

**U.S. District Court**  
**Southern District of New York (Foley Square)**  
CIVIL DOCKET FOR CASE #: 1:01-cv-03857-SAS

Corvis Corp. IPO, et al v. Corvis Corporation, et al  
Assigned to: Judge Shira A. Scheindlin  
Demand: \$9,999,000  
Lead case: [1:01-cv-03857-SAS](#)

Member cases:

[1:01-cv-03994-SAS](#)  
[1:01-cv-04106-SAS](#)  
[1:01-cv-04214-SAS](#)  
[1:01-cv-04404-SAS](#)  
[1:01-cv-04418-SAS](#)  
[1:01-cv-04584-SAS](#)  
[1:01-cv-05224-SAS](#)  
[1:01-cv-05491-SAS](#)  
[1:01-cv-06122-SAS](#)

Related Case: [1:21-mc-00092-SAS](#)  
Cause: 15:77 Securities Fraud

Date Filed: 05/07/2001  
Jury Demand: Plaintiff  
Nature of Suit: 850  
Securities/Commodities  
Jurisdiction: Federal Question

**Plaintiff**

**Trustee Paul Felzen**  
*of the Louise Laskin 1991 Revocable Trust  
w/t/d October 24, 1991, On Behalf of  
Himself and All Others Similarly Situated  
TERMINATED: 04/19/2002*

represented by **John Christopher Crow**  
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**Plaintiff**

**Julia Eastland**

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**Plaintiff**

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**Plaintiff**

**Sherwood Goozee**

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**Plaintiff**

**Sean Rooney**

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**Plaintiff**

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**Defendant**

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**Defendant**

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**Defendant**

**David R. Huber**  
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**Defendant**

**Anne H. Stuart**  
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**Defendant**

**Timothy C. Dec**

*TERMINATED: 10/15/2002*

**Defendant**

**Frank Bonsal**

*TERMINATED: 10/15/2002*

**Defendant**

**Vinod Khosla**

*TERMINATED: 10/15/2002*

**Defendant**

**Frank M. Drendel**

*TERMINATED: 10/15/2002*

**Defendant**

**Joseph R. Hardiman**

*TERMINATED: 10/15/2002*

**Defendant**

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**Consolidated Plaintiff**

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**Plaintiff**

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**Consolidated Plaintiff**

**Arnold Foote**  
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**Consolidated Plaintiff**

**Lance Huff**

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**Steven G. Schulman**  
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**Consolidated Plaintiff**

**PRFT Partners**  
*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**Daniel Kucera**  
*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**David W. Ross**  
*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**Fairland Management**  
*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**Avi Mandel**

*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**S. Perry Penland**

*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**Moises Chocron**

*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**Harry Binde Profit Sharing Trust**

*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**Donald Wallace**

*TERMINATED: 04/19/2002*

**Consolidated Plaintiff**

**Kenneth Shives**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Demetrios Petratos**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Deming Zhous**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Bert Zauderer**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Glenn Kerr**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Robert Grovich**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Hans Reihl**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Heinz Wahl**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Bruce J. Jiorle**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Mark Sculnick**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Susan Katz**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Anthony Voto**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Estelle L. Augustine**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Don K. Burris**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Rachel Schwartz**  
*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Roderick Lau**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Raymond Litwin**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Joe Braswell**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Buddy Dukeman**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Eileen Dukeman**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Anupkumar Bhasin**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Richard L. Anderson**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Raymond Lance Huffman**

*TERMINATED: 01/18/2002*

**Consolidated Plaintiff**

**Anita Budich**

*TERMINATED: 01/18/2002*

V.

**Consolidated Defendant**

**FleetBoston Robertson Stephens, Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

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**Consolidated Defendant**

**Banc of America Securities LLC**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**BancBoston Robertson Stephens, Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Bear Stearns & Co., Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**DB Alex. Brown**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Chase Securities, Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**CIBC World Markets**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Credit Suisse First Boston Corporation**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Dain Rausher, Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Deutsche Bank Securities, Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**E\*Offering Corp.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Goldman Sachs & Co.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Hambrecht & Quist, LLC**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**J.P. Morgan & Co.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**J.P. Morgan Securities Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Morgan Stanley Dean Witter & Co.,  
Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Robert Flemming, Inc**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Citigroup Global Markets, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Ariba, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Robert C. Kagle**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**John B. Mumford**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Keith J. Krach**

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**Consolidated Defendant**

**Paul Hegarty**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Edward S. Kinsey**

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**Consolidated Defendant**

**Autoweb.com, Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Dean DeBiase**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Samuel Hedgepeth, III**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Avici Systems, Inc.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Surya Panditi**  
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**Consolidated Defendant**

**Paul Brauneis**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**B2B Internet Holdrs**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Ahmass Fakahany**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**John Steffens**  
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**Consolidated Defendant**

