

US District Court Civil Docket as of March 5, 2004
Retrieved from the court on Monday, May 17, 2004

U.S. District - Indiana Southern
(Indianapolis)

1:00cv201

Tepper, Jay v. Analytical Survey Inc

Date Filed: 02/02/2000	Class Code: TERM 09/21/01
Assigned To: Judge McKinney	Closed: yes
Referred To: Magistrate Shields	Statute:
Nature of suit: Securities (850)	Jury Demand:
Cause: Securities/Commodities/Exchange	Demand Amount: \$0
Lead Docket: None	NOS Description: Securities
Other Docket: None	
Jurisdiction: None	

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Date	#	Proceeding Text
02/02/2000	1	COMPLAINT - Class Action Complaint for Violations of Federal Securites Laws. eod 02/03/00 [DLD]
02/02/2000	2	RECEIPT # 38781 eod 02/03/00 [DLD]
02/02/2000	3	SUMMONS eod 02/03/00 [DLD]
02/02/2000	4	APPEARANCE of Jay P. Kennedy on behalf of the PLTF. eod 02/03/00 [DLD]
02/02/2000	5	MAGISTRATE'S NOTICE eod 02/03/00 [DLD]
02/02/2000	6	NOTICE of CMP procedure. eod 02/03/00 [DLD]
02/02/2000	7	CIVIL COVER SHEET eod 02/03/00 [DLD]
02/16/2000	8	APPEARANCE of James H Ham III and Stacy L Prall on behalf of DEFT Analytical Surveys Inc c/s eod 02/17/00 [SWM]

02/16/2000 9 MOTION PRO HAC VICE - attys Matthew R Kipp, Donna L McDevitt and William P Frank on behalf of DEFT Analytical Surveys Inc c/s eod 02/17/00 [SWM]

02/16/2000 10 RECEIPT #39005 (\$90.00 filing fee for motion pro hac vice) eod 02/17/00 [SWM]

02/17/2000 11 NOTICE of related actions c/s DEFT Analytical Surveys Inc eod 02/17/00 [SWM]

02/17/2000 12 ORDER GRANTS motion pro hac vice - attys Matthew R Kipp, Donna L McDevitt and William P Frank on behalf of DEFT Analytical Surveys Inc c/m sdw VSS eod 02/18/00 [SWM]

02/22/2000 13 NOTICE OF PARTIES' FIRST EXTENSION OF TIME to respond to the Complaint to 3/23/00 c/s - DEFT Analytical eod 02/22/00 [MAC]

02/23/2000 14 NOTICE OF PARTIES' FIRST EXTENSION OF TIME for the deft-Brian Yates to and including 3/27/00 to respond to the class action complt c/s eod 02/23/00 [CBU]

03/08/2000 15 MOTION PRO HAC VICE by Bruce F. Black of law firm Holme Roberts & Owen to appear on behalf of deft Sidney V. Corder (affidavit attached) no c/s - DEFT Corder eod 03/08/00 [MAC]

03/08/2000 16 MOTION PRO HAC VICE by Michael J. Hofmann of law firm Holme Roberts & Owen to appear on behalf of deft Sidney V. Corder (affidavit attached) no c/s - DEFT Corder eod 03/08/00 [MAC]

03/08/2000 17 MOTION PRO HAC VICE by John V. McDermott of law firm Holme Roberts & Owen to appear on behalf of deft Sidney V. Corder (affidavit attached) no c/s - DEFT Corder eod 03/08/00 [MAC]

03/08/2000 18 RECEIPT #39311 in the amount of \$90.00 for pro hac fees - DEFT Corder eod 03/08/00 [MAC]

03/09/2000 19 ORDER regarding CMP. Parties are ORDERED to confer and prepare a CMP and to bring the plan with you on 5/11/00 VSS eod 03/10/00 [SWM]

03/09/2000 -- ORDER ASSIGNS P/T to 05/11/00 at 08:30AM Room 256 (VSS) c/m sdw (initial) Conference shall NOT be vacated upon the filing of the CMP eod 03/10/00 [SWM]

03/13/2000 20 NOTICE OF PARTIES' FIRST EXTENSION OF TIME for DEFT Scott C Bengert to respond to complaint - ext to and including 3/29/00 c/s eod 03/14/00 [SWM]

03/15/2000 21 ORDER GRANTS Motions for leave to appear Pro Hac Vice by Bruce F. Black, John V. McDermott and Michael J. Hofmann on behalf of deft Sidney V. Corder. cm LJM eod 03/15/00 [MAC]

03/22/2000 22 MOTION for order extending certain deadlines c/s DEFTS eod 03/23/00 [SWM]

03/23/2000 23 MOTION PRO HAC VICE - atty Norman R Mueller on behalf of DEFT Brian J Yates c/s eod 03/24/00 [SWM]

03/23/2000 24 RECEIPT #39567 (filing fee for motion pro hac vice) DEFT Brian J Yates eod 03/24/00 [SWM]

