

U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:96-cv-02583-JES

Kronfeld, et al v. Bennett, et al
Assigned to: Judge John E. Sprizzo
Demand: \$0

Related Cases: [1:97-cv-04621-JES](#)
[1:02-cv-09913-JES](#)

Case in other court: 00-09486.
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 04/11/1996
Jury Demand: Both
Nature of Suit: 850
Securities/Commodities
Jurisdiction: Federal Question

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*on his own behalf and on behalf of a class
of persons similarly situated*

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V.

Defendant

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Defendant

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Defendant

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Defendant

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Defendant

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Defendant

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Cross Defendant

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Cross Defendant

Richard MacPherson
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Cross Defendant

Robert Bryant

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Cross Defendant

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TERMINATED: 06/06/2003

Cross Defendant

Shaun O'Neill

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Stewart Weisman

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Cross Defendant

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Lisa Henson

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Storch & Brenner

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Robinson, St. John & Wayne

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Cross Defendant

Global Benefits Corporation

TERMINATED: 06/06/2003

Cross Defendant

Anthony DelSpina

Cross Defendant

Mervin Goldman

TERMINATED: 06/06/2003

Cross Defendant

Resource Planning Group

TERMINATED: 06/06/2003

Cross Defendant

Alvin Rapp

TERMINATED: 06/06/2003

Cross Defendant

Bernard Eyssalenne

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Counter Claimant

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Generali Underwriters, Inc.

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Counter Defendant

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Cross Defendant

Bankers Financial Corp.

Cross Defendant

Brighton Securities Corp.

Cross Defendant

Sage-Rutty & Company

Cross Defendant

Summitt Financial Services, Inc.

Cross Defendant

Richard C. Breeden
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Inc.*

Cross Defendant

Resort Service Company, Inc.

Cross Defendant

The Processing Center, Inc.

Cross Defendant

Bennett Receivables Corporation

Movant

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Movant

Ralph Confino

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Movant

Sylvia Confino

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Cross Defendant

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Cross Defendant

Shaun O'Neill
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Cross Defendant

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Cross Defendant

Linda Calabria
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represented by **Alan Ross Pearlson**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Generali U.S. Branch
TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Generali Underwriters, Inc.
TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Kirkpatrick & Lockhart LLP

Cross Defendant

Storch & Brenner

Cross Defendant

Robinson, St. John & Wayne
TERMINATED: 04/16/1997

Cross Defendant

Global Benefits Corporation
TERMINATED: 05/30/2003

represented by **Lawrence B. Kahn**
LAWRENCE B. KAHN
11 GRACE AVENUE
GREAT NECK, NY 11021
(516) 466-6952
TERMINATED: 05/30/2003
LEAD ATTORNEY

Cross Defendant

Mervin Goldman
TERMINATED: 06/06/2003

Cross Defendant

Resource Planning Group
TERMINATED: 06/06/2003

Cross Defendant

Alvin Rapp
TERMINATED: 06/06/2003

Cross Defendant

Bernard Eyssalenne
TERMINATED: 06/06/2003

Cross Defendant

Sima Insurance Company
TERMINATED: 06/06/2003

Counter Claimant

Alan P. Halpert

represented by **Alan Ross Pearlson**
(See above for address)
LEAD ATTORNEY

V.

Counter Defendant

all plaintiffs

Cross Defendant

Patrick R. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kathleen M. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Generali U.S. Branch
TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Generali Underwriters, Inc.
TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**
(See above for address)
LEAD ATTORNEY

Cross Claimant

Peter Rosenbaum

represented by **Mark S. Mulholland**
(See above for address)

TERMINATED: 07/28/1997
LEAD ATTORNEY

V.

Cross Defendant

Patrick R. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kathleen M. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kevin Kuppel
TERMINATED: 06/06/2003

represented by **Bruce G. Soden**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

William P. Crowley
TERMINATED: 06/06/2003

Cross Defendant

Joanne Corasaniti
TERMINATED: 06/06/2003

Cross Defendant

Richard MacPherson
TERMINATED: 03/17/1999

Cross Defendant

Robert Bryant
TERMINATED: 06/06/2003

Cross Defendant

Merrilee Goffredo
TERMINATED: 06/06/2003

represented by **Richard H. Sargent**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Shaun O'Neill
TERMINATED: 06/06/2003

Cross Defendant

Stewart Weisman
TERMINATED: 06/06/2003

Cross Defendant

Linda Calabria
TERMINATED: 06/06/2003

Cross Defendant

Robert McCarthy
TERMINATED: 06/06/2003

represented by **Richard H. Sargent**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Robert McCarthy
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Robert Ruckel
TERMINATED: 06/06/2003

Cross Defendant

Timothy White

Cross Defendant

Albert Cerimelli

represented by **Emil M. Rossi**
(See above for address)
TERMINATED: 07/09/1997
LEAD ATTORNEY

Thomas C. Kingsley

(See above for address)

Cross Defendant

John Sullivan

Cross Defendant

Paul Usztok

Cross Defendant

Kenneth Kasarjian

TERMINATED: 06/06/2003

Cross Defendant

Lisa Henson

Cross Defendant

Rickel & Associates

TERMINATED: 06/06/2003

represented by **Glenn S. Kerner**

(See above for address)

TERMINATED: 06/06/2003

LEAD ATTORNEY

Cross Defendant

Halpert & Company

represented by **Alan Ross Pearlson**

(See above for address)

LEAD ATTORNEY

Cross Defendant

Generali U.S. Branch

TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**

(See above for address)

LEAD ATTORNEY

Cross Defendant

Generali Underwriters, Inc.

TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**

(See above for address)

LEAD ATTORNEY

Cross Defendant

Kirkpatrick & Lockhart LLP

Cross Defendant

Storch & Brenner

Cross Defendant

Robinson, St. John & Wayne

TERMINATED: 04/16/1997

Cross Defendant

Global Benefits Corporation

TERMINATED: 06/06/2003

represented by **Lawrence B. Kahn**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Anthony DelSpina

represented by **Anthony DelSpina**
(See above for address)
PRO SE

Cross Defendant

Mervin Goldman

TERMINATED: 06/06/2003

Cross Defendant

Resource Planning Group

TERMINATED: 06/06/2003

represented by **Eric Seiler**
Friedman & Kaplan
875 Third Avenue
New York, NY 10022
(212)833-1100
Email: eseiler@fklaw.com
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Alvin Rapp

represented by **Eric Seiler**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Bernard Eyssalenne

TERMINATED: 06/06/2003

Cross Defendant

Sima Insurance Company
TERMINATED: 06/06/2003

Cross Defendant

Patrick R. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kathleen M. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kevin Kuppel
TERMINATED: 06/06/2003

represented by **Bruce G. Soden**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

William P. Crowley
TERMINATED: 06/06/2003

Cross Defendant

Joanne Corasaniti
TERMINATED: 06/06/2003

Cross Defendant

Richard MacPherson
TERMINATED: 03/17/1999

Cross Defendant

Robert Bryant
TERMINATED: 06/06/2003

Cross Defendant

Merrilee Goffredo

represented by **Richard H. Sargent**

TERMINATED: 06/06/2003

(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Shaun O'Neill
TERMINATED: 06/06/2003

Cross Defendant

Stewart Weisman
TERMINATED: 06/06/2003

Cross Defendant

Linda Calabria
TERMINATED: 06/06/2003

Cross Defendant

Robert McCarthy
TERMINATED: 06/06/2003

represented by **Richard H. Sargent**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Robert McCarthy
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Robert Ruckel
TERMINATED: 06/06/2003

Cross Defendant

Timothy White

Cross Defendant

Albert Cerimelli
TERMINATED: 06/06/2003

represented by **Emil M. Rossi**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Thomas C. Kingsley
(See above for address)

Cross Defendant

John Sullivan

Cross Defendant

Paul Usztok

Cross Defendant

Kenneth Kasarjian

Cross Defendant

Lisa Henson

represented by **Peter J. Cambs**
Smith Sovik Kendrick & Sugnet, P.C.
250 South Clinton Street, Suite 600
Syracuse, NY 13202
(315) 474-2911
LEAD ATTORNEY

Cross Defendant

Rickel & Associates

represented by **Glenn S. Kerner**
Schneck Weltman & Hashmall LLP
1285 Avenue of the Americas
New York, NY 10019
(212)956-1500
LEAD ATTORNEY

Glenn S. Kerner
(See above for address)
LEAD ATTORNEY

Cross Defendant

Halpert & Company

represented by **Alan Ross Pearlson**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Genarali U.S. Branch
TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Generali Underwriters, Inc.
TERMINATED: 03/30/1999

represented by **Bruce Edward Clark**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Kirkpatrick & Lockhart LLP
TERMINATED: 06/27/1997

Cross Defendant

Storch & Brenner
TERMINATED: 06/27/1997

Cross Defendant

Robinson, St. John & Wayne
TERMINATED: 06/27/1997

Cross Defendant

Global Benefits Corporation
TERMINATED: 06/06/2003

Cross Defendant

Anthony DelSpina

represented by **Anthony DelSpina**
(See above for address)
PRO SE

Cross Defendant

Mervin Goldman

Cross Defendant

Bernard Eyssalenne

Cross Defendant

Sima Insurance Company
TERMINATED: 06/06/2003

ThirdParty Plaintiff

Peter Dean Investments
TERMINATED: 06/06/2003

represented by **Alice K Jump**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Joel M. Leifer
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

ThirdParty Plaintiff

Peter DeAngelis
TERMINATED: 06/06/2003

represented by **Alice K Jump**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Joel M. Leifer
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

V.

ThirdParty Defendant

Fabietti & Associates, P.A.
TERMINATED: 11/23/2004

represented by **Mark S. Halpern**
Halpern & Levy, P.C.
One Belmont Avenue
Bala Cynwyd, PA 19004
(610) 668-5454
LEAD ATTORNEY

ThirdParty Defendant

Robinson St. John
TERMINATED: 07/05/2001

ThirdParty Defendant

Robinson St. John & Wayne
TERMINATED: 07/05/2001

represented by **Janice DiGennaro**
Rivkin, Radler & Kremer
EAB Plaza
Uniondale, NY 11556-0111
(516) 357-3000
TERMINATED: 07/05/2001
LEAD ATTORNEY

ThirdParty Defendant

Anderson Kill & Olick P.C.
TERMINATED: 05/25/2001

represented by **Robert Mark Keenan**
Anderson Kill & Olick, P.C.
1251 Avenue of the Americas

New York, NY 10020
212-278-1888
Fax: 212-278-1733
Email: mkeenan@andersonkill.com
TERMINATED: 05/25/2001
LEAD ATTORNEY

ThirdParty Defendant

Harvey Merkin
TERMINATED: 11/05/2001

represented by **Howard M. Sommers**
(See above for address)
TERMINATED: 11/05/2001
LEAD ATTORNEY

ThirdParty Defendant

William Madden
TERMINATED: 11/05/2001

represented by **Howard M. Sommers**
(See above for address)
TERMINATED: 11/05/2001
LEAD ATTORNEY

ThirdParty Defendant

Alan Solomon

represented by **Alan Solomon**
700 H Lake Street
Ramsey, NJ 07446
PRO SE

Counter Claimant

Peter Dean Investments
TERMINATED: 06/06/2003

represented by **Alice K Jump**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Joel M. Leifer
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Counter Claimant

Peter DeAngelis
TERMINATED: 06/06/2003

represented by **Alice K Jump**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Joel M. Leifer
(See above for address)
TERMINATED: 06/06/2003

LEAD ATTORNEY

V.

Counter Defendant

Victor A. Fabietti

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Counter Defendant

Agostino R. Fabietti, Jr.

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Counter Defendant

William G. Wosnack

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Patrick R. Bennett

TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett

TERMINATED: 06/06/2003

Cross Defendant

Kathleen M. Bennett

TERMINATED: 06/06/2003

Cross Defendant

Kenneth Kasarjian

TERMINATED: 06/06/2003

Cross Defendant

Patrick R. Bennett

TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kathleen M. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kevin Kuppel
TERMINATED: 06/06/2003

represented by **Bruce G. Soden**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

William P. Crowley
TERMINATED: 06/06/2003

Cross Defendant

Joanne Corasaniti
TERMINATED: 06/06/2003

Cross Defendant

Richard MacPherson
TERMINATED: 06/06/2003

Cross Defendant

Robert Bryant
TERMINATED: 06/06/2003

Cross Defendant

Merrilee Goffredo
TERMINATED: 06/06/2003

represented by **Richard H. Sargent**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Shaun O'Neill
TERMINATED: 06/06/2003

Cross Defendant

Stewart Weisman
TERMINATED: 06/06/2003

Cross Defendant

Linda Calabria
TERMINATED: 06/06/2003

Cross Defendant

Robert McCarthy
TERMINATED: 06/06/2003

represented by **Richard H. Sargent**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Robert McCarthy
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Robert Ruckel
TERMINATED: 06/06/2003

Cross Defendant

Timothy White

Cross Defendant

Albert Cerimelli
TERMINATED: 06/27/1997

represented by **Emil M. Rossi**
(See above for address)
TERMINATED: 06/27/1997
LEAD ATTORNEY

Thomas C. Kingsley
(See above for address)

Cross Defendant

Kenneth Kasarjian
TERMINATED: 06/06/2003

Cross Defendant

Patrick R. Bennett

Counter Claimant

Horizon Securities
TERMINATED: 06/06/2003

represented by **Howard M. Sommers**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

V.

Counter Defendant

Victor A. Fabietti

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Counter Defendant

Agostino R. Fabietti, Jr.

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Patrick R. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kathleen M. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kenneth Kasarjian
TERMINATED: 06/06/2003

Cross Defendant

Kevin Kuppel
TERMINATED: 06/06/2003

represented by **Bruce G. Soden**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

William P. Crowley

TERMINATED: 06/06/2003

Cross Defendant

Richard MacPherson

TERMINATED: 03/17/1999

Cross Defendant

Joanne Corasaniti

TERMINATED: 06/06/2003

represented by **Peter J. Cambs**

(See above for address)

TERMINATED: 06/06/2003

LEAD ATTORNEY

Cross Defendant

Stewart Weisman

Cross Defendant

Robert Bryant

TERMINATED: 06/06/2003

Cross Defendant

Merrilee Goffredo

TERMINATED: 06/06/2003

represented by **Richard H. Sargent**

(See above for address)

TERMINATED: 06/06/2003

LEAD ATTORNEY

Cross Defendant

Shaun O'Neill

TERMINATED: 06/06/2003

Cross Defendant

Linda Calabria

TERMINATED: 06/06/2003

represented by **Peter J. Cambs**

(See above for address)

TERMINATED: 06/06/2003

LEAD ATTORNEY

Cross Defendant

Robert McCarthy

TERMINATED: 06/06/2003

represented by **Richard H. Sargent**

(See above for address)

TERMINATED: 06/06/2003

LEAD ATTORNEY

Robert McCarthy
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Robert Ruckel
TERMINATED: 06/06/2003

represented by **Peter J. Cambs**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Timothy White

Cross Defendant

Albert Cerimelli
TERMINATED: 06/06/2003

represented by **Emil M. Rossi**
(See above for address)
TERMINATED: 07/09/1997
LEAD ATTORNEY

Thomas C. Kingsley
(See above for address)
TERMINATED: 06/06/2003

Cross Defendant

Patrick R. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kathleen M. Bennett
TERMINATED: 06/06/2003

Cross Defendant

Kenneth Kasarjian
TERMINATED: 06/06/2003

Cross Defendant

Stewart Weisman
TERMINATED: 06/06/2003

ThirdParty Defendant

Fabietti & Associates, P.A.
TERMINATED: 11/23/2004

ThirdParty Defendant

Robinson St. John & Wayne
TERMINATED: 07/05/2001

represented by **Janice DiGennaro**
(See above for address)
TERMINATED: 07/05/2001
LEAD ATTORNEY

ThirdParty Defendant

Robinson St. John & Wayne
TERMINATED: 07/05/2001

ThirdParty Defendant

Anderson Kill & Olick P.C.

ThirdParty Defendant

Harvey Merkin
TERMINATED: 11/05/2001

ThirdParty Defendant

William Madden
TERMINATED: 11/05/2001

ThirdParty Defendant

Alan Solomon

Counter Defendant

William G. Wosnack

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Counter Defendant

Victor A. Fabietti

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Counter Defendant

Agostino R. Fabietti, Jr.

represented by **Edward S. Grossmann**
(See above for address)
LEAD ATTORNEY

Cross Defendant

Patrick R. Bennett

TERMINATED: 06/06/2003

Cross Defendant

Edmund T. Bennett

TERMINATED: 06/06/2003

represented by **Gary J. Rotella**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Kathleen M. Bennett

TERMINATED: 06/06/2003

represented by **Gary J. Rotella**
(See above for address)
TERMINATED: 06/06/2003
LEAD ATTORNEY

Cross Defendant

Kenneth Kasarjian

TERMINATED: 06/06/2003

Cross Defendant

Stewart Weisman

TERMINATED: 06/06/2003

represented by **Stewart Weisman**
(See above for address)
PRO SE

Date Filed	#	Docket Text
04/11/1996	1	COMPLAINT filed; Summons issued and Notice pursuant to 28 U.S.C. 636(c); Jury Trial Dem. FILING FEE \$ 120 RECEIPT # 258469 (sc) (Entered: 04/15/1996)
04/11/1996		CASE REFERRED TO Judge Sprizzo (sc) (Entered: 04/15/1996)
04/25/1996		Case accepted as related to 96 CV 2237. Notice of assignment to follow. (ricm) (Entered: 04/25/1996)
04/25/1996	2	Notice of asgmt _ to Judge John E. Sprizzo Copy of notice and judge's rules mailed to Attorney(s) of record: Jeffrey H. Squire . (ricm) (Entered: 04/25/1996)

04/25/1996	3	Case Information Statement Addendum and Case Designation to a Magistrate Judge filed. Purs. to statement, it is suggested that the case be classified as unknown. Case is designated to Magistrate Judge Buchwald. (ricm) (Entered: 04/25/1996)
05/05/1996	271	ANSWER to Consolidated Class Action Complaint dated 9/16/96 by Robert Ruckel (Attorney Peter J. Cambs) (lam) (Entered: 05/07/1998)
05/10/1996	4	STIPULATION and ORDER, reset answer due for 6/7/96 for Patrick R. Bennett (signed by Judge John E. Sprizzo). (emil) (Entered: 05/10/1996)
05/17/1996	5	STIPULATION and ORDER, reset answer due for 6/20/96 for Robert Bryant (signed by Judge John E. Sprizzo). (emil) (Entered: 05/20/1996)
06/14/1996	6	STIPULATION and ORDER, reset answer due for 6/20/96 for Kevin Kuppel (signed by Judge John E. Sprizzo). (emil) (Entered: 06/17/1996)
06/14/1996	7	STIPULATION and ORDER, reset answer due for 6/20/96 for Stewart Weisman (signed by Judge John E. Sprizzo). (emil) (Entered: 06/17/1996)
06/17/1996		Pre-trial conference held (emil) (Entered: 06/26/1996)
06/18/1996	8	STIPULATION and ORDER, reset answer due for 6/20/96 for Lisa Henson, for Robert Ruckel, for Linda Calabria, for Joanne Corasaniti (signed by Judge John E. Sprizzo). (emil) (Entered: 06/19/1996)
06/19/1996	9	NOTICE OF MOTION by the Bennett Funding Plaintiffs Group to consolidate and coordinate all actions pending before this Court (96 CV 2583, 96 CV 2816, 96 CV 2903, 96 CV 2989, 96 CV 3567, 96 CV 3614, 96 CV 4186, 96 CV 4304, 96 CV 4417 and 96 CV 4487) , for appointment of the Bennett Funding Plaintiffs Group (as defined in Grossman Affidavit) as Lead Plaintiffs , for approval of the Bennett Funding Pltffs Group's choice of counsel , for an order for entry of Pretrial Order No. 1 , Return date 7/25/96. Affidavit in support attached. Fld in night deposit on 6/19/96 at 9:47 p.m. (emil) Modified on 06/21/1996 (Entered: 06/21/1996)
06/19/1996	10	MEMORANDUM by the Bennett Funding Plaintiffs Group in support of [9-1] motion to consolidate and coordinate all actions pending before this Court (96 CV 2583, 96 CV 2816, 96 CV 2903, 96 CV 2989, 96 CV 3567, 96 CV 3614, 96 CV 4186, 96 CV 4304, 96 CV 4417 and 96 CV 4487, [9-2] motion for appointment of the Bennett Funding Plaintiffs Group (as defined in Grossman Affidavit) as Lead [9-3] motion for approval of the Bennett Funding Pltffs Group's choice of counsel, [9-4] motion for an order for entry of Pretrial Order No. 1. Fld in night deposit on 6/19/96 at 9:47 p.m. (emil) Modified on 06/21/1996 (Entered: 06/21/1996)
06/21/1996	11	NOTICE of attorney appearance for Robert McCarthy by Robert McCarthy (emil) (Entered: 06/24/1996)
06/24/1996	12	STIPULATION and ORDER, reset answer due for 6/28/96 for Merrilee Goffredo (signed by Judge John E. Sprizzo). (emil) (Entered: 06/25/1996)
06/26/1996	13	ORDER, plttf may file a motion to consolidate the actions mentioned in this order (96 CV 2816, 96 CV 2989, 96 CV 3657, 96 CV 3614 and 96 CV 4186) and any other related actions and a notice of motion for appointment of most adequate plttfs on or before 6/19/96. Any party in these actions may file a response to plttf's

		motions on or before 7/17/96 , set pretrial conference for 12:00 7/25/96 (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 06/26/1996)
07/03/1996	14	ANSWER to Complaint by Rickel & Associates (Attorney Glenn S. Kerner) (emil) (Entered: 07/05/1996)
07/17/1996	15	MEMORANDUM of Marcelle Greenfield, individually and on behalf of all other persons similarly situated in 96 CV 2903 (HB), in opposition to [9-1] motion to consolidate and coordinate all actions pending before this Court (96 CV 2583, 96 CV 2816, 96 CV 2903, 96 CV 2989, 96 CV 3567, 96 CV 3614, 96 CV 4186, 96 CV 4304, 96 CV 4417 and 96 CV 4487), [9-2] motion for appointment of the Bennett Funding Plaintiffs Group (as defined in Grossman Affidavit) as Lead Plaintiffs, [9-3] motion for approval of the Bennett Funding Pltffs Group's choice of counsel, [9-4] motion for an order for entry of Pretrial Order No. 1 (emil) (Entered: 07/18/1996)
07/17/1996	16	RESPONSE by Edmund T. Bennett, Kathleen M. Bennett & Michael Bennett to [9-1] motion to consolidate and coordinate all actions pending before this Court (96 CV 2583, 96 CV 2816, 96 CV 2903, 96 CV 2989, 96 CV 3567, 96 CV 3614, 96 CV 4186, 96 CV 4304, 96 CV 4417 and 96 CV 4487), [9-2] motion for appointment of the Bennett Funding Plaintiffs Group (as defined in Grossman Affidavit) as Lead Plaintiffs, [9-3] motion for approval of the Bennett Funding Pltffs Group's choice of counsel, [9-4] motion for an order for entry of Pretrial Order No. 1 (emil) (Entered: 07/18/1996)
07/17/1996	17	AFFIDAVIT in opposition of Irving Bizar on behalf of plttfs in the Greenfield Action Re: [9-1] motion to consolidate and coordinate all actions pending before this Court (96 CV 2583, 96 CV 2816, 96 CV 2903, 96 CV 2989, 96 CV 3567, 96 CV 3614, 96 CV 4186, 96 CV 4304, 96 CV 4417 and 96 CV 4487), [9-2] motion for appointment of the Bennett Funding Plaintiffs Group (as defined in Grossman Affidavit) as Lead Plaintiffs, [9-3] motion for approval of the Funding Pltffs Group's choice of counsel, [9-4] motion for an order for entry of Pretrial Order No. 1 (emil) (Entered: 07/18/1996)
07/17/1996	18	DECLARATION of Paul Kizel, on behalf of Halpert & Co., Inc., in response Re: [9-1] motion to consolidate and coordinate all actions pending before this Court (96 CV 2583, 96 CV 2816, 96 CV 2903, 96 CV 2989, 96 CV 3567, 96 CV 3614, 96 CV 4186, 96 CV 4304, 96 CV 4417 and 96 CV 4487), [9-2] motion for appointment of the Bennett Funding Plaintiffs Group (as defined in Grossman Affidavit) as Lead Plaintiffs, [9-3] motion for approval of the Bennett Funding Pltffs Group's choice of counsel, [9-4] motion for an order for entry of Pretrial Order No. 1 . (emil) (Entered: 07/18/1996)
07/29/1996		Pre-trial conference held (emil) (Entered: 08/05/1996)
08/02/1996	19	ORDER, plttfs may file a consolidated amended complaint on or before 9/16/96 , staying defts time to file an answer until 10/11/96 , staying discovery until 10/11/96 , set pretrial conference for 1:00 10/11/96 (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 08/05/1996)
08/02/1996	20	PRETRIAL ORDER No. 1. The following actions pending in the SDNY are, until further Order of this Court, consolidated for all purposes purs. to Rule 42(a) of the FRCP: 96 CV 2583, 96 CV 2816, 96 CV 2903, 96 CV 2989, 96 CV 3567, 96 CV