**Stanley E. O'Neal**  
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**Consolidated Defendant**

**George A. Schieren**

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**Consolidated Defendant**

**Calico Commerce, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Alan Nauman**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Arthur Knapp**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Digimarc Corporation**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Bruce Davis**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Geoffrey Rhoads**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**E.K. Ranjit**

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**Consolidated Defendant**

**Philip Monego, Sr.**

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**Consolidated Defendant**

**Brian Grossi**

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**Consolidated Defendant**

**John Taysom**

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**Consolidated Defendant**

**Doubleclick, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Kevin O'Connor**

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**Consolidated Defendant**

**Kevin Ryan**

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**Dwight Merriman**

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**Consolidated Defendant**

**Stephen Collins**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Internet Capital Group, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Walter Buckley, III**

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**Consolidated Defendant**

**Robert Keith, Jr.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Kenneth Fox**

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**Consolidated Defendant**

**David Gathman**

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**Julian Brodsky**

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**Consolidated Defendant**

**Thomas Gerrity**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Scott Gould**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**InterTrust Technologies Corp.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Victor Shear**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**David Chance**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Peter Van Cuylenberg**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Edmund Fish**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Erwin Lenowitz**

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**David Van Wie**

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**Consolidated Defendant**

**Bruce Scheps**

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**Consolidated Defendant**

**I. Robert Greene**

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**Consolidated Defendant**

**Peter LaBonte**

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**Lennert Leader**

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**Milton Pappas**

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**Herbert Skeete**

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**Consolidated Defendant**

**NetZero, Inc.**

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**Consolidated Defendant**

**Mark Goldston**

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**Consolidated Defendant**

**Charles Hilliard**

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**Consolidated Defendant**

**Ronald Burr**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Planetrx.com, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**William Razzouk**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Steve Valenzuela**

*TERMINATED: 01/18/2002*

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**David Beirne**

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**Michael Moritz**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Christos Cotsakas**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Priceline.com, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Richard Braddock**  
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**Consolidated Defendant**

**Jay Walker**  
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**Consolidated Defendant**

**Paul Francis**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Daniel H. Schulman**  
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**Consolidated Defendant**

**Rediff.com India Ltd.**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Ajit Balakrishnan**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Richard Li**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Nitin Gupta**  
*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Rajiv Warriier**  
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**Consolidated Defendant**

**Red Hat, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Robert Young**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Matthew Szulik**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Marc Ewing**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Stamps.com, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**John Payne**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**John LaValle**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Thomas Bruggere**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Mohan Ananda**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**David Bohnett**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Jeffrey Brown**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Thomas Clancy**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**G. Bradford Jones**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Marvin Runyon**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Loren Smith**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Carolyn Ticknor**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Va Linux Systems, Inc.**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Larry Augustin**

*TERMINATED: 01/18/2002*

**Consolidated Defendant**

**Todd Schull**

*TERMINATED: 01/18/2002*

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
05/07/2001	1	CLASS ACTION COMPLAINT filed. Summons issued and Notice pursuant to 28 U.S.C. 636(c). FILING FEE \$ 150.00 RECEIPT # 405135. (kkc) (Entered:

		05/08/2001)
05/07/2001		Magistrate Judge Henry B. Pitman is so designated. (kkc) (Entered: 05/08/2001)
05/29/2001	2	STIPULATION and ORDER, extending time for deft Credit Suisse First Boston Corp. to answer to the complaint in this action is extended through and including the later of 60 days after (i) the assignment of lead plttfs' counsel; or (ii) the filing of an amended complaint in this action ( signed by Judge John S. Martin ) (kg) (Entered: 05/30/2001)
06/21/2001	3	STIPULATION and ORDER; that the time for dfts Corvis Corp. , David R. Huber, Anne H. Stuart, Timothy C. Dec, Frank Bonsal, Vinod Khosla, Frank M. Drendel and Joseph R. Hardiman (the "Corvis Defendants") to answer, move or otherwise respond to the complaint in this action is extended through, and including, the later of sixty days after (i) the assignment of lead plaintiffs' counsel; or (ii) the filing of an amended complaint in this action . ( signed by Judge John S. Martin ) (pl) (Entered: 06/25/2001)
06/22/2001	4	NOTICE of attorney appearance for Credit Suisse Corp. by Robert B. McCaw. (kw) (Entered: 06/25/2001)
07/09/2001	5	NOTICE OF MOTION by Robert Grovich and Private Asset Management for an order, appointing Robert Grovich and Private Asset Management to serve as Co-Lead Plttfs ; for an order, approving the Lead Plttfs' selection of Wolf Haldenstein Adler Freeman & Herz LLP and Schiffrin & Barroway, LLP as co-lead counsel ; for an order, consolidating 01 Civ. 3857 (Lead Case) with the following cases: 01 Civ. 3994, 4106, 4214, 4404, 4418, 4584, 5224 and 5491 (Mem. Cases) ; Return date not indicated. (sac) (Entered: 07/11/2001)
07/09/2001	6	MEMORANDUM OF LAW by Robert Grovich and Private Asset Management in support of [5-1] motion for an order, appointing Robert Grovich and Private Asset Management to serve as Co-Lead Plttfs, [5-2] motion for an order, approving the Lead Plttfs' selection of Wolf Haldenstein Adler Freeman & Herz LLP and Schiffrin & Barroway, LLP as co-lead counsel, [5-3] motion for an order, consolidating 01 Civ. 3857 (Lead Case) with the following cases: 01 Civ. 3994, 4106, 4214, 4404, 4418, 4584, 5224 and 5491 (Mem. Cases). (sac) (Entered: 07/11/2001)
07/09/2001	7	DECLARATION of Fred Taylor Isquith by Robert Grovich and Private Asset Management in support Re: [5-1] motion for an order, appointing Robert Grovich and Private Asset Management to serve as Co-Lead Plttfs, [5-2] motion for an order, approving the Lead Plttfs' selection of Wolf Haldenstein Adler Freeman & Herz LLP and Schiffrin & Barroway, LLP as co-lead counsel, [5-3] motion for an order, consolidating 01 Civ. 3857 (Lead Case) with the following cases: 01 Civ. 3994, 4106, 4214, 4404, 4418, 4584, 5224 and 5491 (Mem. Cases). (sac) (Entered: 07/11/2001)
07/09/2001	8	DECLARATION of Katherine B. Dubose by Robert Grovich and Private Asset Management regarding compliance with Individual Practice Rule 2.A of the Hon. Judge Martin. (sac) (Entered: 07/11/2001)
07/09/2001	9	NOTICE OF MOTION by Paul Felzen for an order, purs. to FRCP 42(a) and 15 U.S.C. 77z-1 consolidating 01 Civ. 3857 (Lead Case) with the following cases: 01