03/24/2000 25 ORDER GRANTS motion pro hac vice - atty Norman R Mueller on behalf of DEFT Brian J Yates c/m sdw VSS eod 03/27/00 [SWM]

03/24/2000 26 ORDER GRANTS defts' motion for order extending certain deadlines. Parties are relieved from complying with Local Rule 16.1 and for submitting a CMP on

5/11/00, and defts' time for answering or otherwise responding to the complaint is hereby extended until further notice of the Court c/m sdw VSS eod 03/28/00 [SWM]

- 04/03/2000 27 MOTION for appointment of lead pltf and approval of co-lead counsel c/s PLTF eod 04/04/00 [SWM]
- 04/03/2000 28 MEMORANDUM in support of motion for appointment of lead pltf and approval of selection of co-lead counsel c/s PLTF eod 04/04/00 [SWM]
- 04/03/2000 29 EXHIBIT - Index of exhibits referenced in the memorandum of law in support of motion for appointment of lead pltf and approval of selection of co-lead counsel c/s PLTF eod 04/04/00 [SWM]
- 04/03/2000 30 AFFIDAVIT of Lawrence D Levit in support of motion for appointment of lead pltf and approval of co-lead counsel eod 04/04/00 [SWM]
- 04/03/2000 31 MOTION TO CONSOLIDATE and appoinment as lead pltf and approval of selection of lead and liaison counsel - WELLS GROUP eod 04/04/00 [SWM]
- 04/03/2000 32 MEMORANDUM of law in support of motion for consolidation and appointment as lead pltf and approval of selection of lead and liaison counsel - WELLS GROUP eod 04/04/00 [SWM]
- 04/03/2000 33 DECLARATION of Samuel H Rudman in support of the Wells Group's motion for consolidation and appointment as lead pltf and approval of selection of lead and liaison counsel - WELLS GROUP eod 04/04/00 [SWM]
- 04/03/2000 34 CERTIFICATE OF SERVICE (Proof of service) See NR. 31, 32 and 33 - WELLS GROUP eod 04/04/00 [SWM]
- 04/25/2000 35 ENTRY VACATES P/T of 05/11/00 at 08:30AM Room 256 (VSS) c/m eod 04/25/00 [SWM]
- 04/25/2000 -- ENTRY REASSIGNS P/T to 05/11/00 at 10:30AM Room 256 (VSS) c/m sdw (initial) eod 04/25/00 [SWM]
- 04/26/2000 36 RESPONSE to pltf's motions for appt. of lead pltf and lead counsel and submission of authorites c/s DEFTS eod 04/27/00 [SWM]
- 05/03/2000 37 STIPULATION and Order consolidating actions appointing lead pltf and lead liaison counsel - PARTIES eod 05/04/00 [SWM]
- 05/10/2000 38 REPLY MEMORANDUM to defts' response to Motions for Appointment of lead plaintiff and lead counsel. c/s PLTFS eod 05/11/00 [PLM]
- 05/10/2000 39 AFFIDAVIT - REPLY of Lawrence D. Levit to defts' response to motions for apointment of lead pltf and lead counsel. PLTFS eod 05/11/00 [PLM]
- 05/11/2000 40 ENTRY regarding Stipulation and Order consolidating actions and appointing lead pltf and lead liaison counsel; MOOTED by superseding motion (marginal notation on document #37) No distribution VSS eod 05/12/00 [SWM]
- 05/12/2000 41 ENTRY regarding pretrial conference of 5/11/00 (see entry) c/m sdw VSS eod 05/15/00 [SWM]
- 05/12/2000 42 MOTION TO CONSOLIDATE actions, to appoint lead pltf and lead and liaison counsel (joint motion) PARTIES eod 05/15/00 [SWM]
- 05/12/2000 43 ORDER GRANTS parties' joint motion to consolidate actions, to appoint lead pltf and lead and liaison counsel. All pleadings shall be filed in case number IP00-201-

C-M/S. Every pleading in the consolidated action shall have the following caption: In Re: Analytical Surveys, Inc, Securities Litigation. The Court appoints Peter, Rubenzer, Robert Wells, Edward J Leidl and the Small Company Growth Fund-Enterprise Group as lead pltfs. The lead pltfs choice of the law firms of Wolf Popper LLP, Milberg Weiss Bershad Hynes & Lerach LLP and Bernstein Liebhard & Lifshitz LLP as co-lead counsel is approved. The lead pltfs' selection of the law firms of Barker & Reininga and Kroger Gardis & Regas as co-liaison counsel is hereby approved (see order) c/m sdw VSS eod 05/17/00 [SWM]