		3614, 96 CV 4186, 96 CV 4304, 96 CV 4417, 96 CV 4487, 96 CV 5177, 96 CV 5318, 96 CV 5321, 96 CV 5322 and 96 CV 5323. The caption of the Consolidated Actions shall be "In re Bennett Funding Group, Inc. Securities Litigation" and the files of this action shall be maintained under Master File N. 96 CV 2583 (signed by Judge John E. Sprizzo) (emil) (Entered: 08/05/1996)
08/02/1996		Consolidated Lead Case (emil) (Entered: 08/05/1996)
08/05/1996	21	CONSENT ORDER, substituting Greene, Hershdorfer & Sharpe in place of James F. Gaul as counsel for Kevin Kuppel (signed by Judge John E. Sprizzo) (emil) Modified on 08/06/1996 (Entered: 08/06/1996)
08/05/1996	22	STIPULATION and ORDER, the time for defts Kuppel and Crowley to answer is extended to 30 days after the ruling of Bankruptcy Judge Gerling on the Trustee's motion to stay all civil actions (signed by Judge John E. Sprizzo). (emil) (Entered: 08/06/1996)
08/09/1996	23	Affidavit of service as to Edmund T. Bennett by affixing to the door on 5/18/96 at 9:15am and 2:10pm, 5/20/96 at 6:05pm, 5/21/96 at 1:30pm and by Mail on 5/22/96 (kk) (Entered: 08/12/1996)
08/09/1996	23	Affidavit of service as to Kathleen M. Bennett by affixing to the door on 5/18/96 at 9:15am and 2:10pm, 5/20/96 at 6:05pm, 5/21/96 at 1:30pm and by Mail on 5/22/96. (kk) (Entered: 08/12/1996)
08/09/1996	23	Affidavit of service as to Rickel & Associates by delivering and leaving with Mr. Michael Torres, Managing Agent at 45 Essex Street, Millburn, NJ 07041 on 4/16/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Halpert & Company by delivering and leaving with Alan Halpert, Managing Agent at 284 Millburn Avenue, Millburn, NJ 07041 on 4/16/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Stewart Weisman by Personal Service serving 2 Clinton Square, Syracuse, NY on 5/1/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Richard MacPherson by delivering and leaving with Janette Ripka, Manager at Coach Mac's Bar & Grille, Hotel Syracuse, 500 S. Warren Street, Syracuse, NY on 5/14/96 and by Mail on 5/15/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to William P. Crowley by Personal Service serving 4949 Beef Street, Marcellus, NY 13108 on 5/30/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Merrilee Goffredo by Personal Service serving 248 Dale Street, Syracuse, NY 13208 on 5/17/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Joanne Corasaniti by Personal Service serving 116 Lorian Drive, Syracuse, NY on 5/15/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Robert Bryant by Personal Service serving 104 Lathrop Road (Town of Geddes), Syracuse, NY 13219 on 5/16/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Kevin Kuppel by delivering and leaving with Mrs.

		Kuppel, wife and person of suitable age and discretion at 8187 Constable Drive, Liverpool, NY on 5/14/96 and by Mail on 5/15/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Albert Cerimelli by Personal Service serving Lyncourt School, Ball Field, 2709 Court Street, Syracuse, NY 13208 on 6/3/96 (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Paul Usztok by delivering and leaving with Susan Usztok, wife and person of suitable age and discretion at 4499 Red Spruce Lane, Manlius, NY 13104 on 5/24/96 and by Mail on 5/28/96. (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Timothy White by delivering and leaving with Michelle LeCompte, wife and person of suitable age and discretion at 104 Sedgwick Drive, Syracuse, NY 13203 on 6/1/96 and by Mail on 6/3/96. (kk) (Entered: 08/13/1996)
08/09/1996	23	Affidavit of service as to Michael Bennett by delivering and leaving with Beverly Stanton, a person of suitable age and discretion at The Hotels of Syracuse, 500 S. Warren Street, Syracuse, NY on 5/1/96 and by Mail on 5/2/96 (kk) (Entered: 08/13/1996)
09/06/1996	24	Transcript of record of proceedings filed for dates of 7/29/96 (emil) (Entered: 09/10/1996)
09/16/1996	25	Consolidated Class Action Complaint filed by plttfs and plttfs' Executive Committee. (Fld in night deposit on 9/16/96 at 9:50 p.m.) (emil) (Entered: 10/01/1996)
09/18/1996	26	Fld Amended Caption to Consolidated Class Action Complaint (emil) (Entered: 09/23/1996)
10/11/1996		Pre-trial conference held (emil) (Entered: 10/22/1996)
10/21/1996	27	ANSWER to Consolidated Class Action Complaint by Robert McCarthy (Attorney Richard H. Sargent), jury demand (emil) (Entered: 10/21/1996)
10/21/1996	28	ORDER, the law firm defts may file a motion to dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. The auditor defts may file a motion to dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. The broker defts may file a motion dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. The insurance company defts may file a motion to dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. Defts may file a motion to dismiss for failure to plead w/ particularity, accompanied by one brief limited to 10 pages in length, on or before 12/2/96. Pltff may file a motion in response to defts' motions, limited to 75 pages in length, on or before 1/20/97. Pltff may file a cross-motion as to the issue of class certification on or before 1/20/97. Defts may file one reply to plttf's cross-motion for class certification on or before 2/14/97 , set oral argument for 1:30 4/18/97 , reset answer due for 12/31/96 , set discovery due for 6/30/97 , set pretrial conference for 10:30 12/20/96 (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 10/22/1996)
10/21/1996	29	STIPULATION and ORDER, substituting Sills, Cummis, Zuckerman, Radin, Tishman, Epstein & Gross in place of Ravin, Sarasohn, Cook, Baumgarten, Fisch & Rosen as counsel for defts Halpert & Co., Inc. and Alan Halpert (signed by

		Judge John E. Sprizzo). (emil) (Entered: 10/22/1996)
10/23/1996	30	NOTICE of Change of Address (in Washington, DC) of counsel for plttf Charles Richer (emil) (Entered: 10/24/1996)
10/30/1996	31	Affidavit of service of summons, consolidated class action complaint upon Ms. Janice Witkowski of Triangle Insurance Management Ltd., Capital Insurance/Bennett on 9/30/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint upon Mervin H. Goldman on 9/18/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Peter S. Rosenbaum upon Lourdes Felix on 9/19/96; also mailed copy of same to Peter S. Rosenbaum on the 20th of September, 1996. (djc) Modified on 10/30/1996 (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Generali - U.S. Brance .. upon John J.DiGregoria on 9/18/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Generali Underwriters, Inc upon John J. DiGregoria on 9/18/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Global Benefits Corporation upon Arlene Resnick on 9/25/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint upon Linda Ferreira on 9/19/96 as to Bernard W. Eyssalenne (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Robinson St. John & Wayne upon Robert Wayne on 9/18/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Kirkpatrick & Lockhart upon Susan Calamia on 9/18/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Anthony Delspina upon Frances Delspina on 9/18/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint as to Bennett Funding International upon Ed Gaudino on 9/19/96 (djc) (Entered: 10/30/1996)
10/30/1996	31	Affidavit of service of summons and complaint upon Mr. Storch on 9/19/96 (djc) (Entered: 10/30/1996)
11/04/1996	32	ORDER, the law firm defts may file a motion to dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. The auditor defts may file a motion to dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. The broker defts may file a motion to dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. The insurance company defts may file a motion to dismiss, accompanied by one brief limited to 25 pages in length, on or before 12/2/96. Defts may file a motion to dismiss for failure to plead w/ particularity, accompanied by one brief limited to 10 pages in length, on or before 12/2/96. Plttf may file a motion in response to defts' motions, limited to 75 pages in length, on or before 1/20/97. Plttf may file a cross-motion as to the issue of class certification on or before 1/20/97. Defts may file one reply to plttf's cross-motion for class certification on or before 2/14/97 , set oral argument for 1:30

		4/18/97 , reset defts' answer due for 12/31/96 , set discovery due for 6/30/97 , set pretrial conference for 10:30 12/20/96 (signed by Judge John E. Sprizzo); Copies mailed (emil) Modified on 11/06/1996 (Entered: 11/06/1996)
11/08/1996	33	Corrected Consolidated Class Action Complaint. (emil) (Entered: 11/12/1996)
11/13/1996	34	ORDER, the pretrial conference scheduled for 12/20/96, at 10:30 a.m. shall be adjourned sine die (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 11/14/1996)
12/02/1996	35	Transcript of record of proceedings filed for dates of 10/11/96 (emil) (Entered: 12/02/1996)
12/04/1996	36	ORDER, the law firm, auditor, broker, and insurance company defts shall include in their briefs any arguments directed at failure to plead w/ particularity. Those briefs shall continue to be limited to 25 pages in length. All other defts may each file a motion to dismiss for failure to plead w/ particularity, limited to 10 pages in length. The law firm, auditor, and insurance company defts' time for filing their motions to dismiss is extended until 12/9/96. Pltffs' response to defts' motions to dismiss and time for filing a cross-motion as to the issue of class certification is extended until 1/27/97. Defts' time to reply to pltffs' cross-motion as to the issue of class certification is extended until 2/21/97 (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 12/05/1996)
12/09/1996	37	NOTICE OF MOTION by Kirkpatrick & Lockhart LLP, Storch & Brenner, and Robinson St. John & Wayne for an order dismissing Count IX of the Consolidated Amended Class Action Complaint against the Law Firm Defts, purs. to Rule 12(b)(6) of the FRCP, for failure to state a claim upon which relief can be granted, and, purs. to Rule 9(b) of the FRCP, for failure to plead the circumstances constituting the alleged fraud w/ the requisite degree of particularity , Return date 4/18/97 (emil) (Entered: 12/10/1996)
12/09/1996	38	MEMORANDUM by Kirkpatrick & Lockhart LLP, Storch & Brenner, and Robinson, St. John & Wayne in support of [37-1] motion for an order dismissing Count IX of the Consolidated Amended Class Complaint against the Law Firm Defts, purs. to Rule of the FRCP, for failure to state a claim upon which relief can be granted, and, purs. to Rule 9(b) of the FRCP, for failure to plead the circumstances constituting the alleged fraud w/ the requisite degree of particularity (emil) (Entered: 12/10/1996)
12/09/1996	39	NOTICE OF MOTION by Mahoney Cohen & Co. for an order, purs. to Rules 9(b) and 12(b)(6) of the FRCP, and the Private Securities Litigation Reform Act of 1995, dismissing the complaint against Mahoney Cohen in its entirety , Return date 4/18/97. Affidavit in support attached. (emil) (Entered: 12/10/1996)
12/09/1996	40	MEMORANDUM by Mahoney Cohen & Co. in support of [39-1] motion for an order, purs. to Rules 9(b) and 12(b)(6) of the FRCP, and the Private Securities Litigation Reform Act of 1995, dismissing the complaint against Mahoney Cohen in its entirety (emil) (Entered: 12/10/1996)
12/09/1996	41	NOTICE OF MOTION by Rickel & Associates, Halpert & Co., Allen R. Rosenberg, American Eagle Inv., Alan P. Halpert, Horizon Securities, Peter Dean Invest., Peter DeAngelis, Weiner Abrams & Co., Mid-State Securities, Randolph

		Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn, Dorfman, Goldman, First Interregional, First Miami Securiti, Resource Planning, Monarch Financial, Bernard Eyssalenne, Mervin Goldman, Alvin Rapp, Peter Rosenbaum, Allen Rosenberg, Global Benefits Corp. purs. to Rules 9(b) and 12(b)(6) of the FRCP, to dismiss in full Counts V, VII and XIV of plttfs' Complaint, and dismissing in part Counts I, II and III, w/ costs and expenses , Return date not indicated. Fld in night deposit on 12/9/96 at 10:09 p.m. 6/7/96 (emil) (Entered: 12/11/1996)
12/09/1996	42	MEMORANDUM by Rickel & Associates, Halpert & Co., Allen R. Rosenberg, American Eagle Inv., Alan P. Halpert, Horizon Securities, Peter Dean Invest., Peter DeAngelis, Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn, Dorfman, Goldman, First Interregional, First Miami Securiti, Resource Planning, Monarch Financial, Bernard Eyssalenne, Mervin Goldman, Alvin Rapp, Peter Rosenbaum, Global Benefits Corp in support of [41-1] motion purs. to Rules 9(b) and 12(b)(6) of the FRCP, to dismiss in full Counts V, VII and XIV of plttfs' Complaint, and dismissing in part Counts I, II and III, w/ costs and expenses. Fld in night deposit on 12/9/96 at 10:09 p.m. (emil) (Entered: 12/11/1996)
12/09/1996	43	NOTICE OF MOTION by Rickel & Associates, Halpert & Co., Allen R. Rosenberg, American Eagle Inv., Alan P. Halpert, Horizon Securities, Peter Dean Invest., Peter DeAngelis, Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn, Dorfman, Goldman, First Interregional, First Miami Securiti, Resource Planning, Monarch Financial, Bernard Eyssalenne, Mervin Goldman, Alvin Rapp, Peter Rosenbaum, Global Benefits Corp. purs. to Section 21D(b)(3) of the Private Sec. Litigation Reform Act of 1995, 15 USC 78u-4(b)(3), to stay all discovery and other proceedings during the pendency of the motion to dismiss filed this date by the Broker Individuals and the Brokerage Firms , Return date not indicated. Fld in night deposit on 12/9/96 at 10:09 p.m. (emil) Modified on 12/11/1996 (Entered: 12/11/1996)
12/09/1996	44	MEMORANDUM by Rickel & Associates, Halpert & Co., Allen R. Rosenberg, American Eagle Inv., Alan P. Halpert, Horizon Securities, Peter Dean Invest., Peter DeAngelis, Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn, Dorfman, Goldman, First Interregional, First Miami Securiti, Resource Planning, Monarch Financial, Bernard Eyssalenne, Mervin Goldman, Peter Rosenbaum, Global Benefits Corp. in support of [43-1] motion purs. to Section 21D(b)(3) of the Private Sec. Litigation Reform Act of 1995, 15 USC 78u-4(b)(3), to stay all discovery and other proceedings during the pendency of the motion to dismiss filed this date by the Broker Individuals and the Brokerage Firms. Fld in night deposit on 12/9/96 at 10:09 p.m. (emil) (Entered: 12/11/1996)
12/09/1996	45	NOTICE OF MOTION by Genarali U.S. Branch, Generali Underwriter purs. to Rules 12(b)(6), 12(b)(7) and 19 of the FRCP, to dismiss the complaint against the Generali Defts in its entirety , Return date 4/18/97. Fld in night deposit on 12/9/96 at 8:51 p.m. (emil) (Entered: 12/11/1996)
12/09/1996	46	MEMORANDUM by Genarali U.S. Branch, Generali Underwriter in support of [45-1] motion purs. to Rules 12(b)(6), 12(b)(7) and 19 of the FRCP, to dismiss the

		complaint against the Generali Defts in its entirety. Fld in night deposit on 12/9/96 at 8:51 p.m. (emil) (Entered: 12/11/1996)
12/18/1996	47	NOTICE OF MOTION by Robinson, St. John for an order, purs. to the Private Sec. Litigation Reform Act of 1995 15 USC 78U-4(b)(3)(B), for a stay of all discovery and other proceedings during the pendency of motions to dismiss filed in the action , Return date 4/18/97 (emil) (Entered: 12/19/1996)
12/18/1996	48	MEMORANDUM by Robinson, St. John in support of [47-1] motion for an order, purs. to the Private Sec. Litigation Reform Act of 1995 15 USC 78U-4(b)(3)(B), for a stay of all discovery and other proceedings during the pendency of motions to dismiss filed in the action (emil) (Entered: 12/19/1996)
12/18/1996	49	NOTICE OF MOTION by Storch & Brenner for a stay of all discovery and other proceedings during the pendency of all motions to dismiss filed in this action, purs. to the Private Sec. Litigation Reform Act of 1995, 15 USC 78U-4(b)(3)(B) , Return date not indicated. 4/18/97 (emil) (Entered: 12/19/1996)
12/23/1996	50	ANSWER to complaint and CROSSCLAIM by Albert Cerimelli (Attorney Emil M. Rossi) against all co-defts (emil) (Entered: 12/27/1996)
12/30/1996	51	ORDER, reset answer due for 2/1/97 for Merrilee Goffredo (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 12/31/1996)
12/31/1996	52	ANSWER to Complaint by Jameson, Dewitt (Attorney John N. Romans) (emil) (Entered: 01/02/1997)
01/03/1997		Pre-trial conference held (emil) (Entered: 02/04/1997)
01/08/1997	53	ANSWER by Kirkpatrick & Lock to [50-2] cross claim (emil) (Entered: 01/09/1997)
01/13/1997	54	ANSWER by Resource Planning, Alvin Rapp to [50-2] cross claim; by attorney Eric Seiler (emil) (Entered: 01/14/1997)
01/13/1997	55	NOTICE of Change of Address by counsel for First Miami Securiti (emil) (Entered: 01/14/1997)
01/14/1997	56	ANSWER by Mahoney Cohen & Co. to [50-2] cross claim (emil) (Entered: 01/15/1997)
01/14/1997	57	ANSWER by Peter Dean Invest., Peter DeAngelis to [50-2] cross claim (emil) (Entered: 01/16/1997)
01/15/1997	58	ANSWER by Robinson, St. John to [50-2] cross claim (emil) (Entered: 01/16/1997)
01/15/1997	59	ANSWER by First Miami Securiti to [50-2] cross claim (emil) (Entered: 01/16/1997)
01/17/1997	60	ANSWER by Global Benefits Corp to [50-2] cross claim (emil) (Entered: 01/21/1997)
01/24/1997	61	ANSWER by First Interregional to [50-2] cross claim; by attorney Stephen P. Younger (emil) (Entered: 01/27/1997)

01/27/1997	62	NOTICE OF MOTION by all plaintiffs purs. to Rule 23 of the FRCP: to certify this action as a class action on behalf of the following plttf class: all persons and entities (other than defts, members of their immediate families, heirs, affiliates, successors, and assigns) who purchased Bennett Securities or "rolled over" investments into Bennett Securities during the period from 3/29/92 through 3/29/96; for certification of the various plttf subclasses as listed in this motion; to certify a deft class (the "Broker Defendant Class"), consisting of all broker-dealers and other entities that sold the Bennett Securities, solely w/ respect to the plttfs' claim under Section 12(1) of the 1933 Act, set forth in Count I of the Complaint , Return date not indicated. Fld in night deposit on 1/27/97 at 9:31 p.m.(emil) Modified on 01/29/1997 (Entered: 01/29/1997)
01/27/1997	63	MEMORANDUM by all plaintiffs in support of [62-1] motion purs. to Rule 23 of the FRCP: to certify this action as a class action on behalf of the following plttf class: all persons and entities (other than defts, members of their immediate families, heirs, affiliates, successors, and assigns) who purchased Bennett Securities or "rolled over" investments into Bennett Securities during the period from 3/29/92 through 3/29/96; for certification of the various plttf subclasses as listed in this motion; to certify a deft class (the "Broker Defendant Class"), consisting of all broker-dealers and other entities that sold the Bennett Securities, solely w/ respect to the plttfs' claim under Section 12(1) of the 1933 Act, set forth in Count I of the Complaint. Fld in night deposit on 1/27/97 at 9:31 p.m. (emil) (Entered: 01/29/1997)
01/27/1997	64	AFFIDAVIT in support of Edward A. Grossman by all plaintiffs Re: [62-1] motion purs. to Rule 23 of the FRCP: to certify this action as a class action on behalf of the following plttf class: all persons and entities (other than defts, members of their immediate families, heirs, affiliates, successors, and assigns) who purchased Bennett Securities or "rolled over" investments into Bennett Securities during the period from 3/29/92 through 3/29/96; for certification of the various plttf subclasses as listed in this motion; to certify a deft class (the "Broker Defendant Class"), consisting of all broker-dealers and other entities that sold the Bennett Securities, solely w/ respect to the plttfs' claim under Section 12(1) of the 1933 Act, set forth in Count I of the Complaint. Fld in night deposit on 1/27/97 at 9:32 p.m. (emil) (Entered: 01/29/1997)
01/27/1997	65	MEMORANDUM by all plaintiffs in response re: [39-1] motion for an order, purs. to Rules 9(b) and 12(b)(6) of the FRCP, and Private Securities Litigation Reform Act of 1995, to dismiss the complaint against Mahoney Cohen in its entirety; [37-1] motion for an order dismissing Count IX of the Consolidated Amended Class Action Complaint against the Law Firm Defts, purs. to Rule 12(b)(6) of the FRCP, for failure to state a claim upon which relief can be granted, and, purs. to Rule 9(b) of the FRCP, for failure to plead the circumstances constituting the alleged fraud w/ the requisite degree of particularity; [45-1] motion purs. to Rules 12(b)(6), 12(b)(7) and 19 of the FRCP, to dismiss the complaint against the Generali Defts in its entirety; [41-1] motion purs. to Rules 9(b) and 12(b)(6) of the FRCP, to dismiss in full Counts V, VII and XIV of plttfs' Complaint, and dismissing in part Counts I, II and III, w/ costs and expenses. Fld in night deposit on 1/27/97 at 9:32 p.m. (emil) (Entered: 01/29/1997)
01/27/1997	66	ANSWER by Peter Rosenbaum to [50-2] cross claim (emil) (Entered: 01/30/1997)