		Civ. 4584, 01 Civ. 5224 (Mem. Cases) ; for an order, appointing plttfs in these as Lead Plttfs ; and for an order, approving plttfs' retention of Stamell & Schager, LLP, Wechsler, Harwood, Halebian & Feffer, LLP and Lovell & Stewart, LLP as Co-Lead Counsel for the putative class ; Return date not indicated. (sac) Modified on 07/12/2001 (Entered: 07/12/2001)
07/09/2001	10	MEMORANDUM OF LAW by Paul Felzen in support of [9-1] motion for an order, purs. to FRCP 42(a) and 15 U.S.C. 77z-1 consolidating 01 Civ. 3857 (Lead Case) with the following cases: 01 Civ. 4584, 01 Civ. 5224 (Mem. Cases), [9-2] motion for an order, appointing plttfs in these as Lead Plttfs, [9-3] motion for an order, approving plttfs' retention of Stamell & Schager, LLP, Wechsler, Harwood, Halebian & Feffer, LLP and Lovell & Stewart, LLP as Co-Lead Counsel for the putative class. (sac) (Entered: 07/12/2001)
07/09/2001	11	AFFIDAVIT of Richard J. Schager, Jr. by Paul Felzen in support of [9-1] motion for an order, purs. to FRCP 42(a) and 15 U.S.C. 77z-1 consolidating 01 Civ. 3857 (Lead Case) with the following cases: 01 Civ. 4584, 01 Civ. 5224 (Mem. Cases), [9-2] motion for an order, appointing plttfs in these as Lead Plttfs, [9-3] motion for an order, approving plttfs' retention of Stamell & Schager, LLP, Wechsler, Harwood, Halebian & Feffer, LLP and Lovell & Stewart, LLP as Co-Lead Counsel for the putative class. (sac) (Entered: 07/12/2001)
07/09/2001	12	NOTICE OF MOTION by Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price, Edward Trotter to consolidate cases 1:01-cv-03857, 1:01-cv-03994, 1:01-cv-04106, 1:01-cv-04214, 1:01-cv-04404, 1:01-cv-04418, 1:01-cv-04584, 1:01-cv-05224, 1:01-cv-05491 , and appointing the Proposed Lead Plaintiffs Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price and Edward Trotter to serve as lead plaintiffs , and approving the Proposed Lead Plaintiffs' Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price and Edward Trotter's choice of Lead Counsel . No Return Date. [Received in the Night Deposit Box on 07-09-01 at 9:16 PM] [Original Docketed in 1:01-cv-03857 Document No. 12. Docketed in 1:01-cv-03994; 1:01-cv-04106; 1:01-cv-04214; 1:01-cv-04404; 1:01-cv-04418; 1:01-cv-04584; 1:01-cv-05224; 1:01-cv-05491] (dcap) Modified on 07/25/2001 (Entered: 07/25/2001)
07/09/2001	13	MEMORANDUM OF LAW by Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price, Edward Trotter in support of [12-1] motion to consolidate cases 1:01-cv-03857, 1:01-cv-03994, 1:01-cv-04106, 1:01-cv-04214, 1:01-cv-04404, 1:01-cv-04418, 1:01-cv-04584, 1:01-cv-05224, 1:01-cv-05491 in 1:01-cv-03857, [12-2] appointing the Proposed Lead Plaintiffs Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price and Edward Trotter to serve as lead plaintiffs, [12-3] motion approving the Proposed Lead Plaintiffs' Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price and Edward Trotter's choice of Lead Counsel. [Received in the Night Deposit Box on 07-09-01 at 9:16 PM] [Original docketed in 1:01-cv-03857 Document No. 13. Docketed in 1:01-cv-03994; 1:01-cv-04106; 1:01-cv-04214; 1:01-cv-04404; 1:01-cv-04418; 1:01-cv-04584; 1:01-cv-05224; 1:01-cv-5491] (dcap) (Entered: 07/25/2001)
07/09/2001	14	DECLARATION of Aaron Brody by Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price, Edward Trotter in support Re: [12-1] to consolidate cases 1:01-cv-03857, 1:01-cv-03994, 1:01-cv-04106, 1:01-cv-04214, 1:01-cv-04404, 1:01-cv-04418, 1:01-cv-04584, 1:01-cv-05224, 1:01-cv-05491, [12-2] motion

