

**U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:01-cv-03225-SAS**

In Re: Marketwatch.com IPO, et al v. Marketwatch.com Inc., et al
Assigned to: Judge Shira A. Scheindlin
Demand: \$0
Member cases:

Date Filed: 04/17/2001
Jury Demand: Plaintiff
Nature of Suit: 850
Securities/Commodities
Jurisdiction: Federal Question

[1:01-cv-03397-SAS](#)

[1:01-cv-03829-SAS](#)

[1:01-cv-03546-SAS](#)

[1:01-cv-04265-SAS](#)

Related Case: [1:21-mc-00092-SAS](#)
Cause: 15:77 Securities Fraud

Plaintiff

Laurence Bonilla

*on behalf of himself and all others
similarly situated*

TERMINATED: 04/19/2002

represented by **Christopher Lovell**

Lovell & Stewart, L.L.P.
500 Fifth Avenue
New York, NY 10010
(212) 608-1900

TERMINATED: 04/19/2002

LEAD ATTORNEY

Saul Roffe

Sirota & Sirota, L.L.P.
110 Wall Street
New York, NY 10005
(212) 425-9055

TERMINATED: 04/19/2002

LEAD ATTORNEY

Plaintiff

Major W. Sherwin

represented by **Melvyn I. Weiss**

Milberg Weiss Bershad & Schulman LLP
(NYC)
One Pennsylvania Plaza
New York, NY 10119
212 594 5300

Fax: 212 868 1229

Email: mweiss@milbergweiss.com

LEAD ATTORNEY

Plaintiff

B. W. Magid

represented by **Melvyn I. Weiss**
(See above for address)
LEAD ATTORNEY

V.

Defendant

Marketwatch.com, Inc.

represented by **Brooke Bass**
Cadwalader, Wickersham & Taft LLP
One World Financial Center
New York, NY 10281
(212) 504-6000
LEAD ATTORNEY

Ronit Setton

Cadwalader, Wickersham & Taft LLP
(NYC)
One World Financial Center
New York, NY 10281
212-504-6000 x6130
Fax: 212-504-6591
Email: ronit.setton@cwt.com
LEAD ATTORNEY

Defendant

Larry S. Kramer

Defendant

James A. DePalma
TERMINATED: 10/15/2002

Defendant

Alan J. Hirschfield
TERMINATED: 10/15/2002

Defendant

Allan R. Tessler
TERMINATED: 10/15/2002

Defendant

Mark F. Imperiale
TERMINATED: 10/15/2002

Defendant

Andrew Heyward
TERMINATED: 10/15/2002

Defendant

Michael H. Jordan
TERMINATED: 10/15/2002

Defendant

Citigroup Global Markets, Inc.

Defendant

BancBoston Robertson Stephens, Inc.

Defendant

Credit Suisse First Boston Corporation

Defendant

The Goldman Sachs Group, Inc.

represented by **David Maxwell Rein**
Sullivan & Cromwell LLP
125 Broad St.
New York, NY 10004
212-558-4629
Fax: (212)-558-3588
Email: reind@sullcrom.com
LEAD ATTORNEY

Gandolfo Vincent DiBlasi
Sullivan and Cromwell, LLP(NYC)
125 Broad Street
New York, NY 10004
(212)558-3836
Fax: (212)-558-3588
Email: diblasig@sullcrom.com
LEAD ATTORNEY

John Louis Hardiman
Sullivan & Cromwell, LLP(NYC)
125 Broad Street
New York, NY 10004

(212)-558-4070
Fax: (212)-558-3588
Email: hardimanj@sullcrom.com
LEAD ATTORNEY

Penny Shane
Sullivan and Cromwell, LLP(NYC)
125 Broad Street
New York, NY 10004
(212)558-4000
LEAD ATTORNEY

Penny Shane
Sullivan & Cromwell
125 Broad Street
New York, NY 10004-2498
(212) 558-4000
LEAD ATTORNEY

Defendant

**Merrill Lynch, Pierce, Fenner & Smith,
Incorporated**

represented by **Mark Holland**
Clifford Chance US LLP
200 Park Avenue
New York, NY 10166
(212) 878-8000
LEAD ATTORNEY

Defendant

**Morgan Stanley Dean Witter & Co.,
Incorporated**

represented by **Andrew Brian Clubok**
Kirkland and Ellis LLP
153 East 53rd Street
New York, NY 10022
(202) 879-5173
Fax: 202-879-5200
Email: aclubok@kirkland.com
LEAD ATTORNEY

Howard Fetner
Kirkland & Ellis
655 Fifteenth Street, NW
Washington, DC 20005
202-879-5000
LEAD ATTORNEY

Defendant

Robertson Stephens, Inc.

represented by **Andrew Jay Frackman**
O'Melveny & Myers LLP

7 Times Square
New York, NY 10036
212-326-2000
Fax: 212-326-2061
Email: afrackman@omm.com
LEAD ATTORNEY

Brendan Joseph Dowd
O'Melveny & Myers LLP
7 Times Square
New York, NY 10036
212-326-2000
Fax: 212-326-2061
Email: bdowd@omm.com
LEAD ATTORNEY