- 05/22/2000 44 ORDER to Administratively Close Causes. Having been consolidated into Cause Number IP 00-201-C-M/S by the Court's order of May 12, 2000, the following cause numbers IP# 00-214, 00-216, 00-248, 00-304, 00-327, 00-346, 00-372, 00-373-, 00-387, 00-439, 00-440, and 00-448, are HEREBY ADMINISTRATIVELY CLOSED. Further, any new cases that arises out of the subject matter of the Consolidated Action which is filed in this Court or transferred to the Court shall be consolidated into Cause No. IP 00-201-C-M/S and administratively closed unless a party objects to consolidation. cm LJM eod 05/22/00 [DLD]
- 06/06/2000 45 NOTICE OF CHANGE of firm name and address - attys Steven E Cauley and Paul Geller c/s eod 06/07/00 [SWM]
- 06/14/2000 46 RETURN of service dated 6/13/00 on DEFT Vince Otto. Copies left at defts dwelling with Mrs. Otto (Cause Number IP00-304-C M/S) eod 06/14/00 [SWM]
- 06/20/2000 47 MOTION TO AMEND entry regarding pretrial conference of 5/11/00 - PARTIES eod 06/22/00 [SWM]
- 06/21/2000 48 ENTRY (Amended) regarding pretrial conference of 5/11/00 c/m sdw VSS eod 06/23/00 [SWM]
- 06/30/2000 49 SUBMISSION of Further Complaint and Cross Complaint filed by BLISS H. GREEN. (See Docketed No. #50) eod 07/13/00 [DLD]
- 07/13/2000 50 ENTRY that the clerk shall include a copy of Mr. Green's "Further Complaint and Cross Complaint," filed on June 30, 2000, with the distribution of this Entry. A copy of the Amended Entry issued on June 21, 2000, shall be sent to Mr. Green with this copy of this entry. eod 07/13/00 [DLD]
- 07/13/2000 -- ENTRY that Mr. Green's document is of no effect, as presented, though the Lead Plaintiffs shall give due regard to the allegations in such document in preparing the Consolidated Amended Complaint. cm LJM eod 07/13/00 [DLD]
- 07/24/2000 51 CERTIFICATE OF SERVICE filed by Bliss Green. eod 07/24/00 [DLD]
- 07/25/2000 52 ENTRY that Mr. Green shall be added as a PLTF to the distribution of rulings and materials submitted for filing in this action until further order. eod 07/25/00 [DLD]
- 07/25/2000 -- ENTRY that Mr. Green has tendered \$30.00 for his admission pro hac vice as contemplated by Local Rule 83.5(c). Mr. Green is not understood to be either an attorney or as attempting to appear on anyone's behalf other than his own. The admission fee is unnecessary and shall be returned to Mr. Green. cm LJM eod 07/25/00 [DLD]
- 07/26/2000 53 AMENDED COMPLAINT c/s PLTFS eod 07/27/00 [SWM]
- 08/04/2000 54 COMPLAINT (further complaint); and eod 08/07/00 [SWM]
- 08/04/2000 -- COMPLAINT (cross-complaint) PLTF Bliss Green eod 08/07/00 [SWM]

09/06/2000 55 MOTION FOR S/J - PLTF Bliss H Green eod 09/06/00 [SWM]

09/06/2000 56 SUBMISSION of Addendum to purchase cost for 2900 shares of Analytical Surveys Common Stock. PLTF Bliss H. Green eod 09/06/00 [DLD]

09/06/2000 57 CERTIFICATE OF SERVICE and request for mailing forwarding or distribution to named parties; Sol C. Miller, James T. Rothe and John A. Thorpe. - PLTF Bliss H. Green eod 09/06/00 [DLD]

09/11/2000 58 MOTION TO DISMISS PLTFs' Consolidated Amended Class Action Complaint. cs DEFT Brian J. Yates eod 09/11/00 [DLD]

09/11/2000 59 MEMORANDUM IN SUPPORT Motion to Dismiss PLTFs' Consolidated Amended Class Action Complaint. cs DEFT Brian J. Yates eod 09/11/00 [DLD]

09/12/2000 60 SUBMISSION of Admendment of Motion for S/J to include Norm Rokosh as a DEFT. Bliss H. Green eod 09/12/00 [DLD]

09/11/2000 61 MOTION TO DISMISS PLTFs' Consolidate Amended Class Action Complaint. cs DEFTs Analytical Surveys, Miller, Thorpe, Corder, and Bengner eod 09/12/00 [DLD]

09/11/2000 62 MEMORANDUM IN SUPPORT of the Motion to Dismiss. cs DEFTs Analytical Surveys, Miller, Thorpe, Corder, and Bengner eod 09/12/00 [DLD]

09/11/2000 63 AFFIDAVIT of Exhibits in Support of DEFTs' Motion to Dismiss PLTFs' Consolidated Amended Class Action Complaint. cs DEFTs Analytical Surveys, Miller, Thorpe, Corder, and Bengner eod 09/12/00 [DLD]

09/13/2000 64 SUMMONS issued to Randal J Sage eod 09/14/00 [SWM]