01/29/1997	67	STIPULATION and ORDER, the time within which Generali may answer the crossclaim of Albert Cerimeli is enlarged to and including the 20th day after Generali answers the Consolidated Class Action Complaint (signed by Judge John E. Sprizzo). (emil) (Entered: 01/30/1997)
01/29/1997	68	REPLY by Stewart Weisman Re: [50-2] cross claim (emil) (Entered: 01/31/1997)
01/31/1997	71	REPLY by Jameson, Dewitt Re: [50-1] cross-claim (emil) (Entered: 02/04/1997)
02/03/1997	69	ANSWER by Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoeber Glass & Co., Thomas Dunn to [50-2] cross claim (emil) (Entered: 02/03/1997)
02/03/1997	70	ORDER, reset oral argument on depts' motions to dismiss for 4:00 p.m. on 3/4/97 (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 02/04/1997)
02/03/1997	72	ANSWER by Anthony DelSpina to [50-2] cross claim (emil) (Entered: 02/04/1997)
02/06/1997	73	ANSWER by Albert Cerimelli to co-deft Mahoney Cohen & Co.'s cross-claims (emil) (Entered: 02/11/1997)
02/07/1997	74	ANSWER by Storch & Brenner to [50-2] cross claim. Fld in night deposit on 2/7/97 at 5:46 p.m. (emil) (Entered: 02/11/1997)
02/07/1997		Pre-trial conference held (emil) (Entered: 02/19/1997)
02/10/1997	75	ANSWER by Michael A. Bennett to [50-2] cross claim (emil) (Entered: 02/11/1997)
02/10/1997	76	ANSWER by First Interregional to [50-2] cross claim (emil) (Entered: 02/11/1997)
02/11/1997	77	CONSENT ORDER, substituting Sargent, Cramer & Myers in place of Joseph Fahey as counsel for Merrilee M. Goffredo (signed by Judge John E. Sprizzo) (emil) (Entered: 02/13/1997)
02/13/1997	78	ANSWER by Storch & Brenner to [50-2] cross claim. Fld in night deposit on 2/13/97 at 6:24 p.m. (emil) (Entered: 02/14/1997)
02/13/1997	79	ORDER from MDL Panel that this action is transferred to the SDNY and is assigned to Judge Sprizzo for coordinated or consolidated pretrial proceedings w/ the actions pending in this dist. (emil) (Entered: 02/18/1997)
02/13/1997	81	NOTICE of Change of Name of firm representing Milton Gralla, Shirley Gralla, Debby Schwartz, Lawrence R. Speen, Sydney Speen, Shirley Speen, Charles Fox, Audrey Fox, Charles Fox, Marcia Davis, Carrie Newman, Ruth Block, Henry R. Bleier, Esther Skorr Bleier, Marc Duneier, Dana Duneier, Herbert Korn, Brett Korn, Nicole Korn, Ylana Korn, Howard Paul, Isobel Paul, Alisa Paul, Harvey Dunier, Isobel Schaefer, Marvin Zalk, Stuart Perry, Milton Duke, Arkin-Medo, Inc., Daniel Perry Roll., Daniel Perry, Marlyn Perry, Leonard Brum, Pearl Brum, Douglas Brum (emil) (Entered: 02/19/1997)
02/18/1997	80	ORDER, for the reasons set forth on the Record during the pretrial conference, held on 2/7/97, certain broker-defts' request to stay the arbitration proceedings pending before the NYSE and the NASD is denied. All current and/or prospective class members who choose to proceed in arbitration w/ broker-defts in accordance w/ the

		terms of their brokerage contracts may "opt-out" of the class action claims against these brokers by filing an Affidavit attesting to this decision on or before 3/7/97. All current and/or prospective class members and broker-defts who mutually agree not to proceed in arbitration and instead choose to litigate in this action must "opt-in" by filing a Stip & Order to that effect on or before 3/7/97, or within 30 days of having received notice, orally or in writing, of the terms of this Order, but in no event later than 6/30/97. Failure to file the aforementioned Stip as specified above will result in the waiver of that current and/or prospective class member's right to "opt-in" to this litigation as against that broker-defft at a later date. Deft's application to adjust the briefing schedule established by the Court is denied. Defts' time to file one Response to plttf's cross-motion for class certification is extended until 2/28/97, reset oral argument for 2:00 p.m. on p.m. on 4/25/97 (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 02/19/1997)
02/20/1997	82	NOTICE OF MOTION & MOTION by the SEC for an order granting the SEC leave to file a brief amicus curiae, Return date 3/4/97 (emil) (Entered: 02/21/1997)
02/24/1997	83	ORDER, substituting Craig S. Hilliard in place of Mark J. Hyland as counsel for defts Weiner Abrams & Co., Inc., Randolph L. Messina, Thomas Dunn, Anthony Esposito, Mid-State Securities Corp. and Stoever Glass & Co. Inc. (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 02/25/1997)
02/25/1997	84	ORDER granting [82-1] motion for an order granting the SEC leave to file a brief amicus curiae (signed by Judge John E. Sprizzo); Copies mailed (emil) (Entered: 02/26/1997)
02/28/1997	85	MEMORANDUM of Balkin Case Pltffs in partial opposition to [62-1] motion purs. to Rule 23 of the FRCP: to certify this action as a class action on behalf of the following plttf class: all persons and entities (other than defts, members of their immediate families, heirs, affiliates, successors, and assigns) who purchased Bennett Securities or "rolled over" investments into Bennett Securities during the period from 3/29/92 through 3/29/96; for certification of the various plttf subclasses as listed in this motion; to certify a deft class (the "Broker Defendant Class"), consisting of all broker-dealers and other entities that sold the Bennett Securities, solely w/ respect to the plttfs' claim under Section 12(1) of the 1933 Act, set forth in Count I of the Complaint (emil) (Entered: 03/03/1997)
03/03/1997	86	AFFIDAVIT of Sofia Zeldin, putative member of the class of Pltffs Re: affiant states that she elects to opt out of 96 CV 2583 w/ respect to broker-defft Halpert & Company, Inc. (emil) (Entered: 03/03/1997)
03/03/1997	87	AFFIDAVIT of Aleksandr Stolyar, putative member of the class of Pltffs Re: affiant states that he elects to opt out of 96 CV 2583 w/ respect to broker-defft Halpert & Co., Inc. (emil) (Entered: 03/03/1997)
03/03/1997	88	AFFIDAVIT of Boris Zeldin, putative member of the class of Pltffs Re: affiant states that he elects to opt out of 96 CV 2583 w/ respect to broker-defft, Halpert & Co., Inc. (emil) (Entered: 03/03/1997)
03/04/1997	89	Transcript of record of proceedings filed for dates of 1/3/97 (emil) (Entered: 03/05/1997)
03/06/1997	90	AFFIDAVIT of Marvin Witten Re: to inform the Court that affiant prefers that his

		claims be heard in the pending arbitration action, and that when given the option, he will "opt out" of the class of plffs in case number 96 CV 2583 (emil) (Entered: 03/06/1997)
03/06/1997	91	AFFIDAVIT of Lillian Witten Re: to inform the Court that affiant prefers for her claims to be heard in the pending arbitration action and, that when given the option, she will "opt out" of the class of plffs in case number 96 CV 2583 (emil) (Entered: 03/06/1997)
03/07/1997	92	Filed Memo-Endorsement on letter addressed to Judge Sprizzo from Nancy Jansen Rishel, dated 3/4/97, granting request for an add'l 60 days to comply w/ the requirements for opting out (signed by Judge John E. Sprizzo) (emil) (Entered: 03/10/1997)
03/12/1997	93	Filed Memo-Endorsement on letter dated 3/6/97, granting Generali's request for leave to file a separate brief, not to exceed seven pages in length, in response to the class certification motion (signed by Judge John E. Sprizzo) (emil) (Entered: 03/13/1997)
03/17/1997	95	ANSWER by Rickel & Associates to [50-2] cross claim; by attorney Glenn S. Kerner for cross-defendant Rickel & Associates (emil) (Entered: 03/19/1997)
03/18/1997	94	STIPULATION and ORDER, reset Mahoney Cohen's answer due for 3/28/97 (signed by Judge John E. Sprizzo). (emil) (Entered: 03/19/1997)
03/19/1997	96	ANSWER by Genarali U.S. Branch, Generali Underwriter to [50-2] cross claim (emil) (Entered: 03/20/1997)
03/19/1997	97	Rule 9 certificate filed by Genarali U.S. Branch, Generali Underwriter (emil) (Entered: 03/20/1997)
03/19/1997	98	ANSWER to Consolidated Class Action Complaint and INTERPLEADER COUNTERCLAIM by Genarali U.S. Branch, Generali Underwriter (Attorney Bruce E. Clark) against all plaintiffs(emil) Modified on 03/21/1997 (Entered: 03/21/1997)
03/19/1997	98	INTERPLEADER CROSSCLAIM by Genarali U.S. Branch, Generali Underwriter against Halpert & Co., Horizon Securities, Monarch, and add'l crossclaim defts Bankers Financial Corp., Brighton Securities Corp., Sage-Rutty & Company, Summit Financial Services, Inc. and Richard C. Breeden as trustee for the Bennett Funding Group, Inc., Resort Service Company, Inc., The Processing Center, Inc. and Bennett Receivables Corporation (emil) (Entered: 03/21/1997)
03/26/1997	99	NOTICE OF MOTION for an order granting Deborah Aschheim, Ralph Confino, Sylvia Confino, Melvin Lynn, Carlo P. Toscano, Elvira Toscano, Judith Toscano, Philip Toscano, Robert Weiss leave to intervene in this action , Return date 4/25/97. (emil) (Entered: 03/27/1997)
03/26/1997	100	MEMORANDUM by Deborah Aschheim, Ralph Confino, Sylvia Confino, Melvin Lynn, Carlo P. Toscano, Elvira Toscano, Judith Toscano, Philip Toscano, Robert Weiss in support of [99-1] motion for an order granting Deborah Aschheim, Ralph Confino, Sylvia Confino, Melvin Lynn, Carlo P. Toscano, Elvira Toscano, Judith Toscano, Philip Toscano, Robert Weiss leave to intervene in this action (emil) (Entered: 03/27/1997)

04/02/1997	101	BRIEF by Generali U.S. Branch, Generali Underwriter in response re: [62-1] motion purs. to Rule 23 of the FRCP: to certify this action as a class action on behalf of the following plfff class: all persons and entities (other than defts, members of their immediate families, heirs, affiliates, successors, and assigns) who purchased Bennett Securities or "rolled over" investments into Bennett Securities during the period from 3/29/92 through 3/29/96; for certification of the various plfff subclasses as listed in this motion; to certify a deft class (the "Broker Defendant Class"), consisting of all broker-dealers and other entities that sold the Bennett Securities, solely w/ respect to the plfffs' claim under Section 12(1) of the 1933 Act, set in Count I of the Complaint (emil) (Entered: 04/03/1997)
04/03/1997	102	Transcript of record of proceedings filed for dates of 2/7/97 (emil) (Entered: 04/04/1997)
04/03/1997	103	STIPULATION and ORDER, reset answer due for 4/4/97 for Mahoney Cohen (signed by Judge John E. Sprizzo). (emil) (Entered: 04/04/1997)
04/03/1997	104	NOTICE OF MOTION by Michael Balkin and Ann Fein for an order certifying Michael S. Balkin and Ann Fein as class representatives of the class or subclass w/ regard to all claims asserted in this action against Generali Underwriters, Inc., Assicurazioni Generali S.p.A. and Generali U.S. Branch and for an order certifying Law Office of Klari Neuwelt and Bragar & Wexler, P.C., as class or subclass Co-Lead Counsel w/ respect to all claims asserted in this action against Generali , Return date 4/25/97 (emil) (Entered: 04/04/1997)
04/03/1997	105	MEMORANDUM of Michael S. Balkin and Ann Fein in support of [104-1] motion for an order certifying Michael S. Balkin and Ann Fein as class representatives of the class or subclass w/ regard to all claims asserted in this action against Generali Underwriters, Inc., Assicurazioni Generali S.p.A. and Generali U.S. Branch, [104-2] motion for an order Law Office of Klari Neuwelt and Bragar & Wexler, P.C., as class or subclass Co-Lead Counsel w/ respect to all claims asserted in this action against Generali (emil) (Entered: 04/04/1997)
04/04/1997	106	NOTICE of Intention to opt into class action filed by the following: Linda Klompus, as Trustee of the Bright Family Trust and the Bennett Family Trust; Albert J. Cappel, as Trustee for the Albert J. Cappel Revocable Trust; Daniel Ungar and Evelyn Ungar as Co-Trustees of the Evelyn Ungar Trust; Daniel Ungar; Evelyn Ungar; Albert Fader; Carol Fader; Herbert Miller; Jack Bellock as Trustee under Agreement Dated 8/24/84; Florence Bellock as Trustee under Agreement Dated 8/24/84; Jack Bellock as General Partner of Alf Realty Associates of Teaneck, NJ; Florence Goldberg; Bernard Hoffer (emil) (Entered: 04/04/1997)
04/04/1997	107	MEMORANDUM by Rickel & Associates, Alan P. Halpert, Peter Dean Invest., Peter DeAngelis, Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoeber Glass & Co., Thomas Dunn, First Miami Securiti, Monarch Financial, Anthony DelSpina in partial opposition to [62-1] motion purs. to Rule 23 of the FRCP: to certify this action as a class action on behalf of the following plfff class: all persons and entities (other than defts, members of their immediate families, heirs, affiliates, successors, and assigns) who purchased Bennett Securities or "rolled over" investments into Bennett Securities during the period from 3/29/92 through 3/29/96; for certification of the various plfff subclasses as listed in this motion; to certify a deft class (the "Broker Defendant

		Class"), consisting of all broker-dealers and other entities that sold the Bennett Securities, solely w/ respect to the plttfs' claim under Section 12(1) of the 1933 Act, set forth in Count I of the Complaint (emil) (Entered: 04/08/1997)
04/07/1997	108	Affidavit of Filing and Mailing Re: deft Robert H. McCarthy's Response to Pltff's First Request for Production of Documents to BFG Executives (emil) (Entered: 04/08/1997)
04/09/1997	109	STIPULATION and ORDER, Mahoney Cohen's time to answer the consolidated class action complaint is extended to the date to be included in a scheduling order now being circulated for review by all counsel purs. to the Court's instructions (signed by Judge John E. Sprizzo). (emil) (Entered: 04/10/1997)
04/09/1997	110	STIPULATION and ORDER, extending time to 4/3/97 for Mahoney Cohen to file a brief on plttfs' motion for class certification (signed by Judge John E. Sprizzo). (emil) (Entered: 04/10/1997)
04/14/1997	112	RESPONSE by Richard C. Breeden in partial opposition Re: [104-1] motion for an order certifying Michael S. Balkin and Ann Fein as class representatives of the class or subclass w/ regard to all claims asserted in this action against Generali Underwriters, Inc., Assicurazioni Generali S.p.A. and Generali U.S. Branch, [104-2] motion for an order certifying Law Office of Klari Neuwelt and Bragar & Wexler, P.C., as class or subclass Co-Lead Counsel w/ respect to all claims asserted in this action against Generali (emil) (Entered: 04/15/1997)
04/14/1997	113	MEMORANDUM by Richard C. Breeden in partial opposition to [99-1] motion for an order granting Deborah Aschheim, Ralph Confino, Sylvia Confino, Melvin Lynn, Carlo P. Toscano, Elvira Toscano, Judith Toscano, Philip Toscano, Robert Weiss leave to intervene in this action (emil) (Entered: 04/15/1997)
04/14/1997	111	DECLARATION in partial opposition by Richard C. Breeden, Resort Service Co., The Processing Cntr., Bennett Receivables [99-1] motion for an order granting Deborah Aschheim, Ralph Confino, Sylvia Confino, Melvin Lynn, Carlo P. Toscano, Elvira Toscano, Judith Toscano, Philip Toscano, Robert Weiss leave to intervene in this action (emil) (Entered: 04/30/1997)
04/17/1997	114	Filed Memo-Endorsement on letter, dated 4/11/97, granting request of Boris Zeldin, Sofia Zeldin and Alexandr Stolyar to be reconstituted as plttfs in this matter (signed by Judge John E. Sprizzo) (emil) (Entered: 04/18/1997)
04/21/1997	115	MEMORANDUM by Deborah Aschheim, Ralph Confino, Sylvia Confino, Melvin Lynn, Carlo P. Toscano, Elvira Toscano, Judith Toscano, Philip Toscano, Robert Weiss re: in reply to the Trustee's partial opposition to motion for intervention. Fld in night deposit on 4/21/97 at 6:13 p.m. (emil) (Entered: 04/23/1997)
04/28/1997	116	Affidavit of Filing and Mailing of deft Merrilee Goffredo's Response to plttf's First Request for Production of Documents upon parties listed on attached service list by regular mail on 4/17/97 (emil) (Entered: 04/28/1997)
04/28/1997	117	Fld AFFIDAVITS of various individuals attesting to decision to "opt-out" of Bennett Class Action claims against Broker-Defts (emil) (Entered: 04/28/1997)
04/30/1997	118	ANSWER to Consolidated Class Action Complaint, JURY DEMAND and CROSSCLAIM by Anthony DelSpina (Appearing Pro Se) against Patrick R.

		Bennett, Edmund Bennett, Kathleen M. Bennett, Kevin Kuppel, William P. Crowley, Joanne Corasaniti, Richard MacPherson, Robert Bryant, Merrilee Goffredo, Shaun O'Neill, Stewart Weisman, Linda Calabria, Robert McCarthy, Robert Ruckel, Timothy White, Albert Cerimelli, John Sullivan, Paul Usztok, Kenneth Kasarjian, Lisa Henson, Rickel & Associates, Halpert & Co., Generali U.S. Branch, Generali Underwriter, Kirkpatrick & Lock, Storch & Brenner, Robinson, St. John, Global Benefits Corp, Mervin Goldman, Resource Planning, Alvin Rapp, Bernard Eyssalenne, Sima Ins. Co. (emil) (Entered: 05/08/1997)
05/02/1997	119	ANSWER by Albert Cerimelli to [118-2] cross claim; by attorney Emil M. Rossi for cross-defendant Albert Cerimelli (emil) (Entered: 05/08/1997)
05/05/1997	120	REPLY by Stewart Weisman Re: [118-2] cross claim (emil) (Entered: 05/08/1997)
05/08/1997	121	Transcript of record of proceedings filed for dates of 3/4/97 (emil) (Entered: 05/09/1997)
05/09/1997	122	ANSWER by Resource Planning, Alvin Rapp to [118-2] cross claim; by attorney Robert S. Loigman (emil) (Entered: 05/13/1997)
05/13/1997	123	ANSWER by Mahoney Cohen & Co. to [118-2] cross claim; by attorney Peter A. Stroili (emil) (Entered: 05/14/1997)
05/20/1997	126	Class Action Intervenor Pleading by Deborah Aschheim, Ralph Confino, Sylvia Confino, Melvin Lynn, Carlo P. Toscano, Elvira Toscano, Judith Toscano, Philip Toscano, Robert Weiss against Patrick R. Bennett , the Broker Class, and in the Alternative, the Brokers. (lam) (Entered: 05/29/1997)
05/22/1997	124	ANSWER by Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn to [118-2] cross claim ; by attorney Craig S. Hilliard. (lam) (Entered: 05/27/1997)
05/23/1997	125	ANSWER by First Miami Securities to [118-2] cross claim of deft Anthony Del Spina ; by atty Melvin A. Brosterman. (lam) (Entered: 05/29/1997)
05/27/1997	127	ANSWER by Peter DeAngelis to [118-2] cross claim ; by attorney Joel Leifer (lam) (Entered: 05/29/1997)
06/02/1997	128	CONSENT ORDER to change attorney; substituting attorney McCarter & English in place and stead Patterson Belknap et al as atty of record for First Interregional Equity Corp (signed by Judge John E. Sprizzo); (lam) (Entered: 06/03/1997)
06/05/1997	129	ANSWER by Global Benefits Corp to [118-2] cross claim of deft Anthony Del Spina ; by attorney Lawrence B. Kahn. (lam) (Entered: 06/06/1997)
06/05/1997	130	ANSWER by Peter Rosenbaum to [118-2] cross claim of Anthony Del Spinqa; by attorney Mark S. Mulholland (lam) (Entered: 06/06/1997)
06/06/1997	133	ANSWER to complaint and CROSSCLAIM by Peter Rosenbaum (Attorney Mark S. Mulholland) against Patrick R. Bennett, Edmund T. Bennett, Kathleen M. Bennett, Kevin Kuppel, William P. Crowley, Joanne Corasaniti, Richard MacPherson, Robert Bryant, Merrilee Goffredo, Shaun O'Neill, Stewart Weisman, Linda Calabria, Robert McCarthy, Robert Ruckel, Timothy White, Albert Cerimelli, John Sullivan, Paul Usztok, Kenneth Kasarjian, Lisa Henson, Rickel &