Plaintiff

Nicholas J. Anderson
Lead Pltff

represented by **Andrew L. Barroway**
Schiffrin & Barroway, L.L.P.
Three Bala Plaza East
Bala Cynwyd, PA 19004
(610) 667-7706
LEAD ATTORNEY

Marc A. Topaz
Schiffrin & Barroway, L.L.P.
Three Bala Plaza East
Suite 500
Bala Cynwyd, PA 19004
(610) 667-7706
LEAD ATTORNEY

Melvyn I. Weiss
(See above for address)
LEAD ATTORNEY

Peter Edward Seidman
Milberg Weiss Bershad & Schulman LLP
(NYC)
One Pennsylvania Plaza
New York, NY 10119
(212) 613-5625
Fax: (212) 868-1229
Email: pseidman@milbergweiss.com
LEAD ATTORNEY

Samuel Howard Rudman
Coughlin, Stoia, Geller, Rudman &
Robbins, LLP(LIs)

58 South Service Road
Suite 200
Melville, NY 11747
631-367-7100
Fax: 631-367-1173
Email: srudman@csgrr.com
LEAD ATTORNEY

Steven G. Schulman
Milberg Weiss Bershad & Schulman LLP
(NYC)
One Pennsylvania Plaza
New York, NY 10119
212-946-9356
Fax: 212-273-4406
Email: sschulman@milbergweiss.com
LEAD ATTORNEY

Plaintiff

Bettie J. Anderson
Lead Pltff

represented by **Andrew L. Barroway**
(See above for address)
LEAD ATTORNEY

Marc A. Topaz
(See above for address)
LEAD ATTORNEY

Melvyn I. Weiss
(See above for address)
LEAD ATTORNEY

Peter Edward Seidman
(See above for address)
LEAD ATTORNEY

Samuel Howard Rudman
(See above for address)
LEAD ATTORNEY

Steven G. Schulman
(See above for address)
LEAD ATTORNEY

V.

Consolidated Plaintiff

Murray L. Waldron

represented by **Andrew L. Barroway**
(See above for address)

LEAD ATTORNEY

Marc A. Topaz
(See above for address)
LEAD ATTORNEY

Melvyn I. Weiss
(See above for address)
LEAD ATTORNEY

Peter Edward Seidman
(See above for address)
LEAD ATTORNEY

Samuel Howard Rudman
(See above for address)
LEAD ATTORNEY

Steven G. Schulman
(See above for address)
LEAD ATTORNEY

Consolidated Plaintiff

John Minch
TERMINATED: 04/19/2002

represented by **Andrew L. Barroway**
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Marc A. Topaz
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Melvyn I. Weiss
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Peter Edward Seidman
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Samuel Howard Rudman
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Steven G. Schulman

(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Consolidated Plaintiff

Gary Kogan

TERMINATED: 04/19/2002

represented by **Charles J. Piven**

The World Trade Center-Baltimore
401 East Pratt Street
Suite 2525
Baltimore, MD 21202
(410) 332-0030
TERMINATED: 04/19/2002
LEAD ATTORNEY

Frederick Taylor Isquith, Sr

Wolf, Haldenstein, Adler, Freeman &
Herz, L.L.P.
270 Madison Avenue
New York, NY 10016
(212) 545-4600
Email: isquith@whafh.com
TERMINATED: 04/19/2002
LEAD ATTORNEY

Gregory Mark Nespole

Wolf Haldenstein Adler Freeman & Herz
LLP
270 Madison Avenue
New York, NY 10017
212-545-4657
Fax: (212) 545-4693
Email: nespole@whafh.com
TERMINATED: 04/19/2002
LEAD ATTORNEY

Marc S. Henzel

Law Offices of Marc S. Henzel
210 West Washington Square
Third Floor
Philadelphia, PA 19106
(215) 625-9999
TERMINATED: 04/19/2002
LEAD ATTORNEY

Thomas H. Burt

Wolf, Haldenstein, Adler, Freeman &
Herz, L.L.P.
270 Madison Avenue
New York, NY 10016

(212) 545-4600
TERMINATED: 04/19/2002
LEAD ATTORNEY

Consolidated Plaintiff

Jeff Eltinge
TERMINATED: 04/19/2002

represented by **Charles J. Piven**
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Frederick Taylor Isquith, Sr
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Gregory Mark Nespole
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Marc S. Henzel
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Thomas H. Burt
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Consolidated Plaintiff

Heidi Hertz
TERMINATED: 04/19/2002

represented by **Mel E. Lifshitz**
Bernstein, Liebhard & Lifshitz, L.L.P.
10 East 40th Street
New York, NY 10016
(212) 779-1414
Email: lifshitz@bernlieb.com
TERMINATED: 04/19/2002
LEAD ATTORNEY

Consolidated Plaintiff

Denis Fazioli
TERMINATED: 04/19/2002

represented by **Gregory Mark Nespole**
(See above for address)
TERMINATED: 04/19/2002
LEAD ATTORNEY

Consolidated Plaintiff

Phillip Walsky

TERMINATED: 04/19/2002

represented by **David R. Buchanan**

Seeger Weiss LLP

One William Street, 10th Floor

New York, NY 10004

(212)-584-0700

Fax: (212)-584-0799

Email: dbuchanan@seegerweiss.com

TERMINATED: 04/19/2002

LEAD ATTORNEY

Melvyn I. Weiss

(See above for address)

TERMINATED: 04/19/2002

LEAD ATTORNEY

Peter Edward Seidman

(See above for address)

TERMINATED: 04/19/2002

LEAD ATTORNEY

Samuel Howard Rudman

(See above for address)

TERMINATED: 04/19/2002

LEAD ATTORNEY

Stephen A. Weiss

Seeger, Weiss, L.L.P.