		<p>appointing the Proposed Lead Plaintiffs Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price and Edward Trotter to serve as lead plaintiffs, [12-3] motion approving the Proposed Lead Plaintiffs' Patrick Elliott, Ignacio Fanco, George F. Joseph Jr., Tracy Price and Edward Trotter's choice of Lead Counsel. [Received in the Night Deposit Box on 07-09-01 at 9:18 PM] [Original Docketed in 1:01-cv-03857 Document No. 14. Docketed in 1:01-cv-03994; 1:01-cv-04106; 1:01-cv-04214; 1:01-cv-04404; 1:01-cv-04418; 1:01-cv-04584; 1:01-cv05224; 1:01-cv-04591] (dcap) (Entered: 07/25/2001)</p>
07/09/2001	15	<p>NOTICE OF MOTION by Saswata Basu in 1:01-cv-03857, Arlene Blau in 1:01-cv-03857, Arnold Foote in 1:01-cv-03857, Lance Huff in 1:01-cv-03857 to consolidate cases 1:01-cv-03857, 1:01-cv-03994, 1:01-cv-04418, 1:01-cv-04584, 1:01-cv-05491, 1:01-cv-04106, 1:01-cv-04214, 1:01-cv-04404 , and appointing Saswata Basu, Arlene Blau, Arnold Foote and Lance Huff as Lead Plaintiff , and approving Saswata Basu, Arlene Blau, Arnold Foote and Lance Huff's selection of the law firm of Milberg Weiss Bershad Hynes &amp; Lerach LLP to serve as Lead Counsel No Return Date. [Received in the Night Deposit Box on 07-09-01 at 11:29 PM] [Original filed in 1:01-cv-03857 Document No. 15. Docketed in 1:01-cv-03994; 1:01-cv-04418; 1:01-cv-04584; 1:01-cv-05491; 1:01-cv-04106; 1:01-cv-04214; 1:01-cv-04404] (dcap) Modified on 07/26/2001 (Entered: 07/26/2001)</p>
07/09/2001	16	<p>MEMORANDUM OF LAW by Saswata Basu, Arlene Blau, Arnold Foote, Lance Huff in support of [15-1] motion to consolidate cases 1:01-cv-03857, 1:01-cv-03994, 1:01-cv-04418, 1:01-cv-04584, 1:01-cv-05491, 1:01-cv-04106, 1:01-cv-04214, 1:01-cv-04404, [15-2] motion appointing Saswata Basu, Arlene Blau, Arnold Foote and Huff as Lead Plaintiff, [15-3] motion approving Saswata Basu, Arlene Blau, Arnold Foote and Lance Huff's selection of the law firm of Milberg Weiss Bershad Hynes &amp; Lerach LLP to serve as Lead Counsel. [Received in the Night Deposit Box on 07-09-01 at 11:29 PM] [Original filed in 1:01-cv-03857 Document No. 16. Docketed in 1:01-cv-03994; 1:01-cv-04418; 1:01-cv-04584; 1:01-cv-05491; 1:01-cv-04106; 1:01-cv-04214; 1:01-cv-04404] (dcap) Modified on 07/26/2001 (Entered: 07/26/2001)</p>
07/09/2001	17	<p>DECLARATION of Samuel H. Rudman by Saswata Basu, Arlene Blau, Arnold Foote, Lance Huff in support Re: [15-1] motion to consolidate cases 1:01-cv-03857, 1:01-cv-03994, 1:01-cv-04418, 1:01-cv-04584, 1:01-cv-05491, 1:01-cv-04106, 1:01-cv-04214, 1:01-cv-04404, [15-2] motion appointing Saswata Basu, Blau, Arnold Foote and Lance Huff as Lead Plaintiff, [15-3] motion approving Saswata Basu, Arlene Blau, Arnold Foote and Lance Huff's selection of the law firm of Milberg Weiss Bershad Hynes &amp; Lerach LLP to serve as Lead Counsel. [Received in the Night Deposit Box on 07-09-01 at 11:29 PM] [Original filed in 1:01-cv-03857 Document No. 16. Docketed in 1:01-cv-03994; 1:01-cv-04418; 1:01-cv-04584; 1:01-cv-05491; 1:01-cv-04106; 1:01-cv-04214; 1:01-cv-04404] (dcap) (Entered: 07/26/2001)</p>
07/26/2001	18	<p>MEMORANDUM OF LAW by Saswata Basu, Arlene Blau, Arnold Foote, Lance Huff in further support of [15-1] motion to consolidate cases 1:01-cv-03857, 1:01-cv-03994, 1:01-cv-04418, 1:01-cv-04584, 1:01-cv-05491, 1:01-cv-04106, 1:01-cv-04214, 1:01-cv-04404, [15-2] motion appointing Saswata Basu, Arlene Blau, Arnold Foote and Lance Huff as Lead Plaintiff, [15-3] motion approving Saswata Basu, Arlene Blau, Arnold Foote and Lance Huff's selection of the law firm of</p>