09/21/2000 65 RETURN of service by CMRRR dated (No date on green card) Randal J. Sage eod 09/22/00 [DLD]

09/28/2000 66 MOTION PRO HAC VICE RE: Jeffrey A. Chase on behalf of the DEFT Randal Sage eod 09/28/00 [DLD]

09/28/2000 67 RECEIPT # 42251 eod 09/28/00 [DLD]

09/28/2000 68 JOINDER IN MOTION TO DISMISS filed by DEFT Analytical Surveys, Inc. and others. cs DEFT Randal Sage eod 09/28/00 [DLD]

10/02/2000 69 ORDER grants the Motion Pro Hac Vice Re: Jeffrey A. Chase on behalf of the DEFT Randal Sage. cm LJM eod 10/03/00 [DLD]

10/10/2000 70 STIPULATION to Order Modifying Amended Entry regarding p/t conference of 5/11/00 - PARTIES eod 10/11/00 [SWM]

10/13/2000 71 ORDER MODIFYING amended entry regarding p/t conference of 5/11/00 (See order) c/m sdw VSS eod 10/16/00 [SWM]

10/20/2000 72 MOTION for order granting permission to file brief in excess of 35 pages pursuant to Local Rule 7.1(b). c/s PLTFs eod 10/20/00 [PLM]

10/20/2000 73 ORDER GRANTS pltf's motion to file brief in excess of 35 pages pursuant to L.R. 7.1(b) c/m sdw VSS eod 10/23/00 [SWM]

10/23/2000 74 RESPONSE to Consolidated Amended Class Action Complaint for Violations of Federal Security Laws as filed 7/26/00 by Jay P. Kennedy and Robert Barker Co-Liason Counsel c/s - PLTF Green Pro Se eod 10/24/00 [MAC]

10/24/2000 75 RESPONSE ["Memorandum in Opposition.."] to DEFT's Motions to dismiss

Consolidated Amended Class Action Complaint c/s PLTFS eod 10/25/00 [GRN]

10/24/2000 76 APPENDIX of Exhibits in Opposition to DEFT's Motions to dismiss Consolidated Amended Class Action Complaint c/s PLTFS eod 10/25/00 [GRN]

10/24/2000 77 CERTIFICATE OF SERVICE re: RESPONSE & Appendix of Exhibits in Opposition to DEFT's Motions to dismiss Consolidated Amended Class Action Complaint c/s PLTFS eod 10/25/00 [GRN]

11/01/2000 78 Motion for Order granting PLTF Bliss H. Green Intervention (FRCP 24) pursuant to the Motion for S/J as it relates to Motions to Dismiss filed by DEFTS and Response to be filed by PLTFS. cs Bliss H. Green eod 11/01/00 [DLD]

11/03/2000 79 CERTIFICATE OF SERVICE - PLTF Bliss H. Green eod 11/06/00 [DLD]

11/09/2000 80 ENTRY that Mr. Green seeks to intervene as a plaintiff. Any party wishing to respond to this request shall have 12/14/00, in which to do so. Any party who is delinquent in serving Mr. Green with copies of material submitted by that party for filing shall promptly remedy such delinquency. cm LJM eod 11/09/00 [DLD]

11/20/2000 81 CERTIFICATE OF SERVICE file by Attorney, Haddon, Morgan & Foreman, P.C. eod 11/22/00 [DLD]

11/22/2000 82 MOTION TO FILE OVERSIZE BRIEF cs DEFTS Analytical Surveys, Inc., Sol C. Miller, John A. Thorpe, Sydney V. Corder, Scott C. Bengner, and Randal Sage eod 11/24/00 [DLD]

11/27/2000 83 REPLY MEMORANDUM (Supplemental) in support of Motion to Dismiss pltf's Consolidated Amended Class Action Complaint c/s - DEFT Sage eod 11/27/00 [MAC]

11/28/2000 84 REPLY in support of motion to dismiss pltf's consolidated amended class action complaint. c/s DEFT, YATES eod 11/28/00 [PLM]

11/28/2000 85 REPLY MEMORANDUM in support of defts' motion to dismiss pltf's consolidated amended class action complaint c/s DEFT Analytical Surveys eod 11/29/00 [SWM]

12/01/2000 86 ORDER grants permission to file reply brief in excess of 20 pages. cm LJM eod 12/01/00 [DLD]

12/13/2000 87 RESPONSE to Bliss H. Green's Motion to Intervene in Securities Fraud Class Action. cs DEFT eod 12/14/00 [DLD]

12/15/2000 88 RESPONSE - Opposition to Bliss Green's Motion to Intervene. cs PLTFS eod 12/18/00 [DLD]

12/26/2000 89 REPLY to deft's and pltf's opposition to motion to intervene; reaffirmation of S/J motion, and judicial notice that defts and pltf's are estopped from any further defense of that motion for S/J c/s PRO SE PLTF Bliss H Green eod 12/27/00 [SWM]