One William Street

New York, NY 10004

(212) 584-0700

TERMINATED: 04/19/2002

LEAD ATTORNEY

Steven G. Schulman

(See above for address)

TERMINATED: 04/19/2002

LEAD ATTORNEY

Consolidated Plaintiff

William Denson

TERMINATED: 04/19/2002

represented by **Frederick Taylor Isquith, Sr**

(See above for address)

TERMINATED: 04/19/2002

LEAD ATTORNEY

Gregory Mark Nespole

(See above for address)

TERMINATED: 04/19/2002

LEAD ATTORNEY

V.

Consolidated Defendant

Bear, Stearns & Co., Inc.

represented by **Randy M. Mastro**

Gibson, Dunn & Crutcher LLP (NYC)
200 Park Avenue, 48th Floor
New York, NY 10166
212-351-3825
Fax: 212-351-5219
Email: rmastro@gibsondunn.com
LEAD ATTORNEY

Consolidated Defendant

Lawrence Kramer

TERMINATED: 10/15/2002

Consolidated Defendant

Peter J. Bardwick

TERMINATED: 10/15/2002

Date Filed	#	clear	Docket Text
04/19/1992			OMNIBUS ORDER, Nicholas and Bettie Anderson are hereby appointed as lead plttf and their selection of the Executive Committee to serve as Lead Counsel is approved. All other motions to be appointed lead plttf and for approval of lead counsel are hereby denied. (signed by Judge Shira A. Scheindlin); Copies mailed. (orig. doc. filed in 21 mc 92, doc. #4319) (sac) (Entered: 05/31/2002)
04/17/2001	1		CLASS ACTION COMPLAINT filed. Summons issued and Notice pursuant to 28 U.S.C. 636(c). FILING FEE \$ 150.00 RECEIPT # 403006. (kkc) (Entered: 04/18/2001)
04/17/2001			Magistrate Judge Douglas F. Eaton is so designated. (kkc) (Entered: 04/18/2001)
05/04/2001	2		AFFIDAVIT OF SERVICE of S/C as to Merrill Lynch by Sherrod Johnson on 4/20/01. Answer due on 5/10/01 for Merrill Lynch (cd) (Entered: 05/07/2001)
05/04/2001	2		AFFIDAVIT OF SERVICE of S/C as to Salomon Smith Barney by Angela Lurito-Brown on 4/20/01. Answer due on 5/10/01 for Salomon Smith Barney . (cd) (Entered: 05/07/2001)
05/04/2001	2		AFFIDAVIT OF SERVICE of S/C as to Morgan Stanley Dean by Matthew Tully on 4/20/01. Answer due on 5/10/01 for Morgan Stanley Dean . (cd)

		(Entered: 05/07/2001)
05/04/2001	2	AFFIDAVIT OF SERVICE of S/C as to Goldman Sachs Group by Patricia McGraw on 4/20/01. Answer due on 5/10/01 for Goldman Sachs Group . (cd) (Entered: 05/07/2001)
05/04/2001	2	AFFIDAVIT OF SERVICE of S/C as to Credit Suisse Corp. by Janine Schamper on 4/20/01. Answer due on 5/10/01 for Credit Suisse Corp. . (cd) (Entered: 05/07/2001)
05/09/2001	3	ORDER FOR CONFERNECE PURS. TO RULE 16(b); that this action is scheduled for a conference in accordance with FRCP 16(b) on Friday, 8/3/01 at 12:30 p.m. in Room 23C . (signed by Judge Louis L. Stanton); Copies mailed. (jp) (Entered: 05/10/2001)
05/14/2001	4	STIPULATION and ORDER; that defendants' time to answer, move or otherwise respond to the complaint in this action is extended through and including the later of 60 days after (i) assignment of lead plaintiffs' counsel; or (ii) the filing of an amended complaint in this action . (signed by Judge Louis L. Stanton) (kkc) (Entered: 05/15/2001)
05/17/2001	5	STIPULATION and ORDER; defendants Marketwatch.com, Inc., Larry S. Kramer, James A. DePalma, and Andrew Heyward acknowledge and accept service of the summons and complaint in this action; the last day for defendants Marketwatch.com, Inc., Larry S. Karamer, James A. DePalma and Andrew Heyward to move, answer or otherwise respond to the complaint in thi matter shall be extended to the date 45 days after the later of: 1. appointment of Lead Plaintiff(s) and Lead Counsel purs to 15 U.S.C. section 78z-1(a)(3) and 15 U.S.C. section 78u-4(a)(3); or 2. the filig of a consolidated amended complaint in this action ; (signed by Judge Louis L. Stanton) (djc) Modified on 05/21/2001 (Entered: 05/18/2001)
05/17/2001	6	AFFIDAVIT OF SERVICE of summons and complaint as to Bancboston Robertson by Stephen Austin, office mgr on 4/23/01. Answer due on 5/14/01 for Bancboston Robertson. (lam) Modified on 10/25/2001 (Entered: 05/22/2001)
05/17/2001	6	AFFIDAVIT OF SERVICE of summons and complaint as to Marketwatch.com Inc. by Joan Platt on 4/23/01. Answer due on 5/14/01 for Marketwatch.com Inc. (lam) Modified on 10/25/2001 (Entered: 05/22/2001)
05/17/2001	6	AFFIDAVIT OF SERVICE of summons and complaint as to Larry S. Kramer by mail on 4/28/01. Answer due on 5/18/01 for Larry S. Kramer. (lam) Modified on 10/25/2001 (Entered: 05/22/2001)
05/17/2001	6	AFFIDAVIT OF SERVICE of summons and complaint as to Larry S. Kramer by Joan Platt on 4/23/01. Answer due on 5/14/01 for Larry S. Kramer. (lam) Modified on 10/25/2001 (Entered: 05/22/2001)
05/21/2001	7	STIPULATION and ORDER; that dft. Michael H. Jordan acknowledges and accepts service of the Summons and Complaint in this action ; the last day for dft. to move, answer or otherwise respond to the complaint in this case shall be extended to the date 45 days after the later of: 1). the

