

**U.S. District Court**  
**District of New Jersey (Newark)**  
CIVIL DOCKET FOR CASE #: 2:02-cv-04852-JAP

BALABAN v. SCHACHT, et al  
Assigned to: Judge Joel A. Pisano  
Demand: \$0  
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 10/07/2002  
Jury Demand: None  
Nature of Suit: 160 Stockholders Suits  
Jurisdiction: Diversity

**Plaintiff**

**SANDRA BALABAN**  
*On Behalf of Herself And All Others*  
*Similarly Situated*

represented by **DOUGLAS M. BERN**  
KAUFMAN, GELBERT & BERN, ESQS.  
FORT LEE EXECUTIVE PARK  
TWO EXECUTIVE DRIVE  
FORT LEE, NJ 07024  
(201) 947-8855  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

V.

**Defendant**

**HENRY B. SCHACHT**

**Defendant**

**RICHARD A. MCGINN**

**Defendant**

**DEBORAH C. HOPKINS**

**Defendant**

**PAUL A. ALLAIRE**

**Defendant**

**BETSY S. ATKINS**

**Defendant**

**CARLA A. HILLS**

**Defendant****FRANKLIN A. THOMAS****Defendant****JOHN A. YOUNG****Defendant****LUCENT TECHNOLOGIES INC.**

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
10/07/2002	1	COMPLAINT filed FILING FEE \$ 150.00 RECEIPT # 311128 (dr) (Entered: 10/09/2002)
10/09/2002	2	NOTICE of Allocation and Assignment filed. ( NEWARK - Judge PISANO - Magistrate Judge MADELINE COX ARLEO) (NM) (dr) (Entered: 10/09/2002)
10/09/2002		SUMMONS(ES) issued for HENRY B. SCHACHT, RICHARD A. MCGINN, DEBORAH C. HOPKINS, PAUL A. ALLAIRE, BETSY S. ATKINS, CARLA A. HILLS, FRANKLIN A. THOMAS, JOHN A. YOUNG, LUCENT TECHNOLOGIES ( 20 Days) (Mailed to Counsel) (dr) (Entered: 10/09/2002)
12/11/2002	3	RETURN OF SERVICE/affidavits executed as to summon & complaint upon HENRY B. SCHACHT, RICHARD A. MCGINN, DEBORAH C. HOPKINS, PAUL A. ALLAIRE, BETSY S. ATKINS, CARLA A. HILLS, FRANKLIN A. THOMAS, JOHN A. YOUNG 10/22/02 Answer due on 11/12/02 for LUCENT TECHNOLOGIES, JOHN A. YOUNG, for FRANKLIN A. THOMAS, for CARLA A. HILLS, for BETSY S. ATKINS, for PAUL A. ALLAIRE, for DEBORAH C. HOPKINS, for RICHARD A. MCGINN, for HENRY B. SCHACHT (bl) (Entered: 12/13/2002)
03/13/2003	4	ORDER, consolidating civil action 02cv4852 and 03-949 for all purposes ( signed by Judge Joel A. Pisano ) (NM) (bl) (Entered: 03/17/2003)
04/01/2003	5	ORDER, vacating & de-consolidating [4-1] order consolidating civil action 02cv4852 and 03-949 for all purposes ( signed by Judge A. Pisano ) (NM) (bl) (Entered: 04/03/2003)
09/23/2003	6	ORDER, set scheduling order deadlines: Settlement conference/hearing 12/12/03 pursuant to Rule 23 of FRCP ; pltf's counsel to cause notice to be mailed by 10/7/03 and any class member wishing to participate in settlement must complete proof of claim by 3/31/04, etc.( signed by Judge Joel A. Pisano ) (NM) (bl) (Entered: 09/25/2003)
09/23/2003	7	STIPULATION of Global settlement with exhibits 1,A,1-3,B,3,4,A-C,2,A,1-

		3,b,3,4,A-C,5-7,A,1-3,B. (bl) (Entered: 09/25/2003)
09/23/2003	8	STIPULATION of settlement among Balaban and the Lucent Note/Preferred Class. (bl) (Entered: 09/25/2003)
11/12/2003	9	AFFIDAVIT of Robert I. Harwood on behalf of SANDRA BALABAN Re: award of attorneys' fees and reimbursement of expenses. (Brief Submitted) (bl) (Entered: 11/14/2003)
11/25/2003	10	NOTICE of filing Request for Exclusion by Staro Asset Managment, LLC. (bl) (Entered: 12/01/2003)
12/01/2003	11	NOTICE of exclusion by Dorothy Sohler (bl) (Entered: 12/05/2003)
12/04/2003	12	Notice of MOTION for Robert I. Harwood, Esq. to appear pro hac vice by SANDRA BALABAN, Motion set for 1/12/04 on [12-1] motion cilb and cert. of service . (PO Subm) (bl) (Entered: 12/08/2003)
12/11/2003	13	ORDER granting [12-1] motion for Robert I. Harwood, Esq. to appear pro hac vice ( signed by Judge Joel A. Pisano ) (NM) (bl) (Entered: 12/12/2003)
12/12/2003	14	STIPULATION and ORDER/Final Judgment for permanent injunction ( signed by Judge Joel A. Pisano ) (NM) (bl) (Entered: 12/15/2003)
12/12/2003		Case closed (bl) (Entered: 12/15/2003)
12/15/2003	15	ORDER granting [12-1] motion for Robert I. Harwood, Esq. to appear pro hac vice ( signed by Magistrate Judge Madeline C. Arleo ) (NM) (bl) (Entered: 12/15/2003)
12/18/2003	16	Minute entry: Proceedings recorded by Ct-Reporter: Caruso.; Minutes of: 12/12/03; The following actions were taken, Court Conducted Fairness Hearing for approval on settlement and the allocation plan, bases on 9/24/03 certification. etc. By Judge Joel A. Pisano (bl) (Entered: 12/18/2003)
01/08/2004	<a href="#">17</a>	PROPOSED FINDINGS OF FACTS AND CONCLUSIONS OF LAW by SANDRA BALABAN. (bl, ) (Entered: 01/15/2004)
04/23/2004	<a href="#">18</a>	OPINION . Signed by Judge Joel A. Pisano on 4/23/04. (bl, ) (Entered: 04/26/2004)
07/21/2004	<a href="#">19</a>	OPINION . Signed by Judge Joel A. Pisano on 7/19/04. (bl, ) (Entered: 07/21/2004)
07/21/2004	<a href="#">20</a>	FINAL ORDER MODIFYING COUNSEL'S petitoin for an award of attorney's fees and reimbursement of expenses. Signed by Judge Joel A. Pisano on 7/19/04. (bl, ) (Entered: 07/21/2004)
07/23/2004	<a href="#">21</a>	Amended OPINION . Signed by Judge Joel A. Pisano on 7/19/04. (bl, ) (Entered: 07/23/2004)

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