		Milberg Weiss Bershad Hynes & Lerach LLP to serve as Lead Counsel. (Received in Night Box on 7/26/01 at 11:46pm) [Original filed in 01cv3857(JSM) as Doc# 18 and docketed in 01cv3994, 01cv4106, 01cv4214, 01cv4404, 01cv4418, 01cv4584 and 01cv5491.] (dcap) (Entered: 07/31/2001)
08/09/2001	19	Notice of Case Reassignment to Judge Shira A. Scheindlin. Copy of notice mailed to Attorney(s) of record. Pursuant to the Order of Chief Judge Mukasey dated August 8, 2001, the above entitled action is reassigned to the calendar of Judge Shira A. Scheindlin for inclusion in the coordinated pretrial proceedings being conducted by that judge. Two originals of all future documents submitted in this action are to be presented in the Clerk's Office for filing. One original is to be filed in this docket number and one original is to be filed in the miscellaneous case number 21mc92 (SAS). Each shall bear the original assigned judge's ( ) initials after the original docket number. Attached is a copy of Judge Mukasey's ORDER re: coordinating the proceedings before Judge Scheindlin. Also attached is a copy of Judge Scheindlin's ORDER re: set initial PTC 09/07/01 and directing all counsel to comply with judge Scheindlin's requirements. [Original Orders docketed in 21mc92 (SAS) as documents numbers 1 and 2] (dcap) Modified on 02/26/2002 (Entered: 08/10/2001)
08/09/2001		Pretrial Conference set at 4:30 9/7/01 before Judge Shira A. Scheindlin. (dcap) (Entered: 08/10/2001)
09/05/2001	20	RULE 1.9 CERTIFICATE filed by Corvis Corporation . (bai) (Entered: 09/19/2001)
09/05/2001	21	ORDER, that all cases against Corvis in this IPO Securities Litigation, as set forth in the attached Rider (1:01-cv-3994, 1:01-cv-4106, 1:01-cv-4214, 1:01-cv-4404, 1:01-cv-4418, 1:01-cv-4584, 1:01-cv-5224, 1:01-cv-5491, 1:01-cv-6122) shall be consolidated into case number 01 Civ. 3857. The Clerk of the Court is ordered to consolidate any future cases filed against Corvis subsequent to the date of this Order into lead case number 01 Civ. 3857. The Clerk of the Court is further ordered to close all cases that have been consolidated, subject to re-opening at a later date on proper application by a party. ( signed by Judge Shira A. Scheindlin ); Copies mailed. (sac) Modified on 11/19/2001 (Entered: 09/25/2001)
09/05/2001		Consolidated Lead Case. (sac) (Entered: 09/25/2001)
09/06/2001	22	RULE 1.9 CERTIFICATE filed by Lehman Brothers, Inc. (rec'd in the night deposit box on 9/6/01 at 9:14 p.m.) (sac) (Entered: 10/03/2001)
09/07/2001	23	RULE 1.9 CERTIFICATE filed by Harry Binde Profit Sharing Trust. (sac) (Entered: 10/03/2001)
09/20/2001	24	RULE 1.9 CERTIFICATE filed by Credit Suisse Corp. (sac) (Entered: 10/03/2001)
09/20/2001	25	Counsel's Information Submission, dated 9/6/01. (sac) (Entered: 10/03/2001)
01/04/2002	26	RULE 1.9 CERTIFICATE filed by Banc of America. (sac) (Entered: 01/24/2002)
01/07/2002	27	RULE 1.9 CERTIFICATE filed by Robertson Stephens. (sac) (Entered: 02/06/2002)
01/18/2002	28	ORDER, Shives, et al. v. Banc of America Securities, et al., (01 Civ. 4956) was