		appointment of Lead Plaintiff(s) and Lead Counsel pursuant to 15 U.S.C. section 78z-1(a)(3) and 15 U.S.C. section 78u-4(a)(3); 2). the filing of a consolidated amended complaint in this action . (signed by Judge Louis L. Stanton) (pl) Modified on 10/25/2001 (Entered: 05/22/2001)
05/31/2001	8	RULE 1.9 CERTIFICATE filed by Morgan Stanley Dean. (kkc) (Entered: 06/04/2001)
06/18/2001	9	NOTICE OF MOTION by Nicholas J. Anderson, Bettie J. Anderson, Murray L. Waldron, John Minch for an order purs. to FRCP 42(a) consolidating the following actions 01 cv 3225 01 cv 3397; 01 cv 3546; 01 cv 3829; and 01 cv 4265 ; appointing Nicholas J. Anderson and Bettie J. Anderson, Murray L. Waldron and John Minch as Lead Plaintiff for the Class purs. to Section 27 of the Securities Act of 1933 and Section 21D of the Securities Exchange Act of 1934 ; approving their selection of the law firms of Milberg Weiss Bershad Hynes & Lerach LLP and Schiffrin & Barroway, LLP to serve as Lead Counsel . (ae) Modified on 10/12/2001 (Entered: 06/20/2001)
06/18/2001	10	MEMORANDUM OF LAW by Nicholas J. Anderson, Bettie J. Anderson, Murray L. Waldron, John Minch in support of [9-1] motion for an order purs. to FRCP 42(a) consolidating the following actions 01 cv 3225; 01 cv 3397; 01 cv 3546; 01 cv 3829; and 01 cv 4265, [9-2] motion appointing Nicholas J. Anderson and Bettie J. Anderson, Murray L. Waldron and John Minch as Lead Plaintiff for the Class purs. to Section 27 of the Securities Act of 1933 and Section 21D of the Securities Exchange Act of 1934, [9-3] motion approving their selection of the law firms of Milberg Weiss Bershad Hynes & Lerach LLP and Schiffrin & Barroway, LLP to serve as Lead Counsel. (ae) Modified on 10/12/2001 (Entered: 06/20/2001)
06/18/2001	11	DECLARATION of Samuel H. Rudman by Nicholas J. Anderson, Bettie J. Anderson, Murray L. Waldron, John Minch in support Re: [9-1] motion for an order purs. to FRCP 42(a) consolidating the following actions 01 cv 3225; 01 cv 3397; 01 cv 3546; 01 cv 3829; and 01 cv 4265, [9-2] motion appointing Nicholas J. Anderson and Bettie J. Anderson, Murray L. Waldron and John Minch as Lead Plaintiff for the Class purs. to Section 27 of the Securities Act of 1933 and Section 21D of the Securities Exchange Act of 1934, [9-3] motion approving their selection of the law firms of Milberg Weiss Bershad Hynes & Lerach LLP and Schiffrin & Barroway, LLP to serve as Lead Counsel. (ae) Modified on 10/12/2001 (Entered: 06/20/2001)
06/18/2001	12	NOTICE OF MOTION (FILED ON SERVICE DATE) by Gary Kogan, Jeff Eltinge for an order appointing the Kogan lead plaintiff group to serve as lead plaintiff pro tempore ; for an order approving the lead plaintiff's selection of Wolf Haldenstein Adler Freeman & Herz LLP as lead counsel ; for an order consolidating all related actions such as 01 civ. 3225, 01 civ. 3397, 01 civ. 3546, 01 civ. 3829 and 01 civ. 4265 . No return date. (kw) Modified on 10/25/2001 (Entered: 06/22/2001)
06/18/2001	13	DECLARATION of Thomas H. Burt, Esq. regarding compliance with individual practice rules of Hon. Louis L. Stanton. (kw) Modified on