		Associates, Halpert & Co., Genarali U.S. Branch, Generali Underwriter, Kirkpatrick & Lock, Storch & Brenner, Robinson, St. John, Global Benefits Corp, Anthony DelSpina, Mervin Goldman, Resource Planning, Alvin Rapp, Bernard Eyssalenne, Sima Ins. Co. (lam) (Entered: 06/10/1997)
06/06/1997	134	ANSWER to Complaint by Mahoney Cohen Co. (Attorney Ronald H. Alenstein) (lam) (Entered: 06/10/1997)
06/09/1997	131	ANSWER by Michael A. Bennett to [118-2] cross claim by Anthony Del Spina. (lam) (Entered: 06/09/1997)
06/09/1997	132	ANSWER by Alan P. Halpert (Attorney A. Ross Pearlson) to second consolidated amended class action complaint; by attorney A. Ross Pearlson (lam) (Entered: 06/10/1997)
06/09/1997	132	CROSSCLAIM by Alan P. Halpert against Patrick R. Bennett, Edmund T. Bennett, Kathleen M. Bennett, The BFG Executive, Mahoney Cohen Co., Sphere Drake, Genarali, Generali Underwriter and BIC. (lam) (Entered: 06/10/1997)
06/09/1997	132	COUNTERCLAIM by Alan P. Halpert against "Note subclass Plaintiffs" (lam) (Entered: 06/10/1997)
06/09/1997	135	ANSWER to Consolidated Class Action Complaint by Alvin Rapp, Resource Planning (Attorney Eric Seiler) (lam) (Entered: 06/10/1997)
06/09/1997	136	Rule 1.9 certificate filed by Alvin Rapp, Resource Planning (lam) (Entered: 06/10/1997)
06/09/1997	135	CROSSCLAIM by Alvin Rapp, Resource Planning brought against all of the other defts named in the Consolidated Class Action Complaint (collectively the "Cross-Claim Defts") Patrick R. Bennett, Edmund T. Bennett, Kathleen M. Bennett, Kevin Kuppel, William P. Crowley, Joanne Corasaniti, Richard MacPherson, Robert Bryant, Merrilee Goffredo, Shaun Stewart Weisman, Linda Calabria, Robert McCarthy, Robert Ruckel, Timothy White, Albert Cerimelli, John Sullivan, Usztok, Kenneth Kasarjian, Lisa Henson, Rickel & Halpert & Co., Genarali U.S. Branch, Generali Underwriter, Kirkpatrick & Lock, Storch & Brenner, Robinson, St. John, Global Benefits Corp, Anthony DelSpina, Mervin Goldman, Bernard Eyssalenne, Sima Ins. Co. (lam) (Entered: 06/17/1997)
06/10/1997	138	ANSWER to Complaint by First Miami Securiti (Attorney Melvin A. Brosterman) (lam) (Entered: 06/13/1997)
06/10/1997	139	Rule 9 certificate filed by First Miami Securiti (lam) (Entered: 06/13/1997)
06/12/1997	137	ANSWER to the Consolidated Class Action Complaint by Peter Dean Invest., and Peter DeAngelis. (Attorney Joel M. Leifer, and Alice K Jump) (kw) (Entered: 06/12/1997)
06/12/1997	137	COUNTERCLAIM by Peter Dean Invest., and Peter DeAngelis against Victor A. Fabietti, Agostino R. Fabietti Jr., and William G. Wosnack. (kw) (Entered: 06/13/1997)
06/12/1997	137	CROSSCLAIM by Peter Dean Invest., and Peter DeAngelis against Patrick R. Bennett, Edmund T. Bennett, Kathleen M. Bennett, Michael A. Bennett, Kenneth

		Kasarjian, Mahoney Cohen Co., Horizon Securities and Stuart Weisman. (kw) (Entered: 06/13/1997)
06/12/1997	137	THIRD-PARTY COMPLAINT by Peter Dean Invest., and Peter DeAngelis against Fabietti & Associate, Robinson St. John, Robinson St. John &, Anderson Kill & Olic, Harvey Merkin, William Madden, and Alan Solomon. (kw) (Entered: 06/13/1997)
06/12/1997	140	ANSWER to Complaint by Global Benefits Corp (Attorney Lawrence B. Kahn) (lam) (Entered: 06/16/1997)
06/12/1997	141	Rule 9 certificate filed by Global Benefits Corp (lam) (Entered: 06/16/1997)
06/16/1997	142	ANSWER to Complaint by Monarch Financial (Attorney Allison Manning) (lam) (Entered: 06/17/1997)
06/16/1997	143	Rule 1.9 certificate filed by Monarch Financial (lam) (Entered: 06/17/1997)
06/16/1997	144	ANSWER by First Miami Securities to [133-2] cross claim of deft Peter Rosenbaum (lam) (Entered: 06/17/1997)
06/16/1997	145	ANSWER by First Miami Securities to [135-1] cross claim of Alvin Rapp and Resource Planning Group (lam) (Entered: 06/17/1997)
06/18/1997	146	ANSWER by Alvin Rapp, Resource Planning to [133-2] cross claim of Peter rosenbaum; by attorney Robert Loigman. (lam) (Entered: 06/19/1997)
06/18/1997	147	ANSWER to Complaint by Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn (Attorney Craig S. Hilliard) (lam) (Entered: 06/20/1997)
06/18/1997	147	CROSSCLAIM by Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn against Patrick R. Bennett, Edmund T. Bennett, Kathleen M. Bennett, Kevin Kuppel, William P. Crowley, Joanne Corasaniti, Richard MacPherson, Robert Bryant, Merrilee Goffredo, Shaun O'Neill, Stewart Weisman, Linda Calabria, Robert McCarthy, Robert Ruckel, Timothy White, Albert Cerimelli, Kenneth Kasarjian, Michael A. Bennett, Bennett Funding Int., Bennett Finance, Mahoney Cohen & Co., Genarali U.S. Branch, Generali Underwriter (lam) (Entered: 06/20/1997)
06/18/1997	148	Rule 1.9 certificate filed by Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn (lam) (Entered: 06/20/1997)
06/20/1997	149	APPLICATION by Bernard Eyssalenne for appointment of counsel (lam) (Entered: 06/23/1997)
06/20/1997	150	ANSWER by Monarch Financial to Peter Rosenbaum's [133-2] cross claim by attorney Alison Manning (lam) (Entered: 06/24/1997)
06/20/1997	151	ANSWER by Monarch Financial to Alvin Rapp and Resource Planning Group's [135-1] cross claim by attorney Allison Manning. (lam) (Entered: 06/24/1997)
06/20/1997	152	ANSWER by Monarch Financial to Anthony Delspina's [118-2] cross claim by attorney Allison Manning. (lam) (Entered: 06/24/1997)

06/24/1997	153	REPLY by Stewart Weisman to Peter Rosenbaum's [133-2] cross claim (lam) Modified on 06/25/1997 (Entered: 06/25/1997)
06/24/1997	154	REPLY by Stewart Weisman to Alvin Rapp and Resource Planning Group's [135-1] cross claim (lam) Modified on 06/25/1997 (Entered: 06/25/1997)
06/24/1997	155	REPLY by Stewart Weisman to [147-1] cross claim of Weiner Abrams & Co. et al (lam) Modified on 06/25/1997 (Entered: 06/25/1997)
06/24/1997	156	REPLY by Stewart Weisman to Alan P. Halpert's [132-1] cross claim (lam) Modified on 06/25/1997 (Entered: 06/25/1997)
06/24/1997	157	REPLY by Stewart Weisman to Peter Dean Investments and Peter DeAnelis' [137-1] cross claim (lam) (Entered: 06/25/1997)
06/27/1997	158	STIPULATION OF DISMISSAL of the cross-claims of deft Albert Cerimeli against the Law firm defts w/prejudice and w/o costs to any party (signed by Judge John E. Sprizzo). (kg) (Entered: 06/27/1997)
06/30/1997	159	ANSWER by Peter Rosenbaum to [135-1] cross-claim; by attorney Mark S. Mulholland. (kg) (Entered: 07/01/1997)
06/30/1997	160	ANSWER by Peter DeAngelis and Peter Dean Investment to [133-2] cross claim ; by attorney Joel Leifer for cross-defendant Peter Rosenbaum (sac) Modified on 07/02/1997 (Entered: 07/01/1997)
06/30/1997	161	ANSWER by Peter De Angelis and Peter Dean Investment to [135-1] cross claim ; by attorney Joel Leifer for cross-defendant Alan Rapp and Resource Planning Group. (sac) Modified on 07/02/1997 (Entered: 07/01/1997)
06/30/1997	162	Rule 9 certificate filed by Peter Dean Investment Inc. (sac) (Entered: 07/01/1997)
06/30/1997	163	ANSWER by Weiner Abrams & Co., Inc., Randolph L. Messina, Thomas Dunn, Anthony Esposito, Mid-State Securities Corp., and Stoever Glass & Co., Inc. to [133-2] cross-claim; by attorney Craig S. Hilliard. (kg) (Entered: 07/01/1997)
06/30/1997	164	ANSWER by Weiner Abrams & Co., Inc., Randolph Messina, Thomas Dunn, Anthony Esposito, Mid-State Securities, Stoever Glass & Co. to [135-1] cross-claim; by attorney Craig S. Hilliard. (kg) (Entered: 07/01/1997)
06/30/1997	165	AMENDED ANSWER to Complaint by Mahoney Cohen Rashba & Pokart, CPA, P.C. (Attorney Ronald H. Alenstein); by attorney Ronald H. Alenstein. (kg) (Entered: 07/01/1997)
06/30/1997	165	CROSSCLAIM by Mahoney Cohen Co. against Patrick R. Bennett (kg) (Entered: 07/01/1997)
07/01/1997	166	ANSWER to Complaint and COUNTERCLAIM by Horizon Securities, Inc. (Attorney Howard M. Sommers) against Victor A. Fabietti and Agostino Fabietti. (kg) (Entered: 07/02/1997)
07/01/1997	167	ANSWER by Horizon Securities, Inc. to [137-1] cross-claim; by attorney Howard M. Sommers. (kg) (Entered: 07/02/1997)
07/02/1997	168	ANSWER by Monarch Financial Corporation of America to interpleader [98-1]

		cross-lai; by attorney Allison Manning. (kg) (Entered: 07/03/1997)
07/02/1997	168	ANSWER by Monarch Financial Corporation of America to interpleader [98-2] counter-claim; by attorney Allison Manning. (kg) (Entered: 07/03/1997)
07/02/1997	169	ANSWER by Monarch Financial Corporation of America (Attorney Allison Manning) to amended consolidated complaint. (kg) (Entered: 07/03/1997)
07/02/1997	169	CROSSCLAIM by Monarch Financial Corporation of America against Patrick R. Bennett, Michael A. Bennett, Edmund T. Bennett, Kathleen M. Bennett, Kenneth Kasarjian, Mahoney Cohen & Co., Stewart Weisman. (kg) (Entered: 07/03/1997)
07/02/1997	170	CERTIFICATE OF SERVICE by Monarch Financial Corp. of America. (kg) (Entered: 07/03/1997)
07/02/1997	171	Answer by Global Benefits Corp. to [135-1] cross-claim; by attorney Lawrence B. Kahn for cross-defendant Global Benefits Corp. (kg) (Entered: 07/03/1997)
07/02/1997	172	ANSWER by Global Benefits Corp. to [133-2] cross-claim; by attorney Lawrence B. Kahn for cross-defendant Global Benefits Corp. (kg) (Entered: 07/03/1997)
07/02/1997	173	ANSWER by Horizon Securities, Inc. to [135-1] cross-claim; by attorney Howard M. Sommers. (kg) (Entered: 07/03/1997)
07/02/1997	174	ANSWER by Horizon Securities, Inc. to [133-2] cross-claim; by attorney Howard M. Sommers. (kg) (Entered: 07/03/1997)
07/02/1997	175	ANSWER by Horizon Securities, Inc. to [50-2] cross-claim; by attorney Howard M. Sommers. (kg) (Entered: 07/03/1997)
07/02/1997	176	ANSWER by Horizon Securities, Inc. to [118-2] cross-claim; by attorney Howard M. Sommers. (kg) (Entered: 07/03/1997)
07/02/1997	177	REPLY by Mahoney Cohen Rashba & Pokart, CPA, P.C. to [137-1] cross-claim; by attorney Ronald H. Alenstein. (kg) Modified on 07/03/1997 (Entered: 07/03/1997)
07/02/1997	178	REPLY by Mahoney Cohen Rashba & Pokart, CPA, P.C. to [166-1] cross-claim; by attorney Ronald H. Alenstein. (kg) (Entered: 07/03/1997)
07/02/1997	179	REPLY by Mahoney Cohen Rashba & Pokart, CPA, P.C. to [147-1] cross-claim; by attorney Ronald A. Alenstein. (kg) (Entered: 07/03/1997)
07/02/1997	180	REPLY by Mahoney Cohen Rashba & Pokart, CPA, P.C. to [135-1] cross-claim; by attorney Ronald H. Alenstein. (kg) (Entered: 07/03/1997)
07/02/1997	181	REPLY by Mahoney Cohen Rashba & Pokart, CPA, P.C. to [133-2] cross-claim; by attorney Ronald H. Alenstein. (kg) (Entered: 07/03/1997)
07/02/1997	182	REPLY by Mahoney Cohen Rashba & Pokart, CPA, P.C. to [132-1] cross-claim; by attorney Ronald H. Alenstein. (kg) (Entered: 07/03/1997)
07/03/1997	183	ANSWER by Peter DeAngelis and Peter Dean Investments, Inc. to [169-1] cross claim by attorney Joel Leifer (pl) (Entered: 07/03/1997)
07/03/1997	184	NOTICE OF MOTION by Gary J. Rotella, Esq. for Gary J. Rotella, Esq. to appear

		pro hac vice for Edmund Bennett and Kathleen Bennett ; Return date not indicated. (kg) (Entered: 07/03/1997)
07/03/1997	185	NOTICE OF MOTION by Edmund T. Bennett and Kathleen M. Bennett to dismiss cross-claim of Weiner Abrams & Co., Inc. ; Return date not indicated. (kg) (Entered: 07/03/1997)
07/03/1997	186	ANSWER by Edmund T. Bennett, Kathleen M. Bennett to [137-1] cross-claim; by attorney Gary J. Rotella, Esq. (kg) (Entered: 07/08/1997)
07/07/1997	188	Reply by Stewart Weisman to [166-1] cross-claim. (kg) (Entered: 07/09/1997)
07/08/1997	187	ANSWER by Edmund T. Bennett and Kathleen M. Bennett to [137-1] cross-claim; by attorney Gary J. Rotella, Esq. (kg) (Entered: 07/09/1997)
07/09/1997	189	CONSENT ORDER TO CHANGE ATTORNEY, substituting attorney Thomas C. Kingsley of No. 401 North Salina Street, Syracuse, NY in place of the (signed by Judge John E. Sprizzo); Copies mailed. (kg) (Entered: 07/10/1997)
07/09/1997	190	ANSWER by Peter DeAngelis to [166-1] cross-claim; by attorney Joel Leifer. (kg) (Entered: 07/10/1997)
07/11/1997	191	NOTICE OF MOTION by Edmund T. Bennett and Kathleen M. Bennett to dismiss cross-claim of Horizon Securities, Inc. ; Return date not indicated. (kg) (Entered: 07/11/1997)
07/11/1997	192	ANSWER by Global Benefits Corp to [169-1] cross claim ; by attorney Lawrence B. Kahn for cross-defendant Global Benefits Corp (lam) (Entered: 07/14/1997)
07/14/1997	193	STIPULATION, reset answer to third party complaint due for 8/1/97 for Anderson Kill & Olick. (signed by Judge John E. Sprizzo). (lam) (Entered: 07/15/1997)
07/14/1997	194	ANSWER by Weiner Abrams & Co., Mid-State Securities, Randolph Messina, Anthony Esposito, Stoever Glass & Co., Thomas Dunn (by attorney Craig S. Hilliard) to [169-1] cross claim of Monarch Financial Corp. (lam) (Entered: 07/15/1997)
07/15/1997	195	Transcript of record of proceedings filed for dates of 6/18/97 @11:30 before Judge Sprizzo. (lam) (Entered: 07/15/1997)
07/17/1997	196	STIPULATION and ORDER, reset answer or move with respect to the Third Party Complaint of Peter DeAngelis and Peter Dean Investments due for 8/1/97 for Alan Solomon (signed by Judge John E. Sprizzo). (lam) (Entered: 07/18/1997)
07/17/1997	197	STIPULATION, reset answer with respect to the third-party complaint due for 8/1/97 for Robinson St. John & (signed by Judge John E. Sprizzo). (lam) (Entered: 07/18/1997)
07/18/1997	198	ORDER granting [184-1] motion for Gary J. Rotella, Esq. to appear pro hac vice for Edmund Bennett and Kathleen Bennett (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 07/21/1997)
07/22/1997	199	ANSWER by Alvin Rapp, Resource Planning to [169-1] cross claim of Monarch Financial Corp; by attorney Eric Seiler (lam) (Entered: 07/23/1997)

07/22/1997	200	ANSWER by Edmund T. Bennett, Kathleen M. Bennett to [169-1] cross claim of Monarch Financial Corp; by attorney Gary J. Rotella. (lam) (Entered: 07/23/1997)
07/22/1997		Limited Pre-trial conference held with counsel for third party plttf Peter DeAngelis and third party deft Robinson , St. John & Wayne before Judge Sprizzo. (lam) (Entered: 07/29/1997)
07/23/1997	201	ANSWER by Mahoney Cohen Rashba to [169-1] cross claim ; by attorney Ronald H. Alenstein. (lam) (Entered: 07/24/1997)
07/24/1997	202	CONSENT ORDER, to change attorney; substituing Peter Rosenbaum as deft pro se in place of Ruskin Moscou et al (signed by Judge John E. Sprizzo); (lam) (Entered: 07/28/1997)
07/24/1997	206	Affidavit of service as to Fabietti & Associates by personal service, leaving with Victor Fabietti on 6/23/97. Answer due on 7/14/97 for Fabietti & Associates. (lam) (Entered: 07/28/1997)
07/24/1997	206	Affidavit of service as to Robinson, St. John by personal service, leaving with Helen Simmons, Director of Finance on 6/20/97. Answer due on 7/10/97 for Robinson, St. John. (lam) (Entered: 07/28/1997)
07/24/1997	206	Affidavit of service as to Anderson Kill & Olic by personal service, leaving with Wayn Autry, Asst. Managing Clerk on 6/19/97. Answer due on 7/9/97 for Anderson Kill & Olic. (lam) (Entered: 07/28/1997)
07/24/1997	206	Affidavit of service as to Harvey Merkin by personal service, leaving with Jill Merkin, wife on 7/12/97. Answer due on 8/1/97 for Harvey Merkin. (lam) (Entered: 07/28/1997)
07/24/1997	206	Affidavit of service as to Harvey Merkin by mail on 7/23/97 Answer due on 8/12/97 for Harvey Merkin (lam) (Entered: 07/28/1997)
07/24/1997	206	Affidavit of service as to William Madden by personal service, leaving with deft on 6/20/97. Answer due on 7/10/97 for William Madden. (lam) (Entered: 07/29/1997)
07/24/1997	206	Affidavit of service as to Alan Solomon by personal service, leaving with deft on 6/20/97. Answer due on 7/10/97 for Alan Solomon. (lam) (Entered: 07/29/1997)
07/25/1997	203	REPLY by Stewart Weisman to Amended Cross-Claim of Monarch Financial Corp. [169-1] cross claim ; by deft pro se. (lam) (Entered: 07/28/1997)
07/25/1997	203	COUNTER CROSSCLAIM by Stewart Weisman against Monarch Financial (lam) (Entered: 07/28/1997)
07/25/1997	204	First demand for production of documents (lam) (Entered: 07/28/1997)
07/25/1997	205	Demand for names & addresses of witnesses and their statements. (lam) (Entered: 07/28/1997)
07/28/1997	207	ORDER, set third party deft Robinson, St. John & Wayne's motion to dismiss the third party complaint filing deadline for 8/15/97; Response by third party plttf DeAngelis due by 9/9/97 ; oral argument set for 1:30 9/19/97 (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 07/29/1997)

07/30/1997	208	STIPULATION and ORDER, reset answer due to the third- party complaint of Peter DeAngelis and Peter Dean Investments for 9/2/97 for Alan Solomon (signed by Judge John E. Sprizzo). (lam) (Entered: 07/30/1997)
08/04/1997	209	NOTICE OF MOTION by Fabietti & Associates to dismiss , and /or to strike Third Party Complaint of Peter DeAngelis and Peter Dean Investments Inc. With Memorandum and Law attached. Return date not indicated. (lam) (Entered: 08/04/1997)
08/06/1997	210	SUGGESTION OF BANKRUPTCY by Arthur Kronfeld as to Michael A. Bennett (lam) (Entered: 08/07/1997)
08/08/1997	211	STIPULATION, reset answer to third-party complaint due for 9/3/97 for Anderson Kill & Olick (signed by Judge John E. Sprizzo). (lam) (Entered: 08/11/1997)
08/15/1997	212	NOTICE OF MOTION by Robinson, St. John to dismiss the third-party Complaint against them, purs to Rule 12(b)(6) of the FRCP . Return date 9/19/97. (lam) (Entered: 08/18/1997)
08/15/1997	213	MEMORANDUM by Robinson, St. John in support of [212-1] motion to dismiss the third-party Complaint against them, purs to Rule 12(b)(6) of the FRCP (lam) (Entered: 08/18/1997)
08/21/1997	214	STIPULATION and ORDER, reset answer to the counterclaims and third party complaint due for 9/1/97 for Fabietti & Associates, Victor Fabietti and Augustino Fabietti (signed by Judge John E. Sprizzo). (lam) (Entered: 08/21/1997)
08/28/1997	215	ANSWER by pltffs to counterclaim of defts Jeffrey Halpert and Mathew Cohen [132-1] counter claim ; by atty Patricia S. Gillane. (lam) (Entered: 08/29/1997)
08/28/1997	216	ANSWER by William G. Wosnack to [137-1] counter claim ; by attorney Ann D. White. (lam) (Entered: 08/29/1997)
08/28/1997	217	ANSWER by Consolidated Pltffs' to [132-1] counter claim ; by attorney Patricia S. Gillane and Jeffrey H. Squire. (lam) (Entered: 08/29/1997)
08/28/1997	218	ANSWER by William G. Wosnack, Trustee for the Atlantic OB/Gyn Grp., PA Pension Plan and Atlantic OB/Gyn Gr[. {A Profit Sharing Plan to Counterclaim of Horizon Securities, Inc. by attorney Ann B. White. (lam) (Entered: 08/29/1997)
08/29/1997	219	STIPULATION reset answer due for 10/1/97 for William Madden, for Harvey Merkin in response to the third-party complaint by Peter DeAngelis. (signed by Judge John Sprizzo). (lam) (Entered: 09/02/1997)
09/03/1997	220	ANSWER by pltff/counter-deft Victor A. Fabietti to defts/Counterpltffs Peter DeAngelis and Peter Dean Investment's [137-1] counter claim ; by attorney Lisanne L. Mikula. (lam) (Entered: 09/04/1997)
09/03/1997	221	ANSWER by pltff/counterdeft Agostino R. Fabietti Jr. to defts/counterpltffs Peter DeAngelis and peter Dean Investments' [137-1] counter claim ; by attorney Lisanne L. Mikula. (lam) (Entered: 09/04/1997)
09/04/1997	222	ANSWER by Anderson Kill & Olick (Attorney R. Mark Keenan) to [137-1] third-party complaint by Attorney R. Mark Keenan for third-party defendant Anderson Kill & Olick. (lam) (Entered: 09/08/1997)