		<p>improperly consolidated into the... lead case... that the following individuals and entities are to be removed from the Corvis consolidated docket (01 Civ. 3857): Consolidated Defendants to be removed: Fleetboston Robertson Stephens, Inc., Lehman Brothers, Inc., Merrill Lynch, Pierce, Fenner &amp; Smith Inc., Banc of America Securities LLC, Bancboston Robertson Stephens, Inc., Bear Stearns &amp; Co., Inc., BT Alex Brown, Inc., Chase Securities, Inc., CIBC World Markets, Credit Suisse First Boston Corp., Dain Rausher, Inc., Deutsche Bank Securities, Inc., E Offering Corp., Goldman Sachs &amp; Co., Hambrecht &amp; Quist, LLC, JP Morgan &amp; Co., JP Morgan Securities, Morgan Stanley Dean Witter &amp; Co., Inc., Robert Flemming, Inc., Salomon Smith Barney, Inc., Ariba, Inc., Robert C. Kagle, John B. Mumford, Keith J. Krach, Paul Hegarty, Edwar S. Kinsey, Autoweb.com, Inc., Dean Debiase, Samuel Hedgepeth, Inc., Avici Systems, Inc., Surya Panditi, Paul Bruaneis, B2B Internet Holdrs, Ahmass Fakahany, John Steffens, Stanley E. O'Neal, George A. Schieren, Calico Commerce, Inc., Alan Nauman, Arthur Knapp, Digimarc Corp., Bruce Davis, Georffrey Rhoads, E.K. Ranjit, Philip Monego, Sr., Brian Grossi, John Tayson, DoubleClick, Inc., Kevin O'Connor, Kevin Ryan, Dwight Merriman, Stephen Collins, Internet Capital Group, Inc., Walter Buckley, III, Robert Keith, Jr., Kenneth Fox, David Gathman, Julian Brodsky, Michael Forgash, Thomas Gerrity, Scott Gould, Intertrust Technologies Corp., Victor Shear, David Chance, Peter Van Cuylenberg, Edmund Fish, Erwin Lenowitz, David Van Wie, Bruce Scheps, I. Robert Greene, Peter Labonte, Lennert Leader, Milton Pappas, Herbert Skeete, Netzero, Inc., Mark Goldston, Charles Hilliard, Ronald Burr, Planetrx.com, Inc., William Razzouk, Steve Valenzuela, David Beirne, Michael Mortiz, Christo Cotsakas, Priceline.com, Inc., Richard Braddock, Jay Walker, Paul Francis, Daniel H. Schulman, Rediff.com India, Ltd., Ajit Balakrishanan, Richard Li, Nitin Gupta, Rajiv Warriar, Red Hat, Inc., Robert Young, Matthew Szulik, Marc Ewing, Stamps.com, Inc., John Payne, John Lavalley, Thomas Bruggere, Mohan Ananda, David Bohnnett, Jeffrey Brown, Thomas Clancy, G. Bradford Jones, Marvin Runyon, Loren Smith, Carolyn Ticknor, VA Linux Systems, Inc., Larry Augustin and Todd Schull. Consolidated Plaintiffs to be removed: Demetrios Petratos, Deming Zhou, Bert Zauderer, Glenn Kerr, Robert Hans Reihl, Heinz Wahl, Bruce J. Jiorle, Mark Sculnick, Susan Katz, Anthony Voto, Estelle L. Augustine, Don K. Burris, Rachesl Schwartz, Roderick Lau, Raymond Litwin, Joe Braswell, Buddy Dukeman Eileen Dukeman, Anupkumar Bhasin, Richard L. Anderson, Raymond Lance Huffman and ANita Budich. Credit Suisse First Boston Corp. shall remain as a named defendant in the Corvis Consolidated docket, case number 01 Civ. 3857, notwithstanding its removal as a consolidated defendant pursuant to this Order... ( signed by Judge Shira A. Scheindlin ); Copies mailed. (dcap) (Entered: 06/17/2002)</p>
04/19/2002		<p>OMNIBUS ORDER, Saswata Basu is hereby appointed as lead plttf and his selection of the Executive Committee to serve as Lead Counsel is approved. All other motions to be appointed lead plttf and for approval of lead counsel are hereby denied. ( signed by Judge Shira A. Scheindlin ); Copies mailed. (orig. doc. filed in 21 mc 92, doc. #4319) (sac) (Entered: 05/28/2002)</p>
04/19/2002	29	<p>AMENDED COMPLAINT by Saswata Basu, Lance Huff, Julia Eastland, Leland Galt, Sherwood Goozee, Sean Rooney, Edward Trotter amending [1-1] complaint ; Summons issued. (ac) Modified on 06/17/2002 (Entered: 06/13/2002)</p>
04/19/2002		<p>MASTER ALLEGATIONS by Saswata Basu, Lance Huff, Edward Trotter, Sean</p>