		10/25/2001 (Entered: 06/22/2001)
06/18/2001	14	DECLARATION of Thomas H. Burt, Esq. by Gary Kogan, Jeff Eltinge in support Re: [12-1] motion for an order appointing the Kogan lead plaintiff group to serve as lead plaintiff pro tempore, [12-2] motion for an order approving the lead plaintiff's selection of Wolf Haldenstein Adler Freeman & Herz LLP as lead counsel, [12-3] motion for an order consolidating all related actions such as 01 civ. 3225, 01 civ. 3397, 01 civ. 3546, 01 civ. 3829 and 01 civ. 4265. (kw) Modified on 10/25/2001 (Entered: 06/22/2001)
06/18/2001	15	MEMORANDUM OF LAW by Gary Kogan, Jeff Eltinge in support of [12-1] motion for an order appointing the Kogan lead plaintiff group to serve as lead plaintiff pro tempore, [12-2] motion for an order approving the lead plaintiff's selection of Wolf Haldenstein Adler Freeman & Herz LLP as lead counsel, [12-3] motion for an order consolidating all related actions such as 01 civ. 3225, 01 civ. 3397, 01 civ. 3546, 01 civ. 3829 and 01 civ. 4265. (kw) Modified on 10/25/2001 (Entered: 06/22/2001)
06/18/2001	16	NOTICE OF MOTION (FILED ON SERVICE DATE) by Laurence Bonilla for an Order to consolidate actions 01cv3225 (LLS), 01cv3397 (AKH), 01cv3546 (VM), 01cv3829 and 01cv4265 into one action for all purposes ; for an Order to appoint class member Laurence Bonilla to serve as lead plaintiff ; for an Order to direct that lead counsel for the class be determined by sealed bid auction . Return date not indicated. Declaration of Christopher J. Gray in support of motion attached. Received in night deposit on 6/18/01 at 11:41 p.m. (kkc) Modified on 10/25/2001 (Entered: 06/22/2001)
06/18/2001	17	MEMORANDUM OF LAW by Laurence Bonilla in support of [16-1] motion for an Order to consolidate actions 01cv3225 (LLS), 01cv3397 (AKH), 01cv3546 (VM), 01cv3829 and 01cv4265 into one action for all purposes, [16-2] motion for an Order to appoint class member Laurence Bonilla to serve as lead plaintiff, [16-3] motion for an Order to direct that lead counsel for the class be determined by sealed bid auction. Received in night deposit on 6/18/01 at 11:39 p.m. (kkc) Modified on 10/25/2001 (Entered: 06/22/2001)
06/20/2001	18	AFFIDAVIT OF SERVICE of Summons and Complaint as to James A. DePalma by personal service on 6/4/01. Answer due on 6/25/01 for James A. DePalma. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18	AFFIDAVIT OF SERVICE of Summons and Complaint as to Alan J. Hirschfield by Pamela M. Sell on 5/31/01. Answer due on 6/20/01 for Alan J. Hirschfield. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18	AFFIDAVIT OF SERVICE of Summons and Complaint as to Alan J. Hirschfield by U.S. Postal Service on 6/9/01. Answer due on 6/29/01 for Alan J. Hirschfield. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18	AFFIDAVIT OF SERVICE of Summons and Complaint as to Allan R. Tessler by Nicky Nelson on 5/29/01. Answer due on 6/18/01 for Allan R. Tessler. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18	AFFIDAVIT OF SERVICE of Summons and Complaint as to Allan R.

			Tessler by U.S. Postal Service on 6/2/01. Answer due on 6/22/01 for Allan R. Tessler. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18		AFFIDAVIT OF SERVICE of Summons and Complaint as to Mark F. Imperiale by Diane Imperiale, wife on 6/2/01. Answer due on 6/22/01 for Mark F. Imperiale. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18		AFFIDAVIT OF SERVICE of Summons and Complaint as to Mark F. Imperiale by U.S. Postal Service on 6/9/01. Answer due on 6/29/01 for Mark F. Imperiale. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18		AFFIDAVIT OF SERVICE of Summons and Complaint as to Michael H. Jordan by Mary Schmitt on 5/30/01. Answer due on 6/19/01 for Michael H. Jordan. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/20/2001	18		AFFIDAVIT OF SERVICE of Summons and Complaint as to Michael H. Jordan by U.S. Postal Service on 6/9/01. Answer due on 6/29/01 for Michael H. Jordan. (tp) Modified on 10/25/2001 (Entered: 06/21/2001)
06/29/2001	19		NOTICE OF MOTION (filed on service date) by Morgan Stanley Dean for Steven G. Bradbury, Andrew B. Clubok, Brant W. Bishop and Elizabeth L. Deeley to appear pro hac vice ; Return date not indicated. Attached is affidavit in support of Howard Fetner, Steven G. Bradbury, Andrew W. Clubok Brant W. Bishop and Elizabeth L. Deeley. (djc) Modified on 10/25/2001 (Entered: 07/11/2001)
07/02/2001	20		MEMORANDUM OF LAW by Laurence Bonilla in opposition to the motions of The Anderson Group and the Kogan Lead Pltff Group for Appointment as Lead Pltff and Designation of their Attys as Lead Counsel. Received in night deposit on 7/2/01 at 11:26 p.m.. (jp) Modified on 10/25/2001 (Entered: 07/06/2001)
07/02/2001	21		AFFIDAVIT of Christopher Gray by Laurence Bonilla in opposition to the motions of the Anderson Group and the Kogan Lead Pltff Group for Appointment as Lead Pltff and Designation of Their Attys as Lead Counsel. Received in night deposit on 7/2/01 at 11:26 p.m. (jp) Modified on 10/25/2001 (Entered: 07/06/2001)
07/05/2001	22		MEMORANDUM IN FURTHER SUPPORT of the motion of the Anderson Group for consolidation, appointment as lead pltff and approval of selection of lead counsel; and in opposition to [16-1] motion for an Order to consolidate actions 01cv3225 (LLS), 01cv3397 (AKH), 01cv3546 (VM), 01cv3829 and 01cv4265 into one action for all purposes, [16-2] motion for an Order to appoint class member Laurence Bonilla to serve as lead plaintiff, [16-3] motion for an Order to direct that lead counsel for the class be determined by sealed bid auction, [9-1] motion for an order purs. to FRCP 42(a) consolidating the following actions 01 cv 3225; 01 cv 3397; 01 cv 3546; cv 3829; and 01 cv 4265, [9-2] motion appointing Nicholas Anderson and Bettie J. Anderson, Murray L. Waldron and John Minch as Lead Plaintiff for the Class purs. to Section 27 the Securities Act of 1933 and Section 21D of the Exchange Act of 1934, [9-3] motion approving their of the law firms of Milberg Weiss Bershad Hynes & Lerach and Schiffrin & Barroway, LLP to serve as Lead Counsel, [12-1] motion for an order