09/04/1997	222	DEMAND for jury trial by Anderson Kill & Olic (lam) (Entered: 09/08/1997)
09/12/1997	223	STIPULATION and ORDER, reset answer to the Third Party Complaint of Peter DeAngelis and Peter Dean Investments due for 9/30/97 for Alan Solomon (signed by Judge John E. Sprizzo). (lam) Modified on 09/16/1997 (Entered: 09/15/1997)
09/12/1997	224	Transcript of record of proceedings filed for dates of 7/30/97 before Judge Sprizzo. (lam) (Entered: 09/15/1997)
09/24/1997	225	ORDER, that third party plttf DeAngelis' Response to motion reset to 10/1/97 for deft Robinson, St. John & Wayne's [212-1] motion to dismiss the third-party Complaint against them, purs to Rule 12(b)(6) of the FRCP ; reset Oral Argument for 10/17/97 @1:30 (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 09/26/1997)
09/30/1997	226	STIPULATION of discontinuance re: the Sixth Cross-Claim contained in co-deft Monarch Financial Corp. of America's Amended Answer and the First Counter Cross-Claim contained in co-deft Stewart Weisman's Reply to Cross claim are hereby discontinued with prejudice purs to FRCP 41 (signed by Judge John E. Sprizzo) (lam) (Entered: 09/30/1997)
10/01/1997	227	MEMORANDUM by Peter DeAngelis in opposition to Robinson St. John & Wayne's [212-1] motion to dismiss the third-party Complaint against them, purs to Rule 12(b)(6) of the FRCP (lam) (Entered: 10/02/1997)
10/07/1997	228	STIPULATION, reset answer to third-party complaint due for 11/21/97 for William Madden, for Harvey Merkin (signed by Judge John Sprizzo). (lam) (Entered: 10/08/1997)
10/08/1997	229	ORDER, reset answer to the third party complaint due for 10/24/97 for Alan Solomon ; set pretrial conference for 12:00 10/10/97 (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 10/08/1997)
10/14/1997	230	ORDER TO SHOW CAUSE by Brown Raysman Millstein Felder & Steiner LLP, attys for the third party deft Alan Solomon; Show Cause Hearing set for 1:30 10/24/97 authorizing Brown Raysman Millstein et al to withdraw as attorney for third party deft Alan Solomon ; and granting third party deft Alan Solomon a 30 days enlargement of time to answer, move against or otherwise respond to the third party complaint of defts and third party plttfs Peter DeAngelis and Peter Dean Investments (signed by Judge John E. Sprizzo); Copies mailed. (lam) (Entered: 10/15/1997)
10/15/1997	231	Affidavit of service as to Kenneth Kasarjian by personal service on 9/27/96. Answer due on 10/17/96 for Kenneth Kasarjian. (lam) (Entered: 10/16/1997)
10/17/1997		Oral argument held on third party deft's motion to dismiss the 3rd party complaint (lam) (Entered: 10/23/1997)
10/21/1997		Pre-trial conference held before Judge Sprizzo (lam) (Entered: 10/22/1997)
10/21/1997	232	ORDER, set third party deft Fabietti & Associates' motion to dismiss filing deadline for 1/16/98; third party plttfs may file a response by 3/13/98 ; set oral argument for 1:30 4/3/98 (signed by Judge John E. Sprizzo); Copies mailed (lam) Modified on 10/24/1997 (Entered: 10/22/1997)

10/22/1997	233	ANSWER to Complaint by Rickel & Associates (Attorney Glenn S. Kerner) (lam) (Entered: 10/23/1997)
10/22/1997	233	CROSSCLAIM by Rickel & Associates against Bernard Eyssalenne (lam) (Entered: 10/23/1997)
10/22/1997	234	Rule 1.9 certificate filed by Rickel & Associates (lam) (Entered: 10/23/1997)
10/22/1997	235	ORDER denying Robinson St. John & Wayne's [212-1] motion to dismiss the third-party Complaint against them, purs to Rule 12(b)(6) of the FRCP; for the reasons set forth on the Record at Oral Argument (signed by Judge John E. Sprizzo) Copies mailed (lam) Modified on 10/23/1997 (Entered: 10/23/1997)
10/24/1997	236	Affidavit of service of Order to Show Cause dated 10/10/97 as to Joel M. Leifer & Assoc., Alan Solomon, and Epstein Becker & Green, P.C. by Fed Ex on 10/13/97 (lam) (Entered: 10/28/1997)
11/03/1997	237	Memo endorsed on plttf's motion; granting motion to dismiss the instant action w/o prejudice purs. to F.R.C.P. 41(a)(1) ; (signed by Judge John E. Sprizzo); Copies mailed. (ls) (Entered: 11/03/1997)
11/03/1997		Case closed (ls) (Entered: 11/03/1997)
11/03/1997	238	STIPULATION AND ORDER of discontinuance of this action w/o prejudice and w/o costs as against any party and that the name of counsel for the plttfs' may be stricken from the service list of attnys to be served in the consolidated actions before Judge Sprizzol; (signed by Judge John E. Sprizzo) (ls) (Entered: 11/03/1997)
11/03/1997	239	ORDER; that BRMF&S is authorized to withdraw as counsel to Third Party Deft Alan Solomon in this aciton ; that the time of Third Party Deft Alan Solomon to answer, move against or otherwise respond to the Third Party Compl. of Defts and Third Party Plttfs Peter DeAngelis and Peter Dean Investments, Inc. is hereby extended until 30 days from the date of this order ; (signed by Judge John E. Sprizzo); mailed (ls) (Entered: 11/06/1997)
11/03/1997		Case reopened (lam) (Entered: 12/31/1997)
11/06/1997	240	ANSWER by Robinson St. John & (Attorney Janice DiGennaro) to [137-1] third-party complaint (sac) (Entered: 11/10/1997)
11/13/1997	241	ANSWER by plttf/counter-deft Victor A. Fabietti to deft/counterplttf Horizon Securites, Inc.'s [166-2] counter claim; by attorney Lisanne L. Mikula. (lam) (Entered: 11/14/1997)
11/13/1997	242	ANSWER by plttf/counter-deft Agostino R. Fabietti Jr. to deft/counterplttf Horizon Securities, Inc.'s [166-2] counter claim ; by attorney Lisanne Mikula. (lam) (Entered: 11/14/1997)
11/24/1997	243	NOTICE OF MOTION by Robinson, St. John for certification of its 10/17/97 order which denied Robinson, St. John's motion to dismiss third-party complaint purs to 28 U.S.X. 1292(b) . Return date not indicated. (lam) (Entered: 11/28/1997)
11/24/1997	244	MEMORANDUM by Robinson, St. John in support of [243-1] motion for certification of its 10/17/97 order which denied Robinson, St. John's motion to

		dismiss third-party complaint purs to 28 U.S.X. 1292(b) (lam) (Entered: 11/28/1997)
12/01/1997	245	ANSWER by William Madden, Harvey Merkin (Attorney Howard M. Sommers) to [137-1] third-party complaint. (lam) (Entered: 12/02/1997)
12/04/1997	246	ORDER, third-party plttf Peter DeAngelis' Response to motion reset to 12/31/97 for [243-1] motion for certification of its 10/17/97 order which denied Robinson, St. John's motion to dismiss third-party purs to 28 U.S.X. 1292(b) (signed by Judge John E.); Copies mailed (lam) (Entered: 12/05/1997)
12/05/1997	247	NOTICE of change of address of counsel for plttfs Abigail Spencer, Eric Spencer, Sarah Aron, Barbara Switzer (lam) (Entered: 12/09/1997)
12/09/1997	248	Transcript of record of proceedings filed for dates of 10/17/97 before Judge Sprizzo. (lam) (Entered: 12/09/1997)
12/09/1997	249	Transcript of record of proceedings filed for dates of 10/17/97 before Judge Sprizzo. (lam) (Entered: 12/09/1997)
12/18/1997	250	ANSWER by Alan Solomon (pro se) to Peter Deangelis and Peter Dean Investments, Inc.'s [137-1] third-party complaint. (lam) (Entered: 12/19/1997)
12/23/1997	251	ORDER, for Alan S. Burstein, of Scolaro Shulamn Cohen Lawler & Burstein, P.C. to withdraw as counsel to defts Edmund T. Bennett and Kathleen M. Bennett (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 12/23/1997)
12/31/1997	252	MEMORANDUM by Peter DeAngelis in opposition to [243-1] motion for certification of its 10/17/97 order which denied Robinson, St. John's motion to dismiss third-party complaint purs to 28 U.S.X. 1292(b) (lam) (Entered: 01/05/1998)
01/05/1998	253	NOTICE OF MOTION filed by the John H. Wenger Trust, by Joy Boulton as Successor Trustee to extend date to opt-out of class action . Return date not indicated. (lam) (Entered: 01/09/1998)
01/05/1998	254	NOTICE of opt-out filed by John H. Wenger Trust, by Joy Boulton as Successor Trustee. (lam) (Entered: 01/09/1998)
01/05/1998	255	AFFIDAVIT in support of Thomas A. Hoadley, atty for the John H. Wenger Trust, by Joy Boulton as Successor Trustee Re: [253-1] motion to extend date to opt-out of class action (lam) (Entered: 01/09/1998)
01/16/1998	256	NOTICE OF MOTION by Fabietti & Associates to dismiss ; and or to strike Third Party Complaint of Peter Deangelis and Peter Dean Investments . With Memorandum of Law an affidavit attached. return date not indicated. (lam) (Entered: 01/21/1998)
01/21/1998	257	REPLY MEMORANDUM by third party deflt Robinson, St. John re: third party plttf John DeAngelis' [252-1] opposition memorandum, and in further support of their [243-1] motion for certification of its 10/17/97 order which denied Robinson, St. John's motion to dismiss third-party complaint purs to 28 U.S.X. 1292(b) (lam) (Entered: 01/22/1998)
01/26/1998	258	STIPULATION, reset deflt Mahoney Cohen's response to request for production of

		documents due for 1/19/98 ; reset deft Mahoney Cohen's answers to interrogatories due for 1/19/98 ; Mahoney Cohen intends by that date, or as soon thereafter as may be practicable and in any event by 2/2/98, also to produce any documents responsive to the Requests. (signed by Judge John E. Sprizzo). (lam) (Entered: 01/27/1998)
02/23/1998	259	CONSENT for Marc S. Moller, Kreindler & Kreindler, Esq. to withdraw as attorney for Joseph Ellenberg (signed by Judge John E. Sprizzo); (lam) (Entered: 02/24/1998)
03/03/1998	260	STIPULATION and ORDER, substituting Stark & Stark as counsel of record for defts Russell Stoever and Stoever Glass & Co. in place of King & Pennington, LLP in this action transferred from the SD of Texas (signed by Judge John E. Sprizzo). (lam) (Entered: 03/04/1998)
03/03/1998		Pre-trial conference held before Judge Sprizzo (lam) (Entered: 03/16/1998)
03/13/1998	261	ORDER, set pretrial conference for 1:00 3/27/98 (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 03/16/1998)
03/13/1998	263	MEMORANDUM by Peter DeAngelis in opposition to Fabietti's [256-1] motion to dismiss, [256-2] motion to strike Third Party Complaint of Peter Deangelis and Peter Dean Investments (lam) (Entered: 03/19/1998)
03/18/1998	262	ORDER denying [243-1] motion for certification of its 10/17/97 order which denied Robinson, St. John's motion to dismiss third-party complaint purs to 28 U.S.X. 1292(b) (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 03/19/1998)
03/18/1998	264	MEMORANDUM in opposition by Berliss plttfs' to deft Arthur Andersen & Co.'s and Mahoney Cohen & Co.'s Motions to Dismiss (lam) (Entered: 03/20/1998)
03/18/1998	265	CERTIFICATION in opposition of Michael M. Rosenbaum, atty for Berliss plttfs Re: to moitons to dismiss of Arthur Andersen & Co. and Mahoney Cohen & Co. (lam) (Entered: 03/20/1998)
03/18/1998	266	SEALED DOCUMENT placed in vault (af) (Entered: 03/23/1998)
03/19/1998	267	NOTICE OF MOTION by counsel for Jameson, Dewitt and David Vinciguerra for Borowitz & Goldsmith, PLC to withdraw as attorney . Return date not indicated. (lam) (Entered: 03/30/1998)
03/20/1998	269	Pltff's ANSWER to Mahoney Cohen & Cop., CPA, PC's First Set of Interrogatories and pltff's response to the First Request for Production of Documents. (lam) (Entered: 03/31/1998)
03/30/1998	268	STIPULATION, that the participation of third-party defts, William Madden and Harvey Merkin, in this lawsuit shall be without prejudice to, and shall not be deemed a waiver of, their right to move to dismiss the third-party complaint on the ground that the claim is required to be arbitrated, or to move to stay the litigation pending arbitration, and shall not be used by third-party plttf, Peter DeAngelis, in defense of such a motion; third-party defts William Madden and Harvey Merkin, shall be entitled to make the motions refered to in paragraph 1 at any time during the course of this litigation but not later than the final date fixed by the Court for

		the making of pretrial motions; the Pre-Motion Conference scheduled for 3/27/98 is adjourned sine die, to be re-scheduled if third-party defts William Madden and Harvey Merkin wish to make the motions referred to in paragraph 1 (signed by Judge John E. Sprizzo) (lam) (Entered: 03/31/1998)
04/03/1998		Oral argument held before Judge Sprizzo (lam) (Entered: 04/16/1998)
04/15/1998	270	ORDER denying third-party deft Fabietti's [256-1] motion to dismiss, denying [256-2] motion to strike Third Party Complaint of Peter Deangelis and Peter Dean Investments (signed by Judge John E.); Copies mailed (lam) (Entered: 04/16/1998)
05/05/1998	272	ANSWER to Complaint by Linda Calabria (Attorney Peter J. Cambs) (lam) (Entered: 05/08/1998)
05/05/1998	273	ANSWER to Complaint by Joanne Corasaniti (Attorney Peter J. Cambs) (lam) (Entered: 05/08/1998)
05/05/1998	272	DEMAND for jury trial by Linda Calabria (lam) (Entered: 05/08/1998)
05/05/1998	273	DEMAND for jury trial by Joanne Corasaniti (lam) (Entered: 05/08/1998)
05/05/1998	274	ANSWER to Complaint by Lisa Henson (Attorney Peter J. Cambs); jury demand (lam) (Entered: 05/08/1998)
05/05/1998	275	ANSWER to Complaint by Kevin Kuppel (Attorney); jury demand (lam) (Entered: 05/08/1998)
05/14/1998	276	NOTICE OF MOTION by First Miami Securiti for Dennis Alan Richard, Richard Jay Sarafan, Sydney A. Marks, and Laurel White Marc-Charles to appear pro hac vice . With declaration attached. Return date not indicated. (lam) (Entered: 05/15/1998)
05/21/1998	277	ORDER granting [276-1] motion for Dennis Alan Richard, Richard Jay Sarafan, Sydney A. Marks, and Laurel White Marc-Charles to appear pro hac vice (signed by Judge John E. Sprizzo); Copies mailed (copy of doc. sent to Admissions Clerk on 5/22/98) (lam) (Entered: 05/22/1998)
06/08/1998	278	Transcript of record of proceedings before Judge Sprizzo filed for dates of April 3, 1998 (jp) (Entered: 06/08/1998)
06/10/1998	279	Transcript of record of proceedings before Judge Sprizzo filed for dates of April 3, 1998 (bm) (Entered: 06/11/1998)
06/24/1998	280	ANSWER to Complaint by Stewart Weisman (Attorney pro se) (lam) (Entered: 06/25/1998)
07/02/1998	281	THIRD AMENDED COMPLAINT by plttfs amending [1-1] complaint Summons issued. (lam) (Entered: 07/07/1998)
07/09/1998	282	Certificate of service of Third Amended Complaint by hand delivery and mail listed parties on 7/6/98 (lam) (Entered: 07/10/1998)
07/27/1998	283	ANSWER to Complaint by William P. Crowley (Attorney Bruce G. Soden); jury demand (lam) (Entered: 07/29/1998)
09/25/1998	284	NOTICE of Name Change of one of the attys for the Class Plttfs to Chemicles &

		Tikellis LLP. (lam) (Entered: 09/29/1998)
10/05/1998	285	ORDER, reset pretrial conference for 3:00 10/9/98 (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 10/07/1998)
10/09/1998	286	ORDER, reset pretrial conference for 3:00 9/25/98 (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 10/13/1998)
12/18/1998	287	NOTICE of change of name and address for attys for plttfs (lam) (Entered: 12/23/1998)
12/29/1998	288	Position of the Official Committee of Unsecured Creditors concerning Class Notice, Amicus Curiae. (lam) (Entered: 01/05/1999)
01/05/1999	289	ORDER, that this case, with respect to Rickel & Associates, be tranferred to the Suspense Docket of this Court until 12/31/99, with leave to any party to apply on 5 days' notice for its restoration to the trial calendar of this court (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 01/08/1999)
01/14/1999	290	SEALED DOCUMENT placed in vault (af) (Entered: 01/15/1999)
01/15/1999	291	ANSWER to Complaint by Arthur Andersen LLP; jury demand. (kw) (Entered: 01/20/1999)
01/15/1999	292	Rule 1.9 certificate filed by Arthur Andersen LLP. (kw) (Entered: 01/20/1999)
02/01/1999	294	Transcript of record of proceedings before Judge Sprizzo on 12/30/98. (kw) (Entered: 02/08/1999)
02/02/1999	293	SEALED DOCUMENT placed in vault (da) (Entered: 02/03/1999)
02/08/1999		Pre-trial conference held before Judge Sprizzo. (lam) (Entered: 02/16/1999)
02/10/1999	295	ORDER, that counsel for plttfs shall serve copies of their application for atty's fees with respect to the Generali settlement on the Court and the Committee of Unsecured Creditors by 3/4/99 (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 02/16/1999)
02/14/1999	298	Objection(s) to the attys' fees of approximately \$29,000,000 for class action counsel filed by Russel S. and Anne C. Stott. (lam) (Entered: 02/25/1999)
02/16/1999	296	RESPONSE to (lam) (Entered: 02/18/1999)
02/16/1999	296	Objection(s) by The Doris Levy Revocable Trust to the application for atty's fees and expenses submitted in connection with the proposed settlement between the Class and Assicurazioni Generali, S.p.A., Generali US Branch and Generali Underwriters, Inc. (lam) (Entered: 02/18/1999)
02/23/1999	297	AFFIDAVIT of G. Peter Buchband President of The Garden City Group, Inc. (kw) (Entered: 02/24/1999)
03/05/1999	299	AFFIDAVIT in support by Jeffrey H. Squire and Edward A. Grossmann of Class Action Settlement and Plaintiffs' Counsel's Joint Application for Attorneys' Fees and Reimbursement of Expenses. (kw) (Entered: 03/08/1999)
03/05/1999	300	MEMORANDUM by plaintiffs in support of the settlement. (kw) Modified on

		03/08/1999 (Entered: 03/08/1999)
03/05/1999	301	MEMORANDUM by plaintiffs in support of Joint Application for an award of Attorneys' fees and reimbursement of expenses. (kw) (Entered: 03/08/1999)
03/16/1999	302	Filed Memo-Endorsement on letter from Gary P. Naftalis, atty dated 3/11/99, granting the request for revision to the briefing schedule: S&B will submit a brief in support of its own motion to dismiss, not to exceed 20 pages by 4/9/99; the Trustee will then submit a single brief in response to the motions of all 3 law firm depts by 5/5/99; the 5/28/99 date for oral argument shall remain unchanged (signed by Judge John E. Sprizzo) (lam) (Entered: 03/19/1999)
03/16/1999	310	ORDER, that purs to Rule 41(a)(1) and Rule 23(e) of the FRCP, plttfs' claims against depts Timothy White and Richard MacPherson asserted in the Consolidated Class Action, dated 9/16/96, are hereby dismissed with prejudice and without costs against any party (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 03/26/1999)
03/17/1999	303	NOTICE purs to Rule 41(a)(1) and Rule 23(e) of the FRCP, plttfs request that based on the statements contained in the affidavit of Edward A. Grossmann, dated 3/2/99 and the memorandum of law filed simultaneously herewith, claims against depts Timothy White and Richard MacPherson asserted in the consolidated Class Action, dated 9/16/96, be dismissed with prejudice and without costs against any party (signed by Judge John E. Sprizzo) (lam) (Entered: 03/19/1999)
03/19/1999	304	MEMORANDUM in opposition to Class Counsel's Fee Request. (lam) (Entered: 03/24/1999)
03/19/1999	305	CERTIFICATION of Harry M. Gutfleish in opposition to Class Counsel's Fee Request. (lam) (Entered: 03/24/1999)
03/19/1999	306	CERTIFICATION of Dr. Paul DeMaio, class plttf in opposition to Fee Request of Class Counsel. (lam) (Entered: 03/24/1999)
03/19/1999	307	DECLARATION of Robert A. Swift, atty Re: to address the issue of class Counsel fees in connection with the settlement of claims among Richard C. Breeden, Generali, Halpert & Co. and classes of investors. (lam) (Entered: 03/24/1999)
03/19/1999	308	CERTIFICATION of Daniel M. Stolz, member of the law firm primarily responsible for the Bennett Funding bankruptcy proceedings and related matters. (lam) (Entered: 03/24/1999)
03/19/1999	309	Objection of SEC to request of class counsel for payment of attorney fees and response in support of settlement. (kw) (Entered: 03/26/1999)
03/22/1999	323	Letter to Judge Sprizzo filed by Daniel M. Stolz, atty representing the Committee of Unsecured Creditors in the Bennett Bankruptcy Case, as well as 2,500 class plttfs who have joined in the Committee's Objection to the fee request of class counsel dated 3/22/99 (received from chambers for docketing on 5/7/99) (lam) (Entered: 05/10/1999)
03/23/1999	311	MEMORANDUM by Genarali U.S. Branch, Generali Underwriter in support of settlement. (sac) (Entered: 03/26/1999)

