

**U.S. District Court**  
**Northern District of Alabama (Southern)**  
CIVIL DOCKET FOR CASE #: 2:01-cv-00193-KOB

Whitman, et al v. J C Bradford & Co, et al  
Assigned to: Judge Karon O Bowdre  
Demand: \$0  
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 01/18/2001  
Jury Demand: Plaintiff  
Nature of Suit: 850  
Securities/Commodities  
Jurisdiction: Federal Question

**Plaintiff**

**Hugh L Whitman**

*suing on behalf of himself and all persons  
similarly situated*

represented by **David C Johnson**

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**Plaintiff**

**Ann M Whitman**  
*suing on behalf of herself and all persons  
similarly situated*

represented by **David C Johnson**  
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**J Michael Rediker**  
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**Thomas L Krebs**  
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V.

**Defendant**

**J C Bradford & Co**  
*a limited liability company*

represented by **John R Chiles**  
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**Defendant**

**Paine Webber Group Inc**  
*a corporation*

**Defendant**

**Paine Webber Inc**  
*a corporation*

represented by **John R Chiles**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Defendant**

**W Bishop Kelley, Jr**  
*an individual*

represented by **David R Donaldson**  
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<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
01/18/2001	<a href="#"><u>1</u></a>	COMPLAINT filed, amount paid \$ 150.0, receipt # 200 160273 (KAM) (Entered: 01/19/2001)

01/18/2001	1	REQUEST of plaintiff for service by certified mail filed (within the body of the complaint) (KAM) (Entered: 01/19/2001)
01/18/2001		SUMMONS and complaint issued mailed certified mail (KAM) (Entered: 01/19/2001)
01/23/2001	<a href="#">2</a>	MOTION by defendant J C Bradford & Co, defendant Paine Webber Group, defendant Paine Webber Inc to consolidate cases with CV 01-C-227-S filed cs (KAM) (Entered: 01/24/2001)
01/25/2001	3	SUMMONS and complaint returned executed: upon defendant J C Bradford & Co on 1/22/01 filed (KAM) (Entered: 01/26/2001)
01/25/2001	<a href="#">4</a>	SUMMONS and complaint returned executed: upon defendant Paine Webber Inc on 1/24/01 filed (KAM) (Entered: 01/26/2001)
01/26/2001	5	OPPOSITION by plaintiff Hugh L Whitman, plaintiff Ann M Whitman to motion to consolidate cases with CV 01-C-227-S [2-1] filed cs (KAM) (Entered: 01/29/2001)
01/30/2001	<a href="#">6</a>	ORDER that any opposition to the motion to consolidate is due by 3/2/01 filed ( by Judge Sharon L. Blackburn ) cm (KAM) (Entered: 01/30/2001)
01/30/2001	7	NOTICE of appearance for defendant J C Bradford & Co by John R Chiles, Wilson F Green filed cs (KAM) (Entered: 01/31/2001)
01/31/2001	8	OPPOSITION by plaintiff Hugh L Whitman, plaintiff Ann M Whitman to motion to consolidate cases with CV 01-C-227-S [2-1] filed cs (KAM) (Entered: 01/31/2001)
02/01/2001	9	STIPULATION of parties extending time for JC Bradford to file an answer filed (KAM) (Entered: 02/02/2001)
02/02/2001	10	NOTICE of appearance for defendant J C Bradford & Co by Paul P Bolus filed cs (KAM) (Entered: 02/05/2001)
02/12/2001	11	SUMMONS and complaint returned executed: upon defendant Paine Webber Group on 2/6/01 filed (KAM) (Entered: 02/14/2001)
02/15/2001	<a href="#">12</a>	ANSWER by defendant W Bishop Kelley Jr w/appear by atty(s) David R Donaldson, Tammy McClendon Stokes filed cs (KAM) (Entered: 02/15/2001)
03/02/2001	14	STIPULATION of parties to extend time to ander until 3/30/01 for JC Bradford filed (KAM) (Entered: 03/06/2001)
03/05/2001	<a href="#">13</a>	2 - ORDER finding the motion to consolidate cases with CV 01-C-227-S [2-1] moot ( by Judge Sharon L. Blackburn ) entered cm (KAM) (Entered: 03/05/2001)
03/26/2001	<a href="#">15</a>	MOTION by defendant J C Bradford & Co to extend time to answer by 30 days filed cs (KAM) (Entered: 03/26/2001)
03/28/2001	<a href="#">16</a>	15 - ORDER granting motion to extend time to answer by 30 days [15-1] ( by Judge Sharon L. Blackburn ) entered cm (KAM) (Entered: 03/28/2001)
04/30/2001	<a href="#">17</a>	MOTION by defendant J C Bradford & Co, defendant Paine Webber Group, defendant Paine Webber Inc to extend time to answer until 6/1/01 filed cs (KAM)