		Rooney, Sherwood Goozee, Leland Galt, Julia Eastland RE: Amended Complaint, doc. # 29, Filed on April 19, 2002. (ac) (Entered: 07/30/2002)
05/30/2002	30	AFFIDAVIT OF SERVICE of S&C as to Corvis Corp. IPO by Kim Larsen, general counsel on 5/2/02. (sac) (Entered: 07/25/2002)
06/25/2002	31	AFFIDAVIT OF SERVICE of S&C as to Credit Suisse First by Todd R. Matthews, Legal Asst, Legal & Compliance Dept., on 6/24/02. (sac) (Entered: 07/29/2002)
10/15/2002		(UNSIGNED) STIPULATION OF DISMISSAL; this action purs. to Stipulation and Order entered in 21 mc 92, doc. #5059, hereby dismisses without prejudice and without costs as to defts David R. Huber, Timothy C. Dec, Frank Bonsal, Vinod Khosla, Frank M. Drendel, Joseph R. Hardiman and Anne H. Stuart. (Orig. filed and signed by Judge Scheindlin in 21 mc 92.) (sac) (Entered: 03/07/2003)
02/28/2003	32	STIPULATION AND ORDER OF SUBSTITUTION OF ATTY AND NOTICE OF APPEARANCE; substituting Cadwalader, Wickersham & Taft (Jonathan D. Polkes appearing) in place of Brobeck, Phleger & Harrison LLP (Kenneth J. King appearing) as attys for deft Banc of America Securities LLC in this action. ( signed by Judge Shira A. Scheindlin ) (sac) (Entered: 03/31/2003)
04/04/2003	33	NOTICE of Firm Registration as an LLP and Name Change by Lehman Brothers, Inc. (sac) (Entered: 04/07/2003)
07/21/2003	34	ANSWER by Lehman Brothers, Inc to [29-1] amended complaint. (Attorney Moses Silverman from the Paul, Weiss, Rifkind, Wharton & Garrison, LLP). (sac) (Entered: 08/07/2003)
07/21/2003	35	ANSWER by Robertson Stephens to [29-1] amended complaint. (Attorney Brendan Joseph Dowd from the O'Melveny & Myers, LLP)... rec'd in the night deposit box on 7/21/03 at 7:16 p.m. (sac) (Entered: 08/19/2003)
07/22/2003	36	ANSWER by Merrill Lynch, Pierc to [29-1] amended complaint (Attorney Mark Holland from the Firm: Clifford Chance US, LLP). (sac) (Entered: 09/16/2003)
08/13/2003	37	ANSWER to Consolidated Amended Class Action Complaint. Document filed by Credit Suisse First Boston Corp.. Related document: [29] Amended Complaint filed by Saswata Basu, Lance Huff, Edward Trotter, Julia Eastland, Leland Galt, Sherwood Goozee, Sean Rooney.(sac, ) (Entered: 03/26/2004)
04/21/2004		MEMORANDUM OF LAW in Opposition to Plaintiffs' Motion for Class Certification. Counsel for Credit Suisse First Boston LLC, and on behalf of Underwriter Defendants: Robertson Stephens, Inc.; Merrill Lynch & Co., Inc. and Merrill Lynch Pierce, Fenner & Smith Inc.; and Lehman Brothers, Inc.. Original Document filed in 21MC92, Doc#5286. (sac, ) (Entered: 04/26/2004)
04/21/2004		EXPERT REPORT Of Professor Maureen O'Hara in the matter of VA Linux and Corvis Corp. Original Document filed in 21MC92, Doc#5301. (sac, ) (Entered: 04/26/2004)
05/19/2004		REPORT of Bradford Cornell. This Document Relates to: 01 Civ. 242; 01 Civ. 3857; 01 Civ. 6001; 01 Civ. 7048; 01 Civ. 8404; 01 Civ. 9417. Original Document filed in 21MC92, Doc.#5320. (sac, ) (Entered: 06/02/2004)