03/24/1999	312	REPLY MEMORANDUM by class counsel in support of fee application. (kw) (Entered: 03/29/1999)
03/24/1999	313	Objection by the Trustee's to class counsel's application for attorneys' fees and reimbursement of expenses. (kw) (Entered: 03/29/1999)
03/24/1999	314	Supplemental Certification of Daniel M. Stolz in opposition to fee request of class counsel filed. (jp) (Entered: 03/29/1999)
03/31/1999	315	FINAL ORDER AND JUDGMENT purs to Rule 54(b) approving Settlement and Compromise of Claims against Generali; for purposes of this Final Order and Judgment, "Generali" means Assicurazioni Generali S.p.A., Generali U. S. Branch and Generali Underwriter, Inc.; the Settled Claims are dismissed, on the merits and with prejudice; the Settled Claims are released and discharged; all members of the Pltff Class are permanently barred and enjoined as set forth in this Final Order and judgment (signed by Judge John E. Sprizzo); Mailed copies and notice of right to appeal. Entered on docket 4/6/99. (lam) Modified on 04/12/1999 (Entered: 04/06/1999)
04/06/1999	316	CERTIFICATE OF SERVICE that on April 6, 1999 a true and correct copy of a notice of dismissal of plainriffs' claims against Timothy White and Richard MacPherson, so ordered by the Hon. John E. Sprizzo, on March 15, 1999 and an Order of the Hon. John E. Sprizzo, dated March 15, 1999 was sent to all parties (named in this certificate of service) and counsel of record via First Class Mail to all in accordance with Rule 5 of the FRCP. Certain parties and counsel of record received these documents via overnight mail. (djc) (Entered: 04/07/1999)
04/06/1999	318	CERTIFICATE OF SERVICE, that on April 6, 1999 a true and correct copy of Final Order and Judgment purs to Rule 54(b) Approving Settlement and Compromise of Claims against Generali, ordered by the Hon. John E. Sprizzo on March 30, 1999, was sent to all parties and counsel of record via First Class mail to all in accordance with Rule 5 of the FRCP (djc) (Entered: 04/08/1999)
04/07/1999	317	SEALED DOCUMENT placed in vault (kb) (Entered: 04/07/1999)
04/08/1999	319	ORDER, that the Partial Settlement of Appel, as set forth in the Settlement Stipulation, is preliminarily approved as fair, reasonable and adequate, pending a final hearing on approval ; a settlement hearing shall be held before this Court on 6/22/99 at 1:00 p.m. as set forth in this document (signed by Judge John E. Sprizzo); Copies mailed (kw) (Entered: 04/13/1999)
04/21/1999	322	AMENDED ORDER preliminarily approving partial settlement and authorizing notice; the Partial Settlement of Appel, as set forth in the Settlement Stipulation, is preliminarily approved as fair, reasonable and adequate, pending a final hearing on approval ; a Settlement Hearing shall be held before this Court on 6/22/99 @1:00pm ; Pltffs' Co-Lead Counsel shall cause the Settlement Notice to be mailed, at pltffs' expense by first class mail to all members of the Walnut Street Subclass; the Court approves the form of Settlement Notice and the Proof of Claim; a member of the Walnut Street Subclass, who has not filed a prior and proper request for exclusion from the Class in the Consolidated Action, may file an exclusion from Appel for the limited purpose of continuing or commencing an arbitration proceeding against the Settling defts; a member of the Walnut Street Subclass will be bound by any judgment or determination of the Court affecting tthe Walnut

		Street Subclass; class members requesting exclusion from the Walnut Street Subclass shall not be entitled to receive any payment out of the Cash Settlement Fund; in order to be entitled to receive a distribution from the Cash Settlement Fund, a Walnut Street Subclass Member, who has not requested a limited opt-out, must submit a Proof of Claim postmarked by 7/30/99; the parties to the Settlement Stipulation are directed to carry out their obligations under the Settlement Stipulation (signed by Judge John E. Sprizzo); Copies mailed (lam) (Entered: 04/26/1999)
04/23/1999	320	Transcript of record of proceedings filed for dates of 2/8/99 @2:05pm before Judge Sprizzo. (lam) (Entered: 04/23/1999)
04/23/1999	321	NOTICE OF APPEAL by 450 Abatamarco Group investors listed on rider A ; from [315-1] judgment order . Copies of notice of appeal mailed to Attorney(s) of Record: Michael J. Sullivan, Ronald Alenstein, Paul Ferrillo, Anthony DelSpina, John Fedders, Eric Seiler, Joel M. Leifer, Bruce Soden, Howard Sommers, Lawrence B. Kahn, Robert Bryant, Alexander DeCroke, Jr., Brian C. Matthews, Peter Rosenbaum, Alex Rosenfeld, Theodore L. Abeles, Richard H. Sergent, Glen C. Kerner, William Savina, Pia Rivero, James Lantier, A. Ross Pearlson, John B. Harris, Craig Hilliard, William L. Farris, Melvin A. Brosterman, Capital Insurance Company, Thomas C. Kingsley, Esq., Barry Pinkowitz, Allison Manning, Shaun W. O'Neill, Bernard Eyssalenne, Bennett Funding International, George M. Newcombe, Kenneth Crowley, Deborah R. Gross, Stephen A. Whinston, Mark R. Rosen, Ann D. White, Kenneth Jacobsen, Marc S. Moller, Stanley Bernstein, Richard Pertz, Burton Finkelstein, Howard Sirota, Stuart Savett, Arnold Levin, Robert I. Harwood, Scott Fisher, Marc Bogatin, Irvin Bizar, Ssamuel P. Sporn, Craig W. Horn, Glen DeValerio, Harry S. Miller, Harold B. Obstfeld, Frederic S. Fox, David J. Jaroslawicz, Esq., Michael J. Freed, David S. HArtstein, Steven Rodd, Fred Taylor Isquith, Anthny DelSpina, Anthony DelSpina, Klari Neuwelt, Paul D. Wexler, Jonathan M. Schneps, Stephen Mayka, Sinclair Jacobs, Edward F. Premo, II, William E. Easton, Esq., Garry M. Graber, T. Barry Kingham, Jeffrey Zwerling, Richard Lingg, Scott W. Muller, John D. Boykin, Mr. James McBride, Paul Duggan, Robert Joseph Fettweis, Vincent D. Paragano, Marc Cantelmo, Hillary Sobel, Eugen P. Souther, William D. Grand, Kathleen M. DalCortivo, William S. Katchen, Esq., Samuel D. Bornstein, Lawrence Ross, Esq., Barbar Funt, James J. Shrager, Marshall L. Levine, Michael M. Rosenbaum, Edward T. Kole, Morris Financial Advisors, Charles N. Tedesco, Jr., Larry Lesnik, William Maderer, Michael Allen, Jeffrey Stanger, Dennis J. Block, Waldron Kraemer, Neil Wager, Peter S. Pearlman, Alan S. Pralgever, Paul S. Francis, Stephen D. Oestreich, David J. Adler, Rick A. Garcia, Richard P. Swanson, Janna Torelli, Thomas S. Ashby, Dennis McCoy, Esq., Andre Shramenko, Jonathon D. Friedman, Josepf Coates, III, William C. Komaroff, Francis Farina, Joan Sorrentino, William A. Despo, Janice J. DiGennaro, R. Mark Keenan, Robert G. Morvillo, Lori J. Henkel, Fred Chikovsky, Peter A. Scachs, Mark S. Halpern, Robert J. Gordon, Esq., James J. Shapiro, Stanley Weiss, Scott A. Edelman, Sonya Ssalkin, Betty Cantelmo, Irwin H. Warren, Daniel M. Stoltz, Laura E. Evangelista, Thomas R. Manisero, Robert T. Eagan, Randy Schaal, Lee E. Woodard, Dennis Alan Richard, Beverly L. Valore, Michael Criden, Joel Bernstein, Timothy C. Karen and Cantelmo & Associates. \$105.00 Appeal filing fee paid on April 23, 1999 on receipt # E 343032. (dt) (Entered: 04/23/1999)

04/23/1999		Notice of appeal and certified copy of docket to USCA: [321-1] appeal ; Copy of notice of appeal sent to District Judge. (dt) (Entered: 04/23/1999)
05/10/1999	324	Transcript of record of proceedings filed for dates of 3/26/99 before Judge Sprizzo. (lam) (Entered: 05/10/1999)
06/01/1999	325	MANDATE OF USCA (certified copy) Re: dismissed [321-1] appeal. It is ordered that the appeal from the order of 3/30/99 be and it hereby is dismissed. (99-7458) KAREN Greve Milton, Acting Clerk, USCA. (as) (Entered: 06/01/1999)
06/11/1999	326	AFFIDAVIT of mailing by the plaintiffs of the Court's order dated 4/19/99 upon the parties set forth in this document. (kw) (Entered: 06/17/1999)
06/15/1999	327	Certificate of service of copy of the Compendium of Relevant Info. in Support of the Settlement and Class Counsel Award of Legal Fees by Federal Express listed parties on 6/15/99 (lam) (Entered: 06/18/1999)
06/15/1999	328	MEMORANDUM by plttfs in support of Memorandum of Law in support of the Settlement. (lam) (Entered: 06/18/1999)
06/15/1999	329	MEMORANDUM by plttfs in support of Class Counsel's Award of Legal Fees. (lam) (Entered: 06/18/1999)
06/15/1999	330	JOINT AFFIDAVIT of Jeffrey H. Squire and Edward A. Grossman, co-lead counsel for plttfs in support of the Partial Settlement. (lam) (Entered: 06/18/1999)
06/23/1999	331	ORDER, substituting David C. Berg, Esq. in place of Stark & Stark as attorney for defendant, Weiner Abrams & Company, Inc. (signed by Judge John E. Sprizzo); Copies mailed. (kw) (Entered: 06/28/1999)
06/23/1999		ORDER AND FINAL JUDGMENT as set forth in this document relating to Appel, et al. v. Bennett Financial Services, et al. 97 CV 2199 (JES). (signed by Judge John E. Sprizzo) Copies of the judgment to the parties was taken care of by Chambers. Entered on 6/24/99. (Original entry was docketed in MDL No. 1153 (JES) M21-72 as doc. #142) (kw) Modified on 06/29/1999 (Entered: 06/29/1999)
06/25/1999	332	CERTIFICATE OF SERVICE that copies of stipulation, relating to 98 civ. 3758, so ordered by Judge Sprizzo on 6/22/99 was sent to all parties set forth in this document on 6/24/99 via first class mail. (kw) (Entered: 06/29/1999)
06/25/1999	333	CERTIFICATE OF SERVICE that copies of a stipulation, relating to 97 civ. 6127, so ordered by Judge Sprizzo on 6/11/99 was sent to all parties as set forth in this document via first class mail on 6/24/99. (kw) (Entered: 06/29/1999)
06/25/1999	334	CERTIFICATE OF SERVICE that copies of the stipulation, relating to 99 civ. 79, so ordered by Judge Sprizzo on 6/22/99 was sent to all parties set forth in this document via first class mail on 6/24/99. (kw) (Entered: 06/29/1999)
06/25/1999	335	CERTIFICATE OF SERVICE that copies of an order of Judge Sprizzo dated 6/22/99 was sent to all parties as set forth in this document via first class mail on 6/24/99. (kw) (Entered: 06/29/1999)
06/25/1999	336	CERTIFICATE OF SERVICE that copies of an order of Judge Sprizzo, relating to 96 civ. 4151, dated 6/21/99 was sent to all parties set forth in this document via first class mail on 6/24/99. (kw) (Entered: 06/29/1999)

06/25/1999	337	CERTIFICATE OF SERVICE that copies of an order of Judge Sprizzo, relating to 97 civ. 2199, dated 6/22/99 was sent to all parties set forth in this document via first class mail on 6/24/99. (kw) (Entered: 06/29/1999)
08/06/1999	338	CERTIFICATE OF SERVICE of the stipulation so ordered by Judge Sprizzo on 7/6/99 upon all parties as set forth in this document by first class mail on 7/28/99. (this document relates to 97 civ. 6127) (kw) Modified on 08/09/1999 (Entered: 08/09/1999)
08/10/1999	339	Transcript of record of proceedings before Judge Sprizzo on 6/22/99. (97 civ. 2199) (kw) (Entered: 08/10/1999)
08/26/1999		STIPULATION and ORDER, plaintiffs' time to file and serve, by hand delivery, their motion for partial summary judgment is extended to 12:00 p.m. on 9/10/99. The Court will review the motion and decide whether a response and/or oral argument is necessary; pretrial conference set for 11:00 a.m. on 9/27/99 ; subject to the foregoing, the terms of the Court's 5/17/99 Order shall remain in effect (signed by Judge John Sprizzo) (Original entry is docketed in M-21-72 as doc. #145) (Stipulation and Order docketed as requested by chambers) (kw) Modified on 09/03/1999 (Entered: 09/03/1999)
09/01/1999	340	Notice that the record on appeal has been certified and transmitted to the U.S. Court of Appeals. (dt) (Entered: 09/02/1999)
09/10/1999	341	NOTICE OF MOTION by plttfs for partial summary judgment as to counts I and III asserted in plttfs' Third Amended Complaint against deft Arthur Andersen & Co. Return date not indicated. (lam) (Entered: 09/13/1999)
09/10/1999	342	CERTIFICATION of Michael M. Rosenbaum, atty for plttfs in support Re: [341-1] motion for partial summary judgment as to counts I and III asserted in plttfs' Third Amended Complaint against deft Arthur Andersen & Co. (lam) (Entered: 09/13/1999)
09/10/1999	343	AFFIDAVIT of Peter DeAngelis, employed as a broker at Horizon Securities. (lam) (Entered: 09/13/1999)
09/10/1999	344	AFFIDAVIT of Randolph L. Messina, an officer of Mid-State Securities Corp. (lam) (Entered: 09/13/1999)
09/10/1999	345	AFFIDAVIT of Mervin H. Goldman, member of Dorfman Goldman et al, accounting firm. (lam) (Entered: 09/13/1999)
09/24/1999		Pretrial Conference held before Judge John E. Sprizzo . (lam) (Entered: 10/04/1999)
09/30/1999	346	ORDER, set plttf's amended complaint due for 10/8/99 ; set deft's motion for judgment on the pleadings, not to exceed 25 pages, deadline for 11/8/99 ; the Court will review the motion and advise whether a response to the motion and/or Oral Argument is necessary ; set pre-trial conference for 10:45 11/8/99 (signed by Judge John E. Sprizzo); Copies mailed. (lam) (Entered: 10/04/1999)
10/05/1999	347	Certificate of service of copy of Order of Judge Sprizzo dated 9/28/99 by mail to those listed on 10/4/99. (lam) (Entered: 10/07/1999)

10/07/1999	348	ORDER, reset pre-trial conference for 10:45 11/22/99 (signed by Judge John E. Sprizzo); Copies mailed. (lam) (Entered: 10/08/1999)
10/08/1999	349	FIRST AMENDED ANSWER, to Complaint by Peter Dean Invest., Peter DeAngelis (lam) (Entered: 10/12/1999)
10/08/1999	349	COUNTERCLAIM by Peter Dean Invest., Peter DeAngelis against William G. Wosnack, Victor A. Fabietti, Agostino R. Fabietti Jr. (lam) (Entered: 10/12/1999)
10/08/1999	349	CROSSCLAIM by Peter Dean Invest., Peter DeAngelis against Patrick R. Bennett, Edmund T. Bennett, Kathleen M. Bennett, Michael A. Bennett, Kenneth Kasarjian, Mahoney Cohen Co., Horizon Securities, Stewart Weisman (lam) (Entered: 10/12/1999)
10/08/1999	349	THIRD PARTY COMPLAINT by Peter Dean Invest., Peter DeAngelis against Fabietti & Associate, Robinson St. John &, Robinson St. John &, Anderson Kill & Olic, Harvey Merkin, William Madden, Alan Solomon ; Third-party summons issued. (lam) (Entered: 10/12/1999)
10/29/1999	350	ANSWER by Harvey Merkin, and William Madden to amended third-party complaint: [349-1] third-party. (kw) (Entered: 11/03/1999)
10/29/1999	351	CERTIFICATE OF SERVICE of an order of Judge Sprizzo dated 10/5/99 upon all parties and counsel of record by first class mail on 10/27/99. (kw) (Entered: 11/03/1999)
10/29/1999	352	Certificate of service of copy of an Order by Judge Sprizzo dated 9/28/99 by mail to those listed on 10/27/99. (lam) (Entered: 11/03/1999)
11/04/1999	353	NOTICE OF MOTION by third-party deft Anderson Kill & Olick to dismiss deft Peter DeAngelis' First Amended Complaint purs to Rule 12(b)(6) of the FRCP . Return date not indicated. (lam) (Entered: 11/08/1999)
11/04/1999	354	MEMORANDUM OF LAW by Anderson Kill & Olicc in support of their [353-1] motion to dismiss deft Peter DeAngelis' First Amended Complaint purs to Rule 12(b)(6) of the FRCP (lam) (Entered: 11/08/1999)
11/08/1999	355	Transcript of record of proceeding before Judge John E. Sprizzo on 9/24/99. (kw) (Entered: 11/08/1999)
11/09/1999	356	ANSWER by Robinson St. John (Attorney Janice DiGennaro) to [349-1] third-party complaint; Firm of Rivkin Radler & Kremer by Attorney Janice DiGennaro for third-party defendant Robinson St. John. (lam) (Entered: 11/10/1999)
11/09/1999	357	RULE 1.9 CERTIFICATE filed by Robinson St. John. (lam) (Entered: 11/10/1999)
11/22/1999		Pretrial Conference held before Judge John E. Sprizzo. (kw) (Entered: 11/29/1999)
11/23/1999	358	ORDER, denying [353-1] motion to dismiss deft Peter DeAngelis' First Amended Complaint purs to Rule 12(b)(6) of the FRCP; set pre-trial conference for 10:45 a.m. on 2/28/00 (signed by Judge John E. Sprizzo); Copies mailed. (kw) (Entered: 11/29/1999)
12/28/1999	359	Pltff's NOTICE of Supplemental Authority. (lam) (Entered: 01/03/2000)

01/12/2000	360	NOTICE of attorney appearance by Morton R. Branzburg, Esq. on behalf of the City of Philadelphia. (kw) (Entered: 01/13/2000)
01/27/2000	361	ORDER, granting [267-1] motion for Borowitz & Goldsmith, PLC to withdraw as attorney for defts Jameson Dewitt and Associates, and David Vinciguerra (signed by Judge Kevin T. Duffy); Copies mailed. (lam) (Entered: 01/28/2000)
02/15/2000		Terminated document 253-1 pursuant to instructions of Judge Sprizzo. (rag) (Entered: 02/15/2000)
02/25/2000		Pretrial Conference held before Judge Peter K. Leisure. (kw) (Entered: 03/03/2000)
03/01/2000	362	ORDER, set pre-trial conference for 9:30 a.m on 3/31/00 (signed by Judge Peter K. Leisure); Copies mailed. (kw) (Entered: 03/03/2000)
03/01/2000	363	CERTIFICATE OF SERVICE of a true and correct copy of an order of the Hon. John E. Sprizzo, dated 1/26/00, was sent to all parties and counsel of record via first class mail all in accordance with Rule 5 of the FRCP. (kw) (Entered: 03/03/2000)
03/10/2000	364	ANSWER by Fabietti & Associate (Attorney Mark S. Halpern) to amended third-party complaint: [349-1] third-party complaint. (kw) (Entered: 03/14/2000)
03/10/2000	365	RULE 1.9 CERTIFICATE filed by Fabietti & Associate. (kw) (Entered: 03/14/2000)
03/10/2000	366	ANSWER by Agostino R. Fabietti Jr. to [349-1] counter claim. (kw) (Entered: 03/14/2000)
03/10/2000	367	RULE 1.9 CERTIFICATE filed by Agostino R. Fabietti Jr. (kw) (Entered: 03/14/2000)
03/10/2000	368	ANSWER by Victor A. Fabietti to [349-1] counter claim. (kw) (Entered: 03/14/2000)
03/10/2000	369	RULE 1.9 CERTIFICATE filed by Victor A. Fabietti. (kw) (Entered: 03/14/2000)
03/28/2000	370	CERTIFICATE OF SERVICE of copy of an Order of Judge Sprizzo, dated 2/28/00, sent to parties listed. (lam) (Entered: 03/30/2000)
05/17/2000	371	MANDATE OF USCA (certified copy) Re: Dismissed. [321-1] appeal, it is hereby stipulated under Rule 42(b) of the Federal Rules of Appellant Procedure to dismissal of this appeal with prejudice. Each party shall bear its own costs. (99-7458) Karen Greve Milton, Acting Clerk, U.S.C.A. (mh) (Entered: 05/24/2000)
07/28/2000	372	ORDER, approving joint application for an award of attorneys' fees of \$21,875,000 and reimbursement of expenses of \$1,898,346.95 as set forth in this document ; this order shall be deemed the entry of a final judgment under Rule 54(b) of the FRCP as there is no just reason for delay and the entry of judgment is hereby directed . (signed by Judge John E. Sprizzo); Copies mailed. (kw) Modified on 08/01/2000 (Entered: 07/31/2000)
08/04/2000	373	PRELIMINARY ORDER, a hearing pursuant to FRCP Rule 23(e) shall be held at 3:00 p.m on 10/19/00 as set forth in this document . (signed by Judge John E. Sprizzo); Copies mailed. (kw) (Entered: 08/04/2000)

