

United States District Court
Northern District of Illinois (Chicago)
CIVIL DOCKET FOR CASE #: 1:96-cv-02455

Rehm v. Eagle Fin Corp, et al
Assigned to: Hon. James B. Moran
Demand: \$0
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 04/25/1996
Jury Demand: Plaintiff
Nature of Suit: 850
Securities/Commodities
Jurisdiction: Federal Question

Plaintiff

Alfred Rehm
*on behalf of himself and all others
similarly situated*

represented by **Michael David Craig**
Schiffrin & Craig, Ltd.
750 West Lake Cook Road
Suite 190
Buffalo Grove, IL 60089
(847) 419-7700
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

James S. Notis
Abbey & Ellis
212 East 39th Street
New York, NY 10016
212-889-3700
ATTORNEY TO BE NOTICED

Joann Broderick Harms
Schiffrin & Craig, Ltd.
750 West Lake Cook Road
Suite 190
Buffalo Grove, IL 60089
(847) 419-7700
TERMINATED: 06/18/1997

Stephen T. Rodd
Abbey & Ellis
212 East 39th Street
New York, NY 10016
212-889-3700

Steven Popuch
Steven L. Popuch & Associates
39 South LaSalle Street
Suite 1020

Chicago, IL 60603
(312) 251-0600

V.

Defendant

Eagle Finance Corp.

represented by **Ray G. Rezner**
Barack, Ferrazzano, Kirschbaum &
Perlman
333 West Wacker Drive
Suite 2700
Chicago, IL 60606
(312) 984-3100
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

John J. Sikora, Jr.
Securities & Exchange Commission
219 South Dearborn Street
Suite 1204
Chicago, IL 60604
(312) 353-7426

Mark Scott Bernstein
Barack, Ferrazzano, Kirschbaum &
Perlman
333 West Wacker Drive
Suite 2700
Chicago, IL 60606
(312) 984-3100

Defendant

Charles F Wonderlic

represented by **Ray G. Rezner**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

John J. Sikora, Jr.
(See above for address)

Mark Scott Bernstein
(See above for address)

Defendant

Ronald B Clonts

represented by **Ray G. Rezner**
(See above for address)

*LEAD ATTORNEY
ATTORNEY TO BE NOTICED*

John J. Sikora, Jr.
(See above for address)

Mark Scott Bernstein
(See above for address)

Defendant

Robert J Braasch

represented by **Ray G. Rezner**
(See above for address)
*LEAD ATTORNEY
ATTORNEY TO BE NOTICED*

John J. Sikora, Jr.
(See above for address)

Mark Scott Bernstein
(See above for address)

Date Filed	#	Docket Text
02/26/1979	35	RULE 39 Affidavit of Stephen T. Rodd (tlm) (Entered: 03/05/1997)
04/25/1996	1	COMPLAINT; jury demand - Civil cover sheet - Appearance(s) of Steven Popuch, Michael David Craig as attorney(s) for plaintiff Alfred Rehm with Rule 39 affidavits (original and four copies summons(es) issued.) (Documents: 1-1 through 1-5) (dj) (Entered: 04/26/1996)
04/25/1996		RECEIPT regarding payment of filing fee paid; on 4/25/96 in the amount of \$ 120.00, receipt # 472836. (dj) (Entered: 04/26/1996)
05/20/1996	2	ATTORNEY APPEARANCE for defendants by Ray G. Rezner, Mark Scott Bernstein, John J. Sikora Jr (fce) (Entered: 05/20/1996)
05/20/1996	3	RULE 39 Affidavit of Mark Scott Bernstein (fce) (Entered: 05/20/1996)
05/20/1996	4	RULE 39 Affidavit of Ray G. Rezner (fce) (Entered: 05/20/1996)
05/20/1996	5	RULE 39 Affidavit of John J. Sikora Jr (fce) (Entered: 05/20/1996)
05/23/1996	6	MOTION by defendants to dismiss for lack of jurisdiction ; Notice of motion (fce) (Entered: 05/24/1996)
05/23/1996	7	MEMORANDUM of law by defendants in support of their motion to dismiss for lack of jurisdiction [6-1] (fce) (Entered: 05/24/1996)
05/23/1996	8	MINUTE ORDER of 5/23/96 by Hon. James B. Moran : Defendants' motion to