05/19/2004		SUR-REPLY MEMORANDUM OF LAW in Opposition to Plaintiffs' Motion for Class Certification. Document filed by Goldman, Sachs & Company. This Document Relates to: 01 Civ. 242; 01 Civ. 3857; 01 Civ. 6001; 01 Civ. 7048; 01 Civ. 8404; 01 Civ. 9417. Original Document filed in 21MC92, Doc.#5321. (sac, ) (Entered: 06/02/2004)
07/21/2004		THIS DOCUMENT RELATES TO: 01Civ.3857; 01Civ.8404; 01Civ.7048; 01Civ.9417; 01Civ.6001; 01Civ.242... NOTICE of of Filing of Underwriter Defendants' Letter Brief pursuant to Order of 6/21/04. Original Document filed in 21 Mc 92, Doc#5347.(sac, ) (Entered: 07/23/2004)
09/08/2004		Original Document filed in 21mc92, Doc#5362... ORDER; accordingly, the plaintiffs are directed to submit a proposed trial plan by close of business on 9/15. The submission of this plan is not an additional memorandum of law and should not be viewed as an excuse to submit an additional memorandum of law. Defendants may respond to the proposed trial plan, in a letter form, not to exceed four single-spaced pages. If defendants choose to submit such a letter, it must be served no later than 9/22/04. Any reply, limited to the identical length, must be submitted no later than 9/27. (Signed by Judge Shira A. Scheindlin on 9/7/04) (sac,... This Document Relates to: 01Civ.3857; 01Civ.8404; 01Civ.7048; 01Civ.9417; 01Civ.6001; 01Civ.242... (Entered: 09/14/2004)
09/23/2004		NOTICE OF FILING: that pursuant to the Court's Order, dated 9/7/04, the Underwriter Defendants in this action hereby submit for filing the letter to the Court from Gandolfo V. DiBlasi dated 9/22/04. This Document Relates to: 01cv3857; 01cv8404; 01cv7048; 01cv9417; 01cv6001; 01cv242. Original Document filed in 21 MC 92, Doc#5365. (sac, ) (Entered: 09/30/2004)
09/28/2004		NOTICE OF FILING: pursuant to this Court's Order dated 9/7/04, Plaintiffs in this action hereby submit for filing, Plaintiffs' Letter Brief, in reply to Underwriter Defendants' 9/22/04 response to Plaintiffs' Submission to the Court's 9/7/04 Order (the "Trial Plan"). This Document Relates to: 01cv3857; 01cv8404; 01cv7048; 01cv9417; 01cv6001; 01cv242. Original Document filed in 21 MC 92, Doc#5366.(sac, ) (Entered: 09/30/2004)
10/13/2004		OPINION AND ORDER #90768; accordingly, plaintiffs' motion for class certification is granted in part and denied in part for each of the six focus cases: 01cv3857; 01cv8404; 01cv7048; 01cv9417; 01cv6001; 01cv242. Plaintiffs' Exchange Act classes are certified to the extent they include investors who acquired shares between the date of the IPO and 12/6/00 and who satisfy the Court's revised class definition. Plaintiffs' section 11 classes for Corvis, Engage, Firepond and VA Linux are certified as to all investors that satisfy the revised class definition and acquired shares before unregistered shares entered the market, and sold those shares for a loss at prices below the offering price. All of plaintiffs' proposed class representatives except Pappas are suitable to prosecute the Exchange Act claims. Huff, Rooney, Pappas, the Collinses, Zhen, Zitto, Budich and Zagoda are appropriate class representatives for their respective section 11 classes. Because plaintiffs have proposed no suitable class representatives for their iXL or Sycamore section 11 classes, those classes cannot be certified. (Signed by Judge Shira A. Scheindlin on 10/13/04)... Original document filed in 21mc92, Doc#5370. (sac, ) (Entered: 10/13/2004)

10/13/2004		<p>OPINION AND ORDER #90768; accordingly, plaintiffs' motion for class certification is granted in part and denied in part for each of the six focus cases: 01cv3857; 01cv8404; 01cv7048; 01cv9417; 01cv6001; 01cv242. Plaintiffs' Exchange Act classes are certified to the extent they include investors who acquired shares between the date of the IPO and 12/6/00 and who satisfy the Court's revised class definition. Plaintiffs' section 11 classes for Corvis, Engage, Firepond and VA Linux are certified as to all investors that satisfy the revised class definition and acquired shares before unregistered shares entered the market, and sold those shares for a loss at prices below the offering price. All of plaintiffs' proposed class representatives except Pappas are suitable to prosecute the Exchange Act claims. Huff, Rooney, Pappas, the Collinses, Zhen, Zitto, Budich and Zagoda are appropriate class representatives for their respective section 11 classes. Because plaintiffs have proposed no suitable class representatives for their iXL or Sycamore section 11 classes, those classes cannot be certified. (Signed by Judge Shira A. Scheindlin on 10/13/04)... ORIGINAL DOCUMENT FILED IN 21MC92, DOC#5370.(sac, ) (Entered: 10/27/2004)</p>
07/06/2005		<p>APPEAL FILING FEE PAID, \$255.00 Receipt # E 548222. For 23F motion filed in USCA, accepted as appeal. (pr, ) (Entered: 07/06/2005)</p>
09/23/2005	38	<p>Non-Appeal Record Sent to USCA (Index). Notice that the Original index to the record on Non-Appeal USCA Case Number 05-3349, 3 Copies of the index, Certified Clerk Certificate and Certified Docket Sheet were transmitted to the U.S. Court of Appeals. (nd, ) (Entered: 09/26/2005)</p>
07/19/2006	39	<p>NOTICE OF APPEARANCE by Timothy Chorvat on behalf of Corvis Corporation (djc, ) (Entered: 07/25/2006)</p>

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