12/28/2000 90 STATEMENT of recent authority in opposition to defts' motions to dismiss (supplemental statement) c/s PLTFS eod 12/29/00 [SWM]

01/08/2001 91 SUBMISSION of Supplement to his reply pleading dated 12/22/00 including changes and clarification of responsible parties. cs PLTF Bliss Green eod 01/10/01 [DLD]

02/23/2001 92 ENTRY ASSIGNS CONF to 03/09/01 at 09:00AM Room 256 (VSS) c/m (settlement, see entry). eod 02/23/01 [MRI]

02/26/2001 93 ENTRY VACATES CONF of 03/09/01 at 09:00AM Room 256 (VSS) c/m eod 02/27/01 [SWM]

02/26/2001 -- ENTRY REASSIGNS CONF to 03/23/01 at 09:00AM Room 256 (VSS) c/m sdw (settlement, see entry) eod 02/27/01 [SWM]

03/09/2001 94 Motion to be excused from personally attending settlement confrence on March 21, 2001. cs DEFTS Barger, Sage, and Yates eod 03/09/01 [DLD]

03/09/2001 95 ENTRY VACATES CONF of 03/23/01 at 09:00AM Room 256 (VSS) c/m and resets for; eod 03/09/01 [MRI]

03/09/2001 -- ENTRY ASSIGNS CONF to 03/21/01 at 10:00AM Room 256 (VSS) c/m (settlement, see entry). eod 03/09/01 [MRI]

03/09/2001 96 ENTRY GRANTS Defts Barger, Sage and Yates' motion to be excused from personally attending settlement conference (marginal notation on document #94) c/m sdw VSS eod 03/12/01 [SWM]

03/21/2001 97 ENTRY - Settlement conference held and continued to provide the parties with the opportunity to consider a proposed settlement c/m sdw VSS eod 03/22/01 [SWM]

03/28/2001 98 SUBMISSION of certified declaration of assignment of Bliss H. Green's opportunity to consider a proposed settlement to the USA. cs Bliss Green eod 03/29/01 [DLD]

04/04/2001 99 DECLARATION of Assignment of Bliss H. Green's opportunity to consider a proposed settlement to the USA. cs BLISS GREEN eod 04/04/01 [DLD]

04/11/2001 100 ORDER that Mr. Bliss Green's Motion to Intervene is hereby DENIED. All other motions that Mr. Green has filed in this litigation are deemed MOOT. cm LJM (See Order) eod 04/11/01 [DLD]

04/11/2001 -- OBV 47 PG 322 eod 04/11/01 [DLD]

04/20/2001 101 MOTION TO RECONSIDER Motion to Intervene cs Bliss Green eod 04/23/01 [DLD]

05/08/2001 102 NOTICE OF CHANGE of address Re: Ann Miller on behalf of the PLTF. cs eod 05/08/01 [DLD]

05/17/2001 103 ENTRY ASSIGNS CONF to 05/24/01 at 02:30PM Room 256 (VSS) c/m sdw (settlement) confidential settlement statement due no later than two business days prior to the conference eod 05/17/01 [SWM]

05/21/2001 104 RESPONSE IN OPPOSITION to Bliss Green's Motion for Reconsideration of the order denying his motion to intervene. cs PLTFS eod 05/21/01 [DLD]

05/23/2001 105 MOTION TO RECONSIDER of 17 April 2001; Call for order and judgment of Bliss Green's Motion to Intervene. cs Bliss Green eod 05/23/01 [DLD]

05/30/2001 106 STIPULATION and Order regarding confidentiality of discovery materials. PARTIES eod 05/30/01 [DLD]

05/30/2001 107 ORDER APPROVES the Stipulation and Order regarding confidentiality of discovery materials. cm VSS eod 05/30/01 [DLD]

05/23/2001 108 ENTRY VACATES CONF of 05/24/01 at 2:30PM Room 256 (VSS) c/m (parties were notified) - no distribution eod 06/13/01 [SWM]

06/19/2001 109 ENTRY DENIES Mr. Green's motion to reconsider, filed on April 20, 2001. (See Entry) cm LJM eod 06/20/01 [DLD]

06/29/2001 110 STIPULATION and Agreement of Settlement. PARTIES eod 07/02/01 [DLD]

06/29/2001 -- EXHIBIT 1 - Notice of Pendency of Class Action, Hearing on Proposed Settlement and Attorneys' Fee Petition and Right to Share in Settlement Proceeds. eod 07/02/01 [DLD]

06/29/2001 -- EXHIBIT 2 - Proof of Claim and Release. eod 07/02/01 [DLD]

06/29/2001 -- EXHIBIT 3 - Summary Notice of Pendency of Class Action, Proposed Settlement and Settlement Hearing eod 07/02/01 [DLD]

06/29/2001 -- EXHIBIT A - Preliminary Order in Connection with Settlement Proceedings. eod 07/02/01 [DLD]