		dismiss for lack of jurisdiction [6-1]. Answer brief to motion due 06/20/96. Reply to answer brief due 7/5/96. Mailed notice (fce) (Entered: 05/24/1996)
06/17/1996	9	AGREED MOTION by plaintiff to file brief for memorandum of law in excess of 15 pages . (tlm) (Entered: 06/18/1996)
06/17/1996	10	MINUTE ORDER of 6/17/96 by Hon. James B. Moran : Plaintiffs' agreed motion to file brief or memorandum of law in excess of 15 pages is granted. [9-1] Mailed notice (tlm) (Entered: 06/18/1996)
06/25/1996	11	MOTION by plaintiff for an extension of time to file a response to defendants' motion to dismiss ; Amended notice of motion. (tlm) (Entered: 06/27/1996)
06/25/1996	12	MOTION by plaintiff for leave to file additional appearance instanter . (tlm) (Entered: 06/27/1996)
06/25/1996	13	MINUTE ORDER of 6/25/96 by Hon. James B. Moran : Plaintiff's motion for an extension of time to file a response to defendants' motion to dismiss to and including 06/26/96 is granted. [11-1] [6-1] Reply to answer brief due 7/19/96. Plaintiffs motion for leave to file additional appearance of Joanne B. Harms instanter is granted. [12-1] Mailed notice (tlm) (Entered: 06/27/1996)
06/26/1996	14	OPPOSITION by plaintiff to defendants' motion to dismiss for lack of jurisdiction (Exhibits). [6-1] (tlm) (Entered: 06/27/1996)
06/26/1996	15	ADDITIONAL ATTORNEY APPEARANCE for plaintiff by Joann Broderick Harms with Rule 39 affidavit. (tlm) (Entered: 06/27/1996)
07/19/1996	16	REPLY MEMORANDUM by defendants in support of their motion to dismiss (Exhibit); Notice of filing. [6-1] (tlm) (Entered: 07/22/1996)
07/19/1996	17	NOTICE OF MOTION AND MOTION by plaintiff for appointment of lead plaintiff and lead plaintiff's co-lead counsel (fce) (Entered: 08/07/1996)
07/19/1996	18	AFFIDAVIT of Stephen T. rodd in support of plaintiff's motion for appointment of lead plaintiff and lead plaintiff's co-lead counsel [17-1] (Exhibits). (fce) (Entered: 08/07/1996)
07/29/1996	19	NOTICE of motion by plaintiff regarding motion for appointment of lead plaintiff and lead plaintiff's co-lead counsel [17-1] (fce) (Entered: 08/07/1996)
07/29/1996	20	AMENDED notice of motion by plaintiff to motion for appointment of lead plaintiff and lead plaintiff's co-lead counsel [17-1] (fce) (Entered: 08/07/1996)
08/06/1996	21	MINUTE ORDER of 8/6/96 by Hon. James B. Moran : Granting plaintiff's motion for appointment of lead plaintiff and lead plaintiff's co-lead counsel [17-1]. The court appoint the moving plaintiff as lead plaintiff and appoints his counsel, Schiffrin & Craig and Abbey & Ellis as lead counsel. Mailed notice (fce) (Entered: 08/07/1996)
11/27/1996	22	NOTICE of additional authority by defendants (Attachments); Notice of filing. (tlm) (Entered: 12/05/1996)
12/17/1996	23	NOTICE of additional authority by plaintiff (Attachments); Notice of filing. (tlm) (Entered: 01/03/1997)