		<p>appointing the Kogan lead plaintiff group to serve as lead plaintiff pro tempore, [12-2] motion for an order approving the lead plaintiff's selection of Wolf Haldenstein Adler Freeman & Herz LLP as lead counsel, [12-3] motion for an order consolidating all related actions such as 01 civ. 3225, 01 civ. 3397, 01 civ. 3546, 01 civ. 3829 and 01 civ. 4265. (Rec'd in night box 7/5/01 9:26 PM) (sn) Modified on 10/25/2001 (Entered: 07/09/2001)</p>
07/10/2001	23	<p>ORDER; in view of the substantial number of cases filed in this Court raising issues similar to those in this action, and the present uncertainty about how the cases should be administered, the [3-1] Order for conference pursuant to Rule 16(b) dated 5/9/01 is vacated until further Order . (signed by Judge Louis L. Stanton); Copies mailed. (kkc) Modified on 10/25/2001 (Entered: 07/10/2001)</p>
07/10/2001	24	<p>MEMORANDUM OF LAW by Laurence Bonilla in support of [16-1] motion for an Order to consolidate actions 01cv3225 (LLS), 01cv3397 (AKH), 01cv3546 (VM), 01cv3829 and 01cv4265 into one action for all purposes, [16-2] motion for an Order to appoint class member Laurence Bonilla to serve as lead plaintiff, [16-3] motion for an Order to direct that lead counsel for the class be determined by sealed bid auction . (pl) Modified on 10/25/2001 (Entered: 07/11/2001)</p>
07/10/2001	25	<p>AFFIDAVIT of Christopher J. Gray by Laurence Bonilla in support of [16-1] motion for an Order to consolidate actions 01cv3225 (LLS), 01cv3397 (AKH), 01cv3546 (VM), 01cv3829 and 01cv4265 into one action for all purposes, [16-2] motion an Order to appoint class member Laurence Bonilla to serve as lead plaintiff, [16-3] motion for an Order to direct lead counsel for the class be determined by sealed bid auction; filed in the night deposit on 7/10/01 at 11:46 (pl) Modified on 10/25/2001 (Entered: 07/11/2001)</p>
07/12/2001	26	<p>REPLY MEMORANDUM in further support re: motion of the Anderson Group for appointment as lead plntf et al (cd) (Entered: 07/13/2001)</p>
07/13/2001		<p>Memo endorsed on motion; granting [25-1] motion for Steven G. Bradbury, Andrew B.Clubok, Brant W. Bishop and Elizabeth L. Deeley to appear pro hac vice. Motion granted, No opposition. (signed by Judge Louis L. Stanton); Copies mailed. Document sent to Attorney Admissions Clerk. (tp) (Entered: 07/16/2001)</p>
08/09/2001	27	<p>Notice of Case Reassignment to Judge Shira A. Scheindlin. Copy of notice mailed to Attorney(s) of record. Pursuant to the Order of Chief Judge Mukasey dated August 8, 2001, the above entitled action is reassigned to the calendar of Judge Shira A. Scheindlin for inclusion in the coordinated pretrial proceedings being conducted by that judge. Two originals of all future documents submitted in this action are to be presented in the Clerk's Office for filing. One original is to be filed in this docket number and one original is to be filed in the miscellaneous case number 21mc92 (SAS). Each shall bear the original assigned judge's () initials after the original docket number. Attached is a copy of Judge Mukasey's ORDER re: coordinating the proceedings before Judge Scheindlin. Also attached is a copy of Judge Scheindlin's ORDER re: set initial PTC 09/07/01 and directing all counsel to comply with judge Scheindlin's requirements.</p>