06/29/2001 -- EXHIBIT B - Order and Final Judgment. eod 07/02/01 [DLD]

06/29/2001 111 ORDER - Preliminary Order in Connection with Settlement Proceedings. eod 07/02/01 [DLD]

06/29/2001 -- ORDER - Pursuant to FRCP 23(b)(3), and for the purposes of the Settlement only, this Action is hereby conditionally certified as a class action on behalf of all persons or entities who purchased the common stock of ASI on the open market during the period of 01/25/99 through 03/07/00, inclusive, and who suffered damages as a result. (See Entry) eod 07/02/01 [DLD]

06/29/2001 -- ORDER - The Court finds that the prerequisites for class action under FRCP 23(a) and (b)(3) have been satisfied. (See Entry) eod 07/02/01 [DLD]

06/29/2001 -- ORDER - Pursuant to FRCP 23, Lead PLTFS, Edward J. Leidl, Peter Rubenzer, Small Company Growth Fund-Enterprise Group, and Robert Wells are certified as Class Representatives. eod 07/02/01 [DLD]

06/29/2001 -- ORDER ASSIGNS HEARING to 09/21/01 at 08:30AM Room 202 (LJM) c/m Re: Settlement Fairness Hearing eod 07/02/01 [DLD]

06/29/2001 -- ORDER - The Court recognizes and acknowledges that one consequence of a determination of fairness at the Settlement Fairness Hearing is that, the Settlement Shares may be distributed to Class Members and PLTFS' Counsel without registration and compliance with the prospectus delivery requirements of the securities laws. eod 07/02/01 [DLD]

06/29/2001 -- ORDER - The Court reserves the right to approve the Settlement with or without modification and with or without further notice of any kind. The Court further reserves the right to enter its Order and Final Judgment approving the Stipulation and dismissing the Complaint on the merits and with prejudice regardless of whether it has approved the Plan of Allocation or awarded attorneys' fees and expenses. eod 07/02/01 edited 07/02/01 [DLD]

06/29/2001 -- ORDER - The Court approves the forms annexed (Exhibit 1, 2 & 3). eod 07/02/01 [DLD]

06/29/2001 -- ORDER - The Claim Administrator, under PLTFS' Co-Lead Counsel's supervision, shall cause the Notice and the Proof of Claim, to be mailed, by first class mail, on or before July 20, 2001, to all Class Members who can be identified with reasonable effort. eod 07/02/01 [DLD]

06/29/2001 -- ORDER - The Court approves Exhibit 3 and directs that the Claims Administrator shall cause the Publication Notice to be published in the national edition of The Wall Street Journal within ten days of the mailing of the Notice. PLTFS' Co-Lead

Counsel shall, at or before the Settlement Fairness Hearing, file with the Court proof of publication of the Publication Notice. eod 07/02/01 [DLD]

- 06/29/2001 -- ORDER - In order to be entitled to participate in the Net Escrow Account and Net Settlement Shares, each Class Member shall properly execute a proof of claim form postmarked not later than October 22, 2001. (See Entry for Instructions) eod 07/02/01 [DLD]
- 06/29/2001 -- ORDER - Class Members shall be bound by all determinations and judgments in this Action, whether favorable or unfavorable, unless such persons request exclusion from the Class in a timely and proper manner. A Class Member wishing to make such request shall mail the request in written form by first class mail postmarked no later than September 5, 2001 to the address designated in the Notice. eod 07/02/01 [DLD]
- 06/29/2001 -- ORDER - Class Members requesting exclusion from the Class shall not be entitled to receive any payment out the Net Escrow Account or the Net Settlement Shares as described in the Stipulation and Notice. eod 07/02/01 [DLD]
- 06/29/2001 -- ORDER - The Court will consider comments and/or objections to the Settlement, the Plan of Allocation, or the award of attorneys' fees and reimbursement of expenses only if such comments or objections and any supporting papers are filed in writing with the Clerk of the Court on or before September 5, 2001 and copies of all such papers are served on the Attorneys' for the DEFTS. (See entry for list of names and addresses) eod 07/02/01 [DLD]
- 06/29/2001 -- ORDER - Pending final determination of whether the Settlement should be approved, the PLTFS, all Class Members, and each of them, and anyone who acts purports to act on their behalf, shall not institute, commence or prosecute any action which asserts Settled Claims against any Released Party. eod 07/02/01 [DLD]
- 06/29/2001 -- ORDER - If a) the Settlement is terminated by DEFTS pursuant to paragraph 22 of the Stipulation b) any specified condition to the Settlement set forth in the Stipulation is not satisfied and the satisfaction of such condition is not waived in writing by PLTFS' Co-lead Counsel and Counsel for the DEFTS; c) the Court rejects, in any respect, the Order and Final Judgment in substantially the form and content annexed to the Stipulation as Exhibit B and/or PLTFS' Co-Lead Counsel and Counsel for the DEFTS fail to consent to the entry of another form of order in lieu thereof, d) the Court rejects the Stipulation, including any amendment thereto approved by PLTFS' Co-Lead Counsel and Counsel for the DEFTS; or e) the Court approves the Stipulation, including any amendment thereto approved by PLTFS' Co-Lead Counsel and Counsel for the DEFTS, but such approval is reversed on appeal and such reversal becomes final by lapse of time or otherwise (cont.) eod 07/02/01 [DLD]
- 06/29/2001 -- ORDER (cont.) then, in any such event, the Stipulation, including any amendment(s) thereof, and this Preliminary Order certifying the Class and the Class Representatives for purposes of the Settlement shall be null and void, of no further force or effect, and without prejudice to any party, and may not be introduced as evidence or referred to in any actions or proceedings by any person or entity, and each party shall be restored to his, her or its respective position as it existed prior to the execution of the Stipulation. eod 07/02/01 [DLD]
- 06/29/2001 -- ORDER - The Court retains exclusive jurisdiction over the Action to consider all