01/21/1997	24	NOTICE by defendants of change of firm name; Notice of filing. (tlm) (Entered: 01/21/1997)
01/23/1997	27	NOTICE by counsel for plaintiff Alfred Rehm of change of address (kmt) (Entered: 01/29/1997)
01/27/1997	25	MEMORANDUM AND ORDER (eav) (Entered: 01/28/1997)
01/27/1997	26	MINUTE ORDER of 1/27/97 by Hon. James B. Moran : Enter memorandum and order. Defendants' motion to dismiss is denied. [6-1] Status hearing set for 02/21/97 at 9:45 a.m. Mailed notice (eav) (Entered: 01/28/1997)
02/13/1997	29	MOTION by defendant to certify order for interlocutory appeal under 28 U.S.C. Section 1292(b) and to stay the litigation pending the appeal ; Notice of motion. (tlm) (Entered: 03/05/1997)
02/13/1997	30	MEMORANDUM of law by defendants in support of their motion to certify order for interlocutory appeal under 28 U.S.C. Section 1292(b) [29-1], of motion to stay the litigation pending appeal [29-2] (Exhibits). (tlm) (Entered: 03/05/1997)
02/21/1997		SCHEDULE set on 2/21/97 by Hon. James B. Moran : Status hearing reset to 9:45 2/27/97 . Mailed notice (wah) (Entered: 02/21/1997)
02/25/1997	28	OPPOSITION by plaintiff to defendants' motion to certify order for interlocutory appeal and to stay the litigation pending appeal (Exhibits); Notice of filing. (tlm) (Entered: 02/27/1997)
02/26/1997	32	APPLICATION for leave to appear pro hac vice by James S. Notis for Alfred Rehm ; Order entered granting leave by Hon. James B. Moran (tlm) (Entered: 03/05/1997)
02/26/1997	33	RULE 39 Affidavit of James S. Notis (tlm) (Entered: 03/05/1997)
02/26/1997	34	APPLICATION for leave to appear pro hac vice by Stephen T. Rodd for Alfred Rehm ; Order entered granting leave by Hon. James B. Moran (tlm) (Entered: 03/05/1997)
02/27/1997	31	MINUTE ORDER of 2/27/97 by Hon. James B. Moran : Status hearing held & continued to 9:45 a.m. on 04/30/97. Defendants' motion to certify order for interlocutory appeal under 28 U.S.C. Section 1292(b) [29-1] is taken under advisement. No notice (tlm) (Entered: 03/05/1997)
02/27/1997	36	ATTORNEY APPEARANCE for Alfred Rehm by Michael D. Craig, Steven L. Popuch, Joanne B. Harms & James S. Notis and Stephen T. Rodd. (tlm) Modified on 03/05/1997 (Entered: 03/05/1997)
03/13/1997	37	MINUTE ORDER of 3/13/97 by Hon. James B. Moran : Defendants' motion to certify order for interlocutory appeal under 28 U.S.C. Section 1292(b) [29-1] and to stay the litigation pending the appeal [29-2] is denied. Mailed notice (tlm) (Entered: 03/14/1997)
03/21/1997	38	MOTION by defendants for reconsideration of order of 03/13/97 (Attachments); Notice of motion. (tlm) (Entered: 04/03/1997)
03/25/1997	39	LETTER from plaintiff to Judge Moran dated 03/24/97. (tlm) (Entered: 03/25/1997)

		04/03/1997)
03/26/1997	40	MINUTE ORDER of 3/26/97 by Hon. James B. Moran : Defendants' motion for reconsideration of order of 03/13/97 order is denied. [38-1] No notice (tlm) (Entered: 04/03/1997)
04/30/1997		SCHEDULE set on 4/30/97 by Hon. James B. Moran : Status hearing held and continued to 9:45 5/29/97 . No notice (wah) (Entered: 04/30/1997)
05/29/1997		SCHEDULE set on 5/29/97 by Hon. James B. Moran : Status hearing held and continued to 9:45 6/19/97 . No notice (wah) (Entered: 05/29/1997)
06/09/1997	41	ANSWER and affirmative defenses by defendants; Notice of filing. (tlm) (Entered: 06/10/1997)
06/13/1997	42	RESPONSE by defendants to plaintiff's first request for production of documents; Notice of filing. (tlm) (Entered: 06/18/1997)
06/18/1997	44	MINUTE ORDER of 6/18/97 by Hon. James B. Moran : Joanne B. Harms' motion for leave to withdraw as counsel on behalf of plaintiff instanter is granted. [43-1] Mailed notice (tlm) (Entered: 06/19/1997)
06/19/1997		SCHEDULE set on 6/19/97 by Hon. James B. Moran : Status hearing reset to 9:45 7/23/97 . Mailed notice (wah) (Entered: 06/19/1997)
06/19/1997	43	MOTION by Joanne B. Harms for plaintiff for leave to withdraw counsel instanter . (tlm) (Entered: 06/19/1997)
07/23/1997		SCHEDULE set on 7/23/97 by Hon. James B. Moran : Status hearing held and continued to 9:45 9/16/97 . No notice (wah) (Entered: 07/23/1997)
09/16/1997		SCHEDULE set on 9/16/97 by Hon. James B. Moran : Status hearing held and continued to 9:45 10/16/97 . No notice (wah) (Entered: 09/16/1997)
10/16/1997		SCHEDULE set on 10/16/97 by Hon. James B. Moran : Status hearing held and continued to 9:45 11/20/97 . No notice (wah) (Entered: 10/16/1997)
11/20/1997		SCHEDULE set on 11/20/97 by Hon. James B. Moran : Status hearing held and continued to 9:45 12/19/97 . No notice (wah) (Entered: 11/20/1997)
12/19/1997		SCHEDULE set on 12/19/97 by Hon. James B. Moran : Status hearing held and continued to 9:45 1/22/98 . No notice (wah) (Entered: 12/19/1997)
01/05/1998		SCHEDULE set on 1/5/98 by Hon. James B. Moran : Status hearing reset to 9:45 1/28/98 . Mailed notice (wah) (Entered: 01/05/1998)
01/28/1998	45	MINUTE ORDER of 1/28/98 by Hon. James B. Moran : Plaintiff's motion for class certification with memorandum in support to be filed by 2/27/98. Answer to motion to be filed by 3/27/98. Reply to answer to be filed by 4/10/98. Defendants to comply with outstanding discovery by 2/18/98. Status hearing held; continued to 4/16/98 at 9:45 a.m. Mailed notice (mjc) Modified on 02/03/1998 (Entered: 01/29/1998)
02/27/1998	46	MOTION by plaintiff for class certification ; Notice of filing (mjc) (Entered: 03/02/1998)

