01cv6948; 01cv10164; 01cv10813; 01cv7048; 01cv10738; 01cv10640; 01cv10884; 01cv10741; 01cv6339; 01cv6771; 01cv9743; 01cv6272; 01cv6842; 01cv6277; 01cv9922; 01cv10969; 01cv6084; 01cv3975; 01cv7654; 01cv9917; 01cv9349; 01cv7974; 01cv9417; 01cv10740; 01cv9442; 01cv4147; 01cv6661; 01cv3483; 01cv6757; 01cv10990; 01cv6627; 01cv7726; 01cv9651; 01cv4183; 01cv3910; 01cv7028; 01cv5332; 01cv9798; 01cv11239; 01cv6089; 01cv6004; 01cv10823; 01cv10945; 01cv7280; 01cv10775; 01cv5354; 01cv7032; 01cv9744; 01cv9904; 01cv11217; 01cv10185; 01cv7279; 01cv2261; 01cv6082; 01cv10867; 01cv10160; 01cv10898; 01cv10683; 01cv2712; 01cv6090; 01cv10942; 01cv10120; 01cv11245; 01cv5225; 01cv6950; 01cv9746; 01cv7415; 01cv4941; 01cv10831; 01cv5600; 01cv10941; 01cv9921; 01cv4186; 01cv6846; 01cv6821; 01cv7474; 01cv5267; 01cv6288; 01cv7247; 01cv6110; 01cv10822; 01cv6008; 01cv5269; 01cv9563; 01cv9348; 01cv9604; 01cv5739; 01cv10999; 01cv3450; 01cv5241; 01cv9720; 01cv10040; 01cv6906; 01cv9514; 01cv10833; 01cv10092; 01cv10053; 01cv6768; 01cv10830; 01cv10638; 01cv4779; 01cv5074. (Signed by Judge Shira A. Scheindlin on 9/30/04)... Original Document filed in 21-mc-92, Doc#5367.(sac,) (Entered: 10/01/2004)</p> <p>ORDER; that Arnold & Porter LLP be substituted in the place and stead of Dorsey & Whitney LLP as the attorneys of record for defendant, RBC Dain Rauscher, Inc. in this action. Original Document filed in 21-mc-92, Doc#5427. (Signed by Judge Shira A. Scheindlin on 3/31/05) This Document Relates to: 01cv6000; 01cv9742; 01cv9982; 01cv6275; 01cv9422; 01cv5446; 01cv10827; 01cv6241; 01cv6613; 01cv5143; 01cv5072; 01cv10988; 01cv5937; 01cv6222; 01cv11234; 01cv10686; 01cv6542; 01cv6887; 01cv7355; 02cv9699; 01cv8404; 01cv5911; 01cv9800; 01cv10684; 01cv6143; 01cv7055; 01cv7048; 01cv5039; 01cv10834; 01cv6084; 01cv9917; 01cv10899; 01cv4147; 01cv6661; 01cv11000; 01cv6757; 01cv6627; 01cv11230; 01cv9675; 01cv5332; 01cv7035; 01cv11239; 01cv6004; 01cv7280; 01cv7344; 01cv4778; 01cv10185; 01cv10797; 01cv7270; 01cv11201; 01cv6090; 01cv10140; 01cv11245; 01cv4941; 01cv10596; 01cv6821; 01cv6001; 01cv6492; 01cv9981; 01cv9563; 01cv5241; 01cv6906; 01cv9514; 01cv10833; 01cv10053; 01cv10830; 01cv6365; 01cv4779; 01cv5074.(sac,) (Entered: 04/13/2005)</p>
65	Jun. 28, 2007		<p>STIPULATION AND ORDER that the Stipulation and Agreement of Settlement with defendant Issuers and Individuals submitted to the Court on 6/10/4, is hereby terminated purs to Paragraph 39 of the Proposed Partial Settlement. However, purs to paragraph 40 of the Proposed Partial Settlement, that paragraph, and the paragraphs referred to therein, shall survive the termination of the Proposed Partial Settlement. This Stipulation resolves (5527) Motion to Approve of Class action settlement. The Clerk of</p>

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			Court is directed to close this motion. This document relates to: All cases listed on Schedule I. (orig fld in 21mc92, #5620). (Signed by Judge Shira A. Scheindlin on 6/25/07) (cd) (Entered: 07/25/2007)