further matters arising out of or connected with the Settlement. cm LJM eod 07/02/01 [DLD]

- 06/29/2001 112 NOTICE - Summary Notice of Pendency of Class Action, Proposed Settlement and Settlement Hearing. (Copy of the Preliminary Order in Connection with Settlement Proceedings Attached). cm LJM eod 07/02/01 [DLD]
- 07/11/2001 113 NOTICE of Acceptance of Denial Order on Bliss Green's Motion to Intervene and Motion for Reconsideration, Notice of Bifurcation of Bliss Green's Derivative Claims and Motions filed in the litigation that are removed thus for eventual filing in "seperate" derivative lawsuit. BLISS GREEN eod 07/11/01 [DLD]
- 08/27/2001 114 NOTICE OF CHANGE Address of Cauley & Geller, it has been changed to Cauley Geller Bowman & Coates on behalf of the PLTFS eod 08/28/01 [DLD]
- 09/04/2001 115 Request for exclusion from the class. cs DEFT Bliss H. Green eod 09/05/01 [DLD]
- 09/14/2001 116 Motion to be excused from attending final approval hearing on 09/21/01. cs DEFTS Corder, Bengel, Sage and Yates eod 09/17/01 [DLD]
- 09/18/2001 117 ORDER grants the DEFT'S motion to be excused from attending final approval hearing on 9/21/01. cm LJM eod 09/18/01 [DLD]
- 09/18/2001 118 Memorandum in Support of Motion for Final Approval of the Proposed Settlement and Plan of Allocation of Settlement Proceeds. cs PLTFS eod 09/18/01 [DLD]
- 09/18/2001 119 Memorandum in Support of an Award of Attorney's Fees and Reimbursement of Expenses. cs PLTFS eod 09/18/01 [DLD]
- 09/18/2001 120 SUBMISSION of Joint Declaration in support of proposed class action settlement and class counsel's joint petition for fees and reimbursement of expenses. cs PLTFS eod 09/18/01 [DLD]
- 09/18/2001 121 SUBMISSION of Appendix to PLTFS' Memorandum in Support of an award of attorney's fees and reimbursement of expenses. cs PLTFS eod 09/18/01 [DLD]
- 09/18/2001 122 AFFIDAVIT of Mailing. cs PLTFS eod 09/18/01 [DLD]
- 09/18/2001 123 AFFIDAVIT of Lawrence D. Levit in support of PLTFS' joint application for Attorneys' Fees and Reimbursement of Expenses. cs PLTFS eod 09/18/01 [DLD]
- 09/18/2001 124 SUBMISSION of Proof of Services. PLTFS eod 09/18/01 [DLD]
- 09/21/2001 125 ORDER - The Court, having approved the terms of the settlement agreement, hereby deems the pending motions to dismiss MOOT. cm LJM eod 09/21/01 [DLD]
- 09/21/2001 126 CLOSED Judgment Consent - The Court approves the terms of settlement agreement. (See Order) cm LJM OBV 47 PG 849 eod 09/21/01 [DLD]
- 10/04/2001 127 AMENDED COMPLAINT naming new sub-class action. cs DEFT Bliss Green eod 10/04/01 [DLD]
- 06/21/2002 128 Motion to Intervene and Jury Demand. cs BLISS GREEN eod 06/24/02 [DLD]
- 06/26/2002 129 SEALED DOCUMENT - Motion eod 06/27/02 [DLD]
- 06/26/2002 130 SEALED DOCUMENT - Motion eod 06/27/02 [DLD]
- 07/02/2002 131 SEALED DOCUMENT - Supplement to Motion. eod 07/03/02 [PLM]
- 07/22/2002 132 SUBMISSION of materials address to IRS. BLISS H. GREEN eod 07/23/02 [DLD]