02/27/1998	47	MEMORANDUM by plaintiff in support of his motion for class certification [46-1] (Exhibits) (mjc) (Entered: 03/02/1998)
03/17/1998	48	AGREED MOTION by parties to extend briefing schedule (mjc) (Entered: 03/18/1998)
03/17/1998	49	AGREED MOTION by parties extend briefing schedule ; Notice of motion (mjc) (Entered: 03/20/1998)
03/19/1998	50	MINUTE ORDER of 3/19/98 by Hon. James B. Moran : Defendants' agreed motion to extend briefing schedule is granted [49-1]. Defendants' answer to motion for class certification [46-1] to be filed by 5/8/98. Reply to answer to be filed by 5/22/98. Status hearing reset to 5/28/98 at 9:45 a.m. Mailed notice (mjc) (Entered: 03/20/1998)
05/19/1998	51	STIPULATION of class certification [46-1] (Attachment); Notice of filing (mjc) Modified on 05/22/1998 (Entered: 05/22/1998)
05/19/1998	52	LETTER from plaintiff's attorney to Judge Moran dated 5/19/98. (mjc) (Entered: 05/22/1998)
05/20/1998	53	ORDER granting class certification [46-1] (mjc) (Entered: 05/22/1998)
05/20/1998	54	MINUTE ORDER of 5/20/98 by Hon. James B. Moran : Enter Order granting class certification. Mailed notice (mjc) (Entered: 05/22/1998)
05/28/1998		SCHEDULE set on 5/28/98 by Hon. James B. Moran : Status hearing held and continued to 9:45 6/10/98 . No notice (wah) (Entered: 05/28/1998)
06/10/1998		SCHEDULE set on 6/10/98 by Hon. James B. Moran : Status hearing held. Cause is being referred to Magistrate Guzman for settlement purposes only. No notice (wah) (Entered: 06/10/1998)
06/16/1998	55	REFERRAL ORDER of 6/15/98: Case referred to Hon. Ronald A. Guzman pursuant to General Rule 2.41(b) to conduct hearings and enter appropriate orders on the settlement conference. (For further detail see order.) Mailed notice (mjc) (Entered: 06/17/1998)
07/07/1998		SCHEDULE set on 7/7/98 by Hon. Ronald A. Guzman : Settlement conference set for 10:30 a.m. on 7/22/98. Mailed notice (cjl) (Entered: 07/10/1998)
07/22/1998	56	MINUTE ORDER of 7/22/98 by Hon. Ronald A. Guzman: Settlement conference held. All matters relating to the referral of this action having been resolved, the case is returned to the assigned judge. Mailed notice (vmj) (Entered: 07/24/1998)
07/30/1998		SCHEDULE set on 7/30/98 by Hon. James B. Moran : Status hearing set to 9:45 8/11/98 . Mailed notice (wah) (Entered: 07/30/1998)
08/11/1998		SCHEDULE set on 8/11/98 by Hon. James B. Moran : Status hearing held. No notice (wah) (Entered: 08/11/1998)
08/13/1998	57	REFERRAL ORDER of 8/12/98: The case is referred to the Hon. Ronald A. Guzman pursuant to local General Rule 2.41(b) to conduct necessary proceedings and issue Report and Recommendation on approval of documents related to proposed settlement. (For further detail see order.) Mailed notice (fce) (Entered: 08/13/1998)