			[Original Orders docketed in 21mc92 (SAS) as documents numbers 1 and 2] (dcap) Modified on 02/26/2002 (Entered: 08/10/2001)
08/09/2001			Pretrial Conference set at 4:30 9/7/01 before Judge Shira A. Scheindlin. (dcap) (Entered: 08/10/2001)
09/06/2001	28		RULE 1.9 CERTIFICATE filed by Credit Suisse Corp. (ICMSUSER) Modified on 10/25/2001 (Entered: 10/12/2001)
09/06/2001	29		ORDER,... that all cases involving Marketwatch in this IPO Securities Litigation, as set forth in the attached Rider (1:01-cv-03397, 1:01-cv-03546, 1:01-cv-03829 and 1:01-cv-04265), shall be consolidated into case number 01 Civ. 3225. The Clerk of Court is hereby ordered to consolidate any future cases that are designated as related to 01 Civ. 3225 ,... the Clerk of Court is further ordered to close all cases that have been consolidated, subject to re-opening at a later date on proper application by a party ... (signed by Judge Shira A. Scheindlin); Copies mailed. [Original filed in 1:01-cv-03225 Document No. 29. Document Docketed in 1:01-cv-03397; 1:01-cv-03546; 1:01-cv-03829; 1:01-cv-04265] (dcap) Modified on 11/07/2001 (Entered: 10/12/2001)
09/06/2001			Consolidated Lead Case. (dcap) (Entered: 10/12/2001)
09/07/2001	30		RULE 1.9 CERTIFICATE filed by Salomon Smith Barney . (ICMSUSER) Modified on 10/12/2001 (Entered: 10/12/2001)
09/07/2001	31		RULE 1.9 CERTIFICATE filed by Marketwatch.com Inc. (ICMSUSER) Modified on 10/12/2001 (Entered: 10/12/2001)
09/07/2001	32		RULE 1.9 CERTIFICATE filed by Merrill Lynch . (ICMSUSER) Modified on 10/12/2001 (Entered: 10/12/2001)
09/07/2001	33		RULE 1.9 CERTIFICATE filed by Morgan Stanley Dean . (ICMSUSER) Modified on 10/12/2001 (Entered: 10/12/2001)
09/10/2001	34		RULE 1.9 CERTIFICATE filed by Goldman Sachs Group . (ICMSUSER) (Entered: 10/12/2001)
09/20/2001	35		RULE 1.9 CERTIFICATE filed by Credit Suisse Corp. (ICMSUSER) (Entered: 10/12/2001)
09/21/2001	36		RULE 1.9 CERTIFICATE filed by Morgan Stanley Dean . (ICMSUSER) (Entered: 10/12/2001)
09/26/2001	37		RULE 1.9 CERTIFICATE filed by Goldman Sachs Group . (ICMSUSER) (Entered: 10/12/2001)
01/07/2002	38		RULE 1.9 CERTIFICATE filed by Robertson Stephens. (sac) (Entered: 02/06/2002)
01/09/2002	39		AMENDED RULE 1.9 CERTIFICATE filed by Goldman Sachs Group. (sac) (Entered: 02/12/2002)
04/19/2002			OMNIBUS ORDER, Nicholas and Bettie Anderson are hereby appointed as lead plttfs and their selection of the Executive Committee to serve as Lead

		Counsel is approved. All other motions to be appointed lead plttf and for approval of lead counsel are hereby denied. (signed by Judge Shira A. Scheindlin); Copies mailed. (orig. doc. filed in 21 mc 92, doc. #4319) (sac) (Entered: 05/31/2002)
04/19/2002	40	AMENDED COMPLAINT by Nicholas J. Anderson, Bettie J. Anderson, Major W. Sherwin, B. W. Magid amending [1-1] complaint ; Summons issued. (ac) (Entered: 06/11/2002)
04/19/2002		MASTER ALLEGATIONS by Nicholas J. Anderson, Bettie J. Anderson, Murray L. Waldron, Major W. Sherwin, B. W. Magid RE: Amended Complaint, doc. # 40, Filed on April 19, 2002. (ac) (Entered: 07/30/2002)
05/17/2002	41	AFFIDAVIT OF SERVICE of S&C as to Salomon Smith Barney by Andres Arroyo, managing agent, on 4/29/02. (sac) (Entered: 07/22/2002)
05/17/2002	42	AFFIDAVIT OF SERVICE of S&C as to Merrill Lynch by Sueanne Codman on 4/26/02. (sac) (Entered: 07/22/2002)
05/17/2002	43	AFFIDAVIT OF SERVICE of S&C as to Credit Suisse Corp. by Tracy Del Duca, managing agent, on 4/25/02. (sac) (Entered: 07/22/2002)
05/17/2002	44	AFFIDAVIT OF SERVICE of S&C as to Morgan Stanley Dean by Kevin McCarthy, managing agent, on 4/25/02. (sac) (Entered: 07/22/2002)
05/17/2002	45	AFFIDAVIT OF SERVICE of S&C as to Goldman Sachs Group by Patricia A. McGraw, managing agent, on 4/26/02. (sac) (Entered: 07/22/2002)
05/30/2002	46	AFFIDAVIT OF SERVICE of S&C as to Marketwatch.com Inc. by Tahar Vinson, managing agent, on 4/29/02. (sac) (Entered: 07/25/2002)
10/15/2002	48	(UNSIGNED) STIPULATION OF DISMISSAL; this action purs. to Stipulation and Order entered in 21 mc 92, doc. #5059, hereby dismisses without prejudice and without costs as to defts Lawrence Kramer, Andrew Heyward, Alan J. Hirschfield, Allen R. Tessler and Mark F. Imperiale. (Orig. filed and signed by Judge Scheindlin in 21 mc 92.) (sac) (Entered: 03/10/2003)
10/15/2002		(UNSIGNED) STIPULATION OF DISMISSAL; this action purs. to Stipulation and Order entered in 21 mc 92, doc. #5059, hereby dismisses without prejudice and without costs as to defts Michael H. Jordan and James A. DePalma. (Orig. filed and signed by Judge Scheindlin in 21 mc 92.) (sac) (Entered: 03/10/2003)
10/15/2002		(UNSIGNED) STIPULATION OF DISMISSAL; this action purs. to Stipulation and Order entered in 21 mc 92, doc. #5059, hereby dismisses without prejudice and without costs as to defts Peter Bardwick. (Orig. filed and signed by Judge Scheindlin in 21 mc 92.) (sac) (Entered: 03/10/2003)
01/15/2003	47	NOTICE of REGISTRATION AND FIRM NAME CHANGE by Goldman Sachs Group. Re: Sullivan & Cromwell LLP. (jw) (Entered: 03/06/2003)
02/07/2003	49	NOTICE of Change of Address by Occam Networks , Agency.com, Airspan Networks, Autobytel.com, Autoweb.com, Avantgo, BSquare Corp., Caldera