07/25/2002 133 NOTICE and call for judgment: Failure to respond/default -cs PLTF Bliss H. Green eod 07/29/02 [EAH]

08/02/2002 134 MOTION for Order approving distribution of the net settlement fund c/s PLTFs eod 08/05/02 [SWM]

08/02/2002 135 AFFIDAVIT of Lester L Levy in support of Order approving distribution of the net settlement fund eod 08/05/02 [SWM]

08/02/2002 136 AFFIDAVIT of Karen-Ann Levine eod 08/05/02 [SWM]

08/06/2002 137 ORDER APPROVING distribution of the net settlement fund (see order) -cm LJM eod 08/06/02 [EAH]

08/06/2002 138 MOTION TO AMEND compensation. cs BLISS GREEN eod 08/07/02 [DLD]

09/13/2002 139 REPLY to Motion to Amend. cs BLISS GREEN eod 09/14/02 [DLD]

09/16/2002 140 Motion to re-affirm voidness of all his Analytical Surveys stock. cs BLISS GREEN eod 09/17/02 [DLD]

10/01/2002 141 SUBMISSION of Correction/Supplement Nunc Pro Tunc. Bliss Green eod 10/01/02 [DLD]

10/01/2002 142 Motion for Relief from Judgment. Bliss Green eod 10/01/02 [DLD]

10/16/2002 143 Motion for Mandatory Settlement Conference to settle last pleading and amended complaint dated 23 September 2002. Bliss Green eod 10/17/02 [DLD]

04/02/2003 144 ENTRY Discussing further Post-Judgment Motions. The medley of post-judgment motions filed by Bliss H. Green are each Denied. (S.E.) cm LJM eod 04/02/03 [MAC]

04/07/2003 145 Motion to intervene (second amended) c/s PLTF-Bliss Green eod 04/07/03 [CBU]

04/10/2003 146 ENTRY - The second amended motion to intervene and the tendered amended complaint of putative intervenor Bliss H Green have been considered. The second amended motion to intervene is DENIED as untimely (see entry) cm LJM eod 04/11/03 [SWM]

04/16/2003 147 SUBMISSION of corrected motion filed 4/7/03 by Bliss Green. c/s eod 04/21/03 [YOL]

04/21/2003 148 SUBMISSION of "Supplementary authority for vacating void judgment pursuant Bliss Breen's motion now asserted pursuant Fed.R.Civ.P. 60(b)(4)." c/s eod 04/22/03 [YOL]

05/01/2003 149 ENTRY -Mr. Green's request to vacate the final judgment in this action, or any post-judgment rulings for that matter, is Denied. LJM cm eod 05/06/03 [YOL]

05/19/2003 150 MOTION TO AMEND or alter the judgment pursuant to FRCP Rule 60 (b) PLTF-Bliss Green eod 05/20/03 [CBU]

05/22/2003 151 ENTRY denies motion to amend or alter cm LJM eod 05/23/03 [CBU]

06/16/2003 152 NOTICE OF APPEAL from the Entry dated May 22, 2003. c/s Putative Intervenor Bliss H. Green eod 06/17/03 [GRN]

06/17/2003 153 APPEAL FEES PAID RECEIPT # 1010-675 eod 06/17/03 [GRN]

06/17/2003 154 SHORT RECORD SENT TO CA w Notice, Info Sheet & Docket Sheet eod 06/17/03 [GRN]

06/24/2003 155 ACK FROM CA SHORT RECORD received & assigned CA # 03-2650 eod

06/26/03 [GRN]

06/26/2003 156 DESIGNATION OF RECORD c/s Appellant eod 06/30/03 [GRN]

06/26/2003 157 SEVENTH CIRCUIT TRANSCRIPT INFORMATION SHEET {None ordered}
Appellant eod 06/30/03 [GRN]

06/26/2003 158 CERTIFICATE OF SERVICE "...of Disignated [sic] Record and Seventh Circuit
Transcript Information Sheet" Appellant eod 06/30/03 [GRN]

10/23/2003 159 LONG RECORD SENT TO CA consisting of 4 vols pleadings, 2 vols loose
pleadings, and 1 sealed envelope (per request of CA). eod 10/23/03 [TMA]

10/27/2003 160 ACK FROM CA LONG RECORD RECEIVED on 10/24/03. eod 10/28/03 [TMA]

01/12/2004 161 MANDATE RECEIVED FROM CA. - The judgment of the District Court is
AFFIRMED, with costs, in accordance with the decision of the CA entered on
12/10/03. Received certified copy of Mandate. Record returned consisting of 4 vols
pleadings, 2 vols loose pleadings, and 1 sealed envelope. eod 01/12/04 [TMA]

03/01/2004 162 MOITON for separate and district settlement for the claims of Bill H. Green. c/s
Bill H. Green eod 03/02/04 [YOL]

03/05/2004 163 ENTRY denying Motion for separate and distinct settlement for claims. cm LJM
eod 03/05/04 [YOL]