		08/17/1998)
10/01/1998	60	STIPULATION and agreement of compromise and settlement (Exhibits). (tlm) (Entered: 10/05/1998)
10/01/1998	61	AGREED MOTION by plaintiff for an order with respect to notice, hearing, and administration of class action settlement ; Notice of motion. (tlm) (Entered: 10/05/1998)
10/01/1998	62	MINUTE ORDER of 10/1/98 by Hon. Ronald A. Guzman : Plaintiff's agreed motion for an order with respect to notice, hearing, and administration of class action settlement settlement is granted. [61-1] A Fairness hearing is set for 10:30 a.m. on 12/8/98. Counsel to submit signature order. No notice (tlm) (Entered: 10/05/1998)
10/02/1998	58	ORDER with Respect to Notice, Hearing and Administration of Class Settlement. (tlm) (Entered: 10/05/1998)
10/02/1998	59	MINUTE ORDER of 10/2/98 by Hon. Ronald A. Guzman : Enter Order with respect to Notice, hearing and Administration of class settlement. No notice (tlm) (Entered: 10/05/1998)
12/02/1998	63	MOTION by plaintiff Alfred Rehm for approval of proposed class action settlement and for an award of attorneys' fees and reimbursement of litigation expenses (Attachment) (Exhibits); Notice of motion (hp) (Entered: 12/16/1998)
12/08/1998	64	REPORT AND RECOMMENDATION concerning motion for approval of proposed class action settlement [63-1], motion for an award of attorneys' fees and reimbursement of litigation expenses [63-2] (hp) (Entered: 12/16/1998)
12/08/1998	65	MINUTE ORDER of 12/8/98 by Hon. Ronald A. Guzman : Fairness hearing held. Report and recommendation regarding plaintiff's motion for approval of proposed class-action settlement and for an award of attorneys fees in reimbursing of litigation expenses is hereby submitted to Judge Moran. [63-1] [63-2] All matter relating to the referral of this action having been resolved, the case is returned to the assigned Judge. Mailed notice (hp) (Entered: 12/16/1998)
12/08/1998	66	REPORT AND RECOMMENDATION concerning motion for approval of proposed class action settlement [63-1], motion for an award of attorneys' fees and reimbursement of litigation expenses [63-2] (hp) (Entered: 12/17/1998)
12/08/1998	67	MINUTE ORDER of 12/8/98 by Hon. Ronald A. Guzman : Preliminary fairness hearing held. Report and recommendation regarding plaintiff's motion for approval of proposed class-action settlement and for an award of attorneys fees in reimbursing of litigation expenses is hereby submitted to Judge Moran. All matters relating to the referral of this action having been resolved, the case is returned to the assigned Judge. Mailed notice (hp) (Entered: 12/17/1998)
12/14/1998		SCHEDULE set on 12/14/98 by Hon. James B. Moran : Status hearing set to 9:45 12/22/98 . Mailed notice (wah) (Entered: 12/14/1998)
12/22/1998	68	MINUTE ORDER of 12/22/98 by Hon. James B. Moran : Status hearing held. The court approves the report and recommendation of proposed class-action settlement. Settlement papers to follow. No notice (eav) (Entered: 12/23/1998)

12/29/1998	69	FINAL JUDGMENT and Order of Dismissal of Class Action with Prejudice (Exhibit). (tlm) (Entered: 01/05/1999)
12/29/1998	70	MINUTE ORDER of 12/29/98 by Hon. James B. Moran : Pursuant to Settlement Agreement, this cause is dismissed without costs; plaintiff's attorneys awarded fees and reimbursement of litigation expenses in an aggregate amount of \$262,500; Final Judgment and Order of Dismissal of Class Actin with prejudice attached hereto. terminating case Mailed notice (tlm) (Entered: 01/05/1999)
08/03/1999	71	MOTION by Alfred Rehm for order authorizing distribution of class settlement funds and other relief ; Notice (jmp) (Entered: 08/04/1999)
08/03/1999	72	MEMORANDUM by Alfred Rehm in support of agreed motion for an order authorizing distribution of class settlement funds and relief [71-1] (jmp) (Entered: 08/04/1999)
08/03/1999	73	[PROPOSED] ORDER authorizing distribution of class settlement funds and other relief (jmp) (Entered: 08/04/1999)
08/03/1999	74	AFFIDAVIT of Stephen T. Rodd regarding motion for order authorizing distribution of class settlement funds and other relief [71-1] (Attachments) (jmp) (Entered: 08/04/1999)
09/02/1999	75	MINUTE ORDER of 9/2/99 by Hon. James B. Moran : Enter order authorizing distribution of class settlement funds and other relief. Entered Order Authorizing Distribution of Class Settlement Funds and Other Relief. No notice (jmp) (Entered: 09/03/1999)

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