08/08/2000	374	SEALED DOCUMENT placed in vault. (afo) (Entered: 08/09/2000)
08/21/2000	375	CERTIFICATE OF SERVICE of Patricia S. Gillane - that on August 8, 2000, a true and correct copy of an Order of the Hon. John E. Sprizzo, dated July 25, 2000 was sent to all parties and counsel of record (on attached list) via First Class Mail all in accordance with Rule 5 of the FRCP. (djc) (Entered: 08/22/2000)
08/21/2000	376	CERTIFICATE OF SERVICE of Patricia S. Gillane - that on August 8, 2000, a true and correct copy of an Order of the Hon. John E. Sprizzo, dated July 25, 2000 was sent to all parties and counsel of record (on attached list) via First Class mail all in accordance with Rule 5 of the FRCP (djc) (Entered: 08/22/2000)
09/06/2000	377	Transcript of record of proceedings before Judge John E. Sprizzo on 7/25/00. (kw) (Entered: 09/06/2000)
09/06/2000	378	Transcript of record of proceedings before Judge John E. Sprizzo on 7/11/00. (kw) (Entered: 09/06/2000)
09/28/2000	379	Objection of berliss Bearing Co. plttfs to Proposed Class Action Settlement with deft Mahoney cohen & Co., PC. (lam) (Entered: 09/29/2000)
09/28/2000	380	MEMORANDUM OF LAW filed by the Berliss plttfs in opposition to Proposed Class Action Settlement with deft Mahoney Cohen & Co., PC. (lam) Modified on 09/29/2000 (Entered: 09/29/2000)
09/28/2000	381	CERTIFICTE of Michael M Rosenbaum, atty for the Berliss plttfs in opposition to the application by the class representatives to have this Court approve a settlement with deft Mahoney Cohen & Co., PC. (lam) (Entered: 09/29/2000)
09/28/2000	385	SEALED DOCUMENT placed in vault. (af) (Entered: 10/03/2000)
09/29/2000	382	MEMORANDUM OF LAW by Arthur Kronfeld in support of Joint Application for an Award of Attorneys' Fees (cd) (Entered: 10/02/2000)
09/29/2000	383	MEMORANDUM OF LAW by Arthur Kronfeld in support of partial settlement of class action (cd) (Entered: 10/02/2000)
09/29/2000	384	AFFIDAVIT of Edward Grossmann/Jeffrey Squire by Arthur Kronfeld in support of class action settlement and class counsel's joint applicaiton for attorneys' fees (cd) (Entered: 10/02/2000)
10/02/2000	386	AFFIDAVIT of Shandarese Garr Re: personal knowledge of facts stated. (djc) (Entered: 10/04/2000)
10/17/2000	387	ORDER, that counsel for class plttf shall file their brief in response to objections to their proposed settlement with deft Mahoney Cohen by 10/13/00 (signed by Judge John E. Sprizzo); Copies mailed. (lam) (Entered: 10/18/2000)
10/24/2000	388	Letter filed by plaintiffs (the "Berliss Objectors") addressed to Judge Sprizzo from Michael M. Rosenbaum, dated 10/17/00, re: in response to some of the issues raised in Lead Counsel's response to their objection to the proposed class action settlement with defendant Mahoney, Cohen and Company, P.C. (kw) (Entered: 10/24/2000)
10/24/2000	389	Compendium of Unreported Cases and other relevant information. (kw) (Entered: 10/24/2000)

		10/24/2000)
10/24/2000	390	COMPENDIUM OF AFFIDAVIT in support of plaintiffs' request for legal fees and expenses. (kw) (Entered: 10/24/2000)
10/24/2000	391	ORDER; approving joint application for an award of attorneys' fees and reimbursement of expenses . (signed by Judge John E. Sprizzo); Copies mailed. (pl) (Entered: 10/25/2000)
10/25/2000	392	Final Order and JUDGMENT (Number 2) Pursuant to Rule 54(b) Approving Settlement and Compromise of Trustee Claims Against Mahoney Cohen & Company, CPA, P.C.; The settled Claims are dismissed, on the merits and with prejudice; The Settled Claims are released and discharged; The Trustee, BFG and the Estate are permanently barred and enjoined from commencing or continuing any litigation, arbitration or other proceeding against Mahoney regarding any Settled Claim (signed by Judge John E. Sprizzo); Mailed copies and notice of right to appeal. Entered On Docket: 10/25/00. (djc) Modified on 10/30/2000 (Entered: 10/25/2000)
10/25/2000	393	FINAL ORDER AND JUDGMENT (#1) PURS. TO RULE 54(b) APPROVING SETTLEMENT AND COMPROMISE OF CLASS CLAIMS AGAINST MAHONEY COHEN & COMPANY, CPA, P.C.; the Settlement is approved as fair, reasonable and adequate, and in the best interests of the plttf claims. All objections to the Settlement as overruled for the reasons set forth by the Court on the record on 10/19/00. The Settled Claims are dismissed, on the merits and with prejudice. The Settled Claims are released and discharged. Amounts to be paid on behalf of Mahoney under the Settlement net of amounts awarded to Class Counsel for fees and expenses, and net of the Claims Reserve Amount are property of the Estate. Distribution of such amounts transferred to the Estate is subject to the jurisdiction of the U.S. Bankruptcy Court for the Northern District of N.Y., while the distribution of amounts awarded to Class Counsel for fees and expenses is subject to the jurisdiction of this Court. To the extent that any sums from the Claims Reserve Amount are ultimately transferred to the Proceeds Amount as provided for in par. 5 of the Escrow Agreement, those monies shall also be deemed property of the Estate. Each party to the Settlement shall bear its own costs and the fees and expenses of its counsel, except to the extent this Court allows payment of fees and reimbursement of expenses of Class Counsel from the Proceeds Amount and the Bankruptcy Court allows payment of fees and reimbursement of expenses to the Trustee and his counsel from the Estate, and further except to the extent the Stipulation and the Escrow Agreement may otherwise provide with respect to payment of expenses on behalf or for the benefit of Mahoney. By reason of the findings in par. 6, there is no just reason for delay and the Clerk is directed to enter this Order and Judgment as a final judgment purs. to Rule 54(b) of the FRCP, on the Southern District Misc. M-21-72 and on the docket of In re Bennett Funding Group Inc. Securities Litigation, 96 Civ. 2583, MDL No. 1153 (JES). Neither the Consolidated Action nor any other action is dismissed in respect of claims against persons or entities other than Mahoney. (signed by Judge John E. Sprizzo); Mailed copies and notice of right to appeal. Entered On Docket: 10/26/00. (sac) (Entered: 10/26/2000)
10/26/2000	394	STIPULATION OF SETTLEMENT; this Stipulation provides the terms of a settlement of all claims against Mahoney Cohen & Company, CPA, P.C., by the

		Pltff Class, Richard C. Breeden as the trustee for The Bennett Funding Group, Inc., Bennett Management and Development Corporation, Bennett Receivables Corp., Bennett Receivables Corp. II, Aloha Capital Corporation, The Processing Center, Inc., American Marine International, Ltd., and Resort Service Company, Inc. and their substantively consolidated estate and the Releasing Parties . Approval of the Settlement purs. to Rule 23(e) shall be granted by the District Court as to the Class claims against Mahoney as set forth herein. Approval of the Settlement shall be granted by the District Court as to the Trustee's claim against Mahoney in the First Adversary Proceeding, as set forth herein. Approval of the Settlement shall be granted by the Bankruptcy Court as to the Trustee's claims against Mahoney in both the First Adversary Proceeding and teh Second Adversary Proceeding, as set forth herein. Approval by the Dollar Capital Bankruptcy Court, as to the settlement of the Dollar Capital claims against Mahoney reforrec to in Par. VII. A. 7 herein, shall be granted as set forth in this Stipulation . The Pltff Class' claims against Mahoney shall be released as provided in District Court Judgment No. 1 as set forth in this Stipulation. Except as otherwise provided herein, or in the Escrow Agreement, each Settling Party and Releasing Party shall bear its own costs . (signed by Judge John E. Sprizzo) (sn) (Entered: 10/27/2000)
11/01/2000	395	Transcript of record of proceedings before Judge John E. Sprizzo for the date(s) of 9/28/00 @3:30pm. (lam) (Entered: 11/01/2000)
11/01/2000	396	copy of judgment and appeal forms addressed to Howard B. Sirota returned to the Corut as undeliverable. (djc) (Entered: 11/02/2000)
11/03/2000	399	copy of judgment and appeal forms addressed to Irving Bizar, Essq., returned to the Court as being undeliverable. (djc) (Entered: 11/13/2000)
11/08/2000	397	Restated and AMENDED ANSWER to Complaint by Anderson Kill & Olic to the third-party complaint of Peter DeAngelis. (kw) (Entered: 11/09/2000)
11/08/2000	398	RULE 1.9 CERTIFICATE filed by Anderson Kill & Olic. (kw) (Entered: 11/09/2000)
11/13/2000	400	CERTIFICATE OF SERVICE on 10/30/00 of a true and correct copy of an order of the Hon. John E. Sprizzo, dated 10/19/00 to all parties and counsel of record via First Class mail in accordance w/ Rule 5 of the F.R.C.P. (lf) Modified on 11/15/2000 (Entered: 11/15/2000)
11/13/2000	401	CERTIFICATE OF SERVICE - that on 10/30/00, a true and correct copy of an Order of the Hon. John E. Sprizzo, dated October 19, 2000 was sent to all parties and counsel of record via First Class mail all in accordance with Rule 5 of the FRCP. (djc) (Entered: 11/15/2000)
11/13/2000	402	CERTIFICATE OF SERVICE - that on October 30, 2000, a true and correct copy of a Stipulation of Settlement so ordered by the Hon. John E. Sprizzo, dated October 19, 2000 was sent to all parties and counsel of record via First Class mail all in accordance with Rule 5 of the FRCP. (djc) (Entered: 11/15/2000)
11/13/2000	403	CERTIFICATE OF SERVICE of correct copy of an Order of the Judge Sprizzo, dated 10/19/00 upon all parties and counsel of record by first class mail on 10/30/00. (pl) (Entered: 11/15/2000)

11/13/2000	404	CERTIFICATE OF SERVICE of correct copy of an Order of Judge Sprizzo, dated 10/6/00 upon all parties and counsel of record by First Class Mail on 10/30/00. (pl) (Entered: 11/15/2000)
11/17/2000	405	NOTICE OF APPEAL by: Allen Abrams, Lisa Aufzien, Narma K. Aufzien, Berless Bearing Company, Berless Bearing Company Employee Profit Sharing retirement Plan, Bleeker Management Company Profit Sharing Plan, Joanna Borella, Chandler Motors, Harriet Cohen, James and Lisa Cohen Jtwros, Rosalie Conte, Joanna Pod Czajka, Alan Dardik, Herbert Dardik, Herbert Dardik Ira, Janet Dardik, Janet Dardik Custodian For Sharon Dardik, Micheal Dardik, Sarah Dardik, Sharon Dardik, Frank DeMaio, Paul DeMaio, Englewood Surgical Associates Profit Sharing Plan, Paul Jtwros Ezersky Alexandria Fleming, Ashley Fleming, Fleming Enterprises, Jaf Leasing Company, Joseph A. Fleming Money Purchase Plan FBO Joseph A. Fleming, James and Dorothy Gallagher, Gail Gilvey, Sol Goodman, Vera Goodman, Goodman Financial Enterprises, James and Patricia Grandinetti, Margaret Hislop, Hudson Credit, Corp., Hudson Marketing Services, Inc., Hudson News Company, Hudson News Profit Sharing Trust, Atlas News Division, Atlas News Profit Sharing Trust, Interstate Distributors Division, Brendan Ibrahim, David Ibrahim, Ibrahim Ibrahim, Ibrahim Ibrahim Ira, Jane Ibrahim, Jennifer Ibrahim, International Subscriptions, Inc., Sheila JAckman, Jonrob Associates, Howard I. and Joel Joroff Jtwros, Howard I. and Richard Joroff Jtwros, Aran Kahn, Brenda Kahn, Christopher Kahn, Kerrey Kahn, Mark Kahn, Max Kahn, Edna Kaminski, Joni Leterman, Leslie Aufzien Levine, Gerald and Phyllis Lewis, LLMJ Investors Magazine Distributors, Inc., Jack Maloof, Jack Maloof, Trustee for Allison Maloof, Jack Maloof, Trustee for Brian Maloof, Jack Mallof, Trustee for Staphanie Maloof, Maloof Liebowitz, Connahan & Oleske Ira Marxe, Ira Marxe, Ira, Patricia McGovern Metropolitan News Liquidating Trust, Murray Nathanson, Murray Nathanson Ira, Shirley Shapiro Nathanson, Ow Havens Foundation, Alberta Pedroja, Brenice Plafsky, Joan Plafasky, Nathan Iafasky, Robert Plafsky, Plafsky & Shapiro, Mari Plotkin, Plotkin Money Purchase Pension Plan, Plotkin Profit Sharing Plan, Mari Plotkin C/F Elizabeth Plotkin, Mari Plotkin C/F Adam Plotkin, David Plotkin, Columbia Savings FBO Marie Plotkin Ira, Columbia Savings FBO David Plotkin Ira, Columbia Savings FBO Adam Plotkin Ira, Robert Rachesky, Frank Rubenstein, Jeffery Rubenstein, John B. Rubenstein, Tina Rubenstein, Semaj, Inc., Max Sherman, Shachar Trust, Ruth Shumsky, Christopher Simon, Christopher Simon Truct, Irwin Simon Irwin Simon Ira, Irwin Simon, Ira RO, Sparta Management Co., Sparta Venture. Cindy Spies, Phillip T. Spies, Thomas J. Spies, Miriam Stamen, Robert A. Stolinsky, Lotty Stein RevTrust, Barry Sussman, Barry and Barbara Sussman, Jonathan Sussman, Rachel Sussman, Steven Suaaman, Beatrice Teltser, Edward Teltser, Patricia Teltser, Ronald R. and Edith R. Tredo Jtwros, Van Ness Plastic Molding Co. Employees Money Purchase Plan and Trust, William Van Ness, Van Ness Estate of Susan Van Ness, Barbar Vorcheimer Ira, Edward Vorcheimer, Leonard Vorcheimer, Leonard J. Vorcheimer Ira, Robert and Martha Vorcheimer, Roger and Leonard Vorcheimer, Leonard Vaorcheimer Family Trust, Leonard Wilf, Worldwide Media Services, Inc. and Nancilee Wydra ; from ; [393-1] judgment order . Copies of notice of appeal mailed to Attorney(s) of Record: Ronald Alenstein, Irwin Warren, Robert Morvillo, Theodore L. Abeles, David J. Adler, Brian Frederick Amery, Gage Andratta, Thomas S. Ashby, Michael A. Bennett, Bennett Funding Internationl, Max W. Berger, Stanley Bernstein, Irving Bizar, Stewart E. Bland, Esq., Marc

		<p>Bogatin, Samuel D. Bornstein, John D. Boykin, Melvin A. Brosterman, Robert Bryant, Alan Burstein, Cantelmo & Associates, Capitol Insurance Company/Bennett Insurance Co., Ltd., Joseph Coates, III, Willaim F. Costigan, Michael Criden, Alezander DeCroce, Jr., William A. Despo, Glen DeValerio, Janice J. DiGennaro, Beverly L. Valore, Esq., Willaim E. Easton, Esq., Scott Edelman, Laura Evangelista, Bernard Eyssalenne, Francis Farina, William L. Farris, John Fedders, Robert Joseph Fettweis, Burton Finkelstein, Scott Fisher, Frederic S. Fox, Paul S. Francis, Michael J. Freed, Jonathon D. Friedman, Barbara Funt, Rick A. Garcia, Jeffrey W. Golan, William D. Grand, Deborah R. Gross, Mark S. Halpern, John B. Harris, David S. Hartstein, Lori J. Henkel, Craig Hilliard, Craig W. Horn, Fred Taylor Isquith, Sinclair Jacobs, Kenneth Jacobson, David J. Jaroslawicz, Esq., Lawrence B. Kahn, Timothy C. Karen, William S. Katchen, Esq., R. Mark, Keenan, Glen S. Kerner, Charles G. King, III, T. Barry Kingham, Thomas C. Kingsley, Esq., William C. Komaroff, John C. Krenitsky, James Lantier, Joel M. Leifer, Arnold Levin, Marshlaa L. Levine, William Maderer, Thomas R. Manisero, Allison Manning, Brian C. Matthews, Stephen Mayka, Harry S. Miller, Marc S. Moller, Morris Financial Advisors, Scott W. Muller, Klari Neuwelt, George M. Newcombe, Harold B. Obstfeld, Stephen D. Oestreich, Shaun W. O'Neill, Vincent D. Paragano, Peter S. Pearlman, A. Ross Pearlson, Richard Pertz, Alan S. Pralgever, Edward F. Premo, II, Dennis Alan Richard, Steven Rodd, Mark R. Rosen, Peter Rosenbaum, Alex Rosenfeld, y J. Rotella & Assoc., Peter A. Sachs, Sage, Ruttly & Company. Sonya Salkin, Richard H. Sergent, Randy Schaal, Jonathan M. Schneps, Richard Schulman, Eric Seiler, James J. Shrager, Andre Shramenko, Howard Sirota, Adam H. Smith, Hillary Sobel, Bruce Soden, Howard Sommers, Jeffrey D. Rubenstein, Esq., Eugene P. Souther, Samuel P. Sporn, Jeffrey H. Suire, Esq., Jeffrey Stanger, Daniel M. Stoltz, Summit Financial Services, Richard P. Swanson, Charles N. Tedesco, Jr., Beverly L. Valore, Neil Wager, Stewart weisman, Stanley Weiss, Paul D. Wexler, Stephen A. Whinston, Lee E. Woodard, Diane Zilka, Ann D. White and James J. Shrager. \$105.00 Appeal filing fee paid 11/17/00 on receipt # E 390443. (dt) Modified on 11/17/2000 (Entered: 11/17/2000)</p>
11/17/2000		<p>Notice of appeal and certified copy of docket to USCA: [405-1] appeal ; Copy of notice of appeal sent to District Judge. (dt) (Entered: 11/17/2000)</p>
12/05/2000	406	<p>Transcript of record of proceedings before Judge John E. Sprizzo on 10/19/00. (kw) (Entered: 12/05/2000)</p>
12/11/2000	407	<p>copy of judgment and appeal forms addressed to Randall Keith Berger returned to the court as undeliverable. (djc) (Entered: 12/11/2000)</p>
12/11/2000	408	<p>copy of judgment and appeal forms addressed to Glenn S. Kerner returned to the Court as undeliverable. (djc) (Entered: 12/11/2000)</p>
12/11/2000	409	<p>copy of judgment and appeal forms addressed to Alan Solomon returned to the Court as undeliverable. (djc) (Entered: 12/11/2000)</p>
03/22/2001		<p>USCA Case Number Re: [405-1] appeal USCA NUMBER: 00-9486. (dt) (Entered: 03/22/2001)</p>
04/23/2001	410	<p>Notice that the record on appeal has been certified and transmitted to the U.S. Court of Appeals: [405-1] appeal. (dt) (Entered: 04/23/2001)</p>

05/25/2001	411	STIPULATION of dismissal and Order that the claim asserted by defts/third-party plttf Peter DeAngelis and Peter Dean Investments against third-praty deft Anderson Kill & Olick, PC be dismissed without prejudice and withou costs; and DeAngelis will not bring an aciton for contribution and indemnification against Anderson Kill & Olick, PC based upon any damages DeAngelis is adjudged to be liable for in the above-referenced litigation until said litigation reaches a final resolution (signed by Judge John E. Sprizzo) (lam) Modified on 05/29/2001 (Entered: 05/25/2001)
07/05/2001	412	STIPULATION of Voluntary Dismissal and Order; that the claim asserted by defendats/Third-Party Plaintffs, Peter DeAngelis and Peter Dean Investments Inc., against Third-Party Defendant Robinson St. John & Wayne, be dismissed without prejduice and without costs; DeAngelis will not being an action for contribution and indemnification against Robinson St. John & Wayne based upon damages DeAngelis is adjudged to be liable for in this Litigation until sais litigation reaches final judgment and expiration of any appeals therefrom. (signed by Judge John E. Sprizzo) (pl) (Entered: 07/06/2001)
07/05/2001	413	ORDER, defts Peter DeAngelis and Peter Dean Investments substitutes Alice Jump as their counsel and attorney of record in this matter for the deceased Joel Leifer (signed by Judge John E. Sprizzo); Copies mailed. (cd) (Entered: 07/06/2001)
09/25/2001	414	NOTICE of change of address for the firm of Law Offices Bernard M. Gross, P.C. has moved to 1515 Locust St, 2nd Floor, Philadelphia, Pennsylvania by Arthur Kronfeld . (pl) Modified on 09/27/2001 (Entered: 09/27/2001)
11/05/2001	415	STIPULATION OF VOLUNTARY DISMISSAL AND ORDER; the claim asserted by defts/3rd-pty plttfs Peter DeAngelis and Peter Dean Investments Inc., against 3rd-pty defts Harvey Merkin and William Madden, shall be dismissed without prejudice and without costs. DeAngelis will not bring an action for contribution, indemnification and/or based on any other theory against Harvey Herkin and/or William Madden based upon any damages DeAngelis is adjudged to be liable for in this litigation until said litigation reaches final judgment and expiration of any appeals therefrom. (signed by Judge John E. Sprizzo) (sn) (Entered: 11/07/2001)
05/22/2002	416	[PROPOSED] TEMPORARY RESTRAINING ORDER: IT IS HEREBY ORDERED that pending disposition of an application by plaintiffs' class counsel for Preliminary Injunction, Shapiro & Shapiro, their principals, shareholders, officers, directors, employees, successors, assigns, suppliers, agents, servants, attorneys and customers, as well as all those persons in active concert, participation, or privity with them, or any of them who receive actual notice of the order by personal service or otherwise, and pending a decision on an application by plaintiffs' class counsel for preliminary injunction upon the expiration of this Temporary Restraining Order, are TEMPORARILY RESTRAINED AND ENJOINED FROM: See document for details. (signed by Judge John E. Sprizzo); Copies mailed. (dc) (Entered: 05/30/2002)
06/05/2002	417	TEN (10) DAY EXTENSION OF TRO, that the TRO date 5/21/02, remain in effect for an additional 10 days from the date hereof . (signed by Judge John E. Sprizzo); Copies mailed. (tp) (Entered: 06/07/2002)
06/05/2002	418	NOTICE OF MOTION by Arthur Kronfeld for preliminary injunction or