		(Entered: 05/01/2001)
05/14/2001	<a href="#">18</a>	17 - ORDER granting motion to extend time to answer until 6/1/01 [17-1] ( by Judge Sharon L. Blackburn ) entered cm (KAM) (Entered: 05/14/2001)
06/05/2001	19	MOTION by defendant J C Bradford & Co, defendant Paine Webber Group, defendant Paine Webber Inc to extend time to answer until 7/1/01 filed cs (KAM) (Entered: 06/06/2001)
06/20/2001	<a href="#">20</a>	19 - ORDER granting motion to extend time to answer until 7/1/01 [19-1] ( by Judge Sharon L. Blackburn ) entered cm (KAM) (Entered: 06/20/2001)
06/25/2001	<a href="#">21</a>	MOTION by defendant J C Bradford & Co, defendant Paine Webber Inc to withdraw attorney Kenneth O. Simon filed cs (MAA) (Entered: 06/25/2001)
06/25/2001	<a href="#">22</a>	MOTION by defendant J C Bradford & Co, defendant Paine Webber Group, defendant Paine Webber Inc to withdraw attorney Kenneth O. Simon filed cs (KAM) (Entered: 06/26/2001)
07/02/2001	23	NOTICE of appearance for plas by David C Johnson filed cs (DWC) (Entered: 07/03/2001)
07/17/2001	<a href="#">24</a>	CONSENT MOTION by dfts J C Bradford & Co, Paine Webber Inc, Paine Webber Group to extend time until 8/15/01 to file a responsive pleading filed cs (DWC) (Entered: 07/18/2001)
08/03/2001	<a href="#">25</a>	21 - ORDER granting motion to withdraw attorney Kenneth O. Simon [21-1] as counsel for dfts ( by Judge Sharon L. Blackburn ) entered cm (DWC) (Entered: 08/03/2001)
08/03/2001		DOCKET modification (utility event) terminating motion to withdraw attorney Kenneth O. Simon [22-1] at the direction of the court [correcting docketing error; motion docketed in wrong case #] (DWC) Modified on 08/03/2001 (Entered: 08/03/2001)
08/03/2001	<a href="#">26</a>	24 - ORDER granting motion to extend time until 8/15/01 to file a responsive pleading [24-1] ( by Judge Sharon L. Blackburn ) entered cm (DWC) (Entered: 08/03/2001)
08/16/2001	<a href="#">27</a>	CONSENT MOTION by dfts J C Bradford & Co, Paine Webber Group, Paine Webber Inc to extend time until 9/28/01 to file a responsive pleading filed cs (DWC) (Entered: 08/16/2001)
08/20/2001	<a href="#">28</a>	27 - ORDER granting motion to extend time until 9/28/01 to file a responsive pleading [27-1] ( by Judge Sharon L. Blackburn ) entered cm (DWC) (Entered: 08/20/2001)
09/28/2001	<a href="#">29</a>	MOTION by defendant J C Bradford & Co to extend time to answer until 11/12/01 filed cs (KAM) (Entered: 10/01/2001)
10/03/2001	<a href="#">30</a>	29 - ORDER granting motion to extend time to answer until 11/12/01 [29-1] ( by Judge Sharon L. Blackburn ) entered cm (KAM) (Entered: 10/03/2001)
11/20/2001	<a href="#">31</a>	MOTION by defendant J C Bradford & Co, defendant Paine Webber Group, defendant Paine Webber Inc to extend time to answer until 12/27/01 filed cs

		(KAM) (Entered: 11/21/2001)
11/29/2001	<a href="#">32</a>	ORDER granting motion to extend time to answer until 12/27/01 [31-1] filed ( by Judge Sharon L. Blackburn ) cm (KAM) (Entered: 11/29/2001)
11/30/2001	<a href="#">33</a>	NOTICE of reassignment Case reassigned to Judge Karon O. Bowdre cm (KAM) (Entered: 11/30/2001)
12/05/2001	<a href="#">34</a>	ORDER that this case is SET for Status Conference ; Status Hearing to be held Mon 1/14/02 @ 10:30am in Chambers 5th Flr, Hugo L Black US Courthouse, Bham AL; further ORDERED that parties submit a JOINT STATUS REPORT on or before 01/11/02 @ 10:30am as set out in this Order filed ( by Judge Karon O. Bowdre ) cm (SRJ) (Entered: 12/05/2001)
12/12/2001	<a href="#">35</a>	SUGGESTION of BANKRUPTCY as to dft Weddington Bishop Kelley Jr w/copy of petition attached cs (SRJ) (Entered: 12/12/2001)
01/10/2002	<a href="#">36</a>	NOTICE of COMPLIANCE (Joint Status Report) by parties pursuant to Court's Order [34-2] filed cs (SRJ) Modified on 01/24/2002 (Entered: 01/24/2002)
01/11/2002	<a href="#">37</a>	ORDER that Status Conference presently set for 01/14/02 @ 10:30am has been CONTINUED and will be reset in thirty (30) days filed ( by Judge Karon O. Bowdre ) cm (SRJ) Modified on 01/24/2002 (Entered: 01/11/2002)
03/27/2002	<a href="#">38</a>	STIPULATION of parties (contained in order of dismissal - see next entry) filed cs (KWC) Modified on 03/27/2002 (Entered: 03/27/2002)
03/27/2002	38	Stipulation and ORDER dismissing case without prejudice PaineWebber to issue notice in form of att Exh 1; filed ( by Judge Karon O. Bowdre ) cm (KWC) (Entered: 03/27/2002)
04/02/2002	39	NOTICE of compliance by dfts with final judgment w/ att afdvt of Nancy S Phillips [38-2] filed cs (KWC) (Entered: 04/03/2002)

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