		Systems, CBS Marketwatch, Digitas, Inc., drugstore.com, Equinix, GT Group Telecom, Hoover's Inc., iBeam Broadcasting, SBI and Co., Metasolv Software, Multex, Net2000, United Online, Onvia.com, PlanetRx.com, Portal Software, Radware, Axeda Systems, Register.com, Silicon Laboratories, Sycamore Networks, theglobe.com, Tickets.com; Verado Holdings (FirstWorld Communications), Viador, Inc., Vignette Corp., and Z-Tel Technologies; Firm Name: Cadwalader, Wickersham & Taft. (sac) (Entered: 03/28/2003)
07/21/2003	50	ANSWER by Bear, Stearns & Co. to [40-1] amended complaint. (Attorney Randy M. Mastro from the Firm: Gibson, Dunn & Crutcher, LLP). (sac) (Entered: 08/06/2003)
07/21/2003	51	ANSWER by Robertson Stephens to [40-1] amended complaint. (Attorney Brendan Joseph Dowd from the O'Melveny & Myers, LLP)... rec'd in the night deposit box on 7/21/03 at 7:28 p.m. (sac) (Entered: 08/19/2003)
07/22/2003	52	ANSWER by Goldman Sachs Group to [40-1] amended complaint. (Attorney Penny Shane from the Firm: Sullivan & Cromwell, LLP). (sac) (Entered: 08/21/2003)
07/22/2003	53	ANSWER by Merrill Lynch to [40-1] amended complaint . (Attorney Mark Holland from the Firm: Clifford Chance US, LLP). (sac) (Entered: 09/16/2003)
07/22/2003	54	ANSWER by Goldman Sachs Group to [40-1] amended complaint . (Attorney Penny Shane from the Firm: Sullivan & Cromwell, LLP). (sac) (Entered: 09/18/2003)
07/29/2003	55	ANSWER by Morgan Stanley Dean to [40-1] amended complaint . (Attorney Andrew B. Clubok from the Firm: Kirkland and Ellis, LLP). (sac) (Entered: 11/10/2003)
08/13/2003	56	ANSWER to Consolidated Amended Class Action Complaint. Document filed by Credit Suisse First Boston Corporation. Related document: 40 Amended Complaint filed by Nicholas J. Anderson, Bettie J. Anderson, Major W. Sherwin, B. W. Magid.(sac,) (Entered: 03/26/2004)
08/13/2003	57	ANSWER to Consolidated Amended Class Action Complaint. Document filed by Credit Suisse First Boston Corporation.(sac,) (Entered: 03/26/2004)
08/13/2003	58	ANSWER to Consolidated Amended Class Action Complaint. Document filed by Citigroup Global Markets, Inc.. Related document: 40 Amended Complaint filed by Nicholas J. Anderson, Bettie J. Anderson, Major W. Sherwin, B. W. Magid.(sac,) (Entered: 03/26/2004)
01/20/2005	59	NOTICE of Change of Address by Ronit Setton on behalf of Marketwatch.com, Inc.. New Address: Cadwaldader, Wickersham & Taft LLP, One World Financial Center, New York, NY, 10281, (212) 504-6000. (sac,) (Entered: 02/01/2005)
06/28/2007		STIPULATION AND ORDER that the Stipulation and Agreement of Settlement with defendant Issuers and Individuals submitted to the Court on

		<p>6/10/4, is hereby terminated purs to Paragraph 39 of the Proposed Partial Settlement. However, purs to paragraph 40 of the Proposed Partial Settlement, that paragraph, and the paragraphs referred to therein, shall survive the termination of the Proposed Partial Settlement. This Stipulation resolves (5527) Motion to Approve of Class action settlement. The Clerk of Court is directed to close this motion. This document relates to: All cases listed on Schedule I. (orig fld in 21mc92, #5620). (Signed by Judge Shira A. Scheindlin on 6/25/07) (cd) (Entered: 07/25/2007)</p>
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