		permanently enjoining def't's from sending notices or communications to Class members without prior Court approval and filing or proceeding with any putative malpractice actions against Class counsel in courts other than this Court to recover losses suffered by Class members in connection with purchases of securities issued by the Bennet Funding Group, Inc. . Return Date 06/11/02 at 10:00 am. (dc) (Entered: 06/11/2002)
06/05/2002	419	MEMORANDUM OF LAW by Arthur Kronfeld in support of [418-1] motion for preliminary injunction or permanently enjoining def't's from sending notices or communications to Class members without prior Court approval and filing or proceeding with any putative malpractice actions against Class counsel in courts other than this Court to recover losses suffered by Class members in connection with purchases of securities issued by the Bennet Funding Group, Inc. (dc) (Entered: 06/11/2002)
06/05/2002	420	AFFIDAVIT of Jeffrey H. Squire by Arthur Kronfeld in support of [418-1] motion for preliminary injunction or permanently enjoining def't's from sending notices or communications to Class members without prior Court approval and filing or proceeding with any putative malpractice actions against Class counsel in courts other than this Court to recover losses suffered by Class members in connection with purchases of securities issued by the Bennet Funding Group, Inc. (dc) (Entered: 06/11/2002)
08/02/2002	421	ORDER, Shapiro & Shaprio, their principals, shareholders etc are preliminarily restrained and enjoined from: sending further notices to Class members without prior Court approval and filing and/or proceeding with any legal malpractice claim against class counsel relating to losses incurred in Bennett Funding securities in courts other than in this Court ; Shaprio & Shaprio shall deliver a copy of this Preliminary Injunction to anyone acting in concert with them (signed by Judge John E. Sprizzo); Copies mailed. (cd) (Entered: 08/05/2002)
11/25/2002	422	MANDATE OF USCA (certified copy) Re: DISMISSED [405-1] appeal. Plaintiff-Appellants, Defendants-Appellees, and Plaintiff-Appellees, by their attorneys hereby agree that the appeal shall be DISMISSED. Each party shall bear its own costs. ISSUED AS MANDATE 11/18/02, 00-9486. ROSEANN B. MACKECHNIE, CLERK, USCA. (pr) (Entered: 11/26/2002)
01/23/2003		FINAL ORDER AND JUDGMENT PURS. TO RULE 54(b), Approving Settlement and Compromise of Claims Against Mahoney Cohen & Company, CPA, P.C. (signed by Judge John E. Sprizzo); Entered ON Docket: 2/2/03. (Orig. doc. filed in case no. M21-72, MDL 1153, #196) (ae) Modified on 02/02/2003 (Entered: 02/02/2003)
01/30/2003	423	PRELIMINARY ORDER, a hearing purs. to FRCP 23(e) shall be held in the USDC/SDNY, Courtroom No. 705, 40 Foley Sq. at 1:00 p.m. on 5/23/03 before Judge Sprizzo. (signed by Judge John E. Sprizzo); (sac) (Entered: 02/05/2003)
01/30/2003	424	STIPULATION and ORDER, regarding the settlement agreement of all BFG Related Claims against First Miami Securities, Inc., and Lawrence Gotlieb, his successors and assigns, including the Estate of Lawrence Gotlieb on behalf of "Claimants" . (signed by Judge John E. Sprizzo) (kw) (Entered: 02/05/2003)
02/05/2003	425	SEALED DOCUMENT placed in vault. (wv) (Entered: 02/05/2003)

02/06/2003	426	STIPULATION and AGREEMENT OF SETTLEMENT/ORDER;; The stipulation is intended by the undersigned parties (as named in this stip and order) fully, finally and forever to resolve, discharge, release and settle claims against the Settling Defendants (as defined in this stipulation), upon and subject to the terms and condition as set forth herein ; (docketed as unsigned Order per instructions from Chambers/separate order was signed approving settlement) (dj) Modified on 02/21/2003 (Entered: 02/13/2003)
03/05/2003	427	CERTIFICATE OF SERVICE of Order sent to all parties and counsel of records as set forth in this document by via First Class Mail on 2/14/03 . . (gf) (Entered: 03/11/2003)
03/05/2003	428	CERTIFICATE OF SERVICE of Order dated 1/27/03 sent to all parties and counsel of record set forth in this document by via First Class on 2/14/03 . . (gf) (Entered: 03/11/2003)
03/05/2003	429	CERTIFICATE OF SERVICE of Order date 1/29/03 sent to all parties and counsel of record set forth on this document by via First Class mail on 2/14/03 . . (gf) (Entered: 03/11/2003)
04/24/2003	430	ORDER, plaintiffs shall file any motion for summary judgment against certain broker defendants in these consolidated actions on 5/2/03 . (signed by Judge John E. Sprizzo); (kw) (Entered: 04/24/2003)
05/02/2003	431	NOTICE OF MOTION by plaintiffs, for an order granting plaintiffs partial summary judgment against the certain Bennett Funding brokers with respect to liability solely for sales of unregistered securities under 12(a)(1) of the 1933 Act, while leaving for trial any determination as to the amount of damages owed by each such broker defendant to respective members of the various sub-classes certified for each . No Return Date. (kw) (Entered: 05/06/2003)
05/02/2003	432	RULE 56.1 STATEMENT filed by plaintiffs. (kw) (Entered: 05/06/2003)
05/02/2003	433	AFFIDAVIT of Randall K. Berger by plaintiffs in support of [431-1] motion for an order granting plaintiffs partial summary judgment against the certain Bennett Funding brokers with respect to liability solely for sales of unregistered securities under 12(a)(1) of the 1933 Act, while leaving for trial any determination as to the amount of damages owed by each such broker defendant to respective members of the various sub-classes certified for each. (kw) (Entered: 05/06/2003)
05/02/2003	434	MEMORANDUM OF LAW by plaintiffs in support of [431-1] motion for an order granting plaintiffs partial summary judgment against the certain Bennett Funding brokers with respect to liability solely for sales of unregistered securities under 12(a)(1) of the 1933 Act, while leaving for trial any determination as to the amount of damages owed by each such broker defendant to respective members of the various sub-classes certified for each. (kw) (Entered: 05/06/2003)
05/15/2003	435	MEMORANDUM OF LAW by Arthur Kronfeld in support of plaintiffs' voluntary dismissal of claims against certain defendants. Received in night deposit box on 5/15/03 at 8:29 p.m. (db) Modified on 05/21/2003 (Entered: 05/21/2003)
05/15/2003	436	AFFIDAVIT of Edward A. Grossmann by Arthur Kronfeld in support of plaintiffs' voluntary dismissal of class action against certain defendants. Received in night deposit

		box on 5/15/03 at 8:29 p.m. (db) Modified on 05/21/2003 (Entered: 05/21/2003)
05/15/2003	437	AFFIDAVIT of Shandarese Garr. Received in night deposit box on 5/15/03 at 8:25 p.m. (db) Modified on 05/21/2003 (Entered: 05/21/2003)
05/15/2003	438	MEMORANDUM OF LAW by Arthur Kronfeld in support of settlement. Received in night deposit box on 5/15/03 at 8:25 p.m. (db) (Entered: 05/21/2003)
05/15/2003	439	AFFIDAVIT of Shandarese Garr. Received in night deposit box on 5/15/03 at 8:25 p.m. (db) (Entered: 05/21/2003)
05/15/2003	440	Compendium in support of pltfes voluntary dismissal of claims against certain dfts by Arthur Kronfeld. (db) (Entered: 05/21/2003)
05/23/2003	441	NOTICE of partial withdrawal of motion for partial summary judgment against broker defendant Monarch Financial Corporation by Arthur Kronfeld . (yv) (Entered: 05/28/2003)
05/29/2003	442	MEMORANDUM OF LAW IN RESPONSE by First Miami Inc. to objections to settlement and in response to plaintiffs' memorandum of law in support of the settlement. (jco) (Entered: 06/04/2003)
05/30/2003		Supplement to Plaintiffs' Voluntary Dismissal of Class Action against certain defendants as follows: a. Global Benefits Corporation; b. Horizon Securities, Inc.; c. Jamerson DeWitt and Associates; d. Dorfman Goldman, Stobezki and Goldman, P.A.; e. Mid-State Securities; f. Peter Dean Investments, Inc.; g. Jameson DeWitt and Associates; h. Bennett Financial Services; i. The Kenton Group; k. Sima Insurance Company; and l. Weiner Abrams & Co., Inc. (signed by Judge John E. Sprizzo) (Orig. doc. filed in case no. MDL No. 1153, M21-72, as doc. #200) (ae) (Entered: 06/09/2003)
06/06/2003	443	FINAL JUDGMENT AND ORDER OF DISMISSAL WITH PREJUDICE; that pursuant to Rule 23, this Court hereby approves the settlement set forth in the Settlement Agreement and finds that said settlement is, in all respects, fair just, reasonable and adequate to the Class and the FMS Sub-Class; except as to any individual class of those persons who have validly and timely requested exclusion from the Class, the Litigation and all claims contained therein against FMS are dismissed with prejudice as to the Representative Plaintiffs and the other Members of the class and the FMS Sub-Class. The Settling Parties are to bear their own fees and costs; the Settlement Agreement is hereby finally approved in all respects, and the settling Parties are hereby directed to perform its terms in the manner that is set forth in this Final Judgment; all Class Members are hereby forever barred and enjoined from prosecuting the Released Claims against FMS; (signed by Judge John E. Sprizzo); Mailed copies and notice of right to appeal. Entered On Docket: 06/10/03. (pl) (Entered: 06/10/2003)
06/06/2003		Case closed. (pl) (Entered: 06/10/2003)
06/06/2003		JUDGMENT against Arthur Kronfeld . Pursuant to Rules 41(a) (1) and 41(a)(2) and Rule 23(e) of the FRCP and for all the reasons articulated in the Grossmann Affidavit, plaintiffs' claims against the dfts set forth in this judgment are dismissed without prejudice. This order shall be deemed the entry of a final judgment under Rule 54(b) of the FRCP as there is no just reason for delay and the entry of

		judgment is hereby directed. (signed by Judge John E. Sprizzo); Mailed copies and notice of right to appeal. Entered On Docket: 6/9/03. Original document filed in case #MDL #1153, document #201. (dle) (Entered: 06/11/2003)
06/20/2003	444	ORDER; that, as discussed at the aforementioned conference, any and all responses to plaintiffs' motion, not to exceed fifteen (15) pages, shall be submitted by 7/21/03 , that oral argument on plaintiffs' motion shall occur on 9/22/03 at 3:00 p.m. in Courtroom 705 . (signed by Judge John E. Sprizzo) (jco) (Entered: 06/23/2003)
07/03/2003	445	DEFENDANT'S RESPONSE FOR A PARTIAL SUMMARY JUDGMENT (dle) (Entered: 07/07/2003)
07/18/2003	446	STIPULATION and ORDER, defendants Alan Halpert and Jeffrey Halpert time within which to serve and file opposition papers to the plaintiffs' motion for partial summary judgment against the broker defendants is extended until 8/4/03 . (signed by Judge John E. Sprizzo) (kw) (Entered: 07/21/2003)
07/28/2003		STIPULATION and ORDER, that the time within which Alan and Jeffrey Halpert that the time within which these dfts may serve and file opposition papers to the plaintiffs' motion for partial summary judgment against the broker dfts is hereby extended until 8/4/03 . (signed by Judge John E. Sprizzo) (dle) (Entered: 07/29/2003)
08/04/2003	447	MEMORANDUM OF LAW by Alan P. Halpert in opposition to [431-1] motion for an order granting plaintiffs partial summary judgment against the certain Bennett Funding brokers with respect to liability solely for sales of unregistered securities under 12(a)(1) of the 1933 Act, while leaving for trial any determination as to the amount of damages owed by each such broker defendant to respective members of the various sub-classes certified for each . (yv) (Entered: 08/08/2003)
08/04/2003	448	COUNTER STATEMENT TO RULE 56.1 filed by Alan P. Halpert (yv) (Entered: 08/08/2003)
08/04/2003	449	CERTIFICATION of Jeffrey Halpert by Alan P. Halpert in opposition Re: [431-1] motion for an order granting plaintiffs partial summary judgment against the certain Bennett Funding brokers with respect to liability solely for sales of unregistered securities under 12(a)(1) of the 1933 Act, while leaving for trial any determination as to the amount of damages owed by each such broker defendant to respective members of the various sub-classes certified for each . (yv) (Entered: 08/08/2003)
08/04/2003	450	CERTIFICATION of Alan P. Halpert by Alan P. Halpert in opposition Re: [431-1] motion for an order granting plaintiffs partial summary judgment against the certain Bennett Funding brokers with respect to liability solely for sales of unregistered securities under 12(a)(1) of the 1933 Act, while leaving for trial any determination as to the amount of damages owed by each such broker defendant to respective members of the various sub-classes certified for each . (yv) (Entered: 08/08/2003)
08/11/2003		STIPULATION and ORDER, that the time w/in which Stoever Glass may respond to plaintiffs' motion for partial summary judgment shall be and hereby is extended through 8/4/03 . (signed by Judge John E. Sprizzo) (Orig. doc. filed in case no. M-21-72, as doc. #205) (ae) (Entered: 08/12/2003)
08/11/2003		Case reopened. Closed in error. (rag) (Entered: 08/18/2003)

08/19/2003	451	MEMORANDUM OF LAW by Stoever Glass & Co. in opposition to [431-1] motion for an order granting plaintiffs partial summary judgment against the certain Bennett Funding brokers with respect to liability solely for sales of unregistered securities under 12(a)(1) of the 1933 Act, while leaving for trial any determination as to the amount of damages owed by each such broker defendant to respective members of the various sub-classes certified for each. (db) (Entered: 08/25/2003)
08/19/2003	452	COUNTER STATEMENT TO RULE 56.1 filed by Stoever Glass & Co. (db) (Entered: 08/25/2003)
08/22/2003	453	MEMORANDUM OF LAW by Stoever Glass & Company, Inc. in opposition to plaintiffs' motion for partial summary judgment. (jco) (Entered: 08/27/2003)
08/22/2003	454	RULE 56.1 STATEMENT filed by Stoever Glass & Co. (jco) (Entered: 08/27/2003)
09/08/2003	455	ORDER, Plaintiffs shall be and hereby are granted leave to submit their Reply, not to exceed five pages, on or before 09/11/03; Oral argument shall be adjourned to Wednesday, 09/24/03 at 2:00 p.m. in Courtroom 705, 40 Centre Street ; (signed by Judge John E. Sprizzo); (djc) (Entered: 09/10/2003)
09/08/2003		Deadline(s) updated: reset oral argument for 2:00 p.m. on 9/24/03 (djc) (Entered: 09/10/2003)
09/15/2003	456	AFFIDAVIT OF SERVICE of Reply memorandum in support of Motion for partial summary judgment against broker defendants by hand and Federal Express on 9/11/03. (jco) (Entered: 09/19/2003)
10/03/2003	457	STIPULATION and ORDER, plaintiffs will submit expert reports on 10/31/03; deadline for defendants to identify expert witness shall be on or before 1/31/04; defendants will submit expert reports on 2/28/04 , the last day to serve contention interrogatories will be 3/31/04 , all expert discovery will conclude on 4/30/04 . (signed by Judge John E. Sprizzo) (yv) (Entered: 10/07/2003)
11/23/2004	458	STIPULATION AND ORDER OF DISMISSAL that the third-party action is dismissed with prejudice.... (Signed by Judge John E. Sprizzo on 11/17/04) (jf,) (Entered: 11/30/2004)
12/21/2004	459	NOTICE of Change of Address by Hillary Sobel on behalf of Arthur Kronfeld. New Address: Zwerling, Schachter & Zwerling, LLP, 41 Madison Avenue, New York, N.Y., 10010. (kkc,) (Entered: 12/22/2004)
11/15/2005	460	NOTICE of Change of Address by Hillary Sobel on behalf of all plaintiffs. New Address: Zwerling, Schachter & Zwerling, L.L.P., 990 Stewart Avenue, Suite 600, Garden City, New York, 11530, (212) 223-3900. (db,) (Entered: 11/15/2005)
06/01/2006	461	PRELIMINARY APPROVAL ORDER: Settlement Hearing set for 9/19/2006 at 03:00 PM before Judge John E. Sprizzo in the United States Courthouse for the SDNY, Courtroom 21C, 500 Pearl Street, New York, New York. (Signed by Judge John E. Sprizzo on 5/26/2006) (lb,) (Entered: 06/02/2006)
06/20/2006	462	PRELIMINARY APPROVAL ORDER as set forth in this Order....; (Signed by Judge John E. Sprizzo on 6/15/06) (djc,) (Entered: 06/21/2006)

07/21/2006		NOTICE OF CHANGE OF ADDRESS by Sherwood Insurance Services, Inc., Ivan Richard Small. New Address: DLA Piper Rudnick Gray Cary US LLP, 153 Townsend Street, Suite 800, San Francisco, CA, 94107, (415) 638-2500. Original document filed in M 21-72, doc. #216(kkc,) (Entered: 08/15/2006)
10/31/2006	463	MEMORANDUM OF LAW in Support in support of the Settlements with Monarch Financial Corporation of America, and Stoever Glass & Company, Inc. Compendium of relevant information and unreported cases. Document filed by all plaintiffs. (kkc,) (Entered: 11/02/2006)
10/31/2006	464	AFFIDAVIT of Edward A. Grossmann and Jeffrey H. Squire in Support of the Settlements with Monarch Financial Corporation of America and Stoever Glass & Company, Inc. Document filed by all plaintiffs. (kkc,) (Entered: 11/02/2006)
11/30/2006	467	PRILIMINARY APPROVAL ORDER: a hearing prusuant to FRCP 23(e) shall be held in the USDC-SDNY, courtroom 21C, 500 Pearl Street on 1/31/07 at 3:00 p.m. before Judge John E. Sprizzo for the following purposes: to determine whether the Settlement and Plan of Allocation are fair, reasonable, adequate and in the best interests of the Halbert Subclass and should be approved by the Court as further set forth in said Order. (Signed by Judge John E. Sprizzo on 11/20/06) (db,) (Entered: 12/19/2006)
12/01/2006	465	FINAL ORDER and JUDGMENT re: implementation of settlement agreement. (Signed by Judge John E. Sprizzo on 11/30/06) (jf,) (Entered: 12/01/2006)
12/01/2006	466	FINAL ORDER and JUDGMENT re implementation of settlement. (Signed by Judge Louis L. Stanton on 11/28/06) (jf,) (Entered: 12/01/2006)
12/02/2006		Received returned mail re: [466] Judgment, [465] Judgment. Mail was addressed to Marc Bogatin at 52 Duane Street, New York, NY 10007 and was returned for the following reason(s): fowarding time expired - return to sender. (tve,) (Entered: 12/13/2006)
12/04/2006		Mailed notice of Right to Appeal re: [466] Judgment, [465] Judgment to Attorney(s) of Record: Ronald Herbert Alenstein, Randall Keith Berger, Irving Bizar, Marc Bogatin, Melvin Arnold Brosterman, Peter J. Cambs, Bruce Edward Clark, Edward S. Grossmann, Jeffrey Michael Haber, Mark S. Halpern, Craig S. Hilliard, Frederick Taylor Isquith, Sr, Glenn S. Kerner, Glenn S. Kerner, Thomas C. Kingsley, Gabriel Oliver Koppell, Allison Manning, Alan Ross Pearlson, Michael M Rosenbaum, Eric Jonathan Seiler, Howard B. Sirota, Jeffrey H. Squire, Irwin Howard Warren, Robin F. Zwerling. (tve,) (Entered: 12/04/2006)
12/04/2006		Mailed notice of Right to Appeal re: [466] Judgment, [465] Judgment to Attorney(s) of Record: Ronald Herbert Alenstein, Randall Keith Berger, Irving Bizar, Marc Bogatin, Melvin Arnold Brosterman, Peter J. Cambs, Bruce Edward Clark, Edward S. Grossmann, Jeffrey Michael Haber, Mark S. Halpern, Craig S. Hilliard, Frederick Taylor Isquith, Sr, Glenn S. Kerner, Glenn S. Kerner, Thomas C. Kingsley, Gabriel Oliver Koppell, Allison Manning, Alan Ross Pearlson, Michael M Rosenbaum, Eric Jonathan Seiler, Howard B. Sirota, Jeffrey H. Squire, Irwin Howard Warren, Robin F. Zwerling. (tve,) (Entered: 12/06/2006)
12/12/2006		Received returned mail re: [466] Judgment, [465] Judgment. Mail was addressed to Howard B. Sirota of Sirota & Sirota at 747 Third Avenue, New York, NY 10017

		and was returned for the following reason(s): not deliverable as addressed. (tve,) (Entered: 12/13/2006)
12/12/2006		Received returned mail re: [466] Judgment, [465] Judgment. Mail was addressed to Alan Solomon at 700 H Lake Street, Ramsey, NJ 07446 and was returned for the following reason(s): not deliverable as addressed. (tve,) (Entered: 12/13/2006)
12/19/2006		Received returned mail re: [466] Judgment, [465] Judgment. Mail was addressed to Randall Keith Berger of Kaufman Malchman Kirby & Squire, LLP at 919 Third Avenue, New York, NY 10022 and was returned for the following reason(s): insufficient address. (tve,) (Entered: 12/22/2006)
12/19/2006		Received returned mail re: [466] Judgment, [465] Judgment. Mail was addressed to Eric Seiler of Friedman & Kaplan at 875 Third Avenue, New York, NY 10022 and was returned for the following reason(s): not deliverable as addressed. (tve,) (Entered: 12/22/2006)
12/19/2006		Received returned mail re: [466] Judgment, [465] Judgment. Mail was addressed to Irving Bizar of Bizar & Martin at 485 Madison Avenue, New York, NY 10022 and was returned for the following reason(s): attempted - not known. (tve,) (Entered: 12/22/2006)
12/19/2006		Received returned mail re: [466] Judgment, [465] Judgment. Mail was addressed to Glenn S. Kerner of Schneck Weltman Hashmall & Mischel LLP at 919 Third Avenue, New York, NY 10019 and was returned for the following reason(s): insufficient address. (tve,) (Entered: 12/22/2006)
01/03/2007		Received returned mail re: [465] Judgment. Mail was addressed to Glenn S. Kerner of Schneck Weltman Hashmall & Mischel LLP at 919 Third Ave., New York, 10019 and was returned for the following reason(s): Insufficient Address. (rdz,) (Entered: 01/03/2007)

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